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*Research article*

## **Command-filter-based predefined-time adaptive tracking control for nonlinear systems with time-varying state constraints and input saturation**

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**Abstract:** This paper investigates the adaptive tracking control problem for a class of uncertain nonlinear systems subject to time-varying state constraints and input saturation. To avoid the repeated differentiation encountered in conventional recursive design, a command-filter-based predefined-time adaptive control scheme is developed. Fuzzy logic systems (FLSs) are employed to approximate the unknown nonlinear functions, while a fuzzy observer is constructed to estimate the unmeasurable states. In addition, an auxiliary compensation mechanism is introduced to reduce the influence of filtering errors, and a smooth saturation decomposition together with an auxiliary signal is incorporated to handle the mismatch between the designed control input and the actual actuator output caused by input saturation. By combining recursive design with a time-varying barrier Lyapunov function (BLF), it is shown that all closed-loop signals remain bounded, the prescribed state constraints are not violated, and the tracking error enters a small neighborhood of the origin within a predefined time. Finally, simulation results based on an RLC circuit example are provided to demonstrate the effectiveness of the proposed method.

**Keywords:** adaptive tracking control; command-filtered design; predefined-time stability; time-varying state constraints; input saturation; fuzzy observer

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### **1. Introduction**

Finite-time and fixed-time control have received sustained attention in nonlinear control systems due to their attractive transient-performance characteristics [1–4]. Finite-time control can drive the system states to the desired region in finite time, which makes it appealing in applications requiring fast response, such as autonomous vehicles, robotic systems, and aerospace systems [5–7]. However, the settling time in finite-time control generally depends on the initial conditions, which limits its applicability when the initial state cannot be specified in advance. To overcome this drawback, fixed-

time control was developed to guarantee convergence within a uniform upper bound independent of the initial conditions [8–10]. Although fixed-time control improves the predictability of the transient process, the convergence bound is still determined implicitly by the controller parameters.

Predefined-time control further extends this line of research by allowing the convergence time to be assigned explicitly in advance [11–15]. This feature is particularly useful in control tasks with prescribed transient-performance requirements. In [16], predefined-time full-state constrained control was investigated for a mobile robot system with delay. For an RLC circuit, a predefined-time command-filtered control strategy was studied in [17]. In [18], a practical predefined-time stability criterion was established for strict-feedback nonlinear systems with uncertainties and disturbances. These results indicate that predefined-time control provides a flexible framework for balancing transient-speed requirements and closed-loop robustness in nonlinear systems.

Another issue that frequently arises in recursive adaptive control is the so-called explosion of complexity. In conventional backstepping design, repeated differentiation of virtual control laws becomes increasingly cumbersome as the system order grows, which limits practical implementation. Dynamic surface control (DSC) has been widely employed to alleviate this problem by introducing first-order filters [19]. Nevertheless, the filter dynamics inevitably introduce filtering errors, and these errors may affect the achievable control performance if they are not explicitly compensated. To address this issue, command-filter-based design has been developed and successfully applied to various nonlinear control problems [20–22]. Compared with standard DSC, command filtering provides a more convenient way to construct recursive controllers and to incorporate compensation signals for mitigating filter-induced mismatch.

In addition to the above challenges, practical nonlinear systems are often subject to actuator limitations and state constraints. Input saturation is one of the most common actuator nonlinearities and may significantly deteriorate system performance or even lead to instability if ignored in the controller design [23–25]. For instance, input saturation has been considered in multi-agent consensus control and nonlinear adaptive control problems [26]. On the other hand, state constraints are also essential in many engineering systems for safety, physical feasibility, and performance preservation. Barrier Lyapunov functions (BLFs) have been shown to be effective in handling constrained-control problems [27–30]. In particular, time-varying BLFs provide a useful tool for enforcing prescribed state bounds that may change over time, which is desirable in practice when the allowable operating region is not fixed.

Motivated by the above observations, the considered problem is technically challenging because it involves the simultaneous treatment of unavailable full-state information, unknown nonlinearities, time-varying state constraints, actuator saturation, and filter-induced errors caused by the command-filter technique. In addition, predefined-time tracking performance is required in the presence of these factors, which further increases the difficulty of controller design. To address these challenges, a command-filter-based predefined-time adaptive tracking control scheme is developed for uncertain nonlinear systems by combining fuzzy approximation, fuzzy state observation, recursive design, and time-varying BLF techniques.

Compared with related existing results, the main contributions of this paper are summarized as follows:

- 1) A command-filter-based predefined-time adaptive tracking control framework is developed for uncertain nonlinear systems with time-varying state constraints and actuator saturation. By

integrating a time-varying BLF with fuzzy approximation and fuzzy state observation, the proposed method guarantees constraint satisfaction and ensures that the tracking error enters a small neighborhood of the origin within a predefined time.

- 2) A command-filter-based controller construction together with an auxiliary compensation mechanism is introduced to avoid repeated differentiation and explicitly handle filter-induced errors in the recursive design, which facilitates the implementation of the predefined-time control scheme under time-varying state constraints.
- 3) A smooth saturation decomposition together with an auxiliary signal is employed to alleviate the mismatch caused by actuator saturation, which improves the practical implementability of the proposed control scheme under asymmetric input saturation.

## 2. Problem formulation and preliminaries

### 2.1. System descriptions

Consider the following output-feedback nonlinear system:

$$\begin{cases} \dot{x}_i = x_{i+1} + g_i(x) + w_i(t), & 1 \leq i \leq n-1, \\ \dot{x}_n = u(v) + g_n(x) + w_n(t), \\ y = x_1, \end{cases} \quad (2.1)$$

where  $x = [x_1, \dots, x_n]^T \in \mathbb{R}^n$  denotes the system state vector, and  $y \in \mathbb{R}$  is the measurable output. The functions  $g_i(x)$  are unknown smooth nonlinearities, and the external disturbances  $w_i(t)$  satisfy  $|w_i(t)| \leq \bar{w}_i, i = 1, \dots, n$ . The actuator input is subject to asymmetric saturation and is described by

$$u(v) = \text{sat}(v) = \begin{cases} F_h, & v(t) > F_h, \\ v(t), & -F_d \leq v(t) \leq F_h, \\ -F_d, & v(t) < -F_d, \end{cases} \quad (2.2)$$

where  $F_h > 0$  and  $F_d > 0$  are saturation bounds, and  $v(t)$  denotes the designed control input.

**Assumption 1.** *The reference signal  $y_r$  is continuous and bounded. Then, there exists a compact set  $\Omega_{y_r}$  such that  $\Omega_{y_r} = \{[y_r, \dot{y}_r]^T : y_r^2 + \dot{y}_r^2 \leq \bar{y}_{r0}\}$ , where  $\bar{y}_{r0} > 0$  is a constant.*

**Assumption 2.** *Each unknown function  $g_i(\cdot)$  satisfies the Lipschitz condition  $|g_i(G) - g_i(H)| \leq \mathcal{X}_i \|G - H\|$ , for any  $G, H \in \mathbb{R}^n$ , where  $\mathcal{X}_i > 0$  are constants,  $i = 1, \dots, n$ .*

**Assumption 3.** *For any given constant  $K_{b_1} > 0$ , there exist positive constants  $R_0, R_1, \dots, R_n$  such that the reference signal  $y_r$  and its derivatives up to order  $n$  satisfy  $|y_r(t)| \leq R_0 < K_{b_1}$ , and  $|y_r^{(i)}(t)| \leq R_i, i = 1, 2, \dots, n$ .*

**Lemma 1.** (*[27]*) *For any  $c_d \in \mathbb{R}$  and  $\epsilon > 0$ , the following inequality holds:*

$$0 \leq |c_d| - c_d \tanh\left(\frac{c_d}{\epsilon}\right) \leq 0.2785\epsilon.$$

**Lemma 2.** ([27]) To address the asymmetric saturated input in (2.2), let  $\text{sat}(v) = \Psi(v) + \Xi(v)$  and define its smooth function as follows:

$$\Psi(v) = r \tanh\left(\frac{v(t) - J}{r}\right) + \bar{r},$$

where  $\Xi(v)$  is a bounded term,  $|\Xi(v)| \leq U$ .  $r = \frac{F_h + F_d}{2}$ ,  $\bar{r} = \frac{F_h - F_d}{2}$ ,  $J = -r \operatorname{artanh}(-\bar{r}/r)$ .

**Definition 1.** ([11]) Let the origin be the equilibrium point of the nonlinear system described by  $\dot{x}(t) = f(x)$ . For any  $t \geq T_d$ , if there exist constants  $\epsilon > 0$  and  $T_d > 0$  such that  $\|x(x_0, t)\| \leq \epsilon$ , then the equilibrium point of the system is considered practical predefined time stable (PPTS).

**Remark 1.** In conventional finite-time control, the settling time usually depends on the initial conditions. In fixed-time control, although the settling time becomes independent of the initial conditions, its upper bound is still implicitly determined by the controller parameters. In contrast, predefined-time control allows the convergence time to be explicitly assigned in advance, which is more suitable for practical engineering applications with prescribed transient-performance requirements.

**Lemma 3.** ([11]) For a predefined time  $T_d > 0$ , suppose that there exists a positive definite Lyapunov function  $V(x)$  for the nonlinear system (2.1) such that  $\dot{V} \leq -\frac{\pi}{\mu T_d} V^{1+\frac{\mu}{2}} - \frac{\eta_0 \pi}{\mu T_d} V^{1-\frac{\mu}{2}} + \Delta$ , where  $0 < \mu < 1$ ,  $\eta_0 = \sqrt{2}$ , and  $\Delta \geq 0$  is a constant. Then, the origin of the system is PPTS with the predefined time  $T_d$ . Moreover, the system trajectory ultimately enters the bounded set  $\Omega \triangleq \{V(x) : V(x) \leq \frac{2\mu T_d \Delta}{\pi}\}$ .

**Lemma 4.** ([12]) For any  $P_1 \geq 0$ ,  $P_2 \geq 0$ , and  $c_p > 0$ , one has

$$P_1^{c_p} (P_2 - P_1) \leq \frac{1}{1 + c_p} (P_2^{1+c_p} - P_1^{1+c_p}).$$

**Lemma 5.** ([12]) For a bounded function  $b_i$ , the following inequality holds:

$$-\sum_{i=1}^n \frac{b_i^2}{h_i} \leq -\left(\sum_{i=1}^n \frac{b_i^2}{2h_i}\right)^{\bar{h}} - \frac{1}{n^{k-1}} \left(\sum_{i=1}^n \frac{b_i^2}{2h_i}\right)^k + C_f,$$

where  $h_i > 0$ ,  $k > 1$ ,  $0 < \bar{h} < 1$ , and  $C_f = (1 - \bar{h})\bar{h}^{\frac{1}{1-\bar{h}}} + \sum_{i=1}^n \left(\frac{\bar{b}_i^2}{2h_i}\right)^k$ , with  $\bar{b}_i$  being positive constants satisfying  $|b_i| \leq \bar{b}_i$ .

**Lemma 6.** ([16]) For  $q_i \in \mathbb{R}$ ,  $i = 1, \dots, n$ , the following inequalities hold:

$$\left(\sum_{i=1}^n |q_i|\right)^{\bar{r}} \leq \sum_{i=1}^n |q_i|^{\bar{r}}, \quad \left(\sum_{i=1}^n |q_i|\right)^r \leq n^{r-1} \sum_{i=1}^n |q_i|^r,$$

where  $0 < \bar{r} \leq 1$  and  $r \geq 1$ .

**Lemma 7.** ([17]) For any  $X \in \mathbb{R}^n$ ,  $Y \in \mathbb{R}^n$ ,  $q > 0$ ,  $c_q > 0$ , and  $\omega > 0$ , one has

$$|X|^q |Y|^{c_q} \leq \frac{q}{q + c_q} \omega |X|^{q+c_q} + \frac{c_q}{q + c_q} \omega^{-\frac{q}{c_q}} |Y|^{q+c_q}.$$

## 2.2. Fuzzy logic systems

FLSs have been widely used to approximate unknown nonlinear functions in nonlinear control systems [27]. Due to their universal approximation capability for continuous nonlinear functions on compact sets and their linearly parameterized form, FLSs are well-suited for the subsequent adaptive-law design and Lyapunov-based stability analysis under the output-feedback framework.

$R^l$ : If  $\chi_1$  is  $A_1^l$ ,  $\chi_2$  is  $A_2^l$ , ..., and  $\chi_n$  is  $A_n^l$ , then

$$\beta \text{ is } B^l, \quad l = 1, 2, \dots, N_r,$$

where  $\chi = [\chi_1, \chi_2, \dots, \chi_n]^T$  is the input vector,  $\beta$  is the output of the FLS, and  $N_r$  denotes the number of fuzzy rules. The membership functions associated with the fuzzy sets  $A_j^l$  and  $B^l$  are denoted by  $\mu_{A_j^l}(\chi_j)$  and  $\mu_{B^l}(\beta)$ , respectively.

Then, the FLS can be written as

$$\beta(\chi) = \frac{\sum_{l=1}^{N_r} \bar{\beta}_l \prod_{j=1}^n \mu_{A_j^l}(\chi_j)}{\sum_{l=1}^{N_r} \prod_{j=1}^n \mu_{A_j^l}(\chi_j)}, \quad (2.3)$$

where  $\bar{\beta}_l = \max_{\beta \in \mathbb{R}} \mu_{B^l}(\beta)$ .

Define  $\psi(\chi) = [\psi_1(\chi), \dots, \psi_{N_r}(\chi)]^T$ ,  $W = [\bar{\beta}_1, \dots, \bar{\beta}_{N_r}]^T$ ,  $\psi_l(\chi) = \frac{\prod_{j=1}^n \mu_{A_j^l}(\chi_j)}{\sum_{l=1}^{N_r} \prod_{j=1}^n \mu_{A_j^l}(\chi_j)}$ . Then, (2.3) can be rewritten as

$$\beta(\chi) = W^T \psi(\chi). \quad (2.4)$$

**Lemma 8.** ([27]) *Given that  $f(\chi)$  is a continuous function on the compact set  $\Omega$ , for all  $\varepsilon_f > 0$ , there exists an FLS that satisfies*

$$\sup_{\chi \in \Omega} |f(\chi) - W^T \psi(\chi)| \leq \varepsilon_f.$$

## 2.3. Design of the fuzzy observer

Since only the output signal  $y$  is measurable in (2.1), a fuzzy state observer is introduced to estimate the unmeasured states.

According to Lemma 8, each unknown nonlinear function  $g_i(x)$  can be approximated by an FLS as

$$g_i(\hat{x}) = W_i^{*T} \psi_i(\hat{x}) + \epsilon_i, \quad (2.5)$$

where  $\psi_i(\hat{x})$  is the fuzzy basis function vector,  $W_i^*$  is the ideal weight vector,  $\hat{x}$  is the estimated state vector, and  $\epsilon_i$  is the approximation error satisfying  $|\epsilon_i| \leq \bar{\epsilon}_i$ , where  $\bar{\epsilon}_i > 0$  are unknown constants.

Define  $\tilde{g}_i = g_i(x) - g_i(\hat{x})$ ,  $i = 1, \dots, n$ . Then, by combining (2.1) and (2.5), one obtains

$$\begin{cases} \dot{x}_i = x_{i+1} + W_i^{*T} \psi_i(\hat{x}) + \tilde{g}_i + \epsilon_i + w_i(t), \\ \dot{x}_n = u(v) + W_n^{*T} \psi_n(\hat{x}) + \tilde{g}_n + \epsilon_n + w_n(t), \\ y = x_1. \end{cases} \quad (2.6)$$

The fuzzy state observer is designed as

$$\begin{cases} \dot{\hat{x}}_i = \hat{x}_{i+1} + \hat{W}_i^T \psi_i(\hat{x}) + l_i(y - \hat{x}_1), \\ \dot{\hat{x}}_n = u(v) + \hat{W}_n^T \psi_n(\hat{x}) + l_n(y - \hat{x}_1), \\ \hat{y} = \hat{x}_1, \end{cases} \quad (2.7)$$

where  $l_i > 0$  are design parameters, and  $\hat{W}_i$  denotes the estimate of  $W_i^*$ . Define the estimation error  $\tilde{W}_i = W_i^* - \hat{W}_i$ .

The observation error is defined as

$$\varepsilon = x - \hat{x} = [\varepsilon_1, \dots, \varepsilon_n]^T. \quad (2.8)$$

By using (2.6)–(2.8), the observation-error dynamics can be derived as

$$\dot{\varepsilon} = A\varepsilon + \sum_{i=1}^n B_i \tilde{W}_i^T \psi_i(\hat{x}) + \tilde{G} + E + D, \quad (2.9)$$

where  $B_i = \underbrace{[0, \dots, 0, 1, 0, \dots, 0]^T}_i$ ,  $\tilde{G} = \begin{bmatrix} \tilde{g}_1 \\ \tilde{g}_2 \\ \vdots \\ \tilde{g}_n \end{bmatrix}$ ,  $A = \begin{bmatrix} -l_1 & & & \\ -l_2 & & I_{n-1} & \\ \vdots & & & \\ -l_n & 0 & \dots & 0 \end{bmatrix}$ ,  $E = \begin{bmatrix} \epsilon_1 \\ \epsilon_2 \\ \vdots \\ \epsilon_n \end{bmatrix}$ ,  $D = \begin{bmatrix} w_1 \\ w_2 \\ \vdots \\ w_n \end{bmatrix}$ .

**Remark 2.** The parameter  $l_i$  is selected appropriately to construct the matrix  $A$  as a strictly Hurwitz matrix, guaranteeing the existence of a symmetric matrix  $S$  that satisfies the equation  $A^T S + S A = -Q$  for every positive definite matrix  $Q = Q^T$ .

Choose the following Lyapunov function:

$$V_0 = \varepsilon^T S \varepsilon. \quad (2.10)$$

The time derivative of  $V_0$  is

$$\dot{V}_0 = -\varepsilon^T Q \varepsilon + 2\varepsilon^T S \left( \sum_{i=1}^n B_i \tilde{W}_i^T \psi_i(\hat{x}) + \tilde{G} + E + D \right). \quad (2.11)$$

Using  $0 < \psi_i^T(\hat{x})\psi_i(\hat{x}) \leq 1$ , Assumption 2, and Young's inequality, one has

$$2\varepsilon^T S \left( \sum_{i=1}^n B_i \tilde{W}_i^T \psi_i(\hat{x}) + \tilde{G} + E + D \right) \leq \sum_{i=1}^n \mathcal{X}_i^2 \|\varepsilon\|^2 + \sum_{i=1}^n \tilde{W}_i^T \tilde{W}_i + \|E\|^2 + \|\bar{D}\|^2 + (3+n)\|S\|^2 \|\varepsilon\|^2, \quad (2.12)$$

where  $\bar{D} = [\bar{w}_1, \dots, \bar{w}_n]^T$ .

Combining (2.11) and (2.12) yields

$$\dot{V}_0 \leq -\Lambda_0 \|\varepsilon\|^2 + \sum_{i=1}^n \tilde{W}_i^T \tilde{W}_i + N_0, \quad (2.13)$$

where  $\Lambda_0 = \lambda_{\min}(Q) - (3+n)\|S\|^2 - \sum_{i=1}^n \mathcal{X}_i^2$ , and  $N_0 = \|E\|^2 + \|\bar{D}\|^2$ .

#### 2.4. The predefined-time filter

To avoid the repeated differentiation involved in the recursive controller design, an auxiliary predefined-time filter is introduced as

$$\begin{cases} \dot{\lambda}_i = -c_1\sigma_i^{1+\mu} - c_2\sigma_i^{1-\mu} \tanh\left(\frac{c_2\sigma_i^{2-\mu}}{\tau_i}\right) - \rho_i\sigma_i, \\ \lambda_i(0) = \alpha_i(0), \end{cases} \quad (2.14)$$

where  $\sigma_i = \lambda_{i+1,c} - \alpha_i$  represents the filtering error;  $\lambda_{i+1,c}(t) = \lambda_i(t)$  and  $\alpha_i$  denote the output and input of the filter, respectively;  $c_1 = \frac{\eta\pi}{\vartheta\mu T_d}$ ,  $c_2 = \frac{\bar{\eta}\pi}{\vartheta\mu T_d}$ , with  $\eta = (2n)^\mu/2$ ,  $\bar{\eta} = \sqrt{2}$ ,  $\vartheta = 2^{1+\frac{\mu}{2}}$ ,  $\bar{\vartheta} = 2^{1-\frac{\mu}{2}}$ ,  $\mu = \mu_1/\mu_2$ , and  $\mu_1$  and  $\mu_2$  satisfy  $\mu_1 < \mu_2$ ;  $T_d$  denotes the predefined time, which is a positive design parameter; and  $\tau_i > 0$  and  $\rho_i > 1/2$  are designed parameters.

**Theorem 1.** *The filtering error  $\sigma_i$  enters the bounded set  $\Omega_1 = \{\sigma = [\sigma_1, \dots, \sigma_{n-1}]^T : V_\sigma \leq \frac{2\mu T_d \Delta_\sigma}{\pi}\}$  within the predefined time  $T_d$ , where  $V_\sigma = \sum_{\varsigma=1}^{n-1} \frac{1}{2}\sigma_\varsigma^2$ .*

*Proof.* Consider the Lyapunov function

$$V_\sigma = \sum_{\varsigma=1}^{n-1} \frac{1}{2}\sigma_\varsigma^2. \quad (2.15)$$

From (2.14), one has  $\sigma_\varsigma = \lambda_{\varsigma+1,c} - \alpha_\varsigma$ , and therefore

$$\begin{aligned} \dot{V}_\sigma &= \sum_{\varsigma=1}^{n-1} \sigma_\varsigma \dot{\sigma}_\varsigma = \sum_{\varsigma=1}^{n-1} \sigma_\varsigma (\dot{\lambda}_{\varsigma+1,c} - \dot{\alpha}_\varsigma) \\ &= \sum_{\varsigma=1}^{n-1} \left[ -c_1|\sigma_\varsigma|^{2+\mu} - c_2|\sigma_\varsigma|^{2-\mu} \tanh\left(\frac{c_2|\sigma_\varsigma|^{2-\mu}}{\tau_\varsigma}\right) - \rho_\varsigma\sigma_\varsigma^2 - \sigma_\varsigma\dot{\alpha}_\varsigma \right]. \end{aligned} \quad (2.16)$$

From the recursive controller construction, together with the boundedness of the reference signal and the adaptive estimates, one can conclude that  $\dot{\alpha}_\varsigma$  is bounded. Hence, there exists a positive constant  $\bar{\alpha}_\varsigma$  such that  $|\dot{\alpha}_\varsigma| \leq \bar{\alpha}_\varsigma$ ,  $\varsigma = 1, \dots, n-1$ . Then, by Young's inequality,

$$-\sigma_\varsigma\dot{\alpha}_\varsigma \leq \frac{1}{2}\sigma_\varsigma^2 + \frac{1}{2}\bar{\alpha}_\varsigma^2. \quad (2.17)$$

Moreover, by Lemma 1, one has  $-c_2|\sigma_\varsigma|^{2-\mu} \tanh\left(\frac{c_2|\sigma_\varsigma|^{2-\mu}}{\tau_\varsigma}\right) \leq -c_2|\sigma_\varsigma|^{2-\mu} + 0.2785\tau_\varsigma$ . Substituting this inequality and (2.17) into (2.16), and using  $\rho_\varsigma > 1/2$ , yields

$$\dot{V}_\sigma \leq -\sum_{\varsigma=1}^{n-1} c_1|\sigma_\varsigma|^{2+\mu} - \sum_{\varsigma=1}^{n-1} c_2|\sigma_\varsigma|^{2-\mu} + \Delta_\sigma, \quad (2.18)$$

where  $\Delta_\sigma = \sum_{\varsigma=1}^{n-1} \left(\frac{1}{2}\bar{\alpha}_\varsigma^2 + 0.2785\tau_\varsigma\right)$ .

By Lemma 6 and the definitions of  $c_1$  and  $c_2$ , one obtains

$$\dot{V}_\sigma \leq -\frac{\pi}{\mu T_d} V_\sigma^{1+\frac{\mu}{2}} - \frac{\bar{\eta}\pi}{\mu T_d} V_\sigma^{1-\frac{\mu}{2}} + \Delta_\sigma. \quad (2.19)$$

Then, according to Lemma 3, the filtering error  $\sigma_i$  enters the bounded set  $\Omega_1 = \{\sigma = [\sigma_1, \dots, \sigma_{n-1}]^T : V_\sigma \leq \frac{2\mu T_d \Delta_\sigma}{\pi}\}$  within the predefined time  $T_d$ . The proof is complete.

### 3. Controller design and stability analysis

In this section, a command-filter-based predefined-time adaptive control scheme is developed for system (2.1). To facilitate the recursive design, we define the following coordinate transformation:

$$\begin{cases} s_1 = y - y_r, \\ s_i = \hat{x}_i - \lambda_{i,c}, \quad i = 2, \dots, n-1, \\ s_n = \hat{x}_n - \lambda_{n,c} - \chi_u, \end{cases} \quad (3.1)$$

where  $s_1$  denotes the tracking error and  $\chi_u$  is an auxiliary signal introduced to compensate for the actuator saturation effect.

Define the filter compensation signal  $v_i$  as

$$\begin{cases} \dot{v}_1 = v_2 + (\lambda_{2,c} - \alpha_1) - a_1|v_1|^{1+\mu}\text{sgn}(v_1) - a_2|v_1|^{1-\mu}\text{sgn}(v_1), \\ \dot{v}_i = v_{i+1} + (\lambda_{i+1,c} - \alpha_i) - v_{i-1} - a_1|v_i|^{1+\mu}\text{sgn}(v_i) - a_2|v_i|^{1-\mu}\text{sgn}(v_i), \\ \dot{v}_n = -v_{n-1} - a_1|v_n|^{1+\mu}\text{sgn}(v_n) - a_2|v_n|^{1-\mu}\text{sgn}(v_n), \end{cases} \quad (3.2)$$

where  $a_1 = \frac{\pi}{\mu T_d 2^{\mu/2}}$ ,  $a_2 = \frac{\bar{\eta}\pi}{\mu T_d 2^{1-\mu/2}}$ .

Then, define the compensated error variables as

$$z_i = s_i - v_i, \quad i = 1, 2, \dots, n. \quad (3.3)$$

For notational simplicity, define  $\bar{x}_i = [\hat{x}_1, \dots, \hat{x}_i]^T \in \mathbb{R}^i, i = 1, \dots, n$ .

#### 3.1. Predefined-time adaptive backstepping control design

**Step 1:** From (2.6)–(2.8), (3.1), and (3.3), the derivative of  $z_1$  is given by

$$\dot{z}_1 = s_2 + \lambda_{2,c} + \varepsilon_2 + W_1^{*T} \psi_1(\hat{x}) + \tilde{g}_1 + \epsilon_1 + w_1 - \dot{y}_r - \dot{v}_1. \quad (3.4)$$

The barrier Lyapunov function is constructed as

$$V_1 = V_0 + \frac{1}{2} \log \frac{K_{b_1}^2(t)}{K_{b_1}^2(t) - z_1^2} + \frac{1}{2} \tilde{W}_1^T \tilde{W}_1 + \frac{1}{2} \tilde{M}_1^2, \quad (3.5)$$

where  $|z_1| < K_{b_1}(t)$ ,  $\tilde{M}_1 = M_1^* - \hat{M}_1$ , and  $M_1^* = \|W_1^*\|^2$ .

The time derivative of  $V_1$  is

$$\begin{aligned} \dot{V}_1 = & \dot{V}_0 + \frac{z_1}{K_{b_1}^2(t) - z_1^2} (\varepsilon_2 + \tilde{g}_1 + \epsilon_1 + w_1) - \tilde{W}_1^T \dot{\tilde{W}}_1 + \frac{z_1}{K_{b_1}^2(t) - z_1^2} \left( -W_1^{*T} \psi_1(\bar{x}_1) + W_1^{*T} \psi_1(\hat{x}) \right) \\ & + \frac{z_1}{K_{b_1}^2(t) - z_1^2} \left( s_2 + \lambda_{2,c} - \frac{\dot{K}_{b_1}(t) z_1}{K_{b_1}(t)} + \hat{W}_1^T \psi_1(\bar{x}_1) \right) - \tilde{M}_1 \dot{\hat{M}}_1 + \frac{z_1}{K_{b_1}^2(t) - z_1^2} \left( \tilde{W}_1^T \psi_1(\bar{x}_1) - \dot{y}_r - \dot{v}_1 \right). \end{aligned} \quad (3.6)$$

By Young's inequality and Assumption 2, one obtains

$$\frac{z_1}{K_{b_1}^2(t) - z_1^2} (\varepsilon_2 + \tilde{g}_1 + \epsilon_1 + w_1) \leq \frac{2z_1^2}{(K_{b_1}^2(t) - z_1^2)^2} + \frac{1}{2} \|\varepsilon\|^2 + \frac{\mathcal{X}_1^2}{2} \|\varepsilon\|^2 + \frac{1}{2} \|\epsilon\|^2 + \frac{1}{2} \tilde{w}_1^2, \quad (3.7)$$

$$\frac{z_1}{K_{b_1}^2(t) - z_1^2} \left( W_1^{*T} \psi_1(\hat{x}) - W_1^{*T} \psi_1(\bar{x}_1) \right) \leq \frac{2z_1^2 M_1^*}{\left( K_{b_1}^2(t) - z_1^2 \right)^2} + \frac{1}{2}. \quad (3.8)$$

Substituting (2.13) and (3.6)–(3.8) yields

$$\begin{aligned} \dot{V}_1 \leq & -\Lambda_1 \|\varepsilon\|^2 + N_1 + \tilde{W}_1^T \left[ \frac{z_1 \psi_1(\bar{x}_1)}{K_{b_1}^2(t) - z_1^2} - \hat{W}_1 \right] + \frac{z_1}{K_{b_1}^2(t) - z_1^2} \left[ \frac{2z_1}{K_{b_1}^2(t) - z_1^2} + \frac{2z_1 \hat{M}_1}{K_{b_1}^2(t) - z_1^2} + s_2 + \alpha_1 \right. \\ & \left. + (\lambda_{2,c} - \alpha_1) + \hat{W}_1^T \psi_1(\bar{x}_1) - \dot{y}_r - \dot{v}_1 - \frac{\dot{K}_{b_1}(t)z_1}{K_{b_1}(t)} \right] + \sum_{i=1}^n \tilde{W}_i^T \tilde{W}_i + \tilde{M}_1 \left[ \frac{2z_1^2}{\left( K_{b_1}^2(t) - z_1^2 \right)^2} - \dot{M}_1 \right], \end{aligned} \quad (3.9)$$

where  $\Lambda_1 = \Lambda_0 - \frac{1}{2} - \frac{\chi^2}{2}$ ,  $N_1 = N_0 + \frac{1}{2} \|E\|^2 + \frac{1}{2} \bar{w}_1^2 + \frac{1}{2}$ .

We design the virtual controller  $\alpha_1$ , the adaptation laws  $\hat{W}_1$ ,  $\hat{M}_1$ , and the compensation signal  $\dot{v}_1$  as

$$\begin{aligned} \alpha_1 = & -c_1 \frac{\text{sgn}(z_1) |z_1|^{1+\mu}}{\left( K_{b_1}^2(t) - z_1^2 \right)^{\mu/2}} - c_2 \frac{\text{sgn}(z_1) |z_1|^{1-\mu}}{\left( K_{b_1}^2(t) - z_1^2 \right)^{-\mu/2}} \tanh \left( \frac{c_2 \left( \frac{z_1^2}{K_{b_1}^2(t) - z_1^2} \right)^{1-\mu/2}}{\tau_1} \right) - \hat{W}_1^T \psi_1(\bar{x}_1) + \dot{y}_r \\ & - \frac{2z_1}{K_{b_1}^2(t) - z_1^2} - \frac{2z_1 \hat{M}_1}{K_{b_1}^2(t) - z_1^2} + \frac{\dot{K}_{b_1}(t)z_1}{K_{b_1}(t)} - a_1 |v_1|^{1+\mu} \text{sgn}(v_1) - a_2 |v_1|^{1-\mu} \text{sgn}(v_1), \end{aligned} \quad (3.10)$$

$$\dot{v}_1 = (\lambda_{2,c} - \alpha_1) + v_2 - a_1 |v_1|^{1+\mu} \text{sgn}(v_1) - a_2 |v_1|^{1-\mu} \text{sgn}(v_1), \quad (3.11)$$

$$\dot{\hat{W}}_1 = \frac{z_1 \psi_1(\bar{x}_1)}{K_{b_1}^2(t) - z_1^2} - 4\hat{W}_1, \quad (3.12)$$

$$\dot{\hat{M}}_1 = \frac{2z_1^2}{\left( K_{b_1}^2(t) - z_1^2 \right)^2} - \delta c_1 \hat{M}_1^{1+\mu} - \bar{\delta} c_2 \hat{M}_1^{1-\mu}, \quad (3.13)$$

where  $\delta = 2 + \mu$ ,  $\bar{\delta} = 2 - \mu$ .

**Remark 3.** From (3.10)–(3.13), the hyperbolic tangent function is introduced to ensure that the derivative of  $\alpha_1$  remains well-defined as  $z_1 \rightarrow 0$ , thereby avoiding control singularity in the recursive design.

Substituting (3.10)–(3.13) into (3.9), and using Lemma 1 together with Young's inequality  $4\tilde{W}_1^T \hat{W}_1 \leq -2\tilde{W}_1^T \tilde{W}_1 + 2W_1^{*T} W_1^*$ , gives

$$\begin{aligned} \dot{V}_1 \leq & -c_1 \left( \frac{z_1^2}{K_{b_1}^2(t) - z_1^2} \right)^{1+\frac{\mu}{2}} - c_2 \left( \frac{z_1^2}{K_{b_1}^2(t) - z_1^2} \right)^{1-\frac{\mu}{2}} + \bar{N}_1 + \frac{z_1 z_2}{K_{b_1}^2(t) - z_1^2} \\ & + \sum_{i=2}^n \tilde{W}_i^T \tilde{W}_i + \delta c_1 \tilde{M}_1 \hat{M}_1^{1+\mu} + \bar{\delta} c_2 \tilde{M}_1 \hat{M}_1^{1-\mu} - \Lambda_1 \|\varepsilon\|^2 - \tilde{W}_1^T \tilde{W}_1, \end{aligned} \quad (3.14)$$

where  $\bar{N}_1 = N_1 + 0.2785\tau_1 + 2W_1^{*T} W_1^*$ .

**Step  $i$**  ( $2 \leq i \leq n - 1$ ): Differentiating  $z_i$  yields

$$\dot{z}_i = \dot{s}_i - \dot{v}_i = s_{i+1} + \lambda_{i+1,c} + \hat{W}_i^T \psi_i(\hat{x}) + l_i(y - \hat{x}_1) - \dot{\lambda}_{i,c} - \dot{v}_i. \quad (3.15)$$

We choose the Lyapunov function

$$V_i = V_{i-1} + \frac{1}{2} \log \frac{K_{b_i}^2(t)}{K_{b_i}^2(t) - z_i^2} + \frac{1}{2} \tilde{W}_i^T \tilde{W}_i + \frac{1}{2} \tilde{M}_i^2, \quad (3.16)$$

where  $|z_i| < K_{b_i}(t)$ ,  $\tilde{M}_i = M_i^* - \hat{M}_i$ , and  $M_i^* = \|W_i^*\|^2$ .

Then, the time derivative of  $V_i$  is

$$\begin{aligned} \dot{V}_i = & \dot{V}_{i-1} + \frac{z_i}{K_{b_i}^2(t) - z_i^2} \left( -W_i^{*T} \psi_i(\bar{x}_i) + W_i^{*T} \psi_i(\hat{x}) \right) - \tilde{W}_i^T \dot{\tilde{W}}_i + \frac{z_i}{K_{b_i}^2(t) - z_i^2} \left( l_i(y - \hat{x}_1) - \tilde{W}_i^T \psi_i(\hat{x}) \right) \\ & - \tilde{M}_i \dot{\tilde{M}}_i + \frac{z_i}{K_{b_i}^2(t) - z_i^2} \left( s_{i+1} + \lambda_{i+1,c} - \dot{v}_i - \dot{\lambda}_{i,c} \right) + \frac{z_i}{K_{b_i}^2(t) - z_i^2} \left( \tilde{W}_i^T \psi_i(\bar{x}_i) + \hat{W}_i^T \psi_i(\bar{x}_i) - \frac{\dot{K}_{b_i}(t) z_i}{K_{b_i}(t)} \right). \end{aligned} \quad (3.17)$$

By Young's inequality, one has

$$\frac{z_i}{K_{b_i}^2(t) - z_i^2} \left( -W_i^{*T} \psi_i(\bar{x}_i) + W_i^{*T} \psi_i(\hat{x}) \right) \leq \frac{2z_i^2 M_i^*}{(K_{b_i}^2(t) - z_i^2)^2} + \frac{1}{2}, \quad (3.18)$$

$$\frac{z_i}{K_{b_i}^2(t) - z_i^2} \left( -\tilde{W}_i^T \psi_i(\hat{x}) + l_i(y - \hat{x}_1) \right) \leq \frac{z_i^2}{(K_{b_i}^2(t) - z_i^2)^2} + \frac{1}{2} \tilde{W}_i^T \tilde{W}_i + \frac{1}{2} l_i^2 \|\varepsilon\|^2. \quad (3.19)$$

Then, we design the virtual controller  $\alpha_i$ , the adaptation laws  $\dot{\hat{W}}_i$ ,  $\dot{\hat{M}}_i$ , and the compensation signal  $\dot{v}_i$  as

$$\begin{aligned} \alpha_i = & -c_1 \frac{\text{sgn}(z_i) |z_i|^{1+\mu}}{(K_{b_i}^2(t) - z_i^2)^{\mu/2}} - c_2 \frac{\text{sgn}(z_i) |z_i|^{1-\mu}}{(K_{b_i}^2(t) - z_i^2)^{-\mu/2}} \tanh \left( \frac{c_2 \left( \frac{z_i^2}{K_{b_i}^2(t) - z_i^2} \right)^{1-\mu/2}}{\tau_i} \right) - \frac{2z_i \hat{M}_i}{K_{b_i}^2(t) - z_i^2} \\ & - \frac{z_i}{K_{b_i}^2(t) - z_i^2} - \frac{K_{b_i}^2(t) - z_i^2}{K_{b_{i-1}}^2(t) - z_{i-1}^2} z_{i-1} - a_1 |v_i|^{1+\mu} \text{sgn}(v_i) - a_2 |v_i|^{1-\mu} \text{sgn}(v_i) - v_{i-1} \\ & - \hat{W}_i^T \psi_i(\bar{x}_i) + \dot{\lambda}_{i,c} + \frac{\dot{K}_{b_i}(t) z_i}{K_{b_i}(t)}, \end{aligned} \quad (3.20)$$

$$\dot{v}_i = (\lambda_{i+1,c} - \alpha_i) + v_{i+1} - v_{i-1} - a_1 |v_i|^{1+\mu} \text{sgn}(v_i) - a_2 |v_i|^{1-\mu} \text{sgn}(v_i), \quad (3.21)$$

$$\dot{\hat{W}}_i = \frac{z_i \psi_i(\bar{x}_i)}{K_{b_i}^2(t) - z_i^2} - 5 \hat{W}_i, \quad (3.22)$$

$$\dot{\hat{M}}_i = \frac{2z_i^2}{(K_{b_i}^2(t) - z_i^2)^2} - \delta c_1 \hat{M}_i^{1+\mu} - \bar{\delta} c_2 \hat{M}_i^{1-\mu}. \quad (3.23)$$

Substituting (3.18)–(3.23) into (3.17), and using Lemma 1 together with Young's inequality  $5\tilde{W}_i^T \hat{W}_i \leq -\frac{5}{2}\tilde{W}_i^T \tilde{W}_i + \frac{5}{2}W_i^{*T} W_i^*$ , one obtains

$$\begin{aligned} \dot{V}_i \leq & -c_1 \sum_{s=1}^i \left( \frac{z_s^2}{K_{b_s}^2(t) - z_s^2} \right)^{1+\frac{\mu}{2}} - c_2 \sum_{s=1}^i \left( \frac{z_s^2}{K_{b_s}^2(t) - z_s^2} \right)^{1-\frac{\mu}{2}} + \sum_{s=i+1}^n \tilde{W}_s^T \tilde{W}_s - \sum_{s=1}^i \tilde{W}_s^T \tilde{W}_s \\ & - \Lambda_i \|\varepsilon\|^2 + \sum_{s=1}^i \delta c_1 \tilde{M}_s \hat{M}_s^{1+\mu} + \sum_{s=1}^i \delta c_2 \tilde{M}_s \hat{M}_s^{1-\mu} + \frac{z_i z_{i+1}}{K_{b_i}^2(t) - z_i^2} + \bar{N}_i, \end{aligned} \quad (3.24)$$

where  $\bar{N}_i = \frac{5}{2}W_i^{*T} W_i^* + 0.2785\tau_i + \bar{N}_{i-1} + \frac{1}{2}$ ,  $\Lambda_i = \Lambda_{i-1} - \frac{1}{2}l_i^2$ .

**Step n:** According to Lemma 2, the derivative of  $z_n$  is

$$\dot{z}_n = \Xi(v) + \hat{W}_n^T \psi_n(\hat{x}) + l_n(y - \hat{x}_1) - \lambda_{n,c} + \chi_u + v - \dot{v}_n, \quad (3.25)$$

where the auxiliary signal  $\chi_u$  is designed as  $\dot{\chi}_u = -\chi_u - v + \Psi(v)$ .

We choose the Lyapunov function

$$V_n = V_{n-1} + \frac{1}{2} \log \frac{K_{b_n}^2(t)}{K_{b_n}^2(t) - z_n^2} + \frac{1}{2} \tilde{W}_n^T \tilde{W}_n + \frac{1}{2} \tilde{M}_n^2, \quad (3.26)$$

where  $|z_n| < K_{b_n}(t)$ ,  $\tilde{M}_n = M_n^* - \hat{M}_n$ , and  $M_n^* = \|W_n^*\|^2$ .

Then, the derivative of  $V_n$  is

$$\begin{aligned} \dot{V}_n = & \dot{V}_{n-1} + \frac{z_n}{K_{b_n}^2(t) - z_n^2} \left( W_n^{*T} \psi_n(\hat{x}) - W_n^{*T} \psi_n(\bar{x}_n) \right) \\ & + \frac{z_n}{K_{b_n}^2(t) - z_n^2} \left( -\tilde{W}_n^T \psi_n(\hat{x}) + l_n(y - \hat{x}_1) \right) - \tilde{W}_n^T \hat{W}_n \\ & + \frac{z_n}{K_{b_n}^2(t) - z_n^2} \left( \chi_u + v - \lambda_{n,c} - \dot{v}_n + \Xi(v) \right) - \tilde{M}_n \hat{M}_n \\ & + \frac{z_n}{K_{b_n}^2(t) - z_n^2} \left( \tilde{W}_n^T \psi_n(\bar{x}_n) - \frac{\dot{K}_{b_n}(t) z_n}{K_{b_n}(t)} + \hat{W}_n^T \psi_n(\bar{x}_n) \right). \end{aligned} \quad (3.27)$$

By Young's inequality, it follows that

$$\frac{z_n}{K_{b_n}^2(t) - z_n^2} \left( -W_n^{*T} \psi_n(\bar{x}_n) + W_n^{*T} \psi_n(\hat{x}) \right) \leq \frac{1}{2} + \frac{2z_n^2 M_n^*}{(K_{b_n}^2(t) - z_n^2)^2}, \quad (3.28)$$

$$\frac{z_n}{K_{b_n}^2(t) - z_n^2} \left( -\tilde{W}_n^T \psi_n(\hat{x}) + l_n(y - \hat{x}_1) \right) \leq \frac{z_n^2}{(K_{b_n}^2(t) - z_n^2)^2} + \frac{1}{2} \tilde{W}_n^T \tilde{W}_n + \frac{1}{2} l_n^2 \|\varepsilon\|^2, \quad (3.29)$$

$$\frac{z_n}{K_{b_n}^2(t) - z_n^2} \Xi(v) \leq \frac{z_n^2}{2(K_{b_n}^2(t) - z_n^2)^2} + \frac{U^2}{2}. \quad (3.30)$$

We design the actual controller  $v$ , the adaptation laws  $\hat{W}_n$ ,  $\hat{M}_n$ , and the compensation signal  $\dot{v}_n$  as

$$\begin{aligned}
 v = & -v_{n-1} - a_1|v_n|^{1+\mu}\text{sgn}(v_n) - a_2|v_n|^{1-\mu}\text{sgn}(v_n) - \frac{K_{b_n}^2(t) - z_n^2}{K_{b_{n-1}}^2(t) - z_{n-1}^2}z_{n-1} - \hat{W}_n^T\psi_n(\bar{x}_n) \\
 & - c_1 \frac{\text{sgn}(z_n)|z_n|^{1+\mu}}{(K_{b_n}^2(t) - z_n^2)^{\mu/2}} - c_2 \frac{\text{sgn}(z_n)|z_n|^{1-\mu}}{(K_{b_n}^2(t) - z_n^2)^{-\mu/2}} \tanh\left(\frac{c_2\left(\frac{z_n^2}{K_{b_n}^2(t) - z_n^2}\right)^{1-\mu/2}}{\tau_n}\right) \\
 & - \frac{3z_n}{2(K_{b_n}^2(t) - z_n^2)} - \frac{2z_n\hat{M}_n}{K_{b_n}^2(t) - z_n^2} + \frac{\dot{K}_{b_n}(t)z_n}{K_{b_n}(t)} - \chi_u + \lambda_{n,c},
 \end{aligned} \tag{3.31}$$

$$\dot{v}_n = -v_{n-1} - a_1|v_n|^{1+\mu}\text{sgn}(v_n) - a_2|v_n|^{1-\mu}\text{sgn}(v_n), \tag{3.32}$$

$$\dot{\hat{W}}_n = \frac{z_n\psi_n(\bar{x}_n)}{K_{b_n}^2(t) - z_n^2} - 5\hat{W}_n, \tag{3.33}$$

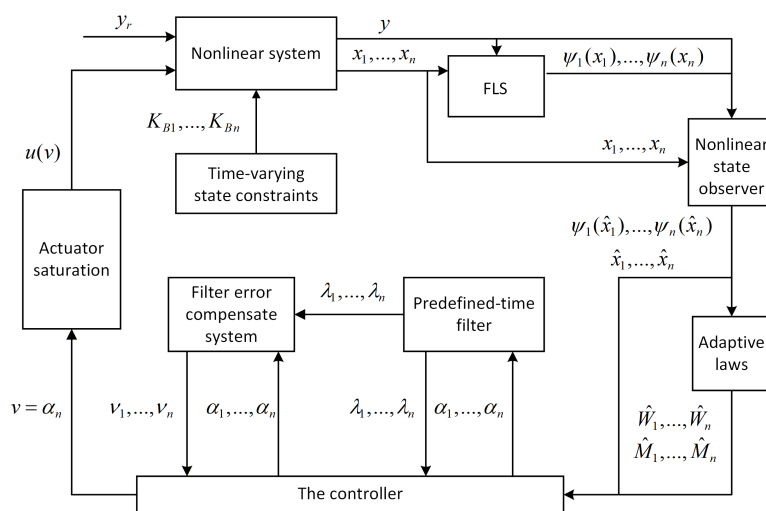
$$\dot{\hat{M}}_n = \frac{2z_n^2}{(K_{b_n}^2(t) - z_n^2)^2} - \delta c_1\hat{M}_n^{1+\mu} - \bar{\delta}c_2\hat{M}_n^{1-\mu}. \tag{3.34}$$

Substituting (3.28)–(3.34) into (3.27), and using Young’s inequality  $5\tilde{W}_n^T\hat{W}_n \leq -\frac{5}{2}\tilde{W}_n^T\tilde{W}_n + \frac{5}{2}W_n^{*T}W_n^*$ , together with Lemma 1, one has

$$\begin{aligned}
 \dot{V}_n \leq & -\sum_{\varsigma=1}^n c_1 \left(\frac{z_\varsigma^2}{K_{b_\varsigma}^2(t) - z_\varsigma^2}\right)^{1+\frac{\mu}{2}} - \sum_{\varsigma=1}^n c_2 \left(\frac{z_\varsigma^2}{K_{b_\varsigma}^2(t) - z_\varsigma^2}\right)^{1-\frac{\mu}{2}} \\
 & - \Lambda_n\|\varepsilon\|^2 + \bar{N}_n - \sum_{\varsigma=1}^n \tilde{W}_\varsigma^T\tilde{W}_\varsigma + \sum_{\varsigma=1}^n \delta c_1\tilde{M}_\varsigma\hat{M}_\varsigma^{1+\mu} + \sum_{\varsigma=1}^n \bar{\delta}c_2\tilde{M}_\varsigma\hat{M}_\varsigma^{1-\mu},
 \end{aligned} \tag{3.35}$$

where  $\Lambda_n = \Lambda_{n-1} - \frac{1}{2}l_n^2$ ,  $\bar{N}_n = \bar{N}_{n-1} + 0.2785\tau_n + \frac{5}{2}W_n^{*T}W_n^* + \frac{1}{2} + \frac{U^2}{2}$ .

For the above control design, a schematic diagram of the developed method is shown in Figure 1.



**Figure 1.** Schematic diagram of the developed control scheme.

**Theorem 2.** For the auxiliary compensation system (3.2), under Assumptions 1–3 and the proposed adaptive control scheme, the compensation signal  $v_i$  enters the bounded set  $\Omega_2 = \{v = [v_1, \dots, v_n]^T : V_v \leq \frac{2\mu T_d \Delta_v}{\pi}\}$  within the predefined time  $T_d$ .

*Proof.* Consider the Lyapunov function

$$V_v = \sum_{\varsigma=1}^n \frac{1}{2} v_{\varsigma}^2. \quad (3.36)$$

With the help of (3.2), the expression for the time derivative of  $V_v$  is obtained as follows:

$$\dot{V}_v = \sum_{\varsigma=1}^n v_{\varsigma} \dot{v}_{\varsigma} \leq \sum_{\varsigma=1}^n \left( v_{\varsigma} (\lambda_{\varsigma+1,c} - \alpha_{\varsigma}) - \frac{2\pi}{\mu T_d 2^{1+\frac{\mu}{2}}} v_{\varsigma}^{2+\mu} - \frac{\bar{\eta}\pi}{\mu T_d 2^{1-\frac{\mu}{2}}} v_{\varsigma}^{2-\mu} \right). \quad (3.37)$$

Based on Lemma 7, one has

$$v_{\varsigma} (\lambda_{\varsigma+1} - \alpha_{\varsigma}) \leq \Gamma_{\sigma} v_{\varsigma} \leq \frac{\pi}{\mu T_d} \left( \frac{1}{2} v_{\varsigma}^2 \right)^{1+\frac{\mu}{2}} + \frac{(1+\mu)\Gamma_{\sigma}}{2+\mu} \left( \frac{\pi(2+\mu)}{\Gamma_{\sigma}\mu T_d 2^{1-\frac{\mu}{2}}} \right)^{-\frac{1}{1-\mu}}, \quad (3.38)$$

where  $\Gamma_{\sigma} > 0$ .

Substituting (3.38) into (3.37), we have

$$\begin{aligned} \dot{V}_v &\leq \sum_{\varsigma=1}^n \left( -\frac{\pi}{\mu T_d} \left( \frac{1}{2} v_{\varsigma}^2 \right)^{1+\frac{\mu}{2}} - \frac{\bar{\eta}\pi}{\mu T_d} \left( \frac{1}{2} v_{\varsigma}^2 \right)^{1-\frac{\mu}{2}} + \frac{(1+\mu)\Gamma_{\sigma}}{2+\mu} \left( \frac{\pi(2+\mu)}{\Gamma_{\sigma}\mu T_d 2^{1-\frac{\mu}{2}}} \right)^{-\frac{1}{1-\mu}} \right) \\ &\leq -\frac{\pi}{\mu T_d} V_v^{1+\frac{\mu}{2}} - \frac{\bar{\eta}\pi}{\mu T_d} V_v^{1-\frac{\mu}{2}} + \Delta_v, \end{aligned} \quad (3.39)$$

where  $\Delta_v = \sum_{\varsigma=1}^n \frac{(1+\mu)\Gamma_{\sigma}}{2+\mu} \left( \frac{\pi(2+\mu)}{\Gamma_{\sigma}\mu T_d 2^{1-\frac{\mu}{2}}} \right)^{-\frac{1}{1-\mu}}$ .

According to Lemma 3, it can be proved that the filter compensation signal  $v_i$  converges to the bounded set  $\Omega_2$  within the predefined time  $T_d$ .

**Theorem 3.** Consider the uncertain nonlinear system (2.1). Under Assumptions 1–3, together with Theorems 1 and 2, and Lemmas 1–8, the fuzzy observer (2.7), predefined-time filter (2.14), virtual and actual control laws (3.10), (3.20), and (3.31), adaptation laws (3.12), (3.13), (3.22), (3.23), (3.33), (3.34), and compensation system (3.2) guarantee that:

- i. the prescribed state constraints are not violated for all  $t \geq 0$ ;
- ii. the tracking error enters a small neighborhood of the origin no later than the predefined time  $T_d$ ;
- iii. all signals in the closed-loop system remain bounded.

*Proof.* According to Lemma 4, for each  $\varsigma = 1, \dots, n$ , one has

$$\begin{aligned} \tilde{M}_{\varsigma} \hat{M}_{\varsigma}^{1+\mu} &\leq \frac{1}{\delta} (M_{\varsigma}^{*\delta} - \hat{M}_{\varsigma}^{\delta}) \leq \frac{1}{\delta} (2M_{\varsigma}^{*\delta} - \tilde{M}_{\varsigma}^{\delta}), \\ \tilde{M}_{\varsigma} \hat{M}_{\varsigma}^{1-\mu} &\leq \frac{1}{\delta} (M_{\varsigma}^{*\bar{\delta}} - \hat{M}_{\varsigma}^{\bar{\delta}}) \leq \frac{1}{\delta} (2M_{\varsigma}^{*\bar{\delta}} - \tilde{M}_{\varsigma}^{\bar{\delta}}). \end{aligned} \quad (3.40)$$

According to Lemma 2 in [27], one has

$$-\frac{z_i^2}{K_{b_i}^2(t) - z_i^2} \leq -\log \frac{K_{b_i}^2(t)}{K_{b_i}^2(t) - z_i^2}. \quad (3.41)$$

Substituting (3.40) and (3.41) into (3.35), and choosing the design parameters such that  $\Lambda_n \geq 2\lambda_{\max}(S)$ , yields

$$\begin{aligned} \dot{V}_n \leq & -\sum_{\varsigma=1}^n c_1 \left( \log \frac{K_{b_\varsigma}^2(t)}{K_{b_\varsigma}^2(t) - z_\varsigma^2} \right)^{1+\frac{\mu}{2}} - \sum_{\varsigma=1}^n c_2 \left( \log \frac{K_{b_\varsigma}^2(t)}{K_{b_\varsigma}^2(t) - z_\varsigma^2} \right)^{1-\frac{\mu}{2}} \\ & - \sum_{\varsigma=1}^n \tilde{W}_\varsigma^T \tilde{W}_\varsigma - 2\varepsilon^T S \varepsilon - \sum_{\varsigma=1}^n c_1 \tilde{M}_\varsigma^{2+\mu} - \sum_{\varsigma=1}^n c_2 \tilde{M}_\varsigma^{2-\mu} + N_n, \end{aligned} \quad (3.42)$$

where  $N_n = \sum_{\varsigma=1}^n c_1 \tilde{M}_\varsigma^{2+\mu} + \sum_{\varsigma=1}^n c_2 \tilde{M}_\varsigma^{2-\mu} + \bar{N}_n$ .

Furthermore, applying Lemma 5 gives

$$\begin{aligned} -2\varepsilon^T S \varepsilon & \leq -(\varepsilon^T S \varepsilon)^{1-\frac{\mu}{2}} - (\varepsilon^T S \varepsilon)^{1+\frac{\mu}{2}} + C_\varepsilon, \\ -\tilde{W}_\varsigma^T \tilde{W}_\varsigma & \leq -\left(\frac{1}{2} \tilde{W}_\varsigma^T \tilde{W}_\varsigma\right)^{1-\frac{\mu}{2}} - \left(\frac{1}{2} \tilde{W}_\varsigma^T \tilde{W}_\varsigma\right)^{1+\frac{\mu}{2}} + C_W, \end{aligned} \quad (3.43)$$

where  $C_\varepsilon = \frac{\mu}{2} \left(1 - \frac{\mu}{2}\right)^{\frac{2-\mu}{\mu}} + \bar{\varepsilon}^{1+\mu/2}$ ,  $C_W = \frac{\mu}{2} \left(1 - \frac{\mu}{2}\right)^{\frac{2-\mu}{\mu}} + \left(\frac{\bar{W}_\varsigma}{2}\right)^{1+\mu/2}$ , and  $\bar{\varepsilon}$  and  $\bar{W}_\varsigma$  are positive constants satisfying  $\|\varepsilon\| \leq \bar{\varepsilon}$ ,  $\|\tilde{W}_\varsigma\| \leq \bar{W}_\varsigma$ .

Substituting (3.43) into (3.42), and choosing the design parameters such that  $\mu T_d \geq \max\{\eta\pi, \bar{\eta}\pi\}$ , one obtains

$$\begin{aligned} \dot{V}_n \leq & -\frac{\eta\pi}{\mu T_d} \left[ (\varepsilon^T S \varepsilon)^{1+\frac{\mu}{2}} + \sum_{\varsigma=1}^n \left( \frac{1}{2} \log \frac{K_{b_\varsigma}^2(t)}{K_{b_\varsigma}^2(t) - z_\varsigma^2} \right)^{1+\frac{\mu}{2}} + \sum_{\varsigma=1}^n \left( \frac{1}{2} \tilde{W}_\varsigma^T \tilde{W}_\varsigma \right)^{1+\frac{\mu}{2}} + \sum_{\varsigma=1}^n \left( \frac{1}{2} \tilde{M}_\varsigma^2 \right)^{1+\frac{\mu}{2}} \right] \\ & - \frac{\bar{\eta}\pi}{\mu T_d} \left[ (\varepsilon^T S \varepsilon)^{1-\frac{\mu}{2}} + \sum_{\varsigma=1}^n \left( \frac{1}{2} \log \frac{K_{b_\varsigma}^2(t)}{K_{b_\varsigma}^2(t) - z_\varsigma^2} \right)^{1-\frac{\mu}{2}} + \sum_{\varsigma=1}^n \left( \frac{1}{2} \tilde{W}_\varsigma^T \tilde{W}_\varsigma \right)^{1-\frac{\mu}{2}} + \sum_{\varsigma=1}^n \left( \frac{1}{2} \tilde{M}_\varsigma^2 \right)^{1-\frac{\mu}{2}} \right] + \bar{N}, \end{aligned} \quad (3.44)$$

where  $\bar{N} = N_n + C_\varepsilon + \sum_{\varsigma=1}^n C_{W,\varsigma}$ .

Then, by Lemma 6, it follows that

$$\begin{aligned} \dot{V}_n & \leq -\frac{\pi}{\mu T_d} \left[ \varepsilon^T S \varepsilon + \sum_{\varsigma=1}^n \frac{1}{2} \log \frac{K_{b_\varsigma}^2(t)}{K_{b_\varsigma}^2(t) - z_\varsigma^2} + \sum_{\varsigma=1}^n \frac{1}{2} \tilde{W}_\varsigma^T \tilde{W}_\varsigma + \sum_{\varsigma=1}^n \frac{1}{2} \tilde{M}_\varsigma^2 \right]^{1+\frac{\mu}{2}} \\ & - \frac{\bar{\eta}\pi}{\mu T_d} \left[ \varepsilon^T S \varepsilon + \sum_{\varsigma=1}^n \frac{1}{2} \log \frac{K_{b_\varsigma}^2(t)}{K_{b_\varsigma}^2(t) - z_\varsigma^2} + \sum_{\varsigma=1}^n \frac{1}{2} \tilde{W}_\varsigma^T \tilde{W}_\varsigma + \sum_{\varsigma=1}^n \frac{1}{2} \tilde{M}_\varsigma^2 \right]^{1-\frac{\mu}{2}} + \bar{N} \\ & = -\frac{\pi}{\mu T_d} V_n^{1+\frac{\mu}{2}} - \frac{\bar{\eta}\pi}{\mu T_d} V_n^{1-\frac{\mu}{2}} + \bar{N}. \end{aligned} \quad (3.45)$$

According to (3.45) and Lemma 3, the closed-loop system is practically predefined-time stable. Specifically, for all  $t \geq T_d$ , the trajectory of the closed-loop system is guaranteed to converge to a small neighborhood of the origin defined by the residual set  $\Omega_3 = \{V_n([\varepsilon, z, \tilde{W}, \tilde{M}]) : V_n \leq \frac{2\mu T_d \bar{N}}{\pi}\}$ . This implies that the observation errors  $\varepsilon_i$ , the compensated errors  $z_i$ , and the adaptive estimation errors  $\tilde{W}_i$  and  $\tilde{M}_i$  remain within a neighborhood of zero for all  $t \geq T_d$ . Since  $\tilde{W}_i = W_i^* - \hat{W}_i$  and  $\tilde{M}_i = M_i^* - \hat{M}_i$  with  $W_i^*$  and  $M_i^*$  being constants, the boundedness of the adaptive laws  $\hat{W}_i$  and  $\hat{M}_i$  is also ensured.

Furthermore, by Theorems 1 and 2, the filter errors  $\sigma_i$  and the compensation signals  $v_i$  are bounded. Given that  $s_i = z_i + v_i$ , it can be concluded that the tracking errors  $s_i$  also converge to a small neighborhood of the origin within the predefined time  $T_d$ . Consequently, based on the recursive design of the controller and filter definitions, all remaining closed-loop signals, including  $\alpha_i, \lambda_i, \hat{x}_i, x_i, \chi_u$ , and  $v$ , are practically predefined-time stable. Therefore, all signals in the closed-loop system remain bounded and converge to their respective residual sets within the predefined time  $T_d$ .

Subsequently, a further analysis is conducted to ensure that the state variables do not violate the prescribed constraint boundaries. From the aforementioned proof, it can be concluded that there exist positive constants  $\Gamma_{v_i}, \Gamma_{\lambda_i}$ , and  $\Gamma_{\varepsilon_i}$  such that  $|v_i| < \Gamma_{v_i}, |\lambda_{i+1,c}| < \Gamma_{\lambda_i}, |\varepsilon_i| < \Gamma_{\varepsilon_i}$ , and  $|\sigma_i| < \Gamma_{\sigma_i}$ . Based on (3.1) and (3.3), we have  $|x_1| = |z_1| + |y_r| + |v_1|$ . In light of Assumption 3, the following inequality holds:  $|x_1| \leq K_{b_1}(t) + R_0 + \Gamma_{v_1}$ . Let  $K_{B_1}(t) = K_{b_1}(t) + R_0 + \Gamma_{v_1}$ , and then we can get  $|x_1| < K_{B_1}(t)$ . By combining (2.8), (3.1), and (3.3), it follows that  $|x_2| = |z_2| + |\lambda_{2,c}| + |v_2| + |\varepsilon_2| \leq K_{b_2}(t) + \Gamma_{\lambda_1} + \Gamma_{v_2} + \Gamma_{\varepsilon_2}$ . Let  $K_{B_2}(t) = K_{b_2}(t) + \Gamma_{\lambda_1} + \Gamma_{v_2} + \Gamma_{\varepsilon_2}$ . Then we can get  $|x_2| < K_{B_2}(t)$ . Likewise, it can be proved that  $|x_i| < K_{B_i}(t), i = 1, 2, \dots, n$ .

**Remark 4.** Two possible extensions of the present work may be of interest for future research. First, replacing the proportional-type fuzzy observer with a proportional-integral-type, one may further improve the estimation performance [31]. Besides, extending the present continuous-time design to an event-triggered implementation is also worth investigating for reducing communication and computation burden [32, 33].

#### 4. Simulation results

To verify the effectiveness of the proposed control scheme, simulation studies are carried out on an RLC circuit system [17]. The corresponding dynamic model is described by:

$$\begin{cases} \dot{\varphi}_q = \frac{1}{L} \mathfrak{I}_L + w_1(t), \\ \dot{\mathfrak{I}}_L = u(v) - \frac{1}{C} \varphi_q - \frac{R}{L} \mathfrak{I}_L + w_2(t), \end{cases} \quad (4.1)$$

where  $\varphi_q$  denotes the capacitor charge,  $\mathfrak{I}_L$  denotes the inductor current, and  $w_1(t)$  and  $w_2(t)$  are unknown bounded disturbances. The system parameters are listed in Table 1.

**Table 1.** System parameters of the RLC circuit.

Parameters	Value
Inductance	$L = 1 \text{ H}$
Capacitance	$C = 10 \text{ F}$
Resistance	$R = 1 \text{ } \Omega$

The actuator is subject to asymmetric saturation, and the actual control input is described by

$$u(v) = \begin{cases} 2, & v(t) > 2, \\ v(t), & -1 \leq v(t) \leq 2, \\ -1, & v(t) < -1. \end{cases} \quad (4.2)$$

Let  $y = x_1 = \varphi_q$ ,  $x_2 = \mathfrak{I}_L$ . Then, the RLC circuit model (4.1) can be rewritten in the form of (2.1) as

$$\begin{cases} \dot{x}_1 = \frac{1}{L}x_2 + g_1(x) + w_1(t), \\ \dot{x}_2 = u(v) + g_2(x) + w_2(t), \end{cases} \quad (4.3)$$

where  $g_1(x) = 0$ ,  $g_2(x) = -\frac{1}{C}x_1 - \frac{R}{L}x_2$ , and the disturbances are selected as  $w_1(t) = 0.1 \sin(x_1) + 0.05 \sin(t)$ ,  $w_2(t) = 0.075 \cos(0.5t)$ .

To approximate the unknown nonlinear functions, the following Gaussian membership functions are selected:

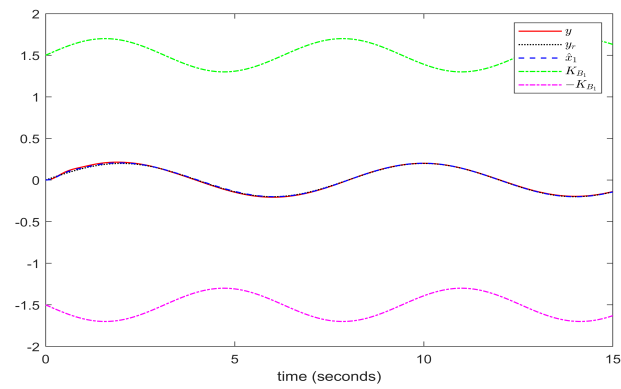
$$\begin{aligned} \mu_{A_j^1} &= e^{-\frac{(\hat{x}_j+1.5)^2}{2}}, \mu_{A_j^2} = e^{-\frac{(\hat{x}_j+1)^2}{2}}, \mu_{A_j^3} = e^{-\frac{(\hat{x}_j+0.5)^2}{2}}, \\ \mu_{A_j^4} &= e^{-\frac{\hat{x}_j^2}{2}}, \mu_{A_j^5} = e^{-\frac{(\hat{x}_j-0.5)^2}{2}}, \mu_{A_j^6} = e^{-\frac{(\hat{x}_j-1)^2}{2}}, \\ \mu_{A_j^7} &= e^{-\frac{(\hat{x}_j-1.5)^2}{2}}, j = 1, 2. \end{aligned}$$

The reference signal is chosen as  $y_r = 0.2 \sin\left(\frac{\pi t}{4}\right)$ . The initial conditions are selected as  $x_1(0) = 0$ ,  $x_2(0) = 0$ ,  $\hat{M}_1(0) = 0.15$ ,  $\hat{M}_2(0) = 0.2$ ,  $\hat{W}_1(0) = 0.1$ ,  $\hat{W}_2(0) = 0.2$ . The main design parameters are given in Table 2.

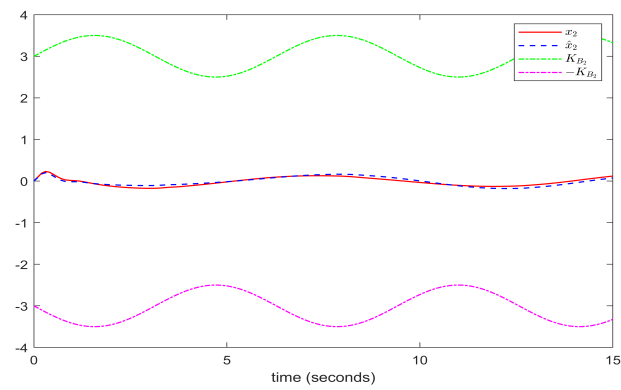
**Table 2.** Control parameters.

Parameters	Value	Parameters	Value
$\tau_1$	0.001	$l_1$	10
$\tau_2$	0.001	$l_2$	12
$\mu_1$	6	$\rho_1$	100
$\mu_2$	25	$T_d$	4
$K_{B_1}(t)$	$0.2 \sin t + 1.5$	$K_{B_2}(t)$	$0.5 \sin t + 2$

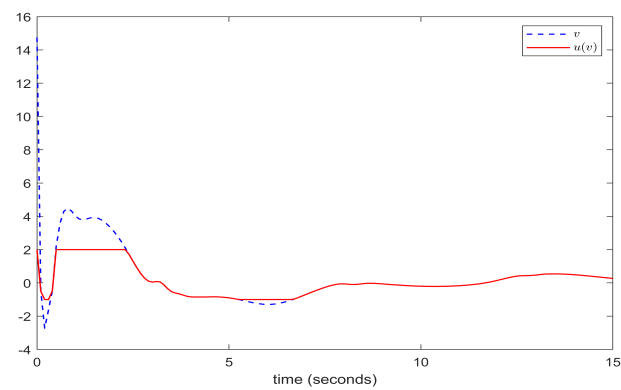
The simulation results under the nominal condition are shown in Figures 2–5. Figure 2 presents the trajectories of the output  $y$ , the reference signal  $y_r$ , and the observer state  $\hat{x}_1$ . It can be seen that the system output tracks the reference signal well while remaining within the prescribed bound. Figure 3 shows the trajectories of  $x_2$  and  $\hat{x}_2$ , which verifies the effectiveness of the fuzzy observer. The actual actuator input  $u(v)$  and the designed control input  $v$  are shown in Figure 4, and the adaptive estimates are shown in Figure 5. These results confirm that the proposed controller achieves satisfactory tracking performance while respecting the actuator saturation and state constraints.



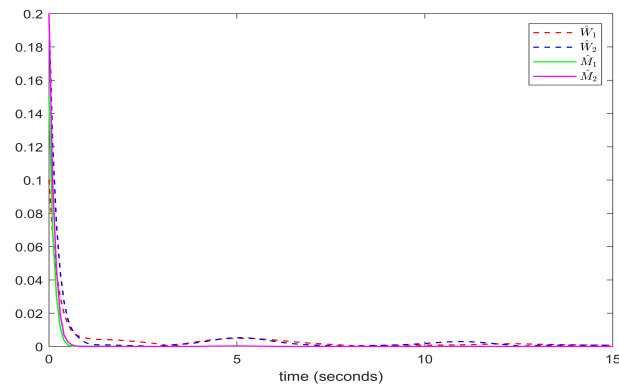
**Figure 2.** Trajectories of  $y$ ,  $y_r$ , and  $\hat{x}_1$  under the prescribed constraint.



**Figure 3.** Trajectories of  $x_2$  and  $\hat{x}_2$  under the prescribed constraint.



**Figure 4.** Trajectories of the actual actuator input  $u(v)$  and the designed control input  $v$ .

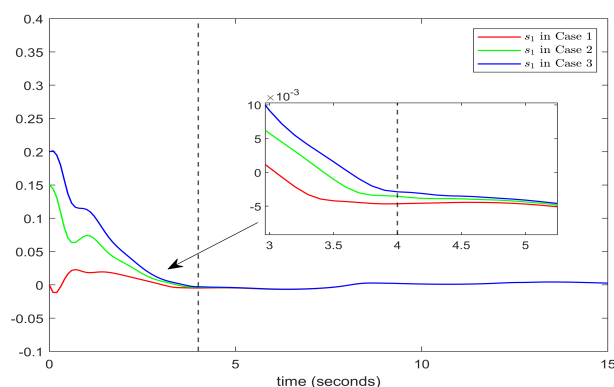


**Figure 5.** Trajectories of the adaptive estimates.

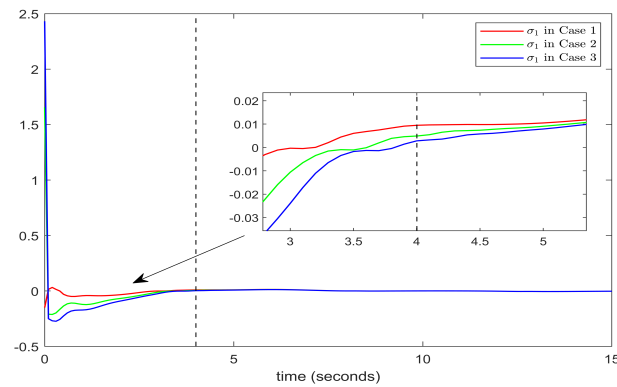
To further illustrate that the proposed predefined-time control method is insensitive to the initial conditions, a set of comparative simulations is performed by varying only the initial states, while keeping all controller parameters unchanged. The following three cases are considered: Case 1:  $[x_1(0), x_2(0)]^T = [0, 0]^T$ ; Case 2:  $[x_1(0), x_2(0)]^T = [0.15, 0.1]^T$ ; Case 3:  $[x_1(0), x_2(0)]^T = [0.2, 0.15]^T$ .

The corresponding results for the tracking error  $s_1$  and the filtering error  $\sigma_1$  are shown in Figures 6 and 7, respectively. It can be observed that, under different initial conditions, both the tracking error and the filtering error converge rapidly before the prescribed predefined time  $T_d = 4$ . This demonstrates that the proposed control strategy is insensitive to the initial conditions and possesses the desired predefined-time convergence property.

In addition, to evaluate the effect of the design parameters on the control performance, comparative simulations are performed under different predefined times  $T_d$  and different values of  $\mu$ , while fixing the initial conditions at  $x_1(0) = 0$ ,  $x_2(0) = 0$ . The following parameter settings are considered: Case 4:  $T_d = 4$ ; Case 5:  $T_d = 7$ ; Case 6:  $T_d = 10$ ; Case 7:  $\mu = 0.16$ ; Case 8:  $\mu = 0.24$ ; Case 9:  $\mu = 0.32$ . For Cases 4–6, the parameter  $\mu = 0.24$  is kept unchanged. For Cases 7–9, the predefined time is fixed at  $T_d = 4$ .

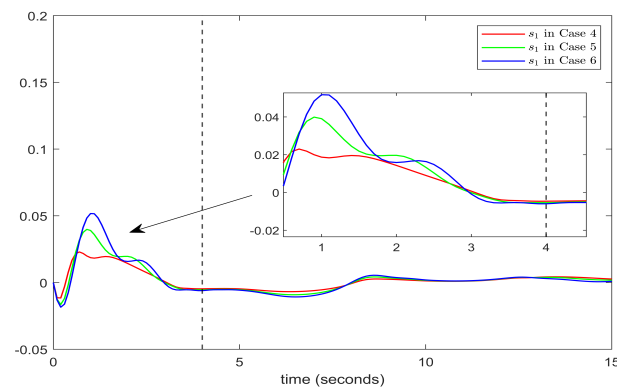


**Figure 6.** Evolution of the tracking error  $s_1$  under different initial conditions.

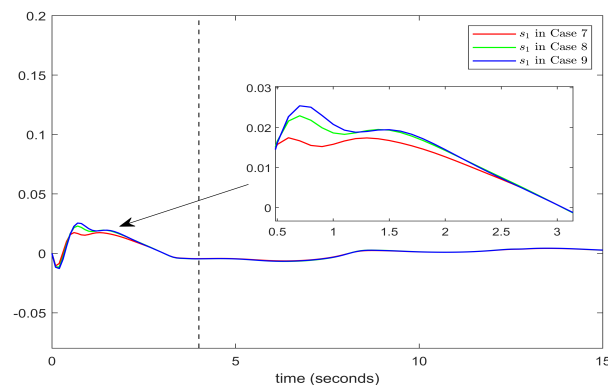


**Figure 7.** Evolution of the filtering error  $\sigma_1$  under different initial conditions.

Figures 8 and 9 depict the evolution of the tracking error  $s_1$  under different values of  $T_d$  and  $\mu$ , respectively. The results show that, for all tested parameter settings, the tracking error enters a small neighborhood of the origin before the prescribed predefined time. Moreover, when the other parameters are fixed, smaller values of  $T_d$  and  $\mu$  generally lead to faster convergence and smaller transient fluctuations, which agrees well with the theoretical analysis.



**Figure 8.** Trajectories of the tracking error  $s_1$  under different predefined times  $T_d$ .



**Figure 9.** Trajectories of the tracking error  $s_1$  under different values of  $\mu$ .

Compared with many existing studies that consider only part of the above issues, the present example is used to validate the proposed method under the simultaneous presence of output-

feedback estimation, time-varying state constraints, asymmetric actuator saturation, and predefined-time tracking requirements.

Overall, the simulation results confirm that the proposed method achieves predefined-time tracking while maintaining the state trajectories within the prescribed constraint bounds.

## 5. Conclusions

This paper has investigated the adaptive tracking control problem for a class of uncertain nonlinear systems subject to time-varying state constraints and input saturation. By combining fuzzy approximation, fuzzy state observation, command-filter-based recursive design, and a time-varying barrier Lyapunov function, a predefined-time adaptive control scheme has been developed. An auxiliary compensation mechanism has been introduced to reduce the effect of filtering errors, and a smooth saturation decomposition together with an auxiliary signal have been incorporated to handle the mismatch caused by actuator saturation. It has been shown that all closed-loop signals remain bounded, the prescribed state constraints are not violated, and the tracking error enters a small neighborhood of the origin within the predefined time. Simulation results based on an RLC circuit example have verified the effectiveness of the proposed method. The present results may provide useful guidance for nonlinear engineering systems in which only output information is available, while state constraints, actuator saturation, and prescribed transient-performance requirements must be handled simultaneously. Typical application scenarios include circuit systems, robotic systems, and other actuator-limited nonlinear plants. Future work will further investigate more complex practical settings and experimental validation.

## Use of AI tools declaration

The authors declare they have not used Artificial Intelligence (AI) tools in the creation of this article.

## Conflict of interest

The authors declare there are no conflicts of interest.

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