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# Revisiting the classical target cell limited dynamical within-host HIV model - Basic mathematical properties and stability analysis

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Abstract: In this article, we reconsider the classical target cell limited dynamical within-host HIV model, solely taking into account the interaction between CD4<sup>+</sup> T cells and virus particles. First, we summarize some analytical results regarding the corresponding dynamical system. For that purpose, we proved some analytical results regarding the system of differential equations as our first main contribution. Specifically, we showed non-negativity and boundedness of solutions, global existence in time and global uniqueness in time and examined stability properties of two possible equilibria. In particular, we demonstrated that the virus-free equilibrium and the plateau-phase equilibrium are locally asymptotically stable using the Routh–Hurwitz criterion under appropriate conditions. As our second main contribution, we underline our theoretical findings through some numerical experiments with standard Runge–Kutta time stepping schemes. We conclude this work with a summary of our main results and a suggestion of an extension for more complex dynamical systems with regard to HIV-infection.

Keywords: dynamical system; equilibria; existence; non-negativity; stability; uniqueness; within-host HIV model

## 1. Introduction

## *1.1. Motivation*

Today, approximately 40 million people are infected with the human immunodeficiency virus (HIV) and nearly 5.5 million people are unaware of it [\[1,](#page-22-0)[2\]](#page-22-1). For that reason, research on this infectious disease without treatment still can be regarded as an important topic from a biological and clinical point of view.

Since HIV was found to be the main reason for the acquired immune deficiency syndrome (AIDS), many modeling approaches have been explored over the course of the last decades to simulate its

time development. At the end of the twentieth century, different approaches such as CD4<sup>+</sup> T cell subpopulations [\[3\]](#page-22-2), experimental data [\[4\]](#page-22-3) or simpler fundamental models [\[5–](#page-22-4)[9\]](#page-22-5) were applied to better understand the dynamics of primary HIV infections. Reviews and fundamental models were proposed at the beginning of the twenty-first century [\[10](#page-22-6)[–12\]](#page-22-7). Afterward, some works on global stability of fundamental models on viral dynamics were published [\[13–](#page-23-0)[15\]](#page-23-1). Later, main fundamental models were reviewed in [\[16–](#page-23-2)[18\]](#page-23-3). Furthermore, different aspects such as drug therapy or treatment can be implemented to obtain realistically dynamical models [\[19](#page-23-4)[–23\]](#page-23-5). Furthermore, agent-based models can be applied as an alternative [\[24,](#page-23-6) [25\]](#page-23-7). In this work, we specifically consider the well-known, classical target cell within-host HIV model

<span id="page-1-1"></span>
$$
\frac{dT(t)}{dt} = r - \beta \cdot V(t) \cdot T(t) - d \cdot T(t) =: f_1(t, T(t), T_i(t), V(t)),
$$
\n
$$
\frac{dT_i(t)}{dt} = \beta \cdot V(t) \cdot T(t) - \delta \cdot T_i(t) =: f_2(t, T(t), T_i(t), V(t)),
$$
\n
$$
\frac{dV(t)}{dt} = \pi \cdot T_i(t) - c \cdot V(t) =: f_3(t, T(t), T_i(t), V(t)),
$$
\n
$$
T(0) = T_0 > 0,
$$
\n
$$
T_i(0) = T_{i,0} \ge 0,
$$
\n
$$
V(0) = V_0 > 0,
$$
\n(1.1)

where all model parameters, also called constant problem parameters, and all variables, also known as solution components, are described in Table [1;](#page-1-0) quantities with index 0 represent initial conditions. We briefly want to remark that the state variable  $T_i(t)$  indicates infected CD4<sup>+</sup> T cells and the index *i* reflects this infected state.

As later explained, this model accurately describes viral load during primary HIV infection in the acute phase. Additionally, our ideas for proofs of basic mathematical properties, given in the later part of this work, can be transferred to more complex models. For these reasons, we choose to mainly concentrate our mathematical examination on model [\(1.1\)](#page-1-1), although we are aware of dynamical models including more tissue and mechanisms, as presented in our previous discussion.

<span id="page-1-0"></span>

Symbol	Meaning	Unit
	time	day
	constant production rate of target CD4+ T cells	cells $\cdot (\mu L)^{-1} \cdot (day)^{-1}$
β	constant infection rate of target CD4 <sup>+</sup> T cells with HIV viral	$\mu L \cdot (\text{virions} \cdot \text{day})^{-1}$
	particles	
d	constant clearance rate of target CD4 <sup>+</sup> T cells	$\text{(day)}^{-1}$
$\delta$	constant clearance rate of infected target CD4 <sup>+</sup> T cells	$\text{(day)}^{-1}$
$\mathcal{C}$	constant elimination rate of HIV viral particles	$\text{(day)}^{-1}$
π	constant replication rate of HIV viral particles	virions $\cdot$ (cells $\cdot$ day) <sup>-1</sup>
T(t)	number of target CD4 <sup>+</sup> T cells (density in blood)	cells $\cdot$ $(\mu L)^{-1}$
$T_i(t)$	number of infected target CD4+ T cells (density in blood)	cells $\cdot$ $(\mu L)^{-1}$
V(t)	number of HIV viral particles (density in blood)	virions $\cdot$ $(\mu L)^{-1}$

Table 1. Explanation of all problem constants and all solution components of [\(1.1\)](#page-1-1).

Throughout this article, all model parameters are assumed to be positive. Units are taken from the work by Alizon and Magnus [\[17\]](#page-23-8). Further, we want to shed some light on the dynamical system's structure. *r* represents a constant production rate of target CD4<sup>+</sup> T cells while the linear term  $-d \cdot T(t)$ stands for the constant elimination of CD4<sup>+</sup> T cells due to non-disease natural reasons. The main nonlinear term of this first-order, non-linear dynamical system is given by <sup>−</sup>β · *<sup>T</sup>* (*t*) · *<sup>V</sup>* (*t*), which models the reduction or target CD4<sup>+</sup> T cells by being infiltrated by virus particles. Consequently,  $-\delta \cdot T_i(t)$  and −*c* · *V* (*t*) model death or elimination processes of infected CD4<sup>+</sup> T cells or of virions. Hence, system [\(1.1\)](#page-1-1) describes a non-linear dynamical system of first order. Average lifetimes of infected CD4<sup>+</sup> T cells and of virus particles are represented by  $\frac{1}{d}$  and  $\frac{1}{c}$ , as described by Nowak and Bangham [\[6\]](#page-22-8). For further details regarding biological interpretations, we refer interested readers to [\[5](#page-22-4)[–7\]](#page-22-9).

### *1.2. Literature review*

Let us present a concise history on mathematical modeling in primary HIV infection and related fields. In 1994, Essunger and Perelson proposed a model of HIV infection of CD4<sup>+</sup> T cell subpopulations [\[3\]](#page-22-2). Their main mathematical interest was the possible stability of steady states, also known as equilibrium points. In 1996, Kirschner presented one simplified model for primary HIV infection similar to model [\(1.1\)](#page-1-1), but modified by a Michaelis-Menten mechanism [\[5\]](#page-22-4). In her work, she mainly numerically investigated steady states or linear growth of virus particles in time. In the same year, Nowak and Bingham suggested different models, including [\(1.1\)](#page-1-1) in [\[6\]](#page-22-8) and they also mainly investigated plateau-phase equlibrium points. For example, they extended our basic, i.e., very fundamental, model by immune responses to virus particles. One year later, Bonhoeffer and co-authors discussed model [\(1.1\)](#page-1-1) and modified versions in which they emphasized the discussion of equilibria and numerical simulations [\[7\]](#page-22-9) (compare page 6971 and especially the section about "A Basic Model" in this reference). Finzi and Siliciano also discussed equilibria for a simplified primary HIV-infection model [\[8\]](#page-22-10). In 1998, De Boer and Perelson investigated different models similar to [\(1.1\)](#page-1-1) in [\[9\]](#page-22-5). However, they were mainly concerned with steady states. One year later, Perelson and Nelson primarly summarized analytical investigations on [\(1.1\)](#page-1-1) regarding stability of equilibria [\[10\]](#page-22-6). In 2000, Stafford and co-workers used model [\(1.1\)](#page-1-1) for parameter estimation problems [\[11\]](#page-22-11). Two years later, Perelson reviewed some classical mathematical models of primary HIV infection [\[12\]](#page-22-7). In 2004, Korobeinikov introduced different Lyapunov functions for global stability of simple epidemiological and virus dynamical models [\[13,](#page-23-0) [14\]](#page-23-9). Additionally, Wang and Li proved global stability with respect to a modified mathematical model of primary HIV infection [\[15\]](#page-23-1). One year later, Ribeiro investigated the basic mathematical model [\(1.1\)](#page-1-1) numerically [\[16\]](#page-23-2). In 2012 and 2013, Alizon, Magnus, Perelson and Ribeiro reviewed basic and more sophisticated mathematical models on dynamics of primary HIV infections including, for example, immune responses as seen in [\[6\]](#page-22-8) or different virus strains. In recent years, stability analysis of more sophisticated models on HIV infections was developed [\[20](#page-23-10)[–22\]](#page-23-11). Recently, Xu modified the basic model  $(1.1)$  by a constant CD8<sup>+</sup> T cell density in blood and examined stability properties of the suggested model [\[23\]](#page-23-5).

The aforementioned articles mainly examined stability of the plateau-phase equilibrium states of mathematical models of HIV infections and the modeling of infection over time. Hence, we aim to provide proofs of existence and uniqueness of solutions of [\(1.1\)](#page-1-1) globally in time as these are essential properties for biologically plausible models. Additionally, since this model [\(1.1\)](#page-1-1) properly describes primary infection during the acute phase of HIV [\[11\]](#page-22-11), it seems crucial to present thorough proofs of certain basic mathematical properties. For that reason, we need statements on boundedness and nonnegativity of [\(1.1\)](#page-1-1). Additionally, we want to derive all possible equilibria in a thorough manner. To the best of our knowledge, existence and uniqueness were not proven in the aforementioned articles. Furthermore, different properties such as boundedness, non-negativity, or derivation of the equilibrium points were only mentioned in the aforementioned works. Hence, our main goal is to collect these important properties with mathematical derivations.

### *1.3. Contributions*

Although system [\(1.1\)](#page-1-1) is one of the simplest models for primary HIV infection, many studies have focused on applications and modelling with respect to the disease's time development [\[4,](#page-22-3) [5,](#page-22-4) [10,](#page-22-6) [12,](#page-22-7) [18\]](#page-23-3). For that reason, we want to thoroughly investigate and re-examine system [\(1.1\)](#page-1-1), since a detailed analysis can be seen as a preparatory step for future research. In previous investigations [\[26](#page-23-12)[–30\]](#page-24-0), different systems of differential equations were first analyzed and then numerical algorithms were developped and applied for its numerical solution.

Our two main contributions can be summarized as follows:

- 1) In Section [2,](#page-3-0) we mainly prove analytical results with respect to the dynamical system [\(1.1\)](#page-1-1). Here, we begin with the non-negativity of possible solutions for all  $t \geq 0$ . Afterward, we demonstrate that all solution components remain bounded for all  $t \geq 0$ . To establish these results, we need to examine subsystems of [\(1.1\)](#page-1-1), which is done in the proof of Lemma [2.3.](#page-5-0) In addition, we provide results for existence and uniqueness globally in time for all  $t \ge 0$  in Sections [2.3](#page-6-0) and [2.4.](#page-9-0) We conclude this section with a stability result for the virus-free equilibrium point and the plateau-phase disease equilibrium point based on the Routh–Hurwitz criterion. Here, the basic reproduction number occurs as a by-product of this criterion. In addition, we obtain the basic reproduction number by considering the approach of van den Driessche [\[31–](#page-24-1)[33\]](#page-24-2).
- 2) By applying typical Runge–Kutta time stepping schemes in Section [3,](#page-17-0) we investigate our theoretical findings using numerical simulations and thus underline our results.

To summarize, we aim to provide thorough proofs of analytical results in order to stress the biological usefulness of model [\(1.1\)](#page-1-1).

### <span id="page-3-0"></span>2. Analytical results of [\(1.1\)](#page-1-1)

In this section, we prove some analytical results with respect to  $(1.1)$ . Time-continuous solution components are assumed because of the dynamical system's structure, since all problem constants are positive and, as a consequence, no jumps in problem parameters exist. For additional interpretation, we refer our readers back to the introduction.

We want to note that all right-hand side functions  $f_i(t, T(t), T_i(t), V(t))$  of [\(1.1\)](#page-1-1) for each index  $j \in \{1, 2, 3\}$  are continuous with respect to all state variables. Since [\(1.1\)](#page-1-1) can be equivalently written as an integral equation, all state variables are continuously differentiable functions with respect to time.

### *2.1. Non-negativity*

Here, we discuss the non-negativity of possible solutions for system [\(1.1\)](#page-1-1). This is of importance since only non-negative solution components of [\(1.1\)](#page-1-1) have biological relevance.

<span id="page-4-0"></span>**Lemma 2.1.** All solution components of [\(1.1\)](#page-1-1) *remain non-negative for all*  $t \ge 0$ *.* 

*Proof.* Let us assume that there might be a time where at least one solution component becomes negative. Due to the continuity of all solution components, there exists a time point  $t_0 \ge 0$  where  $T(t_0) = 0$ ,  $T_i(t_0) = 0$  or  $V(t_0) = 0$  hold. Here, it is important to keep in mind that our initial conditions need to be non-negative as stated in [\(1.1\)](#page-1-1).

Let us first assume that  $T(t_0) = 0$  holds while all other solution components are non-negative due to continuity. Then

$$
T'(t_0) = r - \beta \cdot V(t_0) \cdot \underbrace{T(t_0)}_{=0} - d \cdot \underbrace{T(t_0)}_{=0}
$$
  
= r  
> 0

holds and this implies  $T'(t_0) > 0$ .<br>Now let us assume that  $T_1(t_0)$ .

Now, let us assume that  $T_i(t_0) = 0$  holds while all other solution components are non-negative due to continuity. Then

$$
T'_{i}(t_{0}) = \beta \cdot V(t_{0}) \cdot T(t_{0}) - \delta \cdot \underbrace{T_{i}(t_{0})}_{=0}
$$

$$
= \beta \cdot V(t_{0}) \cdot T(t_{0})
$$

$$
\geq 0
$$

holds and this implies  $T_i'$  $'_{i}(t_{0}) \geq 0.$ 

Let us assume that  $V(t_0) = 0$  holds while all other solution components are non-negative due to continuity. Then

$$
V'(t_0) = \pi \cdot T_i(t_0) - c \cdot \underbrace{V(t_0)}_{=0}
$$

$$
= \pi \cdot T_i(t_0)
$$

$$
\geq 0
$$

holds and this implies  $V'(t_0) \geq 0$ .

Inductively, for later time points where at least one solution component is zero, we can apply the same argument. This means that no state variable can become negative. In conclusion, all solution components remain non-negative for all  $t \geq 0$  due to continuity and non-negativity of initial conditions, which finishes our proof.

#### *2.2. Boundedness of all solution components*

In this subsection, we investigate the boundedness of all solution components of system [\(1.1\)](#page-1-1). For this proof, we need one comparison principle from differential equations, stated in [\[34,](#page-24-3) Lemma 3.4].

<span id="page-4-1"></span>Lemma 2.2. *Consider the scalar di*ff*erential equation*

$$
u'(t) = f(t, u(t)) , u(t_0) = u_0
$$

*where*  $f(t, u)$  *is continuous in t and locally Lipschitz in u for all*  $t \geq t_0$  *and all*  $u \in J \subset \mathbb{R}$ *. Let*  $[t_0, T)$  $(T \text{ could be infinity})$  be the maximal interval of existence of the solution  $u(t)$ . Suppose  $u(t) \in J$  for all *t* ∈ [*t*<sub>0</sub>,*T*). Let *v*(*t*) *be a continuous functions whose upper right-hand derivative*  $D^+v(t)$  *satisfies the*<br>differential inequality *di*ff*erential inequality*

$$
D^{\dagger}v(t) \le f(t, v(t)) , v(t_0) \le u_0
$$

*with*  $v(t) \in J$  *for all*  $t \in [t_0, T)$ *. Then, it holds*  $v(t) \le u(t)$  *for all*  $t \in [t_0, T)$ *.* 

Now, we are able to prove the boundedness of all states variables of [\(1.1\)](#page-1-1).

<span id="page-5-0"></span>**Lemma 2.3.** All solution components of  $(1.1)$  *remain bounded for all t*  $\geq 0$ *.* 

*Proof.* 1) Let us first consider  $T'(t) = r - \beta \cdot V(t) \cdot T(t) - d \cdot T(t)$ . Due to Lemma [2.1,](#page-4-0) we conclude that that

$$
T'(t) = r - \beta \cdot V(t) \cdot T(t) - d \cdot T(t)
$$
  
=  $r - (\beta \cdot V(t) + d) \cdot T(t)$   
 $\leq r - d \cdot T(t)$ 

holds. Consequently, by application of the comparison principle from Lemma [2.2](#page-4-1) and by nonnegativity, we notice that

$$
0 \le T(t) \le \frac{r}{d} + \left(T_0 - \frac{r}{d}\right) \cdot \exp\left(-d \cdot t\right)
$$

is valid for all  $t \ge 0$  because all assumptions of Lemma [2.2](#page-4-1) are fulfilled in this situation. Finally, this implies

$$
0 \le T(t) \le \max\left\{\frac{r}{d}, T_0\right\}
$$

for all  $t \geq 0$ .

2) By investigating the subsystem

$$
T'(t) = r - \beta \cdot V(t) \cdot T(t) - d \cdot T(t),
$$
  
\n
$$
T'_{i}(t) = \beta \cdot V(t) \cdot T(t) - \delta \cdot T'_{i}(t)
$$
\n(2.1)

of [\(1.1\)](#page-1-1) and defining

$$
M(t) = T(t) + T_i(t)
$$
 (2.2)

as the complete number of target CD4<sup>+</sup> T cells, we obtain the following differential equation

$$
M'(t) := (T(t) + T_i(t))'
$$
  
=  $T'(t) + T'_i(t)$   
=  $(r - \beta \cdot V(t) \cdot T(t) - d \cdot T(t)) + (\beta \cdot V(t) \cdot T(t) - \delta \cdot T'_i(t))$   
=  $r - d \cdot T(t) - \delta \cdot T_i(t)$   
 $\leq r - \min\{d, \delta\} \cdot (T(t) + T_i(t))$   
=  $r - \min\{d, \delta\} \cdot M(t)$ 

with initial condition  $M_0 := T_0 + T_{i,0}$ . Hence, it follows

$$
0 \le M(t) \le \frac{r}{\min\{d,\delta\}} + \left(M_0 - \frac{r}{\min\{d,\delta\}}\right) \cdot \exp\left(-\min\{d,\delta\}\cdot t\right) \tag{2.3}
$$

for all  $t \geq 0$ , which implies the validity of

$$
0 \le M(t) \le \max\left\{\frac{r}{\min\{d,\delta\}}, M_0\right\} \tag{2.4}
$$

for all  $t \geq 0$ .

3) Due to the boundedness of  $T(t)$  and  $T_i(t)$  for all  $t \ge 0$ , we obtain the following differential inequality

$$
V'(t) := \pi \cdot T_i(t) - c \cdot V(t)
$$
  
\n
$$
\leq \pi \cdot \max \left\{ \frac{r}{\min \{d, \delta\}}, M_0 \right\} - c \cdot V(t)
$$

and we can conclude

$$
0 \le V(t) \le \frac{\pi}{c} \cdot \max\left\{\frac{r}{\min\{d,\delta\}}, M_0\right\} + \left(V_0 - \frac{\pi}{c} \cdot \max\left\{\frac{r}{\min\{d,\delta\}}, M_0\right\}\right) \cdot \exp\left(-c \cdot t\right) \tag{2.5}
$$

for all  $t > 0$ , which has

$$
0 \le V(t) \le \max\left\{\frac{\pi}{c} \cdot \max\left\{\frac{r}{\min\{d,\delta\}}, M_0\right\}, V_0\right\} \tag{2.6}
$$

for all  $t \geq 0$  as a consequence.

This proves our assertion that all solution components of [\(1.1\)](#page-1-1) remain bounded for all  $t \ge 0$ . This finishes our proof.

### <span id="page-6-0"></span>2.3. Existence of all solution components of  $(1.1)$  for all  $t \ge 0$

Here, we want to give one statement from [\[35,](#page-24-4) Theorem 4.7.1] or [\[36,](#page-24-5) Theorem 2.2]. We consider a general initial-value problem

<span id="page-6-1"></span>
$$
\mathbf{z}'(t) = \mathbf{G}(t, \mathbf{z}(t)),
$$
  

$$
\mathbf{z}(0) = \mathbf{z}_0
$$
 (2.7)

where  $\mathbf{z}(t) = (z_1(t), \dots, z_n(t))^T$  denotes our solution vector, the vectorial function is given by<br> $\mathbf{C}(t, \mathbf{z}(t)) = (a_1(t, \mathbf{z}(t)))$  and initial depositions are given by  $\mathbf{z}_k \in \mathbb{R}^n$ . By the we  $\mathbf{G}(t, \mathbf{z}(t)) = (g_1(t, \mathbf{z}(t)), \dots, g_n(t, \mathbf{z}(t)))^T$ , and initial conditions are given by  $\mathbf{z}_0 \in \mathbb{R}^n$ . By  $\|\cdot\|_{\mathbb{R}^n}$ , we denote a suitable vector norm on  $\mathbb{R}^n$ . Here, we apply the supremum norm denote a suitable vector norm on  $\mathbb{R}^n$ . Here, we apply the supremum norm

$$
\|\mathbf{F}(\mathbf{x})\|_{\infty} := \sup_{j=1,\dots,n} \sup_{x \in \mathbb{R}^n} |f_j(\mathbf{x})|
$$

on the space of bounded, continuous functions on  $\mathbb{R}^n$  since this leads to a Banach space.

<span id="page-7-0"></span>**Theorem 2.1.** *If*  $G: [0, \infty) \times \mathbb{R}^n \longrightarrow \mathbb{R}^n$  is locally Lipschitz-continuous in both time and state vari-<br>ables and if there are non-negative real functions  $D: [0, \infty) \longrightarrow [0, \infty)$  and  $K: [0, \infty) \longrightarrow [0, \infty)$ *ables and if there are non-negative real functions D* :  $[0,\infty) \longrightarrow [0,\infty)$  *and K* :  $[0,\infty) \longrightarrow [0,\infty)$ *such that*

$$
\|\mathbf{G}\left(t,\mathbf{z}\left(t\right)\right)\|_{\mathbb{R}^n}\leq K\left(t\right)\cdot\|\mathbf{z}\left(t\right)\|_{\mathbb{R}^n}+D\left(t\right)
$$

*holds for all*  $z(t) \in \mathbb{R}^n$ , then the solution of the initial-value problem [\(2.7\)](#page-6-1) exists for all  $t \geq 0$ . Moreover, *for every finite*  $T \geq 0$ *, we have* 

$$
\|\mathbf{z}(t)\|_{\mathbb{R}^n} \leq \|\mathbf{z}_0\|_{\mathbb{R}^n} \cdot \exp\left(K_{\max} \cdot |t|\right) + \frac{D_{\max}}{K_{\max}} \cdot \left(\exp\left(K_{\max} \cdot |t|\right) - 1\right)
$$

*for all t*  $\in$  [0, *T*] *where* 

$$
D_{\text{max}} = \max_{0 \le s \le T} |D(s)|
$$
 and  $K_{\text{max}} = \max_{0 \le s \le T} |K(s)|$ 

*are described.*

Now, we are able to show the global existence of all solution components for our dynamical system in [\(1.1\)](#page-1-1) for all  $t \ge 0$ . Existence is one main property that reliable models in natural sciences should fulfill. This also holds for uniqueness, later analyzed in this work.

**Theorem 2.2.** *There exists a solution of* [\(1.1\)](#page-1-1) *globally in time for all t*  $\geq$  0*.* 

*Proof.* We define

$$
\mathbf{G}(t, T(t), T_i(t), V(t))
$$
\n
$$
:= \begin{pmatrix}\nr - \beta \cdot V(t) \cdot T(t) - d \cdot T(t) \\
\beta \cdot V(t) \cdot T(t) - \delta \cdot T_i(t) \\
\pi \cdot T_i(t) - c \cdot V(t)\n\end{pmatrix}
$$
\n
$$
= : \begin{pmatrix}\ng_1(t, T(t), T_i(t), V(t)) \\
g_2(t, T(t), T_i(t), V(t)) \\
g_3(t, T(t), T_i(t), V(t))\n\end{pmatrix}
$$

for our vectorial function of [\(1.1\)](#page-1-1).

1) At first, we prove Lipschitz-continuity locally for  $g_1(t, T(t), T_i(t), V(t))$  because the other component functions are estimated in a similar manner. By the boundedness of all solution components by Lemma [2.3,](#page-5-0) we can assume that

$$
T_{sup} := \sup_{t \geq 0} |T(t)| ; T_{i, sup} := \sup_{t \geq 0} |T_i(t)| \text{ and } V_{sup} := \sup_{t \geq 0} |V(t)|
$$

exist. We obtain

$$
||g_1(t, T_1(t), T_{1,i}(t), V_1(t)) - g_1(t, T_2(t), T_{2,i}(t), V_2(t))||_{\infty}
$$
  
\n
$$
= ||(-\beta \cdot V_1(t) \cdot T_1(t) - d \cdot T_1(t)) - (-\beta \cdot V_2(t) \cdot T_2(t) - d \cdot T_2(t))||_{\infty}
$$
  
\n
$$
\leq d \cdot ||T_1(t) - T_2(t)||_{\infty} + \beta \cdot ||V_1(t) \cdot T_1(t) - V_2(t) \cdot T_2(t)||_{\infty}
$$
  
\n
$$
= d \cdot ||T_1(t) - T_2(t)||_{\infty} + \beta \cdot ||V_1(t) \cdot T_1(t) - V_1(t) \cdot T_2(t) + V_1(t) \cdot T_2(t) - V_2(t) \cdot T_2(t)||_{\infty}
$$
  
\n
$$
\leq d \cdot ||T_1(t) - T_2(t)||_{\infty} + \beta \cdot ||V_1(t) \cdot (T_1(t) - T_2(t))||_{\infty} + \beta \cdot ||T_2(t) \cdot (V_1(t) - V_2(t))||_{\infty}.
$$

This result implies

$$
||g_1(t, T_1(t), T_{1,i}(t), V_1(t)) - g_1(t, T_2(t), T_{2,i}(t), V_2(t))||_{\infty}
$$
  
\n
$$
\leq d \cdot ||T_1(t) - T_2(t)||_{\infty} + \beta \cdot ||V_1(t) \cdot (T_1(t) - T_2(t))||_{\infty} + \beta \cdot ||T_2(t) \cdot (V_1(t) - V_2(t))||_{\infty}
$$
  
\n
$$
\leq d \cdot ||T_1(t) - T_2(t)||_{\infty} + \beta \cdot V_{sup} \cdot ||T_1(t) - T_2(t)||_{\infty} + \beta \cdot T_{sup} \cdot ||V_1(t) - V_2(t)||_{\infty}
$$
  
\n
$$
\leq (d + \beta \cdot (V_{sup} + T_{sup})) \cdot ||(T_1(t) - T_2(t), T_{1,i}(t) - T_{2,i}(t), V_1(t) - V_2(t))||_{\infty}.
$$

As a consequence, we conclude that our defined vectorial function of [\(1.1\)](#page-1-1) is locally Lipschitzcontinuous.

2) By our assumptions, we obtain

$$
\|g_1(t, T(t), T_i(t), V(t))\|_{\infty}
$$
\n
$$
= \|r - \beta \cdot V(t) \cdot T(t) - d \cdot T(t)\|_{\infty}
$$
\n
$$
\leq r + \beta \cdot \|V(t)\|_{\infty} \cdot \max\left\{\frac{r}{d}, T_0\right\} + d \cdot \max\left\{\frac{r}{d}, T_0\right\}
$$
\n
$$
\leq \underbrace{\left(r + d \cdot \max\left\{\frac{r}{d}, T_0\right\}\right)}_{=:A_1} + \underbrace{\left(\beta \cdot \max\left\{\frac{r}{d}, T_0\right\}\right)}_{=:B_1} \cdot \|T(t), T_i(t), V(t)\|_{\infty}
$$

for the first vectorial component,

$$
\|g_2(t, T(t), T_i(t), V(t))\|_{\infty}
$$
\n
$$
= \|\beta \cdot V(t) \cdot T(t) - \delta \cdot T_i(t)\|_{\infty}
$$
\n
$$
\leq \beta \cdot \max\left\{\frac{r}{d}, T_0\right\} \cdot \|V(t)\|_{\infty} + \delta \cdot \|T_i(t)\|_{\infty}
$$
\n
$$
\leq \underbrace{\left(\beta \cdot \max\left\{\frac{r}{d}, T_0\right\} + \delta\right)}_{=: B_2} \cdot \|(T(t), T_i(t), V(t))\|_{\infty}
$$

for the second vectorial component and

$$
||g_3(t, T(t), T_i(t), V(t))||_{\infty}
$$
  
= 
$$
||\pi \cdot T_i(t) - c \cdot V(t)||_{\infty}
$$
  

$$
\leq \pi \cdot ||T_i(t)||_{\infty} + c \cdot ||V(t)||_{\infty}
$$
  

$$
\leq \underbrace{(\pi + c)}_{=:B_3} \cdot ||(T(t), T_i(t), V(t))||_{\infty}
$$

for the final vectorial component. Set

 $A := A_1$  and  $B := \max \{B_1, B_2, B_3\}.$ 

Furthermore, we see that

$$
\|\mathbf{G}(t,T(t),T_{i}(t),V(t))\|_{\infty} \leq A + B \cdot \|(T(t),T_{i}(t),V(t))\|_{\infty}
$$

is valid for all  $t \geq 0$ . This inequality implies the existence of all solution components globally in time for all  $t \ge 0$  due to the application of Theorem [2.1.](#page-7-0)

Hence, our proof is complete.

<span id="page-9-0"></span>2.4. Uniqueness of all solution components of  $(1.1)$  for all  $t \ge 0$ 

To show the uniqueness of system [\(1.1\)](#page-1-1), we need Banach's fixed point theorem; compare [\[37,](#page-24-6) Theorem V.18].

**Theorem 2.3.** *Let*  $(X, \rho)$  *be a complete metric space with metric*  $\rho : X \times X \longrightarrow [0, \infty)$ *. Let*  $T : X \longrightarrow X$ *be a strict contraction, i.e., there exists a constant*  $K \in [0, 1)$  *such that*  $\rho(Tx, Ty) \leq K \cdot \rho(x, y)$  *holds for all*  $x, y \in X$ *. Then, the mapping T* has a unique fixed point in X.

Now, we are able to formulate our statement on uniqueness of system [\(1.1\)](#page-1-1) globally in time.

**Theorem 2.4.** *System* [\(1.1\)](#page-1-1) *possesses a unique solution globally in time for all*  $t \ge 0$ *.* 

*Proof.* We first define the equivalent system of integral equations

$$
T(t) = T_0 + \int_0^t \{r - \beta \cdot V(s) \cdot T(s) - d \cdot T(s)\} ds,
$$
  
\n
$$
T_i(t) = T_{i,0} + \int_0^t \{ \beta \cdot V(s) \cdot T(s) - \delta \cdot T_i(s) \} ds,
$$
  
\n
$$
V(t) = V_0 + \int_0^t \{ \pi \cdot T_i(s) - c \cdot V(s) \} ds
$$
\n(2.8)

to system [\(1.1\)](#page-1-1) for the application of Banach's fixed point theorem.

- 1) The function space of bounded, continuous functions on the interval  $[0, \infty)$  is a complete metric space with the supremum norm.
- 2) First, we estimate

$$
||T_1(\tau_1) - T_2(\tau_1)||_{\infty}
$$
  
\n
$$
\leq ||\beta \cdot \int_{0}^{\tau_1} \{V_2(s) \cdot T_2(s) - V_1(s) \cdot T_1(s)\} ds||_{\infty} + ||d \cdot \int_{0}^{\tau_1} \{T_2(s) - T_1(s)\} ds||_{\infty}
$$
  
\n
$$
\leq \beta \cdot \tau_1 \cdot T_{sup} \cdot ||V_1(s) - V_2(s)||_{\infty} + \beta \cdot \tau_1 \cdot V_{sup} \cdot ||T_1(s) - T_2(s)||_{\infty} + d \cdot \tau_1 \cdot ||T_1(s) - T_2(s)||_{\infty}
$$
  
\n
$$
\leq \tau_1 \cdot (\beta \cdot T_{sup} + \beta \cdot V_{sup} + d) \cdot ||(T_1(s) - T_2(s), T_{1,i}(s) - T_{2,i}(s), V_1(s) - V_2(s))||_{\infty}
$$

by the boundedness of all solution components. If we choose  $\tau_1 \leq \frac{1}{2 \cdot (\beta \cdot T_{syn} + T_{syn} + T_{syn})}$  $2 \cdot (\beta \cdot T_{sup} + \beta \cdot V_{sup} + d)$  $\overline{y}$ , we obtain

$$
||T_1(\tau_1) - T_2(\tau_1)||_{\infty} \leq \frac{1}{2} \cdot ||(T_1(s) - T_2(s), T_{1,i}(s) - T_{2,i}(s), V_1(s) - V_2(s))||_{\infty}.
$$

3) Second, we see that

$$
||T_{1,i}(\tau_2) - T_{2,i}(\tau_2)||_{\infty}
$$

$$
= \|\int_{0}^{\tau_{2}} \{\beta \cdot V_{1}(s) \cdot T_{1}(s) - \delta \cdot T_{1,i}(s)\} ds - \int_{0}^{\tau_{2}} \{\beta \cdot V_{2}(s) \cdot T_{2}(s) - \delta \cdot T_{2,i}(s)\} ds\|_{\infty}
$$
  
\n
$$
\leq \beta \cdot \tau_{2} \cdot T_{sup} \cdot ||V_{1}(s) - V_{2}(s)||_{\infty} + \beta \cdot \tau_{2} \cdot V_{sup} \cdot ||T_{1}(s) - T_{2}(s)||_{\infty}
$$
  
\n
$$
+ \delta \cdot \tau_{2} \cdot ||T_{1,i}(s) - T_{2,i}(s)||_{\infty}
$$
  
\n
$$
\leq \tau_{2} \cdot (\beta \cdot T_{sup} + \beta \cdot V_{sup} + \delta) \cdot ||(T_{1}(s) - T_{2}(s), T_{1,i}(s) - T_{2,i}(s), V_{1}(s) - V_{2}(s))||_{\infty}
$$

holds by the boundedness of all solution components. If we choose  $\tau_2 \leq$ 1 2  $\cdot$   $\left(\beta \cdot T_{sup} + \beta \cdot V_{sup} + \delta\right)$  $\overline{\gamma}$ , we obtain

$$
||T_{1,i}(\tau_2)-T_{2,i}(\tau_2)||_{\infty}\leq \frac{1}{2}\cdot||(T_1(s)-T_2(s),T_{1,i}(s)-T_{2,i}(s),V_1(s)-V_2(s))||_{\infty}.
$$

4) Third, we notice that

$$
||V_1(\tau_3) - V_2(\tau_3)||_{\infty}
$$
  
\n
$$
\leq \pi \cdot \tau_3 \cdot ||T_{1,i}(s) - T_{2,i}(s)||_{\infty} + c \cdot \tau_3 \cdot ||V_1(s) - V_2(s)||_{\infty}
$$
  
\n
$$
\leq \tau_3 \cdot (\pi + c) \cdot ||(T_1(s) - T_2(s), T_{1,i}(s) - T_{2,i}(s), V_1(s) - V_2(s))||_{\infty}
$$

is valid by the boundedness of all solution components. If we choose  $\tau_3 \leq \frac{1}{2 \cdot (\pi)}$  $\frac{1}{2 \cdot (\pi + c)}$ , we obtain

$$
||V_1(\tau_3)-V_2(\tau_3)||_{\infty}\leq \frac{1}{2}\cdot||(T_1(s)-T_2(s),T_{1,i}(s)-T_{2,i}(s),V_1(s)-V_2(s))||_{\infty}.
$$

5) If we choose  $\tau \le \min{\lbrace \tau_1, \tau_2, \tau_3 \rbrace}$ , we get

$$
\begin{aligned} &\| (T_1(s) - T_2(s), T_{1,i}(s) - T_{2,i}(s), V_1(s) - V_2(s)) \|_{\infty} \\ &\leq \frac{1}{2} \cdot \| (T_1(s) - T_2(s), T_{1,i}(s) - T_{2,i}(s), V_1(s) - V_2(s)) \|_{\infty} \end{aligned}
$$

as an estimate. Hence, system [\(1.1\)](#page-1-1) has a unique fixed point on [0,  $\tau$ ].

Inductively, we can conclude that this fixed point is unique on every interval  $[k \cdot \tau, (k + 1) \cdot \tau]$  for all  $k \in \{0\}$  ∪ N. This implies the uniqueness of a solution of [\(1.1\)](#page-1-1) globally in time for all  $t \ge 0$ .

### *2.5. Stability analysis of equilibrium states*

Denote possible equilibrium states by  $(T^*, T_i^*, V^*)$ . From [\(1.1\)](#page-1-1), we obtain the system of equations

<span id="page-10-0"></span>
$$
r - \beta \cdot V^{\star} \cdot T^{\star} - d \cdot T^{\star} = 0,
$$
  
\n
$$
\beta \cdot V^{\star} \cdot T^{\star} - \delta \cdot T_i^{\star} = 0,
$$
  
\n
$$
\pi \cdot T_i^{\star} - c \cdot V^{\star} = 0
$$
\n(2.9)

for possible equilibrium states.

<span id="page-11-0"></span>Lemma 2.4. *The two possible equilibrium points read*

$$
\left(T_1^\star, T_{1,i}^\star, V_1^\star\right) = \left(\frac{r}{d}, 0, 0\right) \text{ and } \left(T_2^\star, T_{2,i}^\star, V_2^\star\right) = \left(\frac{c \cdot \delta}{\beta \cdot \pi}, \frac{\beta \cdot \pi \cdot r - c \cdot d \cdot \delta}{\beta \cdot \delta \cdot \pi}, \frac{\beta \cdot \pi \cdot r - c \cdot d \cdot \delta}{\beta \cdot c \cdot \delta}\right). \tag{2.10}
$$

*Proof.* We want to split our proof into two parts.

1) We can easily check by plugging

$$
\left(T_1^\star, T_{1,i}^\star, V_1^\star\right) = \left(\frac{r}{d}, 0, 0\right)
$$

into [\(2.9\)](#page-10-0) that this point is definitely one possible equilibrium state.

2) The third equation of [\(2.9\)](#page-10-0) yields

$$
V^{\star} = \frac{\pi}{c} \cdot T_i^{\star} \text{ or } T_i^{\star} = \frac{c}{\pi} \cdot V^{\star}.
$$
 (2.11)

Considering the second equation of [\(2.9\)](#page-10-0), we obtain

$$
\beta \cdot V^{\star} \cdot T^{\star} - \delta \cdot T_i^{\star} = 0
$$
  
\n
$$
\iff \beta \cdot \frac{\pi}{c} \cdot T_i^{\star} \cdot T^{\star} - \delta \cdot T_i^{\star} = 0
$$
  
\n
$$
\iff T_i^{\star} \cdot \left\{\beta \cdot \frac{\pi}{c} \cdot T^{\star} - \delta\right\} = 0
$$

and this implies

$$
T^* = \frac{c \cdot \delta}{\beta \cdot \pi}.
$$
 (2.12)

Looking at the first equation of [\(2.9\)](#page-10-0), we get

$$
r - \beta \cdot V^{\star} \cdot T^{\star} - d \cdot T^{\star} = 0
$$
  
\n
$$
\iff r = V^{\star} \cdot \frac{\beta \cdot c \cdot \delta}{\beta \cdot \pi} + \frac{c \cdot d \cdot \delta}{\beta \cdot \pi}
$$
  
\n
$$
\iff r - \frac{c \cdot d \cdot \delta}{\beta \cdot \pi} = V^{\star} \cdot \frac{c \cdot \delta}{\pi}
$$
  
\n
$$
\iff \left(r - \frac{c \cdot d \cdot \delta}{\beta \cdot \pi}\right) \cdot \frac{\pi}{c \cdot \delta} = V^{\star}
$$
  
\n
$$
\iff \frac{\pi \cdot r}{c \cdot \delta} - \frac{d}{\beta} = V^{\star}
$$

and it follows

$$
V^* = \frac{\beta \cdot \pi \cdot r - c \cdot d \cdot \delta}{\beta \cdot c \cdot \delta}.
$$
 (2.13)

As a consequence, it holds

$$
T_i^{\star} = \frac{c}{\pi} \cdot V^{\star}
$$

$$
= \frac{c}{\pi} \cdot \left( \frac{\beta \cdot \pi \cdot r - c \cdot d \cdot \delta}{\beta \cdot c \cdot \delta} \right)
$$

$$
= \frac{\beta \cdot \pi \cdot r - c \cdot d \cdot \delta}{\beta \cdot \delta \cdot \pi}
$$

and this results in

$$
T_i^* = \frac{\beta \cdot \pi \cdot r - c \cdot d \cdot \delta}{\beta \cdot \delta \cdot \pi}.
$$
 (2.14)

Hence, the second possible equilibrium state reads

$$
\left(T_2^{\star}, T_{2,i}^{\star}, V_2^{\star}\right) = \left(\frac{c \cdot \delta}{\beta \cdot \pi}, \frac{\beta \cdot \pi \cdot r - c \cdot d \cdot \delta}{\beta \cdot \delta \cdot \pi}, \frac{\beta \cdot \pi \cdot r - c \cdot d \cdot \delta}{\beta \cdot c \cdot \delta}\right)
$$

This proves our proposition.

We note that these two equilibrium points are the same as solely mentioned in [\[11\]](#page-22-11). Here, we give one statement for locally asymptotic stable equilibria of an autonomous dynamical system

$$
\mathbf{x}'(t) = \mathbf{G}(\mathbf{x}(t))
$$

from [\[35,](#page-24-4) Theorem 6.1.1].

**Theorem 2.5.** *Suppose that*  $\mathbf{b}_\star$  *is an equilibrium point for*  $\mathbf{x}'(t) = \mathbf{G}(\mathbf{x}(t))$  *where*  $\mathbf{G} \in C^1(\mathcal{U})$  *with a domain* U ⊂ R *d . Furthermore, we assume that*

$$
\mathfrak{R}\left(\lambda_j\left(\mathbf{DG}_\star\right)\right)<0
$$

*holds for all j* ∈ {1, 2, . . . , *d*} *where*  $DG_{\star}$  *is the Jacobian of* G. *Then, there is a neighborhood*  $V$  *of*  $b_{\star}$ *in*  $\mathbb{R}^d$  such that, for any initial data  $\mathbf{b} \in \mathcal{V}$ , the initial value problem

$$
\mathbf{x}'(t) = \mathbf{G}(\mathbf{x}(t)) \text{ with } \mathbf{x}(0) = \mathbf{b}
$$

*has a solution for all t*  $\geq 0$  *and*  $\lim_{t \to \infty} \mathbf{x}(t) = \mathbf{b}_{\star}$ .

We consider a special case of the Routh–Hurwitz criterion; compare [\[38\]](#page-24-7).

<span id="page-12-0"></span>Lemma 2.5. *Consider the characteristic equation*

$$
a_0 \cdot \lambda^3 + a_1 \cdot \lambda^2 + a_2 \cdot \lambda + a_3 = 0
$$

*of a corresponding Jacobian of the linearization of a dynamical system. Its eigenvalues all have negative real parts and it is locally asymptotically stable if and only if*

$$
a_j > 0
$$
 for all  $j \in \{0, 1, 2, 3\}$  and  $a_1 \cdot a_2 - a_0 \cdot a_3 > 0$ . (2.15)

Hence, we can prove that the virus-free equilibrium state  $(T_1^{\star}, T_{1,i}^{\star}, V_1^{\star})$  and the plateau-phase equilibrium state  $(T_2^{\star}, T_{2,i}^{\star}, V_2^{\star})$  from Lemma [2.4](#page-11-0) are locally asymptotically stable. For that reason, we

<span id="page-13-0"></span>define the basic reproduction number  $R_0 := \frac{\beta \cdot \pi \cdot r}{\beta \cdot \beta \cdot \pi}$  of primary HIV infections, which can be regarded as a transition point from the virus-free to the plateau-phase equilibrium state. In [\[6\]](#page-22-8), this threshold was only defined. Here, we want to give a derivation based on an approach by van den Driessche [\[32\]](#page-24-8). Fore more details, we refer interested readers to that article. We mainly follow concise ideas from [\[33\]](#page-24-2) for a within-host model of COVID-19.

We reorganize  $(1.1)$  as follows

$$
T'_{i}(t) = \beta \cdot V(t) \cdot T(t) - \delta \cdot T_{i}(t),
$$
  
\n
$$
V'(t) = \pi \cdot T_{i}(t) - c \cdot V(t),
$$
  
\n
$$
T'(t) = r - \beta \cdot V(t) \cdot T(t) - d \cdot T(t)
$$

where we consider the subsystem

$$
T'_{i}(t) = \beta \cdot V(t) \cdot T(t) - \delta \cdot T_{i}(t),
$$
  
\n
$$
V'(t) = \pi \cdot T_{i}(t) - c \cdot V(t)
$$

of infected and viral particles. We define two vectors

$$
\vec{F}(T_i(t), V(t)) = \begin{pmatrix} \beta \cdot V(t) \cdot T(t) \\ 0 \end{pmatrix} \text{ and } \vec{V}(T_i(t), V(t)) = \begin{pmatrix} \delta \cdot T_i(t) \\ c \cdot V(t) - \pi \cdot T_i(t) \end{pmatrix}
$$

such that

$$
\begin{pmatrix} T_i'(t) \\ V'(t) \end{pmatrix} = \vec{F}(T_i(t), V(t)) - \vec{V}(T_i(t), V(t))
$$

holds. For the approach by van den Driessche, one needs the virus-free equilibrium state

$$
\left(T_1^\star, T_{1,i}^\star, V_1^\star\right) = \left(\frac{r}{d}, 0, 0\right)
$$

as later given in Theorem [2.6.](#page-13-0) By computing both Jacobians

$$
\mathbf{F}^{\star} = \begin{pmatrix} 0 & \beta \cdot T_1^{\star} \\ 0 & 0 \end{pmatrix} \text{ and } \mathbf{V}^{\star} = \begin{pmatrix} \delta & 0 \\ -\pi & c \end{pmatrix}
$$

of these vectorial functions at this virus-free equilibrium state, the basic reproduction number is given by

$$
R_0 = \varrho \left( \mathbf{F}^{\star} \cdot \left( \mathbf{V}^{\star} \right)^{-1} \right).
$$

Here,  $\rho$  defines the spectral radius of the considered matrix. Since we obtain

$$
(\mathbf{V}^{\star})^{-1} = \frac{1}{c \cdot \delta} \cdot \begin{pmatrix} c & 0 \\ \pi & \delta \end{pmatrix} \text{ and } \mathbf{F}^{\star} \cdot (\mathbf{V}^{\star})^{-1} = \begin{pmatrix} \frac{\beta \cdot \pi}{c \cdot \delta} \cdot T_{1}^{\star} & \frac{\beta}{c} \cdot T_{1}^{\star} \\ 0 & 0 \end{pmatrix},
$$

this yields

$$
R_0 = \varrho \left( \mathbf{F}^{\star} \cdot (\mathbf{V}^{\star})^{-1} \right) = \frac{\beta \cdot \pi}{c \cdot \delta} \cdot T_1^{\star} = \frac{\beta \cdot \pi \cdot r}{c \cdot \delta \cdot d}
$$

This basic reproduction number helps us to determine and distinguish the stability of equilibrium states. More specifically, if  $R_0 < 1$  holds, the disease's progress settles to the virus-free equilibrium state while it settles in the disease-plateau-phase equilibrium state if  $R_0 > 1$  holds. This threshold is also an important number in mathematical epidemiology for a disease's spread [\[13,](#page-23-0) [14\]](#page-23-9). Additionally, although Korobeinikov proved the global stability [\[13,](#page-23-0)[14\]](#page-23-9), we want to show locally asymptotic stability by using the Routh–Hurwitz criterion, which, to the best of our knowledge, cannot be found in the aforementioned articles.

Theorem 2.6. *(i) Suppose that*

$$
\beta \cdot \pi \cdot r - c \cdot d \cdot \delta < 0 \iff R_0 < 1
$$

*holds. The virus-free equilibrium state*

$$
\left(T_1^\star, T_{1,i}^\star, V_1^\star\right) = \left(\frac{r}{d}, 0, 0\right)
$$

*of our dynamical system*

$$
\mathbf{x}'(t) := \begin{pmatrix} T'(t) \\ T'_i(t) \\ V'(t) \end{pmatrix} = \begin{pmatrix} r - \beta \cdot V(t) \cdot T(t) - d \cdot T(t) \\ \beta \cdot V(t) \cdot T(t) - \delta \cdot T_i(t) \\ \pi \cdot T_i(t) - c \cdot V(t) \end{pmatrix} =: \mathbf{G}(\mathbf{x}(t))
$$

*from* [\(1.1\)](#page-1-1) *is locally asymptotically stable.*

*(ii) Suppose that*

$$
\beta \cdot \pi \cdot r - c \cdot d \cdot \delta > 0 \iff R_0 > 1
$$

*holds. The plateau-phase equlibrium state*

$$
\left(T_2^{\star}, T_{2,i}^{\star}, V_2^{\star}\right) = \left(\frac{c \cdot \delta}{\beta \cdot \pi}, \frac{\beta \cdot \pi \cdot r - c \cdot d \cdot \delta}{\beta \cdot \delta \cdot \pi}, \frac{\beta \cdot \pi \cdot r - c \cdot d \cdot \delta}{\beta \cdot c \cdot \delta}\right)
$$

$$
= \left(\frac{c \cdot \delta}{\beta \cdot \pi}, \frac{c \cdot d \cdot \delta \cdot (R_0 - 1)}{\beta \cdot \delta \cdot \pi}, \frac{c \cdot d \cdot \delta \cdot (R_0 - 1)}{\beta \cdot c \cdot \delta}\right)
$$

*of our dynamical system*

$$
\mathbf{x}'(t) := \begin{pmatrix} T'(t) \\ T'_i(t) \\ V'(t) \end{pmatrix} = \begin{pmatrix} r - \beta \cdot V(t) \cdot T(t) - d \cdot T(t) \\ \beta \cdot V(t) \cdot T(t) - \delta \cdot T_i(t) \\ \pi \cdot T_i(t) - c \cdot V(t) \end{pmatrix} =: \mathbf{G}(\mathbf{x}(t))
$$

*from* [\(1.1\)](#page-1-1) *is locally asymptotically stable.*

*Proof.* We divide our proof into multiple steps.

1) Let

$$
\mathbf{G}\left(T^{\star}, T_{i}^{\star}, V^{\star}\right) = \begin{pmatrix} r - \beta \cdot V^{\star} \cdot T^{\star} - d \cdot T^{\star} \\ \beta \cdot V^{\star} \cdot T^{\star} - \delta \cdot T_{i}^{\star} \\ \pi \cdot T_{i}^{\star} - c \cdot V^{\star} \end{pmatrix}.
$$

Its Jacobian reads

$$
\mathbf{DG}(T^{\star}, T_{i}^{\star}, V^{\star}) = \begin{pmatrix} -\beta \cdot V^{\star} - d & 0 & -\beta \cdot T^{\star} \\ \beta \cdot V^{\star} & -\delta & \beta \cdot T^{\star} \\ 0 & \pi & -c \end{pmatrix}.
$$

$$
\det (\mathbf{DG} (T^{\star}, T_i^{\star}, V^{\star}) - \lambda \cdot I_{3\times 3})
$$
\n
$$
= \det \begin{pmatrix}\n-\beta \cdot V^{\star} - d - \lambda & 0 & -\beta \cdot T^{\star} \\
\beta \cdot V^{\star} & -\delta - \lambda & \beta \cdot T^{\star} \\
0 & \pi & -c - \lambda\n\end{pmatrix}
$$
\n
$$
= (-\beta \cdot V^{\star} - d - \lambda) \cdot \{(-\delta - \lambda) \cdot (c - \lambda) - \pi \cdot \beta \cdot T^{\star}\} - \beta \cdot T^{\star} \cdot \{\beta \cdot V^{\star} \cdot \pi\}
$$
\n
$$
= -(\lambda + \beta \cdot V^{\star} + d) \cdot (\lambda + \delta) \cdot (\lambda + c) + (\beta \cdot V^{\star} + d + \lambda) \cdot \pi \cdot \beta \cdot T^{\star} - \beta \cdot T^{\star} \cdot \beta \cdot V^{\star} \cdot \pi
$$
\n
$$
= -(\lambda + \beta \cdot V^{\star} + d) \cdot (\lambda + \delta) \cdot (\lambda + c) + (\lambda + d) \cdot \pi \cdot \beta \cdot T^{\star}
$$
\n
$$
= 0.
$$

This yields

$$
\lambda^3 + \lambda^2 \cdot \{c + \delta + \beta \cdot V^\star + d\} + \lambda \cdot \{c \cdot \delta + \beta \cdot V^\star \cdot (c + \delta) + (c + \delta) \cdot d - \pi \cdot \beta \cdot T^\star\}
$$
  
+ 
$$
\{\beta \cdot V^\star \cdot c \cdot \delta + c \cdot d \cdot \delta - \beta \cdot d \cdot \pi \cdot T^\star\}
$$
  
= 0.

3) i. Let us first consider the virus-free equilibrium state  $(T_1^*, T_{1,i}^*, V_1^*)$  and let  $R_0 < 1$ . We can define

$$
a_0 := 1 > 0,
$$
  
\n
$$
a_1 := c + \delta + \beta \cdot V_1^{\star} + d = c + \delta + d > 0,
$$
  
\n
$$
a_2 := c \cdot \delta + \beta \cdot V_1^{\star} \cdot (c + \delta) + (c + \delta) \cdot d - \pi \cdot \beta \cdot T_1^{\star},
$$
  
\n
$$
a_3 := \beta \cdot V_1^{\star} \cdot c \cdot \delta + c \cdot d \cdot \delta - \beta \cdot d \cdot \pi \cdot T_1^{\star}.
$$

Obviously,  $a_0$  and  $a_1$  are positive. By plugging in the definition of the virus-free equilibrium point, we conclude that

$$
a_2 := c \cdot \delta + (c + \delta) \cdot d - \pi \cdot \beta \cdot \frac{r}{d}
$$
  
\n
$$
= \frac{c \cdot \delta \cdot d - \pi \cdot \beta \cdot r}{d} + (c + \delta) \cdot d
$$
  
\n
$$
= \frac{c \cdot d \cdot \delta \cdot (1 - R_0)}{d} + (c + \delta) \cdot d
$$
  
\n
$$
= 0,
$$
  
\n
$$
a_3 := c \cdot d \cdot \delta - \beta \cdot \pi \cdot r
$$
  
\n
$$
= c \cdot d \cdot \delta \cdot \underbrace{(1 - R_0)}_{>0}
$$
  
\n
$$
> 0,
$$

and

$$
a_1 \cdot a_2 - a_0 \cdot a_3 \quad := \quad (c + d + \delta) \cdot \left( (c + \delta) \cdot d + \frac{c \cdot d \cdot \delta - \beta \cdot \pi \cdot r}{d} \right) - (c \cdot d \cdot \delta - \beta \cdot \pi \cdot r)
$$

$$
= (c+d+\delta) \cdot (c+\delta) \cdot d + (c+d+\delta) \cdot c \cdot d \cdot \delta \cdot \left(\frac{1-R_0}{d}\right) + \beta \cdot \pi \cdot r - c \cdot d \cdot \delta
$$
  
\n
$$
\geq (c+d+\delta) \cdot c \cdot d \cdot \delta \cdot \underbrace{\left(\frac{1-R_0}{d}\right)}_{>0} + \beta \cdot \pi \cdot r
$$
  
\n
$$
> 0
$$

hold. Hence, if  $R_0 < 1$  is valid, we have locally asymptotic stability by the Routh–Hurwitz criterion.

3)ii. Here, we consider the plateau-phase equilibrium state  $(T_2^{\star}, T_{2,i}^{\star}, V_2^{\star})$  and let  $R_0 > 1$ . Now, we can again define

$$
a_0 := 1 > 0,
$$
  
\n
$$
a_1 := c + \delta + \beta \cdot V_2^{\star} + d > 0,
$$
  
\n
$$
a_2 := c \cdot \delta + \beta \cdot V_2^{\star} \cdot (c + \delta) + (c + \delta) \cdot d - \pi \cdot \beta \cdot T_2^{\star},
$$
  
\n
$$
a_3 := \beta \cdot V_2^{\star} \cdot c \cdot \delta + c \cdot d \cdot \delta - \beta \cdot d \cdot \pi \cdot T_2^{\star}.
$$

Obviously,  $a_0$  and  $a_1$  are positive. By plugging in the definition of the plateau-phase equilibrium point, we conclude that

$$
a_2 = c \cdot \delta + \beta \cdot V_2^{\star} \cdot (c + \delta) + (c + \delta) \cdot d - \pi \cdot \beta \cdot T_2^{\star}
$$
  
\n
$$
= c \cdot \delta + \beta \cdot \left(\frac{\beta \cdot \pi \cdot r - c \cdot d \cdot \delta}{\beta \cdot c \cdot \delta}\right) \cdot (c + \delta) + (c + \delta) \cdot d - \pi \cdot \beta \cdot \frac{c \cdot \delta}{\beta \cdot \pi}
$$
  
\n
$$
= \beta \cdot \left(\frac{\beta \cdot \pi \cdot r - c \cdot d \cdot \delta}{\beta \cdot c \cdot \delta}\right) \cdot (c + \delta) + (c + \delta) \cdot d
$$
  
\n
$$
= \beta \cdot c \cdot d \cdot \delta \cdot \left(\frac{R_0 - 1}{\beta \cdot c \cdot \delta}\right) \cdot (c + \delta) + (c + \delta) \cdot d
$$
  
\n
$$
> 0
$$

and

$$
a_3 = \beta \cdot V_2^{\star} \cdot c \cdot \delta + c \cdot d \cdot \delta - \beta \cdot d \cdot \pi \cdot T_2^{\star}
$$
  
\n
$$
= \beta \cdot \left(\frac{\beta \cdot \pi \cdot r - c \cdot d \cdot \delta}{\beta \cdot c \cdot \delta}\right) \cdot c \cdot \delta + c \cdot d \cdot \delta - \beta \cdot d \cdot \pi \cdot \frac{c \cdot \delta}{\beta \cdot \pi}
$$
  
\n
$$
= \beta \cdot \left(\frac{\beta \cdot \pi \cdot r - c \cdot d \cdot \delta}{\beta \cdot c \cdot \delta}\right) \cdot c \cdot \delta
$$
  
\n
$$
= \beta \cdot c \cdot d \cdot \delta \cdot \left(\frac{R_0 - 1}{\beta \cdot c \cdot \delta}\right) \cdot c \cdot \delta
$$
  
\n
$$
> 0
$$

hold. Hence, all coefficients  $a_j$  are positive for all  $j \in \{0, 1, 2, 3\}$ . Since we want to apply the Routh–Hurwitz criterion from Lemma [2.5,](#page-12-0) we still need to show

$$
a_1 \cdot a_2 - a_0 \cdot a_3 > 0.
$$

$$
a_{1} \cdot a_{2} - a_{0} \cdot a_{3}
$$
\n
$$
= \left( c + \delta + \beta \cdot \left( \frac{\beta \cdot \pi \cdot r - c \cdot d \cdot \delta}{\beta \cdot c \cdot \delta} \right) + d \right) \cdot \left( \beta \cdot \left( \frac{\beta \cdot \pi \cdot r - c \cdot d \cdot \delta}{\beta \cdot c \cdot \delta} \right) \cdot (c + \delta) + (c + \delta) \cdot d \right)
$$
\n
$$
-1 \cdot \left( \beta \cdot \left( \frac{\beta \cdot \pi \cdot r - c \cdot d \cdot \delta}{\beta \cdot c \cdot \delta} \right) \cdot c \cdot \delta \right)
$$
\n
$$
= \left( c + \beta \cdot \left( \frac{\beta \cdot \pi \cdot r - c \cdot d \cdot \delta}{\beta \cdot c \cdot \delta} \right) + d \right) \cdot \left( \beta \cdot \left( \frac{\beta \cdot \pi \cdot r - c \cdot d \cdot \delta}{\beta \cdot c \cdot \delta} \right) \cdot (c + \delta) + (c + \delta) \cdot d \right)
$$
\n
$$
+ \delta \cdot \left( \beta \cdot \left( \frac{\beta \cdot \pi \cdot r - c \cdot d \cdot \delta}{\beta \cdot c \cdot \delta} \right) \cdot (c + \delta) + (c + \delta) \cdot d \right)
$$
\n
$$
- \left( \beta \cdot \left( \frac{\beta \cdot \pi \cdot r - c \cdot d \cdot \delta}{\beta \cdot c \cdot \delta} \right) \cdot c \cdot \delta \right)
$$
\n
$$
> \left( c + \beta \cdot \left( \frac{\beta \cdot \pi \cdot r - c \cdot d \cdot \delta}{\beta \cdot c \cdot \delta} \right) + d \right) \cdot \left( \beta \cdot \left( \frac{\beta \cdot \pi \cdot r - c \cdot d \cdot \delta}{\beta \cdot c \cdot \delta} \right) \cdot (c + \delta) + (c + \delta) \cdot d \right)
$$
\n
$$
> 0
$$

because

$$
\left(\frac{\beta \cdot \pi \cdot r - c \cdot d \cdot \delta}{\beta \cdot c \cdot \delta}\right) = c \cdot d \cdot \delta \cdot \frac{(R_0 - 1)}{\beta \cdot c \cdot \delta} > 0
$$

holds, which shows this assertion.

Since all assumptions of the Routh–Hurwitz criterion from Lemma [2.5](#page-12-0) are fulfilled, its application for both cases yields the desired stability results and finishes our proof.

### <span id="page-17-0"></span>3. Numerical simulations

We give two numerical examples to provide evidence for our theoretical findings. First, we consider the case  $R_0 > 1$  with all model parameters taken from [\[11,](#page-22-11)[17\]](#page-23-8), which means that we obtain the plateauphase equilibrium point. Additionally, we also provide the case  $R_0 < 1$ , which corresponds to the virus-free equilibrium state.

#### *3.1. Case 1:*  $R_0 > 1$

In this subsection, we apply the following initial conditions and constant problem parameters, taken from [\[11,](#page-22-11) [17\]](#page-23-8) and presented in Table [2.](#page-18-0) We want to remark again that all constant problem parameters are assumed to be positive. In addition, we note that the initial conditions of [\(1.1\)](#page-1-1) are non-negative for numerical simulations. Taking all values of Table [2,](#page-18-0) we can compute the basic reproduction number *R*0, which reads

$$
R_0 := \frac{\beta \cdot \pi \cdot r}{c \cdot d \cdot \delta}
$$
  
= 
$$
\frac{6.5 \cdot 10^{-4} \cdot 850 \cdot 0.17}{3 \cdot 0.01 \cdot 0.39}
$$
  

$$
\approx 8.0278
$$
  
> 1

in this case. Hence,  $R_0 > 1$  holds and we expect an plateau-phase equilibrium state.

Here, we use the standard function ode45 of GNU Octave [\[39\]](#page-24-9). For further information regarding these modified Runge-Kutta time stepping methods, we refer interested readers to [\[40,](#page-24-10) [41\]](#page-24-11). Our GNU Octave code can be found in the supplementary file for reproducibility. We must note that we shortened all time vectors and all solution components vectors for the plotting of the figure due to representation problems on the author's computer.

Using the given initial conditions and problem parameters from Table [2,](#page-18-0) we obtain

$$
T_1^* = 2.1176,
$$
  
\n
$$
T_{1,i}^* = 0.3816,
$$
  
\n
$$
V_1^* = 108.12
$$

for the coordinates of the plateau-phase equilibrium point. Simulation results of [\(1.1\)](#page-1-1) with parameters from Table [2](#page-18-0) can be seen in Figure [1.](#page-19-0) Here, we can see that the model of primary HIV infection converges towards the plateau-phase disease equilibrium point after a certain amount of time. This shows that system [\(1.1\)](#page-1-1) is especially appropriate for the acute and asymptotic phase of HIV infections [\[5,](#page-22-4) [17\]](#page-23-8) and it settles into the correct equilibrium state as we can see in Figure [1.](#page-19-0) Additionally, we also notice that our theoretical results of boundedness and non-negativity for all solution components of system [\(1.1\)](#page-1-1) hold in numerical simulations. However, we address that preservation of boundedness or non-negativity is not intrinsically fulfilled by explicit time integration methods; compare with [\[30\]](#page-24-0).

<span id="page-18-0"></span>Table 2. Values for initial conditions and constant problem parameters for numerical simu-lation of [\(1.1\)](#page-1-1) where time *t* is measured in days and  $R_0 \approx 8.0278 > 1$ .



<span id="page-19-0"></span>

Figure 1. Simulation results of model [\(1.1\)](#page-1-1) with initial conditions and constant problem parameters taken from Table [2.](#page-18-0) Here, it holds that  $R_0 > 1$ . Dashed lines represent corresponding equilibrium variables  $T^*$ ,  $T_i^*$ , and  $V^*$ .

*3.2. Case 2:*  $R_0 < 1$ 

Here, we present a case for  $R_0 < 1$ . Let us take the following model parameters as presented in Table [3.](#page-21-0) It holds that

$$
R_0 := \frac{\beta \cdot \pi \cdot r}{c \cdot d \cdot \delta}
$$
  
= 
$$
\frac{6.5 \cdot 10^{-4} \cdot 850 \cdot 0.17}{3 \cdot 0.1 \cdot 0.39}
$$
  

$$
\approx 0.8028
$$
  
< 1

and we notice that the graphs of all solution components converge to the correct equilibrium point. Using all model parameters from Table [3,](#page-21-0) we obtain

$$
(T_2^{\star}, T_{2,i}^{\star}, V_2^{\star}) = (1.7000, 0, 0)
$$

<span id="page-20-0"></span>as the correct virus-free equilibrium state, as seen in Figure [2.](#page-20-0)



Load of Target CD4-cells

Figure 2. Simulation results of model [\(1.1\)](#page-1-1) with initial conditions and constant problem pa-rameters taken from Table [3.](#page-21-0) Here,  $R_0 \approx 0.8028 < 1$ . Dashed lines represent corresponding equilibrium variables  $T^*$ ,  $T_i^*$ , and  $V^*$ .

Constant	Value
$T_{0}$	$0 \frac{\text{cells}}{\mu L}$ 10
$T_{i,0}$	$\frac{\text{cells}}{\mu L}$
$V_0$	$10^{-6} \frac{\text{virions}}{\mu L}$
r	$0.17 \frac{\text{cells}}{\mu\text{L} \cdot \text{day}}$
$\beta$	$6.5 \cdot 10^{-4} \frac{\mu L}{\text{virions} \cdot \text{day}}$
$\overline{d}$	$0.1 \frac{1}{\text{day}}$
$\delta$	$0.39 \frac{1}{\text{day}}$
π	$\frac{\text{virions}}{\text{cells} \cdot \text{day}}$ 850
$\mathcal{C}_{0}^{0}$	3 day

<span id="page-21-0"></span>Table 3. Values for initial conditions and constant problem parameters for numerical simu-lation of [\(1.1\)](#page-1-1) where time *t* is measured in days and  $R_0 < 1$ .

### 4. Conclusions and outlook

In this work, we examined and re-investigated analytical properties of the classical target cell limited dynamical within-host HIV model [\(1.1\)](#page-1-1). Here, we focused on important facts such as non-negativity, boundedness, global existence and global uniqueness in time for all solution components. These are all important properties regarding biological relevance of model [\(1.1\)](#page-1-1). Furthermore, we showed that the virus-free equilibrium point and the plateau-phase disease equilibrium point of [\(1.1\)](#page-1-1) are locally asymptotically stable. We proved that they can be distinguished by the basic reproduction number  $R_0 := \frac{\beta \cdot \pi \cdot r}{\beta \cdot \beta \cdot s}$  $\frac{\partial^2}{\partial x_i}$ . Finally, we highlighted our thereotical findings by numerical simulations, based on  $c \cdot d \cdot \delta$ .<br>-Kutta time stepping methods, and demonstrated that our results hold true in both cases  $R_0 < 1$ Runge–Kutta time stepping methods, and demonstrated that our results hold true in both cases  $R_0 < 1$ <br>and  $R_0 > 1$ and  $R_0 > 1$ .

We want to remark that our basic model [\(1.1\)](#page-1-1) does not include more complex factors of HIV infections such as different virus strains, immune responses, or other cells such as CD8<sup>+</sup> T cells. Hence, it could be of interest to examine the analytical properties of more complex models [\[5,](#page-22-4) [17\]](#page-23-8) that include additional aspects of HIV infections. The model in the work by Kirschner [\[5\]](#page-22-4) is better suited for longtime modeling of HIV infection, since it also includes the rapid decline of CD4<sup>+</sup> T cells approximately ten years after infection. It is also worth noting that classical explicit time stepping schemes do not preserve boundedness or non-negativity [\[30,](#page-24-0)[42\]](#page-24-12). These methods are based on a methodology proposed by Mickens [\[43\]](#page-24-13). Hence, the development of non-negativity-preserving time integration methods for model [\(1.1\)](#page-1-1) might be a future research direction, adding to this research article, where we mainly focused on analytical aspects.

# Use of AI tools declaration

The author declares that he has not used Artificial Intelligence (AI) tools in the creation of this article.

# Conflict of interest

The author declares there is no conflict of interest.

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