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### **Research** article

# Higher order hyperexpansivity and higher order hypercontractivity

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Abstract: As a natural extension of the concept of (m, p)-hyperexpansive and (m, p)-hypercontractive of a single operator, we introduce and study the concepts of (m, p)-hyperexpansivity and (m, p)-hypercontractivity for *d*-tuple of commuting operators acting on Banach spaces. These concepts extend the definitions of *m*-isometries and (m, p)-isometric tuples of bounded linear operators acting on Hilbert or Banach spaces, which have been introduced and studied by many authors.

**Keywords:** *m*-isometric tuple; (*m*, *p*)-isometric tuple; expansive operator; contractive operator **Mathematics Subject Classification:** 47B15, 47B20, 47A15

# 1. Introduction

We establish the notations used throughout this paper. The symbol  $\mathbb{N}_0 = \mathbb{N} \cup \{0\}$  refers to the set of nonnegative integers. Let  $\mathcal{X}$  be a complex Banach space and  $\mathcal{H}$  be a complex Hilbert space.  $\mathcal{B}[\mathcal{X}]$ (resp.  $\mathcal{B}[\mathcal{H}]$ ) denotes the set of bounded linear operator on  $\mathcal{X}$  (resp. on  $\mathcal{H}$ ). For  $d \in \mathbb{N}$ , let  $\mathbb{N} = (N_1, \dots, N_d) \in \mathcal{B}[\mathcal{X}]^d$  be a tuple of commuting bounded linear operators. Let  $\beta = (\beta_1, \dots, \beta_d) \in \mathbb{N}_0^d$ and set  $|\beta| := \sum_{1 \le j \le d} |\beta_j|, \beta! := \beta_1! \cdots \beta_d!, \mathbb{N}^{\beta} := N_1^{\beta_1} \cdots N_d^{\beta_d} = \prod_{1 \le j \le d} N_j^{\beta_j}$ . Further, the Hilbert adjoint of

the commuting *d*-tuple  $\mathbf{N} = (N_1, \cdots, N_d) \in \mathcal{B}(\mathcal{H})^d$  is the *d*-tuple  $\mathbf{N}^* = (N_1^*, \cdots, N_d^*)$ .

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J. Agler and M. Stankus introduced the class of *m*-isometry on Hilbert space [1–3]. An operator  $N \in \mathcal{B}[\mathcal{H}]$  is said to be *m*-isometric operator for some integer  $m \ge 1$  if it satisfies

$$\sum_{0 \le j \le m} (-1)^j \binom{m}{j} N^{*m-j} N^{m-j} = 0.$$
(1.1)

Notice that the Eq (1.1) is equivalently to

$$\sum_{0 \le j \le m} (-1)^j \binom{m}{j} ||N^{m-j}x||^2 = 0 \quad \forall x \in \mathcal{H}.$$

Many authors have defined new concepts related to *m*-isometries, such as (m, p)-isometries,  $(m, \infty)$ -isometries, (m, C)-isometries, (m, p)-isometric tuples,  $(m, \infty)$ -isometric tuples and (m, C)-isometric tuples. For the basic theory of these families of operators, the reader is referred to [8–12, 15, 18, 21–25].

Given  $m \in \mathbb{N}$  and  $p \in (0, \infty)$ , an operator  $N \in \mathcal{B}[X]$  is called an (m, p)-isometry if and only if

$$\sum_{0 \le j \le m} (-1)^j \binom{m}{j} ||N^{m-j}x||^p = 0 \quad \forall \ x \in \mathcal{X},$$

(see [8, 22]).

The concepts of completely hyperexpansive and completely hypercontractive operators on Hilbert space have attracted much attention from various authors. For a detailed account on these classes of operators, the reader is referred to [4,5,7,13,19,28].

The concept of (m, p)-expansive and (m, p)-contractive operators on a Banach space were independently introduced and studied in the papers [16, 26, 27].

Let  $N \in \mathcal{B}[X]$ , and we denote

$$\beta_k^{(p)}(N,x) := \sum_{0 \le j \le k} (-1)^j \binom{k}{j} \left\| N^j x \right\|^p, \qquad \forall \ x \in \mathcal{X},$$

where  $k \in \mathbb{N}_0 := \mathbb{N} \cup \{0\}, p \in (0, \infty)$ . The operator N is said to be

(i) (m, p)-expansive if  $\beta_m^{(p)}(N, x) \le 0$  for all  $x \in X$ ,

(ii) (m, p)-hyperexpansive if  $\beta_k^{(p)}(N, x) \le 0$  for all  $x \in X$  and  $k \in \{1, 2, \dots, m\}$ .

(iii) (m, p)-contractive if  $\beta_m^{(p)}(N, x) \ge 0$ , for all  $x \in X$ ,

(iv) (m, p)-hypercontractive if  $\beta_k^{(p)}(N, x) \ge 0$  for all  $x \in X$  and  $k \in \{1, 2, \dots, m\}$ .

The study of tuples of commuting operators has attracted much attention from many authors. Recently, several papers have been published on the study of tuples of commuting operators [6, 14, 15, 18, 20, 21, 23, 24, 29–31].

The notion of an *m*-isometric tuple (resp. (m, p)-isometric tuple ) is a natural higher-dimensional generalization of the notion of an *m*-isometry (resp (m, p)-isometry) in a single variable operator. J. Gleason and S. Richter in [15] extended the notion of *m*-isometric operators to the case of commuting *d*-tuples of bounded linear operators on a Hilbert space. The defining equation for an *m*-isometric tuple  $\mathbf{N} = (N_1, \dots, N_d) \in \mathcal{B}[\mathcal{H}]^d$  reads:

$$\mathcal{S}_m(\mathbf{N}) := \sum_{0 \le k \le m} (-1)^{m-k} \binom{m}{k} \left( \sum_{|\beta|=k} \frac{k!}{\beta!} \mathbf{N}^{*\beta} \mathbf{N}^{\beta} \right) = 0$$
(1.2)

or equivalently

$$\langle \mathcal{S}_m(\mathbf{N})x \mid x \rangle = \sum_{0 \le k \le m} (-1)^{m-k} \binom{m}{k} \left( \sum_{|\beta|=k} \frac{k!}{\beta!} ||\mathbf{N}^\beta x||^2 \right) = 0 \text{ for all } x \in \mathcal{H}.$$
(1.3)

More recently, P. H. W. Hoffmann and M. Mackey [23] introduced the concept of (m, p)-isometric tuples on normed space. Given  $m \in \mathbb{N}$  and  $p \in (0, \infty)$ , the commuting *d*-tuple  $\mathbf{N} = (N_1, \dots, N_d) \in \mathcal{B}[X]^d$  is an an (m, p)-isometry if

$$\sum_{0 \le k \le m} (-1)^{m-k} \binom{m}{k} \sum_{|\beta|=k} \frac{k!}{\beta!} ||\mathbf{N}^{\beta} x||^p = 0 \quad \text{for all} \quad x \in \mathcal{X}.$$
(1.4)

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**Remark 1.1.** We have the following particular cases.

(i) When m = 1, then  $\mathbf{N} = (N_1, \dots, N_d) \in \mathcal{B}[X]^d$  is a (1, p)-isometric tuple if

$$||N_1x||^p + \dots + ||N_dx||^p = ||x||^p$$
, for all  $x \in X$ .

(ii) When m = 2, then  $\mathbf{N} = (N_1, \dots, N_d) \in \mathcal{B}[X]^d$  is a (2, p)-isometric tuple if

$$\sum_{1 \le j \le d} \|N_j x\|^p - \left(\sum_{1 \le j \le d} \|N_j^2 x\|^p + 2\sum_{1 \le j < k \le d} \|N_j N_k x\|^p\right) = \|x\|^p \text{ for all } x \in \mathcal{X}.$$

(iii) When m = d = 2, then  $\mathbf{N} = (N_1, N_2) \in \mathcal{B}[X]^2$  be a commuting 2-tuple is a (2, p)-isometric pair if

$$||x||^{p} - 2||N_{1}x||^{p} - 2||N_{2}x||^{p} + ||N_{1}^{2}x||^{2} + ||N_{2}^{2}x||^{p} + ||N_{1}N_{2}x||^{p} = 0 \text{ for all } x \in \mathcal{X}.$$

Our aim in this paper is to consider a generalization of the concepts of (m, p)-hyperexpansive and (m, p)-hypercontractive of a single operator as discussed in [17, 27] to the (m, p)-hyperexpansive, (m, p)-hypercontractive tuples of commutative operators on Banach spaces.

#### **2.** (*m*, *p*)-Hyperexpansive and (*m*, *p*)-hypercontractive tuples of commuting operators

For a *d*-tuple of commuting operators  $\mathbf{N} := (N_1, \dots, N_d) \in \mathcal{B}[X]^d$ ,  $m \in \mathbb{N}$  and p > 0 being a real number, we define

$$Q_m^{(p)}(\mathbf{N}; x) := \sum_{0 \le k \le m} (-1)^k \binom{m}{k} \left( \sum_{\substack{\beta \in \mathbb{N}_0^d \\ |\beta| = k}} \frac{k!}{\beta!} \|\mathbf{N}^\beta x\|^p \right).$$

**Definition 2.1.** For a commuting *d*-tuple  $\mathbf{N} = (N_1, \dots, N_d) \in \mathcal{B}[X]^d$ , integer  $m \in \mathbb{N}$  and  $p \in (0, \infty)$ . We say:

- (1) N is an (m, p)-expansive tuple if  $Q_m^{(p)}(\mathbf{N}; x) \le 0$  for all  $x \in X$ ,
- (2) **N** is a (m, p)-hyperexpansive tuple if  $Q_k^{(p)}(\mathbf{N}; x) \le 0$  for all  $x \in X$  and  $k \in \{1, \dots, m\}$ ,
- (3) N is a completely *p*-hyperexpansive tuple if it is a (m, p)-hyperexpansive tuple for every integer  $m \in \mathbb{N}$ .

**Definition 2.2.** For a commuting *d*-tuple  $\mathbf{N} = (N_1, \dots, N_d) \in \mathcal{B}[X]^d$ , integer  $m \in \mathbb{N}$  and  $p \in (0, \infty)$ . We say:

- (1) N is an (m, p)-contractive tuple if  $Q_m^{(p)}(\mathbf{N}; x) \ge 0$  for all  $x \in X$ ,
- (2) **N** is a (m, p)-hypercontractive tuple if  $Q_k^{(p)}(\mathbf{N}; x) \ge 0$  for all  $x \in X$  and  $k \in \{1, \dots, m\}$ ,
- (3) N is a completely *p*-hypercontractive tuple if it is a (m, p)-hypercontractive tuple for all  $m \in \mathbb{N}$ .

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**Remark 2.1.** When d = 1, Definitions 2.1 and 2.2 coincide with [16, Definition 1.1].

Notice that for  $\mathbf{N} = (N_1, \cdots, N_d) \in \mathcal{B}[\mathcal{H}]^d$ ,

$$\langle S_m(\mathbf{N})x \mid x \rangle = Q_m^{(2)}(\mathbf{N};x) ; \forall x \in \mathcal{H}.$$

**Remark 2.2.** When p = 2 and  $X = \mathcal{H}$ , Definition 2.1 coincides with [5, Definition 2.1].

# **Remark 2.3.** (i) Let $\mathbf{N} = (N_1, \dots, N_d) \in \mathcal{B}[X]^d$ be a commuting tuple of operators. Then $\mathbf{N}$ is a (1, p)-expansive tuple if

$$\|x\|^p \le \sum_{1 \le j \le d} \|N_j x\|^p, \quad (\forall \ x \in \mathcal{X})$$

$$(2.1)$$

and it is a (1, p)-contractive tuple if

$$||x||^{p} \ge \sum_{1 \le j \le d} ||N_{j}x||^{p}, \quad (\forall x \in X).$$
(2.2)

(ii) If d = 2, let  $\mathbf{N} = (N_1, N_2) \in \mathcal{B}[X]^2$  be a commuting pair of operators. Then  $\mathbf{N}$  is a (2, p)-expansive pair if

$$||x||^{p} \le 2(||N_{1}x||^{p} + ||N_{2}x||^{p}) - (||N_{1}^{2}x||^{p} + ||N_{2}^{2}x||^{p} + 2||N_{1}N_{2}x||^{p}) \quad \forall x \in \mathcal{X},$$
(2.3)

and it is a (2, p)-contractive pair if

$$||x||^{p} \ge 2(||N_{1}x||^{p} + ||N_{2}x||^{p}) - (||N_{1}^{2}x||^{p} + ||N_{2}^{2}x||^{p} + 2||N_{1}N_{2}x||^{p}) \quad \forall \ x \in \mathcal{X}.$$
(2.4)

(iii) Let  $\mathbf{N} = (N_1, \dots, N_d) \in \mathcal{B}[\mathcal{X}]^d$  be a commuting tuple of operators. Then  $\mathbf{N}$  is a (2, p)-expansive tuple if

$$||x||^{p} \leq 2 \sum_{1 \leq j \leq d} ||N_{j}x||^{p} - \left(\sum_{1 \leq j \leq d} ||N_{j}^{2}x||^{p} + 2 \sum_{1 \leq j < k \leq d} ||N_{j}N_{k}x||^{p}\right) \quad \forall \ x \in \mathcal{X},$$
(2.5)

and it is a (2, p)-contractive tuple if

$$||x||^{p} \ge 2\sum_{1 \le j \le d} ||N_{j}x||^{p} - \left(\sum_{1 \le j \le d} ||N_{j}^{2}x||^{p} + 2\sum_{1 \le j < k \le d} ||N_{j}N_{k}x||^{p}\right) \quad \forall \ x \in \mathcal{X}.$$
(2.6)

**Remark 2.4.** Since the operators  $N_1, \dots, N_d$  are commuting, every permutation of an (m, p)-expansive tuple or a (m, p)-contractive tuple is also an (m, p)-expansive tuple or a (m, p)-contractive tuple .

**Example 2.1.** (1) Every (m, p)-isometric tuple of operators on a Banach space is an (m, p)-expansive tuple and a (m, p)-contractive tuple of operators.

(2) Every (1, p)-isometric tuple is a completely *p*-hyperexpansive tuple and it is also completely *p*-hypercontractive.

The following examples show that there exists a (m, p)-expansive tuple (resp. (m, p)-contractive tuple) of operators that is not an (m, p)-isometric tuple for some positive integer m.

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**Example 2.2.** Let  $X = \mathbb{C}^3$  be equipped with the Euclidean norm  $\| \cdot \|_2$ . Consider

$$N_1 = \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix} \in \mathcal{B}[\mathbb{C}^3] \text{ and } N_2 = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix} \in \mathcal{B}[\mathbb{C}^3].$$

Then, the pair  $\mathbf{N} = (N_1, N_2)$  is (2, p)-contractive pair for  $e p \in (0, 1)$  on  $(\mathcal{X} = \mathbb{C}^3, \|.\|_2)$ .

In fact, it is easy to verify that  $N_1N_2 = N_2N_1$ . By direct computation, we have  $N_1N_2 = N_1, N_1^2 = 3N_1$ 

and  $N_2^2 = I_3$ . Furthermore, for any vector  $x = \begin{pmatrix} u \\ v \\ w \end{pmatrix}$ , direct computation yields

$$||N_1x||_2^p = ||N_1N_2x||_2^p$$
$$||N_2x||_2^p = ||x||_2^p = ||N_2^2x||_2^p$$
$$||N_1^2x||_2^p = ||3N_1x||_2^p = 3^p ||N_1x||_2^p.$$

Hence,

$$2\left(||N_{1}x||_{2}^{p} + ||N_{2}x||_{2}^{p}\right) - \left(||N_{1}^{2}x||_{2}^{p} + ||N_{2}^{2}x||_{2}^{p} + 2||N_{1}N_{2}x||_{2}^{p}\right)$$

$$= 2\left(||N_{1}x||_{2}^{p} + ||x||_{2}^{p}\right) - \left(3^{p}||N_{1}x||_{2}^{p} + ||x||_{2}^{p} + 2||N_{1}x||_{2}^{p}\right)$$

$$= 2||N_{1}x||_{2}^{p} + 2||x||_{2}^{p} - \left(3^{p}||N_{1}x||_{2}^{p} - ||x||_{2}^{p} - 2||N_{1}x||_{2}^{p}\right)$$

$$= ||x||_{2}^{p} - 3^{p}||N_{1}x||_{2}^{p}$$

$$\leq ||x||_{2}^{p}.$$

Hence,  $\mathbf{N} = (N_1, N_2)$  is (2, p)-contractive tuple for  $p \in (0, 1)$ .

**Example 2.3.** Let X be a normed space and  $I_X$  be the identity operator. Then,  $(5I_X, I_X, I_X) \in \mathcal{B}[X]^3$  is a (2, p)-contractive tuple of operators which is not a (2, p)-isometric tuple.

**Example 2.4.** Let  $p \in (0, \infty)$  and  $N \in \mathcal{B}[X]$  be an (m, p)-hyperexpansive (resp. (m, p)-hypercontractive) operator (see [29, Definition 1.3]) and  $\gamma = (\gamma_1, \dots, \gamma_d) \in (\mathbb{C}^d, ||.||_p)$  with

$$\|\gamma\|_p^p = \sum_{1 \le j \le d} |\gamma_j|^p = 1.$$

Then, the operator tuple  $\mathbf{N} = (N_1, \dots, N_d)$  with  $N_j = \gamma_j N$  for  $j = 1, 2, \dots, d$  is an (m, p)-hyperexpansive tuple (resp. (m, p)-hypercontractive tuple).

In fact, it is clair that  $N_i N_j = N_j N_i$  for all  $1 \le i$ ;  $j \le d$ . Furthermore, by the multinomial expansion, we get

$$\left(|\gamma_1|^p + |\gamma_2|^p + \dots + |\gamma_d|^p\right)^j = \sum_{\beta_1 + \beta_2 + \dots + \beta_d = j} \binom{j}{\beta_1, \beta_2, \dots, \beta_d} \prod_{1 \le i \le d} |\gamma_i|^{p\beta_i}$$

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$$= \sum_{|\beta|=j} \frac{j!}{\beta!} |\gamma^{\beta}|^{p}$$

On the other hand, we have for all  $k \in \{1, \dots, m\}$  and  $x \in X$ 

$$\begin{aligned} \mathcal{Q}_{k}^{(p)}(\mathbf{N}; x) &= \sum_{0 \le j \le k} (-1)^{j} \binom{k}{j} \left( \sum_{|\beta|=j} \frac{j!}{\beta!} ||\mathbf{N}^{\beta} x||^{p} \right) \\ &= \sum_{0 \le j \le k} (-1)^{j} \binom{k}{j} \left( \sum_{|\beta|=j} \frac{j!}{\beta!} ||\gamma^{\beta} N^{|\beta|} x||^{p} \right) \\ &= \sum_{0 \le j \le k} (-1)^{j} \binom{k}{j} ||N^{j} x||^{p}. \end{aligned}$$

It follows that if N is (m, p)-hyperexpansive then  $Q_k^{(p)}(\mathbf{N}, x) \leq 0$  and if N is (m, p)-hypercontractive then  $Q_k^{(p)}(\mathbf{N}, x) \geq 0$ .

The following Proposition generalizes [16, Lemma 2.1].

**Proposition 2.1.** Let  $\mathbf{N} = (N_1, \dots, N_d) \in \mathcal{B}[X]^d$  be a commuting tuple of operators. The following identities hold for all  $x \in X$  and  $m \in \mathbb{N}$ .

$$Q_{m+1}^{(p)}(\mathbf{N}, x) = Q_m^{(p)}(\mathbf{N}, x) - \sum_{1 \le j \le d} Q_m^{(p)}(\mathbf{N}, N_j x).$$
(2.7)

$$Q_m^{(p)}(\mathbf{N};x) = (-1)^m \sum_{|\alpha|=m} \frac{m!}{\alpha!} ||\mathbf{N}^{\alpha} x||^p - \sum_{0 \le k \le m-1} (-1)^{m-k} {m \choose k} Q_k^{(p)}(\mathbf{N},x).$$
(2.8)

$$\sum_{1 \le j \le d} \left( \sum_{0 \le k \le m-1} (-1)^k \binom{n}{k} \mathcal{Q}_k^{(p)}(\mathbf{N}, N_j x) \right)$$
  
= 
$$\sum_{0 \le k \le m-1} (-1)^k \binom{n+1}{k} \mathcal{Q}_k^{(p)}(\mathbf{N}, x) + (-1)^m \binom{n}{m-1} \mathcal{Q}_m^{(p)}(\mathbf{N}, x).$$
(2.9)

*Proof.* The identity in (2.7) follows from [23, Proposition 3.1] after noting the slight differences in notation, and so its proof is omitted.

We prove the equality (2.8) by induction on  $m \ge 1$ . For m = 1, it is true, since

$$Q_m^{(p)}(\mathbf{N}, x) = \sum_{0 \le k \le m} (-1)^k {m \choose k} \sum_{|\beta|=k} \frac{k!}{\beta!} ||\mathbf{N}^{\alpha} x||^p, \text{ where } Q_0^{(p)}(\mathbf{N}, x) = ||x||^p.$$

Assume that the induction hypothesis for some integer  $m \ge 1$ . By (2.7) we have

$$\boldsymbol{Q}_{m+1}^{(p)}\mathbf{N}, \ x) = \boldsymbol{Q}_m^{(p)}(\mathbf{N}, \ x) - \sum_{1 \le j \le d} \boldsymbol{Q}_m^{(p)}(\mathbf{N}, \ N_j x) \text{ for all integers } m \ge 1.$$

By the induction hypothesis and in view of (2.8) we have that

$$Q_{m+1}^{(p)}(\mathbf{N}, x)$$

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$$\begin{aligned} &= (-1)^{m} \sum_{|\beta|=m} \frac{m!}{\beta!} ||\mathbf{N}^{\beta} x||^{p} - \sum_{0 \le k \le m-1} (-1)^{m-k} {m \choose k} \mathcal{Q}_{k}^{(p)}(\mathbf{N}, x) \\ &- \sum_{1 \le j \le d} \left( (-1)^{m} \sum_{|\beta|=m} \frac{m!}{\beta!} ||\mathbf{N}^{\beta} N_{j} x||^{p} - \sum_{0 \le k \le m-1} (-1)^{m-k} {m \choose k} \mathcal{Q}_{k}^{(p)}(\mathbf{N}, N_{j} x) \right) \\ &= (-1)^{m+1} \sum_{|\alpha|=m+1} \frac{(m+1)!}{\beta!} ||\mathbf{N}^{\beta} x||^{p} + \sum_{1 \le k \le m} (-1)^{m-k} {m \choose k-1} \mathcal{Q}_{k}^{(p)}(\mathbf{N}, x) \\ &+ (-1)^{m} \sum_{|\beta|=m} \frac{m!}{\beta!} ||\mathbf{N}^{\beta} x||^{p} + \sum_{1 \le k \le m} (-1)^{m-k} {m \choose k-1} \mathcal{Q}_{k}^{(p)}(\mathbf{N}, x) \\ &= (-1)^{m+1} \sum_{|\alpha|=m+1} \frac{(m+1)!}{\beta!} ||\mathbf{N}^{\beta} x||^{p} + \mathcal{Q}_{m}^{(p)}(\mathbf{N}, x) + \sum_{0 \le k \le m-1} (-1)^{m-k} {m \choose k} \mathcal{Q}_{k}^{(p)}(\mathbf{N}, x) \\ &+ \sum_{1 \le k \le m} (-1)^{m-k} {m \choose k-1} \mathcal{Q}_{k}^{(p)}(\mathbf{N}, x) \\ &= (-1)^{m+1} \sum_{|\beta|=m+1} \frac{(m+1)!}{\beta!} ||\mathbf{N}^{\beta} x||^{p} + \mathcal{Q}_{m}^{(p)}(\mathbf{N}, x) + \sum_{1 \le k \le m-1} (-1)^{m-k} {m \choose k-1} \\ &+ {m \choose k} \mathcal{Q}_{k}^{(p)}(\mathbf{N}, x) \\ &+ (-1)^{m} \mathcal{Q}_{0}^{(p)}(\mathbf{N}, x) + {m \choose m-1} \mathcal{Q}_{m}^{(p)}(\mathbf{N}, x) \\ &= (-1)^{m+1} \sum_{|\beta|=m+1} \frac{(m+1)!}{\beta!} ||\mathbf{N}^{\beta} x||^{p} - \sum_{0 \le k \le m} (-1)^{m+1-k} {m+1 \choose k} \mathcal{Q}_{k}^{(p)}(\mathbf{N}, x). \end{aligned}$$

The conclusion of (2.8) for (m + 1) is now immediate.

To prove (2.9), we have by (2.7)

$$\sum_{1 \le k \le m} (-1)^k \binom{n}{k-1} Q_k^{(p)}(\mathbf{N}, x)$$
  
=  $\sum_{1 \le k \le m} (-1)^k \binom{n}{k-1} (Q_{k-1}^{(p)}(\mathbf{N}, x) - \sum_{1 \le j \le d} Q_{k-1}^{(p)}(\mathbf{N}, N_j x))$   
=  $-\sum_{0 \le k \le m-1} (-1)^k \binom{n}{k} Q_k^{(p)}(\mathbf{N}, x) + \sum_{1 \le j \le d} \sum_{0 \le k \le m-1} (-1)^k \binom{n}{k} Q_k^{(p)}(\mathbf{N}, N_j x)$ 

and therefore

$$\sum_{1 \le j \le d} \sum_{0 \le k \le m-1} (-1)^k \binom{n}{k} \mathcal{Q}_k^{(p)}(\mathbf{N}; N_j x)$$

$$= \sum_{1 \le k \le m} (-1)^k \binom{n}{k-1} \mathcal{Q}_k^{(p)}(\mathbf{N}; x) + \sum_{0 \le k \le m-1} (-1)^k \binom{n}{k} \mathcal{Q}_k^{(p)}(\mathbf{N}, x)$$

$$= (-1)^m \binom{n}{m-1} \mathcal{Q}_m^{(p)}(\mathbf{N}, x) + \sum_{1 \le k \le m-1} (-1)^k \binom{n}{k-1} + \binom{n}{k} \mathcal{Q}_k^{(p)}(\mathbf{N}; x)$$

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This completes the proof of the proposition.

It is well-known that the class of (m, p)-isometric tuples is a subset of the class of (m+1, p)-isometric tuples. The following example shows that the class of (m, p)-expansive tuples and (m+1, p)-expansive tuples are independent.

**Example 2.5.** Let  $\mathbf{N} = (I_X, I_X, I_X) \in \mathcal{B}[X]^3$ . A simple computation shows that

- (1) N is a (1, p)-expansive tuple but not a (2, p)-expansive tuple.
- (2) N is a (2, p)-contractive but not a (1, p)-contractive.

The following Lemma generalizes [17, Proposition 5.3].

**Lemma 2.1.** Let  $\mathbf{N} = (N_1, \dots, N_d) \in \mathcal{B}[X]^d$  be a commuting tuple that is a (2, p)-expansive tuple. Then the following statements hold.

$$\sum_{|\beta|=n} \frac{n!}{\beta!} \|\mathbf{N}^{\beta} x\|_{\mathcal{X}}^{p} \le (1-n) \|x\|_{\mathcal{X}}^{p} + n \left(\sum_{1 \le j \le d} \|N_{j} x\|_{\mathcal{X}}^{p}\right), \quad \forall \ x \in \mathcal{X}, \ \forall \ n \in \mathbb{N}.$$
(2.10)

$$\sum_{1 \le j \le d} \|N_j x\|^p \ge \frac{n}{n-1} \|x\|^p \quad \forall \ x \in \mathcal{X}, \ n \in \mathbb{N}, n \ne 1.$$
(2.11)

$$\sum_{1 \le j \le d} \|N_j x\|^p \ge \|x\|^p \quad \forall \ x \in \mathcal{X}.$$
(2.12)

*Proof.* We shall prove the inequality (2.10) by induction on n. For n = 0 or n = 1 it is clear. Assume that (2.10) is true for n and prove it for n + 1. Indeed, in view of [23, Lemma 2.1], it follows that

$$\sum_{|\beta|=n+1} \frac{(n+1)!}{\beta!} ||\mathbf{N}^{\alpha} x||^{p} = \sum_{1 \le k \le d} \left( \sum_{|\beta|=n} \frac{n!}{\beta!} ||\mathbf{N}^{\beta} N_{k} x||^{p} \right).$$

Therefore, by the induction hypothesis, we get

$$\sum_{|\beta|=n+1} \frac{(n+1)!}{\beta!} ||\mathbf{N}^{\beta} x||^{p}$$

$$\leq (1-n) \sum_{1 \le k \le d} ||N_{k} x||^{p} + n \sum_{1 \le k \le d} \left( \sum_{1 \le j \le d} ||N_{j} N_{k} x||^{p} \right)$$

$$= (1-n) \sum_{1 \le k \le d} ||N_{k} x||^{p} + n \sum_{1 \le j \le d} ||N_{j}^{2} x||^{p} + 2n \left( \sum_{1 \le j < k \le d} ||N_{j} N_{k} x||^{p} \right)$$

Since N is a (2, p)-expansive tuple, it follows from (2.5)

$$\sum_{|\beta|=n+1} \frac{(n+1)!}{\beta!} \|\mathbf{N}^{\beta} x\|^p$$

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$$\leq (1-n) \sum_{1 \le k \le d} ||N_k x||_X^p + n \left( - ||x||^p - 2 \sum_{1 \le k \le d} ||N_k x||^p \right)$$
  
 
$$\leq -n ||x||^p + (n+1) \left( \sum_{1 \le k \le d} ||N_k x||^p \right),$$

so that (2.10) holds for n + 1.

The inequality (2.11) follows from (2.10) and the inequality (2.12) follows from (2.11) by taking  $n \rightarrow \infty$ .

**Remark 2.5.** There is an immediate related consequence of this result. If N is a (2, p)-expansive tuple, then N is a (1, p)-expansive tuple i.e., N is a (2, p)-hyperexpansive tuple.

**Lemma 2.2.** Let  $\mathbf{N} = (N_1, \dots, N_d) \in \mathcal{B}[X]^d$  be a commuting tuple that is a (2, p)-contractive tuple. Then

$$\sum_{\beta|=n} \frac{n!}{\beta!} \|\mathbf{N}^{\beta} x\|^{p} \ge (1-n) \|x\|^{p} + n \Big( \sum_{1 \le j \le d} \|N_{j} x\|^{p} \Big), \quad \forall \ x \in \mathcal{X}, \ \forall \ n \in \mathbb{N}.$$
(2.13)

*Proof.* We omit the proof since it is similar to the one of Lemma 2.1.

**Remark 2.6.** Let  $\mathbf{N} = (N_1, \dots, N_d) \in \mathcal{B}[X]^d$  be a commuting tuple of operators. The null space of  $\mathbf{N}$  is defined by

$$\mathcal{N}(\mathbf{N}) := \{ x \in \mathcal{X} \mid N_1 x = \dots = N_d x = 0 \} = \bigcap_{1 \le j \le d} \mathcal{N}(N_j)$$

The rang of **N** is given by

$$\mathcal{R}(\mathbf{N}) := \{ z \in \mathcal{X} \mid \exists x_1, \cdots, x_d \in \mathcal{X} : z = N_1 x_1 + \cdots + N_d x_d \} = \sum_{1 \le j \le d} \mathcal{R}(N_j)$$

We discuss below several consequences of Proposition 2.1.

**Proposition 2.2.** Let  $\mathbf{N} = (N_1, \dots, N_d) \in \mathcal{B}[X]^d$  be commuting tuple of operators such that  $\mathbf{N}_{\overline{\mathcal{R}(\mathbf{N})}} := (N_{1/\overline{\mathcal{R}(\mathbf{N})}}, \dots, N_{d/\overline{\mathcal{R}(\mathbf{N})}})$ -is an (m - 1, p)-isometric tuple. Then following properties hold. (1)  $\mathbf{N}$  is an (m, p)-expansive tuple if and only if  $\mathbf{N}$  is a (m - 1, p)-expansive tuple on X. (2)  $\mathbf{N}$  is a (m, p)-contractive tuple if and only if  $\mathbf{N}$  is a (m - 1, p)-contractive tuple.

*Proof.* In the first step, we note that  $\overline{\mathcal{R}(N_j)} \subset \overline{\mathcal{R}(\mathbf{N})}$  for all  $j = 1, \dots, d$ . In view of (2.7), we get

$$Q_m^{(p)}(\mathbf{N}; x) = Q_{m-1}^{(p)}(\mathbf{N}; x) - \sum_{1 \le j \le d} Q_{m-1}^{(p)}(\mathbf{N}; N_j x), \quad \forall \ x \in \mathcal{X}.$$

Since N is an (m-1, p)-isometric tuple on  $N_{\overline{R(N)}}$ , we deduce that

$$\boldsymbol{Q}_{m}^{(p)}(\mathbf{N};x) = \boldsymbol{Q}_{m-1}^{(p)}(\mathbf{N};x), \ \forall \ x \in \mathcal{X}.$$

The desired results in the statements (1) and (2) follow immediately.

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**Proposition 2.3.** Let  $\mathbf{N} = (N_1, \dots, N_d) \in \mathcal{B}[X]^d$  be commuting tuple of operators such that  $\mathbf{N}_{/\overline{\mathcal{R}(\mathbf{N})}} := (N_{1/\overline{\mathcal{R}(\mathbf{N})}}, \dots, N_{d/\overline{\mathcal{R}(\mathbf{N})}})$  is a (1, p)-isometric tuple. The following properties hold. (1) If  $\mathbf{N}$  is an (m, p)-expansive tuple, then  $\mathbf{N}$  is an (m, p)-hyperexpansive tuple. (2) If  $\mathbf{N}$  is an (m, p)-contractive tuple, then  $\mathbf{N}$  is an (m, p)-hypercontractive tuple.

*Proof.* By (2.7), we have for all  $k \in \{1, 2, \dots, m\}$ 

$$Q_{k}^{(p)}(\mathbf{N};x) = Q_{k-1}^{(p)}(\mathbf{N};x) - \sum_{1 \le j \le d} Q_{k-1}^{(p)}(\mathbf{N}; N_{j}x), \quad \forall \ x \in \mathcal{X}.$$

If **N** is an (1, p)-isometric tuple on  $\overline{\mathcal{R}(\mathbf{N})}$ , it is well known that **N** is an (k, p)-isometric tuple on  $\overline{\mathcal{R}(\mathbf{N})}$  for  $k = 1, \dots, m$ . Consequently,

$$Q_1^{(p)}(\mathbf{N}; x) = Q_2^{(p)}(\mathbf{N}; x) = \dots = Q_{m-1}^{(p)}(\mathbf{N}; x) = Q_m^{(p)}(\mathbf{N}; x).$$

If N is a (m, p)-expansive tuple, it follows that (1) is valid.

By the same argument as above, (2) is obtained.

The next theorem shows that certain (m, p)-expansive (resp. (m, p)-contractive) tuples are (m, p)-hypercontractive) tuples.

**Theorem 2.1.** Let  $\mathbf{N} = (N_1, \dots, N_d) \in \mathcal{B}[X]^d$  be a tuple of commuting operators. The following statements hold.

(1) If **N** is an (m, p)-expansive tuple and  $\sup_{n} \left( \sum_{|\beta|=n} \frac{n!}{\beta!} ||\mathbf{N}^{\beta} x||^{p} \right) < \infty$  for all  $x \in X$ , then **N** is an (m, p)-

hyperexpansive tuple.

(2) If **N** is an (m, p)-contractive tuple and  $\sup_{n} \left( \sum_{|\alpha|=n} \frac{n!}{\alpha!} ||\mathbf{N}^{\alpha} x||^{p} \right) < \infty$  for all  $x \in X$ , then **N** is a (m, p)hypercontractive tuple

hypercontractive tuple.

*Proof.* Assume that N is an (m, p)-expansive tuple. From (2.7), it is clear that

$$\boldsymbol{Q}_{m}^{(p)}(\mathbf{N},x) \leq 0 \quad \forall \ x \in \mathcal{X} \iff \boldsymbol{Q}_{m-1}^{(p)}(\mathbf{N},x) \leq \sum_{1 \leq j \leq d} \boldsymbol{Q}_{m-1}^{(p)}(\mathbf{N},N_{j}x) \quad \forall \ x \in \mathcal{X}.$$

It can easily be established that

$$Q_{m-1}^{(p)}(\mathbf{N}, x) \leq \sum_{1 \leq k_1 \leq d} Q_{m-1}^{(p)}(\mathbf{N}, N_{k_1} x) \leq \cdots \leq \sum_{1 \leq k_1, \dots, k_d \leq d} Q_{m-1}^{(p)}(\mathbf{N}, N_{k_d} \cdots N_{k_1} x) \\
 \leq \cdots \\
 \leq \cdots \\
 \leq \sum_{1 \leq k_1, \dots, k_d \leq d} Q_{m-1}^{(p)}(\mathbf{N}, N_{k_d}^{n_d} \cdots N_{k_1}^{n_1} x)$$

Since for any  $\mathbf{N} = (N_1, \cdots, N_d) \in \mathcal{B}(X)^d$ ,

$$\boldsymbol{Q}_{m-1}^{(p)}(\mathbf{N}, N_{k_d}^{n_d} \cdots N_{k_1}^{n_1} x) = \boldsymbol{Q}_{m-2}^{(p)}(\mathbf{N}, N_{k_d}^{n_d} \cdots N_{k_1}^{n_1} x) - \sum_{1 \le j \le d} \boldsymbol{Q}_{m-2}^{(p)}(\mathbf{N}, N_j N_{k_d}^{n_d} \cdots N_{k_1}^{n_1} x),$$

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it is now easy to see that for  $1 \le k_1, \dots; k_d \le d$ ,

$$\begin{aligned} & \mathcal{Q}_{m-1}^{(p)}(\mathbf{N}, N_{k_d}^{n_d} \cdots N_{k_1}^{n_1} x) \\ &= \Big\{ \sum_{0 \le k \le m-2} (-1)^k \binom{m-2}{k} \Big( \sum_{|\beta|=k} \frac{k!}{\beta!} \Big( ||\mathbf{N}^{\beta} N_{k_d}^{n_d} \cdots N_{k_1}^{n_1} x||^p - \sum_{1 \le j \le d} ||\mathbf{N}^{\beta} N_j N_{k_d}^{n_d} \cdots N_{k_1}^{n_1} x||^p \Big) \Big\} \\ &= \Big\{ \sum_{0 \le k \le m-2} (-1)^k \binom{m-2}{k} \Big( \sum_{|\beta|=k} \frac{k!}{\beta!} ||\mathbf{N}^{\beta} N_{k_d}^{n_d} \cdots N_{k_1}^{n_1} x||^p - \sum_{1 \le j \le d} \sum_{|\beta|=k} \frac{k!}{\beta!} ||\mathbf{N}^{\beta} N_j N_{k_d}^{n_d} \cdots N_{k_1}^{n_1} x||^p \Big) \Big\}. \end{aligned}$$

Set

$$a_{n_1,\cdots,n_d} = \sum_{|\beta|=k} \frac{k!}{\beta!} ||\mathbf{N}^{\beta} N_{k_d}^{n_d} \cdots N_{k_1}^{n_1} x||^p - \sum_{1 \le j \le d} \sum_{|\beta|=k} \frac{k!}{\beta!} ||\mathbf{N}^{\beta} N_j N_{k_d}^{n_d} \cdots N_{k_1}^{n_1} x||^p.$$

Under the assumption that  $\sup_{n} \left( \sum_{|\beta|=n} \frac{n!}{\alpha!} \| \mathbf{N}^{\beta} x \|^{p} \right) < \infty$ , it follows that the sequence  $(a_{n_{1}, \dots, n_{d}})_{n_{1}, \dots, n_{d}}$  is bounded. Hence, there is a subsequence  $(a_{n_{k_{1}}, \dots, n_{n_{k_{d}}}})_{n_{k_{1}}, \dots, n_{k_{d}}}$  which converges. By a direct calculation we get

$$Q_{m-1}^{(p)}(\mathbf{N}, N_{k_d}^{n_{k_d}} \cdots N_{k_1}^{n_{k_1}} x) \longrightarrow 0 \text{ as } n_{k_j} \longrightarrow \infty, \ j = 1, \cdots, d.$$

This means that  $Q_{m-1}^{(p)}(\mathbf{N}, x) \leq 0$ . Consequently, **N** is an (m - 1, p)-expansive tuple. By repeating this process, we reach the following inequalities  $Q_k^{(p)}(\mathbf{N}, x) \leq 0$  for  $k = 1, \dots, m$ , from which **N** is a (m, p)-hyperexpansive tuple as desired.

(2) Using the fact that N is an (m, p)-contractive tuple and together with (2.7), we obtain

$$\boldsymbol{Q}_{m}^{(p)}(\mathbf{N},x) \geq 0 \quad \forall \ x \in \mathcal{X} \iff \boldsymbol{Q}_{m-1}^{(p)}(\mathbf{N},x) \geq \sum_{1 \leq j \leq d} \boldsymbol{Q}_{m-1}^{(p)}(\mathbf{N},N_{j}x) \quad \forall \ x \in \mathcal{X}.$$

It can easily be established that

$$\begin{aligned} \boldsymbol{Q}_{m-1}^{(p)}(\mathbf{N}, x) &\geq \sum_{1 \leq k_1 \leq d} \boldsymbol{Q}_{m-1}^{(p)}(\mathbf{N}, N_{k_1} x) \geq \cdots \geq \sum_{\substack{1 \leq k_1, \cdots, k_d \leq d}} \boldsymbol{Q}_{m-1}^{(p)}(\mathbf{N}, N_{k_d} \cdots N_{k_1} x) \\ &\geq \cdots \cdots \\ &\geq \cdots \cdots \\ &\geq \sum_{1 \leq k_1, \cdots, k_d \leq d} \boldsymbol{Q}_{m-1}^{(p)}(\mathbf{N}, N_{k_d}^{n_d} \cdots N_{k_1}^{n_1} x). \end{aligned}$$

Now, using the line of argument from the proof of statement (1), one can prove that

$$Q_{m-1}^{(p)}(\mathbf{N}, N_{k_d}^{n_{k_d}} \cdots N_{k_1}^{n_{k_1}} x) \longrightarrow 0 \text{ as } n_{k_j} \longrightarrow \infty, \ j = 1, \cdots, d.$$

Thus,  $Q_{m-1}^{(p)}(\mathbf{N}, x) \ge 0$ , and hence, **N** is an (m - 1, p)-contractive tuple. By repeating this process, we reach the following inequalities

$$Q_k^{(p)}(\mathbf{N}, x) \ge 0, \qquad 1 \le k \le m,$$

from which N is an (m, p)-hypercontractive tuple.

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#### 3. Conclusions

In the work, we have introduced a new classes of operators known as (m, p)-hyperexpensive tuple and (m, p)-hypercontractive tuple. Several properties are proved by exploiting the special kind of structure of single operator. In the course of our investigation, we find some properties of (m, p)-hyperexpensive and (m, p)-hypercontractive for single operators which are retained by (m, p)-hyperexpensive tuple and (m, p)-hypercontractive tuple.

# Use of AI tools declaration

The author declares he has not used Artificial Intelligence (AI) tools in the creation of this article.

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# **Conflict of interest**

The author declares no conflict of interest.

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