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*Review*

## **Nuclear neurotransmitter molecular imaging of autism spectrum disorder**

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**Abstract:** Autism spectrum disorder (ASD) is a group of developmental disabilities characterized by marked deficits in social communication and interaction, including limited and repetitive patterns of behavior. We selected key nuclear neurotransmitter molecular imaging reports of ASD by combining “autism” AND “positron” AND “dopamine” OR “serotonin” OR “glutamate” OR “GABA” utilizing databases as follows: PubMed, Scopus, Web of Science, Science Direct, and Google Scholar. This review reports important findings in ASD utilizing positron emission tomography (PET) and single-photon emission computed tomography (SPECT). We studied major neurotransmitter systems, dopamine, serotonin, glutamate, and gamma-aminobutyric acid (GABA). Dopamine neurotransmission was decreased in the anterior medial prefrontal cortex in children with autism. Dopamine transporter was increased in the orbital frontal cortex of adults with ASD and decreased in the striatum of children with ASD. Decreased tryptophan metabolism, an estimate of serotonin synthesis, (A) in left frontal cortex correlated with severe language impairment and (B) in the right frontal cortex correlated with left and mixed handedness. Although not confirmed by some investigators, serotonin transporter was decreased in the cingulate, the medial frontal cortex, the midbrain, and the temporal lobes. Serotonin receptors were decreased in the thalamus in individuals with ASD and in the cortices of parents of children with ASD. Metabotropic glutamate receptor subtype 5 (mGluR<sub>5</sub>) was increased in the post-central gyrus and the cerebellum of men with autism. PET studies for GABA did not differentiate people with ASD from controls. The increasing incidence of ASD and the inconsistent findings of different nuclear molecular imaging studies are evidence for the urgent need for further investigations utilizing nuclear molecular imaging to identify the key neurophysiological mechanisms underlying the pathophysiology of ASD.

**Keywords:** cortex; dopamine; gamma-aminobutyric acid; glutamate; midbrain; positron emission tomography; serotonin; single-photon emission computed tomography; striatum; thalamus

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## 1. Introduction

### 1.1. Autism Spectrum Disorder (ASD)

Autism spectrum disorder (ASD) is a developmental disability characterized by marked deficits in social communication and interaction, including limited and repetitive patterns of behavior. The term spectrum indicates the wide range of symptoms and severities associated with the condition. According to a report published by Centers for Disease Control and Prevention (CDC) [1], the prevalence of ASD among children 8 years of age was 16.8 per 1,000 (one in 59) for 2014 in the USA. The prevalence of ASD varied by race, ethnicity and gender. Males were four times more likely than females to be identified with the condition. Also, when non-Hispanic white children were compared with non-Hispanic black children, the prevalence was higher for non-Hispanic white children. Similarly when the groups are compared all together, ASD was found to be more prevalent in non-Hispanic white children and non-Hispanic black children than in Hispanic children [2].

#### 1.1.1. Causes of ASD

Although ASD has multiple possible causes, many cases are idiopathic. ASD can be associated with genetic, environmental, or idiopathic influences. ASD currently includes many conditions that were previously categorized under a variety of terms including autistic disorder, Asperger's syndrome [3], pervasive developmental disorder, childhood disintegrative disorder (Heller syndrome), fragile X syndrome, Rett syndrome, and tuberous sclerosis [4]. Some of the other risk factors linked with autism are genetic mutations, having an immediate family member with autism, genetic disorders, older parents, exposure to environmental toxins and heavy metals, low birth weight, fetal exposure to valporic acid or thalidomide, metabolic imbalances, and a history of viral infections. Both genetics and environmental influences likely predispose a person to develop autism.

#### 1.1.2. Symptoms of ASD

ASD typically begins in early childhood. The symptoms often present by 12 months to 18 months of age or earlier [5]. The word spectrum is used because there is a wide range of similar features in individuals with the condition. Some may have milder symptoms, while others may have more severe symptoms. Despite the variability of symptoms from person to person, there are certain behaviors and actions which are common. The salient signs and symptoms include problems with communication, social interactions, and a restricted range of behaviors and interests [4,6]. Symptoms are divided into two main categories as follows [7]:

Issues with communication and social interactions. These include:

- Problems with communication, includes difficulty sharing emotions and interests or keeping up with a back and forth conversation;
- Problems with non-verbal communication, includes trouble with eye contact or reading body language;
- Problems with developing and keeping up with relationships [7].

Problems due to restricted or repetitive patterns of behavior. These include:

- Repetition of movements, motions, or speech patterns.
- Addiction to specific behaviors or routines;
- Sensitivity to a specific sensory information;
- Fixed pre-occupations [7].

An individual must display all three symptoms in first category and atleast two symptoms in the second category to be diagnosed with ASD. A marked delay in language or social development may be an early symptom of ASD. A subset of people with ASD can present with repetitive adventitious movements [8–11], which may include hyperkinetic disorders [12,13], self-injurious behaviors (SIBs), and catatonia [14–17].

### 1.1.3. Diagnosis and clinical assessment of ASD

The diagnosis is suggested by the history and confirmed by the interview and examination of the patient and the parent [4,18]. The updated criteria for ASD diagnosis are published in the Diagnostic and Statistical Manual of Mental Disorders, Fifth Edition (DSM-5) [19]. Many tools have been used to diagnose autism such as the Autism Diagnostic Interview-Revised (ADI-R) [20,21], the Autism Diagnostic Observation Schedule (ADOS) [22,23], the Childhood Autism Rating Scale (CARS) [24], and the Gilliam Autism Scale [25]. For clinical diagnosis, the ADI-R [20,21], a structured maternal interview, and the ADOS [22,23], a structured interactive activity with the participant with or without the parent, remain the gold standard [18]. The tool which fits the revised DSM-5 criteria the best is the ADOS-2 [5,23]. Since inheritance plays a role in the pathogenesis of ASD, genetic influences are crucial to identify in ASD. Additionally, neurotransmitter systems are crucial components of the pathophysiology of ASD. Because the genetics of ASD and the neurotransmitter systems in ASD are complex topics that merit separate articles, they are beyond the scope of this article.

### 1.1.4. Imaging for ASD

Neuroimaging techniques are mainly divided into structural and functional [26,27]. Structural techniques include radiographs (x-rays), computed tomography (CT), magnetic resonance imaging (MRI), and diffusion tensor imaging (DTI) [27]. Functional techniques, include the nuclear neuroimaging tools of positron emission tomography (PET) and single-photon emission computed tomography (SPECT) [27], and various magnetic resonance techniques to facilitate visualization of metabolic components of the nervous system [18,26,27]. This article will focus on nuclear molecular neuroimaging techniques, specifically, PET and SPECT [18,26–29].

## 1.2. Nuclear molecular imaging

This article will focus on positron emission tomography (PET) and single-photon emission computed tomography (SPECT). PET and SPECT play key roles in nuclear molecular imaging because of their high sensitivity, good spatial resolution, and penetration depth [30]. For definitions of nuclear molecular imaging terminology, please refer to Figure 1.

## Glossary of Terms

Antagonist	A chemical compound whose physiological effect is the opposite of the effect created by the original molecule. For example, a <i>dopamine</i> antagonist has the opposite physiological effects from those of dopamine.
Atom	A chemical unit of matter.
Axon	A long nerve fiber extending from the body of the <i>neuron</i> .
Computerized tomography (CT)	A computer-assisted technique that generates visual cross-sectional images by recording a rotating x-ray beam passing through the body.
Cyclotron	A machine that creates radioactive compounds.
Diffusion tensor imaging (DTI)	A technique for examining the integrity of the microstructures of tissues, including <i>axons</i> .
Dopamine	An excitatory <i>neurotransmitter</i> that plays a role in the reward system in the brain.
Electron	A negatively charged particle within an <i>atom</i> .
Emission	The release of radioactivity from a radioactive source.
Excitatory neurotransmitter	A <i>neurotransmitter</i> that promotes the generation of a new nerve signal in the signal-receiving <i>neuron</i> .
[ <sup>18</sup> F]fluorodeoxyglucose (FDG)	A <i>radiotracer</i> used to assess utilization of the sugar glucose by the body and the brain.
Functional imaging	Techniques for obtaining images that represent physiological and metabolic processes performed by the organs of the body.
Gamma-aminobutyric acid (GABA)	An inhibitory <i>neurotransmitter</i> .
Glutamate	An excitatory <i>neurotransmitter</i> .
Gray matter	Portions of the nervous system with a gray color; the gray matter primarily contains the bodies of nerve cells.
Half-life	The time during which the radioactivity contained in a compound decreases by one-half.
Inhibitory neurotransmitter	A <i>neurotransmitter</i> that prevents the generation of a new nerve signal in the signal-receiving <i>neuron</i> .
Magnetic resonance imaging (MRI)	A computer-assisted technique for creating cross-sectional images by exposing a body to radio waves in the presence of a powerful magnetic field and measuring signals emitted by certain <i>atoms</i> in the affected area in response to this treatment.
Metabolism	The sum of all biochemical processes in a living organism; also the production and breakdown of a given compound.
Myelin	Protective covering of neurons that facilitates cell-to-cell communication.
Neuroimaging	Visual representation of the nervous system.
Neuron	A nerve cell.
Neurotransmitter	A chemical (e.g., <i>dopamine</i> , <i>GABA</i> ) that conveys a signal from one <i>neuron</i> to another.
Nucleus	The positively charged, dense center of an <i>atom</i> that contains most of the weight of the atom; contains <i>positrons</i> .
Photon	A particle of light.
Positron	A positively charged particle located in the nucleus of an <i>atom</i> ; has the same weight as an <i>electron</i> .
Positron emission tomography (PET)	A computer-assisted technique for generating cross-sectional images of a body by measuring the radioactivity released by <i>radiotracers</i> within a body.
Radiotracer	A radioactive compound administered to a body in order to localize specific chemicals in the body.
Receptor	A complex of one or more proteins on the surface of a cell that binds to a specific chemical (e.g., a <i>neurotransmitter</i> ).
Regional cerebral blood flow (rCBF)	The flow of blood through a part of the brain.
Resolution	The smallest detectable distance between two points.
Single-photon emission computed tomography (SPECT)	A computer-assisted technique for generating cross-sectional images of a body combining the use of <i>radiotracers</i> with the computer technology used in computed <i>tomography</i> .
Structural imaging	An imaging technique for analyzing the anatomic relationships of organs, cells, and subcellular structures.
Superior frontal cortex	The layer of nerve cells covering the upper surface of the front of the brain.
Tomography	The visual presentation of cross-sectional slices through an object.
White matter	Portions of the nervous system with a whitish color; consists primarily of the <i>axons</i> of nerve cells that are wrapped by the whitish protein <i>myelin</i> .

**Figure 1.** Glossary of brain imaging nomenclature encountered by clinicians [31].

### 1.2.1. Positron emission tomography (the Molecular Basis of Positron Emission Tomography [31])

Positrons and electrons are some of the tiny particles that make up atoms. As the name implies, positrons carry a positive electrical charge whereas electrons carry a negative electrical charge. Positrons are contained within the nucleus of each atom and can be released from atoms during the decay of unstable, radioactive atoms or molecules. The positrons can then be detected by scanners with sensitive cameras. Radioactive decay is the basis of PET technology.

The radioactive compounds required for PET (also called radiotracers) are generated in a cyclotron—a sophisticated machine to damage the nuclei of chemicals. Directly after their synthesis, the PET radiotracers already begin to decay and release positrons in the process. Because the radiotracers used for PET generally decay very rapidly, PET is an extremely expensive procedure available only at selected facilities with or near cyclotrons. Small amounts of the radiotracer are injected into the participant's bloodstream, which distributes the tracer to the tissues, and the participant is placed in the PET scanner.

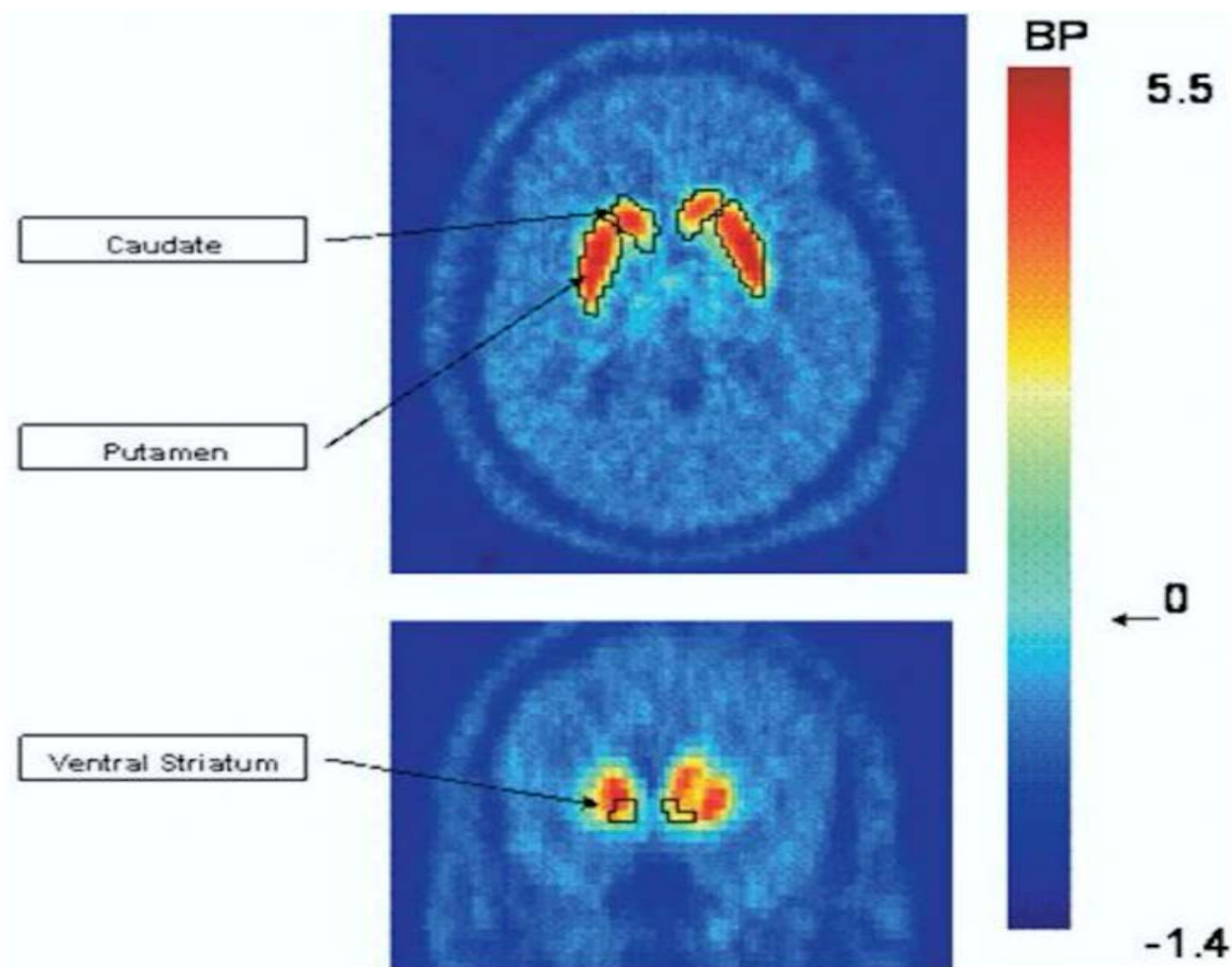
During the radioactive decay of the radiotracer, the released positrons collide with electrons, resulting in the production of two particles of light (i.e., photons). Sensors within the PET scanner detect the photons, and attached computers with sophisticated software can use this information to identify the position of the original positrons. With the help of computed tomography obtained immediately before the PET (Figure 2), the computer can then generate three-dimensional images of the source of the photons [26]. The computer also counts the collisions between positrons and electrons at each site in the brain, and these counts are proportional to the amount of radiotracer present at that site. For example, one can generate radiotracers that specifically bind to receptors for the neurotransmitters dopamine or serotonin. These radiotracers will bind to the receptors, with higher concentrations of the radiotracers accumulating in those brain regions that contain higher concentrations of the respective receptors. With this approach, investigators and clinicians can estimate the density and the distribution of particular neurotransmitter receptors in the living human brain. Currently available PET cameras can theoretically distinguish structures that are only 2 mm apart [26,31].

### 1.2.2. Single-photon emission computed tomography

SPECT differs from PET in the use of gamma (single-photon) emissions, including  $^{99m}\text{Tc}$ . SPECT has many disadvantages when compared with PET. Resolution of SPECT is inferior to PET. The quality of images for SPECT is markedly inferior to PET because the resolution, the minimal detectable distance between two points, is around 1 cm for SPECT and approaches 2 mm for PET [18].

In SPECT, less sensitive lead collimators obtain positional information from photons in pre-defined direction. Lead collimators end up decreasing sensitivity. Also sensitivity and spatial resolution are position dependent; as the depth in the body increases, they decrease. Attenuation correction methods are more burdensome as the path length through tissue is unknown. Further disadvantages include the absence of single-photon emitting isotopes of basic biological origin and the use of radionuclides that are foreign to the human body such as Tc-99m. The fate of this radionuclide is not similar to native molecules and can result in interpretation problems, i.e., for oxygen and glucose metabolism no satisfactory radiotracers are available [33]. Advantages of SPECT include the easy availability of equipment and radionuclides in nuclear medicine departments. SPECT radionuclides have longer half-life than PET. Therefore, SPECT radionuclides can be transported from distant sources for use in community health centers. PET often utilizes

radiotracers with short radionuclide half-lives requiring production in an on-site cyclotron. SPECT is more widely available than PET and is much less expensive [33].



**Figure 2.** Density and distribution of dopamine D2 receptors by positron emission tomography (PET) in a healthy 20-year-old man after the intravenous administration of 666 MBq (18mCi) [ $^{11}\text{C}$ ] raclopride. The panels represent transaxial (top) and coronal images (bottom) of striatal dopamine D2 receptors. Republished with permission of Elsevier Science and Technology Journals, from Munro et al. (2006), 59, 969; permission conveyed through Copyright Clearance Center, Inc. [32].

### 1.3. Neurotransmitters

Neurotransmitters are chemicals which are used by neurons to communicate with one another. There are many neurotransmitters which are used by body for different functions. These include excitatory neurotransmitters (acetylcholine, dopamine, glutamate, and norepinephrine) and inhibitory neurotransmitters [gamma-aminobutyric acid (GABA), glycine, and serotonin]. For further information please see Table 1.

**Table 1.** Functions of different neurotransmitters [31].

Neurotransmitter	Action in Health*
Acetylcholine	Conveys excitatory signals from one neuron to another
Adrenocorticotrophic hormone (ACTH)	Conveys signals from the pituitary gland to the adrenal gland
Beta-endorphin	Conveys signals from the pituitary gland to the adrenal gland
Gamma-aminobutyric acid (GABA)	Conveys inhibitory signals from one neuron to another
Bombesin	Conveys excitatory signals from the brain to the intestines
Cholecystokinin	Conveys excitatory signals from the brain to the intestines
Dopamine	Conveys excitatory signals from one neuron to another
Glutamate	Conveys excitatory signals from one neuron to another
Monoamine oxidase (MAO)	Catalyzes the breakdown of dopamine and serotonin
Norepinephrine	Conveys excitatory signals from one neuron to another
Peptides	Conveys excitatory signals from one neuron to another
Serotonin	Conveys excitatory signals from one neuron to another

\*Note: These actions represent the primary effects of the various neurotransmitters; however, depending on the brain region and cell type studied, each transmitter also may also have other effects.

### 1.3.1. Neurotransmitters in ASD

This article discusses studies with key excitatory (dopamine and glutamate) and inhibitory (GABA and serotonin) neurotransmitters. These four neurotransmitters have significant roles in ASD. The imbalance in the excitatory and inhibitory neurotransmitters is hypothesized to contribute to the pathophysiology of ASD. Please refer to Figure 3 for a diagrammatic representation of the key neurotransmitters.

#### (1) Dopamine

Dopamine is an excitatory neurotransmitter and plays an important role in learning, motor control, emotion, reward and executive functions [34].

#### (2) Serotonin

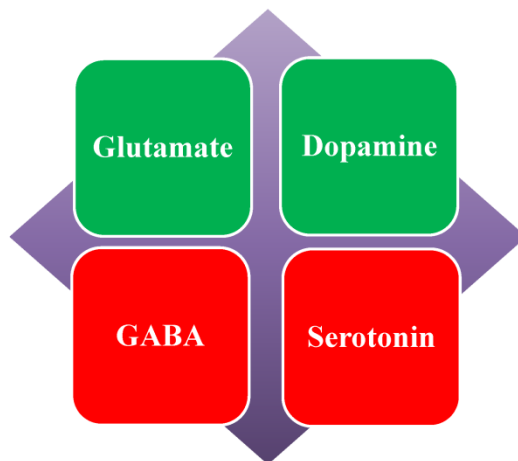
Serotonin is an inhibitory neurotransmitter and plays an important role in different neuropsychological processes and neurological activity. It helps control mood, memory, behavior, appetite, digestion, sleep, sexual desire and function [34].

#### (3) Glutamate

Glutamate is a major excitatory neurotransmitter and plays an important role in learning and memory. It is also the primary mediator of nervous system plasticity [34].

#### (4) Gamma-aminobutyric acid (GABA)

GABA is a major inhibitory neurotransmitter and is responsible for 40% of inhibitory processing in the brain [34].



**Figure 3.** Diagram of key neurotransmitters in autism spectrum disorder. Excitatory neurotransmitters are represented in green, and inhibitory neurotransmitters are represented in red.

## 2. Materials and methods

We sought to identify a comprehensive database of all potential studies of nuclear neurotransmitter molecular imaging of autism spectrum disorder.

Literature Searches:

On July 3, 2019, we performed a literature search at the Johns Hopkins Outpatient Center of the John Hopkins Hospital for all citations combining “autism” AND “positron” AND “dopamine” OR “serotonin” OR “glutamate” OR “GABA” utilizing databases as follows: PubMed, Scopus, Web of Science, Science Direct and Google Scholar.

We strictly applied objective criteria to select articles for this review. The majority of studies identified were excluded as they did not fulfill the selection criteria. We only selected human studies on nuclear neurotransmitter molecular imaging [positron emission tomography (PET) and single-photon emission computed tomography (SPECT)] for dopamine, serotonin, glutamate, and GABA in idiopathic autism spectrum disorder. We excluded studies of genetic causes of autism spectrum disorder such as fragile X syndrome, Rett syndrome, and tuberous sclerosis. We also excluded clinical trials since they are beyond the scope of this article. Therefore, we excluded studies of genetics and neurotransmitter systems, clinical trials, and reviews.

On Science Direct, 1,272 studies showed up when we search “autism” AND “positron” AND “dopamine”. Out of these many, only four were selected. 1,188 articles showed up when we searched “autism” AND “positron” AND “serotonin”. Out of these seven studies were selected. 935 studies showed up when we search “autism” AND “positron” AND “glutamate”. Out of these, only one study qualified the criteria. 848 studies showed up when searched for “autism” AND “positron” AND “GABA” and only two were selected. The majority of studies had to be excluded as they did not fulfill the criteria of our research paper.



We selected articles obtained through the searches and articles cited in the published reference lists about human nuclear neurotransmitter molecular imaging [positron emission tomography (PET) and single-photon emission computed tomography (SPECT)] of dopamine, serotonin, glutamate, and GABA in idiopathic autism spectrum disorder.

### 3. Results

#### 3.1. Dopamine

The characteristics of four potential research studies of autism spectrum disorder (ASD) and nuclear molecular imaging [positron emission tomography (PET) or single-photon emission computed tomography (SPECT)] and dopamine identified through literature searches and reference lists are summarized in Table 2. Additionally, nuclear molecular imaging of dopaminergic neurotransmission was studied in reviews [18,28,29,35,36].

##### 3.1.1. Dopamine Neurotransmission

Dopaminergic neurotransmission was decreased in the anterior medial prefrontal cortex of children with ASD [37].

##### 3.1.2. Dopamine Transporter

Although not confirmed in a cohort of children with ASD [38], dopamine transporter binding was increased in the whole brain of a cohort of children with ASD [39] and in the orbital frontal cortex of a cohort of adults with ASD [40].

#### 3.2. Serotonin

The characteristics of seven potential research studies of autism spectrum disorder (ASD) and nuclear molecular imaging [positron emission tomography (PET) or single-photon emission computed tomography (SPECT)] and serotonin articles identified through literature searches and reference lists are summarized in Table 3.

Additionally, nuclear molecular imaging of serotonergic neurotransmission was studied in reviews [18,28,29,35,36].

##### 3.2.1. Tryptophan metabolism

Serotonin synthesis was estimated by means of a radiotracer for an analog of tryptophan, the precursor of serotonin, 5-hydroxytryptophan [46,47]. However, how closely levels of the analog of tryptophan correlate with serotonin remains to be ascertained. Children with autism exhibited disrupted tryptophan metabolism [49]. Tryptophan metabolism was asymmetrical in the frontal cortex, thalamus, and dentate nucleus of the cerebellum of boys with ASD [48,50].

### 3.2.2. Serotonin transporter

Although not confirmed by some investigators [51], serotonin transporter was decreased in the cingulate [40] of adults with ASD, and in medial frontal cortex, midbrain, left and right temporal lobes in children with ASD [38].

### 3.2.3. Serotonin receptor

Although not confirmed by some investigators [51], thalamic serotonergic receptor binding was decreased in adults with ASD [52]. Parents of children with ASD also exhibited reduced serotonergic receptor binding [53].

## 3.3. *Glutamate*

The characteristics of one potential research study of autism spectrum disorder (ASD) and nuclear molecular imaging [positron emission tomography (PET) or single-photon emission computed tomography (SPECT)] and glutamate article identified through literature search and reference lists are summarized in Table 4.

### 3.3.1 Glutamate Receptor

Metabotropic glutamate receptor subtype 5 was increased in the post central gyrus and the cerebellum of men with autism [57].

## 3.4. *Gamma-aminobutyric acid (GABA)*

The characteristics of two potential research studies of autism spectrum disorder (ASD) and nuclear molecular imaging [positron emission tomography (PET) or single-photon emission computed tomography (SPECT)] and GABA articles identified through literature searches and reference lists are summarized in Table 5.

### 3.4.1. GABA Receptor

The observation of a reduction of GABA  $\alpha 5$  throughout the brain of three men with ASD [59] was not confirmed in a larger cohort [60].

**Table 2.** Characteristics of research articles reporting nuclear dopaminergic molecular imaging of autism spectrum disorder (ASD).

Study	Reference	Autism Spectrum Disorder Subtype	Sample Size	Radiotracer	Diagnostic criteria	Rating Scale	IQ	Conclusion
Case control	Ernest et al, 1997 [37]	Autism	14 medication-free children with autism aged 13 years (8 boys, 6 girls) 10 healthy children aged 14 years (7 boys, 3 girls)	[ <sup>18</sup> F]fluorodopa	DSM-III-R [41]	None	74.0 (Range 46–123) 112.3 (Range 103–126)	↓ Dopaminergic transmission in anterior medial prefrontal cortex of children with autism
Case-control	Xiao-Mian et al, 2005 [39]	Autism	Children with autism (10 boys, 3–10 years old); 10 age-matched and gender-matched healthy children	[ <sup>99m</sup> Tc]TRODAT-1	DSM-IV-TR™ [42]	ADI-R [20,21] ADOS [22,23]	Not available	↑ Dopamine transporter binding in whole brain of children with autism
Case-control	Nakamura et al, 2010 [40]	High functioning autism	Adults with autism (20 men, 18–26 years old); 20 age-matched and IQ-matched control subjects	[ <sup>11</sup> C](+)McN-5652 and [ <sup>11</sup> C]WIN-35,428	DSM-IV-TR™ [42]	ADI-R [20,21] ADOS [22,23]	Means 99.3 104.6	↑ Dopamine transporter binding in the orbital frontal cortex in adults with autism
Case control	Makkonen et al, 2008 [38]	Autism	15 children with autism (14 boys, 1 girl; 5–16 years) mean age 8 y 8 months; 10 non-autistic children mean age 9 y 10 months	[ <sup>123</sup> I]nor-β-CIT	ICD-10 [64]	CARS [24]	Range 70-109	Dopamine transporter function was similar in children with ASD and healthy controls

Abbreviations: [<sup>11</sup>C](+)McN5652 = [<sup>11</sup>C]-labeled *trans*-1,2,3,5,6,10-β-hexahydro-6-[4-(methylthio)phenyl]pyrrolo-[2,1-a]isoquinoline [40]; [<sup>11</sup>C]WIN-35,428 = 2β-carbomethoxy-3-β-(4-fluorophenyl)tropane [40,43]; [<sup>123</sup>I]nor-β-CIT = *N*-(2-fluoroethyl)-2β-carbomethoxy-3β-(4-iodophenyl)-nortropane [38]; [<sup>99m</sup>Tc]TRODAT-1 = technetium, 2-[[2-[[[3-(4-chlorophenyl)-8-methyl-8-azabicyclo[3,2,1]oct-2-yl]-methyl](2-mercaptoethyl)amino]ethyl]amino]ethanethiolato(3-)-oxo-[1*R*-(*exo-exo*)] [44,45]; ADI-R = Autism Diagnostic Interview–Revised [20, 21]; ADOS = Autism Diagnostic Observation Schedule [22,23]; CARS= Childhood Autism Rating Scale [24]; DAT= Dopamine transporter; DSM-III-R = Diagnostic and Statistical Manual of Mental Disorders, third edition, revised [41]; DSM-IV-TR™ = Diagnostic and Statistical Manual of Mental Disorders, fourth edition, text revision [42]; ICD-10 = International Classification of Diseases, Tenth Revision (World Health Organization, 2019) [64]; IQ = intelligence quotient.

**Table 3.** Characteristics of articles reporting nuclear serotonergic molecular imaging of autism spectrum disorder (ASD).

Study	Reference	Autism Spectrum Disorder Subtype	Sample Size	Radiotracer	Diagnostic criteria	Rating Scale	IQ	Conclusion
Case control	Chugani et al, 1997 [50]	Autism	Eight children with autism (7 boys, 1 girl; ages, 4.1–11.1 years) Five of their siblings (4 boys, 1 girl; ages, 8.2–14.4 years)	[ <sup>11</sup> C]AMT	DSM- IV [54]	GARS [25] CARS [24]	IQ for the sibling group= 116 (range, 104–130); not available for children with autism	↓ tryptophan metabolism in the frontal cortex and thalamus of boys with autism ↑tryptophan metabolism in the contralateral dentate nucleus and thalamus of boys with autism
Case control	Chugani et al, 1999 [49]	Autism	30 children with autism (24 boys and 6 girls; age range, 2.3–15.4 years; mean age, 6.41±3.3 years), 8 of their siblings (6 boys and 2 girls; age range, 2.1–14.4 years; mean age, 9.18±3.4 years), and 16 children with epilepsy (9 boys and 7 girls; age range, 3 months to 13.4 years; mean age, 5.74±3.6 years)	[ <sup>11</sup> C]AMT	DSM-IV [54]	ADI-R [20,21] GARS [25] CARS [24]	Mean full scale IQ for the sibling group was 114 (SD, 11.4; range, 99–130)	Disrupted developmental brain tryptophan metabolism in children with autism
Case control	Chandana et al, 2005 [48]	Autism	Children with autism (N=117; M=88, F=29; age range 2.0–15.3 years, mean age of 6.5±2.7 years); The control are siblings of children with autism (N=8; M=6, F=2; age range of 8.2–14.3 years; mean age of 9.2±3.4 years) and children with epilepsy (N=16: M=9, F=7; age range 3 months–13.4 years; mean age, 5.73±3.6 years).	[ <sup>11</sup> C]AMT	DSM-IV [54]	ADI-R [20,21] GARS [25] CARS [24]	Not available	↓ tryptophan metabolism in left cortex correlated with severe language impairment in children with autism; ↓ tryptophan metabolism in right cortex correlated with left and mixed handedness in children with autism

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Study	Reference	Autism Spectrum Disorder Subtype	Sample Size	Radiotracer	Diagnostic criteria	Rating Scale	IQ	Conclusion
Case-control	Makkonen et al, 2008 [38]	Autism	15 children with autism (14 boys, 1 girl; 5–16 years); 10 children with neurological symptoms (aged 7 to 14 years)	[ <sup>123</sup> I]nor-β-CIT	ICD-10 [64]	CARS [24]	Range 70–109	↓ SERT binding in medial frontal cortex, midbrain, left and right temporal lobes in children with ASD
Case-control	Nakamura et al, 2010 [40]	High functioning autism	Adults with autism (20 men, 18–26 years old); 20 age-matched and IQ-matched control subjects.	[ <sup>11</sup> C](+)McN-5652 and [ <sup>11</sup> C]WIN-35,428	DSM-IV-TR™ [42]	ADI-R [20,21] ADOS [22,23]	Mean 99.3 for the adults with autism, 104.6 for the control group	↓ SERT binding in anterior and posterior cingulate in adults with high functioning autism
Case-control study	Beverdors et al, 2012 [52]	High functioning autism	Eight high-functioning adults with autism (5 men, 3 women); 12 adult controls (8 men, 4 women)	[ <sup>18</sup> F]setoperone	DSM-IV [54]	ADI-R [20,21]	IQ (autism: 114.0 [14.7])	↓ thalamic serotonergic receptor binding in adults with ASD Negative relationship between thalamic serotonergic receptor binding and history of language impairment in adults with ASD
Case-control	Goldberg et al, 2008 [53]	Parents of children with ASD	Parents (N=19) of children with ASD Adult controls (N=17)	[ <sup>18</sup> F]setoperone	Not available	For probands: ADI-R [20,21] ADOS [22,23]	For probands: mean IQ on the Leiter scale [56] was 64.2±31.1	↓ Cortical serotonergic binding in parents of children with ASD

Abbreviations: [<sup>11</sup>C]AMT=α[<sup>11</sup>C]methyl-L-tryptophan [49,50,55]; [<sup>11</sup>C](+)McN-5652= Carbon 11 (<sup>11</sup>C)-labeled *trans*-1,2,3,5,6,10-β-hexahydro-6-[4-(methylthio)phenyl]pyrrolo-[2,1-a]isoquinoline [35]; [<sup>11</sup>C]WIN-35,428 = 2β-carbomethoxy-3β-(4-fluorophenyl)tropane [40,43]; [<sup>123</sup>I]nor β-CIT = *N*-(2-fluoroethyl)-2β-carbomethoxy-3β-(4-iodophenyl)-nortropane [38]; ADI-R = Autism Diagnostic Interview–Revised [20, 21]; ADOS = Autism Diagnostic Observation Schedule [22, 23]; BP= Binding Potential; CARS= Childhood Autism Rating Scale [24]; DSM-IV = Diagnostic and Statistical Manual of Mental Disorders, fourth edition [54]; DSM-IV-TR™ = Diagnostic and Statistical Manual of Mental Disorders, fourth edition, text revision [42]; DAT= Dopamine Transporter; GARS=Gilliam Autism Rating Scale [24]; ICD-10= International Classification of Diseases, Tenth Revision, Clinical Modification [64]; IQ = intelligence quotient; PET= positron emission tomography.

**Table 4.** Characteristics of articles reporting nuclear glutamatergic molecular imaging of autism spectrum disorder (ASD).

Study	Reference	Autism Spectrum Disorder Subtype	Sample Size	Radiotracer	Diagnostic criteria	Rating Scale	IQ	Conclusion
Case control	Fatemi et al, 2018 [57]	Autism	Men with autism ( $n = 6$ ) Healthy control volunteers ( $n = 3$ )	$[^{18}\text{F}]$ FPEB	DSM-IV [54]	ADI-R [20,21] ADOS [22,23]	Not available	↑ metabotropic glutamate receptor subtype 5 in postcentral gyrus and cerebellum of men with autism

Abbreviations:  $[^{18}\text{F}]$ FPEB =  $[^{18}\text{F}]$ -3-fluoro-5-[(pyridin-3-yl)ethynyl]benzotrile [58]; ADI-R = Autism Diagnostic Interview-Revised [20,21]; ADOS = Autism Diagnostic Observation Schedule [22,23]; IQ = intelligence quotient; PET= positron emission tomography; DSM-IV = Diagnostic and Statistical Manual of Mental Disorders, fourth edition [54].

**Table 5.** Characteristics of articles reporting GABAergic molecular imaging of autism spectrum disorder (ASD).

Study	Reference	Autism Spectrum Disorder Subtype	Sample Size	Radiotracer	Diagnostic criteria	Rating Scale	IQ	Conclusion
Case control	Mendez et al, 2012 [59]	ASD	· Three adult males with well-characterized high-functioning ASD · Three healthy matched volunteers.	[(11)C]Ro15-4513	ICD-10 (64)	ADOS [22,23]	IQ > 80	↓ GABA <sub>A</sub> α5 throughout the brain of men with ASD; ↓ GABA <sub>A</sub> α5 in the amygdala and nucleus accumbens bilaterally in men with ASD
Case control	Horder et al, 2018 [60]	ASD	▪ [11C]flumazenil in 15 adults with ASD and in 15 control individuals without ASD ▪ [11C]Ro15-4513 in 12 adults with ASD and in 16 control individuals without ASD	[11C]flumazenil [11C]Ro15-4513	DSM ICD-10 (64)	ADI-R [20,21] ADOS [22,23]	IQ > 50	No differences in GABA <sub>A</sub> receptor or GABA <sub>A</sub> α5 subunit availability in any brain region of adults with ASD compared to those without ASD

Abbreviations: [11C]Ro15-4513= [11C]C15H14N6O3 [61]; ASD= autism spectrum disorder.

## 4. Discussion

Nuclear neurotransmitter molecular imaging has demonstrated physiological abnormalities in ASD. PET and SPECT have been used to study dopamine, serotonin, glutamate, and GABA activity in brains of individuals with ASD.

Dopamine neurotransmission was decreased in the anterior medial cortex in children with autism [37]. Dopamine transporter was increased in the orbital frontal cortex of adults with ASD [40], and increased in the whole brain of children with ASD [39].

Dysfunction of tryptophan metabolism, using a measure of an analog of a precursor of serotonin, a putative marker of serotonin synthesis, was reported in children with ASD [49,50]. Decreased tryptophan metabolism in left frontal cortex correlated with severe language impairment [48] and in the right frontal cortex correlated with left and mixed handedness [48]. Although not confirmed by some investigators [51], serotonin transporter was decreased in the cingulate [40], the medial frontal cortex [38], the midbrain [38], and the temporal lobes [38]. Serotonin receptor was decreased in the thalamus in individuals with ASD [52] and in the cortices of parents of children with ASD [53].

Metabotropic glutamate receptor subtype 5 (mGluR<sub>5</sub>) was increased in the postcentral gyrus and the cerebellum of men with autism [57].

PET studies for GABA did not differentiate people with ASD from controls [60].

Differences in findings in above studies may be the result of lack of similarity in participants' groups, diagnostic measures, and techniques used to assess individuals. There have been differences in (A) cohorts, including gender, age, and ethnic backgrounds and (B) techniques, including scanners, radiotracers, and analytic methods. Using uniform procedures, multi-model imaging, and multi-center studies of well-defined populations in future investigations can lead to better understanding of ASD pathophysiology. The establishment of electronic medical records to document nuclear molecular imaging and other activities of people with ASD will be great source of longitudinal data [18].

Limitations of the current review include the absence of studies of genetics and neurotransmitter levels in ASD. Both of these topics are complex and merit separate articles to present comprehensive coverage. The genetics of ASD and the neurotransmitter levels in ASD are beyond the scope of this article.

## 5. Conclusion

In the United States, one in 59 children exhibited ASD in 2014 [1]. The increasing incidence of ASD and the inconsistent findings of different nuclear molecular imaging studies are evidence for the urgent need for further investigations to identify nuclear molecular mechanisms behind the pathophysiology of ASD.

While other techniques, including magnetic resonance spectroscopy (MRS), have investigated neurotransmitter function in ASD, those techniques are limited by the inability to identify alterations in transporters and receptors, the targets for clinical trials. A voxel of MRS quantifies the neurotransmitter in the contained structures, including neuron, blood vessels, and supporting tissues. By contrast nuclear molecular imaging can directly measure the density and the distribution of transporters and receptors in neurons. Thus, nuclear molecular imaging is required to confirm target engagement of pharmacological agents for clinical trials of ASD [62,63].



## Conflict of interest

The author declares no conflict of interest for the contributions in this manuscript.

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