



Research article

On the critical points of solutions of PDE: The case of concentrating solutions on the sphere

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Abstract: In this paper, we are concerned with the number of critical points of solutions of nonlinear elliptic equations in a domain D of the sphere and their index.

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1. Introduction

In this paper, we continue the study of the critical points of positive solutions to nonlinear pde's, that we started in [1] and [2], extending it when the underlying domain is contained in a compact manifold \mathcal{M} . Our aim is to give an estimate on the possible number of maxima, minima, and saddle points of positive solutions to some nonlinear pde's and to their index of critical point. We examine first the case of the sphere in Theorem 1.1, and then we extend the result to a more general surface \mathcal{M} in Theorem 1.4. We consider the mean field problem in a smooth domain $D \subset \mathcal{M}$

$$\begin{cases} -\Delta_g u = \rho \frac{h(x)e^u}{\int_D h(x)e^u dV_g} & \text{on } D, \\ u = 0 & \text{on } \partial D, \end{cases} \quad (1.1)$$

where \mathcal{M} is a compact Riemannian surface with metric g , $h(x)$ is a *smooth* function strictly positive on D , ρ is a positive constant, Δ_g is the Laplace Beltrami operator on \mathcal{M} , and dV_g is the volume form on \mathcal{M} .

The Mean Field equation appears in conformal geometry in the problem of understanding the possible Gauss curvatures $h(x)$ of metrics on \mathcal{M} conformal to the standard metric. When $\mathcal{M} = S^2$, it is called the Nirenberg problem, and one can see as references the papers [3–6] and references therein. It arises also in some physical models as the mean-field limit of point vortices in the theory of Euler flows, as in [7–10]. And also in the abelian Chern–Simons–Higgs models, see [11–16].

To state our main result, we need to recall some properties of solutions to Eq (1.1). Let $D \subset \mathcal{M}$ be a smooth bounded domain. Assume h is a smooth function such that $\inf_D h(x) > c$ for some $c > 0$. (By smooth, we mean locally analytic). By [17], problem (1.1) has a solution in $H_0^1(D)$ for any $\rho \in (8k\pi, 8(k+1)\pi)$ at least when D is not simply connected, while it has a solution in $H_0^1(D)$ for any $\rho \in (0, 8\pi)$ for every D . The case of $\rho = 8\pi k$ is more complicated and depends on the domain D , on the value of m and on the manifold \mathcal{M} . Here we assume to have a sequence of solutions u_n to

$$\begin{cases} -\Delta_g u_n = \rho_n \frac{h(x)e^{u_n}}{\int_D h(x)e^{u_n} dV_g} & \text{on } D, \\ u_n = 0 & \text{on } \partial D, \end{cases} \quad (1.2)$$

such that $\rho_n \rightarrow 8\pi m$, for some $m \geq 1$, as $n \rightarrow \infty$. It is well known that, see [5, 17], there exist m points $\{P_1, \dots, P_m\} \subset D$ and m sequences of points $p_{i,n} \rightarrow P_i$ (as $n \rightarrow \infty$) for $i = 1, \dots, m$ such that $u_n(p_{i,n}) \rightarrow +\infty$ as $n \rightarrow \infty$; $u_n - \log \int_D h(x)e^{u_n} dV_g \rightarrow -\infty$ uniformly on compact sets of $\bar{D} \setminus \{P_1, \dots, P_m\}$ as $n \rightarrow \infty$. We say that u_n is a sequence of m blowing-up solutions to Eq (1.2). The value of 8π comes from the standard bubble solutions to

$$-\Delta v = e^v \text{ in } \mathbb{R}^2. \quad (1.3)$$

In fact, in a shrinking ball centered at $p_{i,n}$, it is possible to appropriately rescale the solution u_n to Eq (1.2) and show that this rescaling converges in $C_{loc}^2(\mathbb{R}^2)$ to the solution v of Eq (1.3). Thus, each concentration point P_i contributes an amount of 8π .

Our main result is the following:

Theorem 1.1. *Let $\mathcal{M} = \mathcal{S}^2$ and $D \subset \mathcal{S}^2$ a smooth domain of Euler characteristic $\chi(D)$. Assume h is a smooth function such that $\inf_D h(x) > c$ for some $c > 0$. Assume we have a sequence of solutions u_n to Eq (1.2) such that $\rho_n \rightarrow 8\pi m$, for some $m \geq 1$, as $n \rightarrow \infty$. Then, u_n is a sequence of m blowing-up solutions, and for n large enough*

$$\#\{\text{critical point of } u_n \text{ in } D\} \geq 2m - \chi(D). \quad (1.4)$$

More precisely, we have that, for n large, there exists exactly one critical point (a nondegenerate maximum) for u_n in $B_\delta(P_i)$ $i = 1, \dots, m$ and δ small. Next, denoting by $D' = D \setminus \cup_{i=1}^m B_\delta(P_i)$ and C_n the set of critical points of u_n in D' we have that u_n admits at least $m - \chi(D)$ nondegenerate saddle points in D' and

$$\sum_{z_j \in C_n} \text{index}_{z_j}(\nabla u_n) = \chi(D) - m. \quad (1.5)$$

We can also construct some examples when the estimate in Eq (1.4) is optimal or not.

Corollary 1.2. *There exists a domain $D \subset \mathcal{S}^2$ and a sequence of solutions u_n to Eq (1.2) that blow-up at $m \geq 1$ points $\{P_1, \dots, P_m\}$ such that, for n large enough,*

$$\#\{\text{critical point of } u_n \text{ in } D\} = 2m - \chi(D). \quad (1.6)$$

Moreover, all critical points of u_n are nondegenerate; m of them are local maxima and $m - \chi(D)$ saddle points.

Corollary 1.3. *There exists a domain $D \subset \mathcal{S}^2$ and a sequence of solutions u_n to Eq (1.2) that blow-up at $m \geq 1$ points $\{P_1, \dots, P_m\}$ such that, for n large enough*

$$\#\{\text{critical point of } u_n \text{ in } D\} > 2m - \chi(D).$$

The results of Theorem 1.1 and its corollaries can be generalized to a more general smooth surfaces \mathcal{M} when the underlying domain D is all contained in a chart of local isothermal coordinates. And this is possible at least when D is sufficiently small with respect to the coordinates. We will say that D is *suitable* when there exists a system of local isothermal coordinates such that D is contained in a unique chart. For a domain D that is *suitable*, we can prove the following:

Theorem 1.4. *Let \mathcal{M} be a smooth surface and $D \subset \mathcal{M}$ a suitable smooth domain of Euler characteristic $\chi(D)$. Assume the assumptions of Theorem 1.1 are satisfied. Then the results of Theorem 1, Corollary 1.2, 1.3 hold for the solutions u_n to Eq (1.2) in $D \subset \mathcal{M}$.*

Next, we consider the analog of problem (1.1) in a smooth bounded domain $\Omega \subset \mathbb{R}^2$. Let $\Omega \subset \mathbb{R}^2$ be a smooth bounded domain with $k \geq 0$ holes. Assume $V(x)$ is a smooth function such that $\inf_{\Omega} V(x) > c$ for some $c > 0$. Assume we have a sequence of solutions \tilde{u}_n to

$$\begin{cases} -\Delta \tilde{u}_n = \lambda_n V(x) e^{\tilde{u}_n} & \text{in } \Omega, \\ \tilde{u}_n = 0 & \text{on } \partial\Omega, \end{cases} \tag{1.7}$$

such that $\lambda_n \int_{\Omega} V(x) e^{\tilde{u}_n} dx \rightarrow 8\pi m$ as $n \rightarrow \infty$, for some integer $m \geq 1$. Then, there exist m points $\{P_1, \dots, P_m\} \subset \Omega$ and m sequences of points $x_{i,n} \rightarrow P_i$ (as $n \rightarrow \infty$) for $i = 1, \dots, m$, such that $\tilde{u}_n(x_{i,n}) \rightarrow +\infty$ as $n \rightarrow \infty$; $\tilde{u}_n \rightarrow K(x) := 8\pi \sum_{i=1}^m G(x, P_i)$ uniformly on compact sets of $\bar{\Omega} \setminus \{P_1, \dots, P_m\}$ as $n \rightarrow \infty$, where $G(x, y)$ is the Green function of the domain Ω with Dirichlet boundary conditions and pole in $y \in \Omega$. We say that \tilde{u}_n is a sequence of *m blowing-up solutions* to Eq (1.7).

In this case, we can prove the following result:

Theorem 1.5. *Let $\Omega \subset \mathbb{R}^2$ be a smooth bounded domain with $k \geq 0$ holes. Assume we have a sequence of solutions \tilde{u}_n to Eq (1.7) such that $\lambda_n \int_{\Omega} V(x) e^{\tilde{u}_n} dx \rightarrow 8\pi m$ as $n \rightarrow \infty$, for some integer $m \geq 1$. Then, when n is large enough,*

$$\#\{\text{critical point of } \tilde{u}_n \text{ in } \Omega\} \geq 2m + k - 1. \tag{1.8}$$

More precisely, we have that, for n large, there exists exactly one critical point (a nondegenerate maximum) for \tilde{u}_n in $B_{\rho}(P_i)$ $i = 1, \dots, m$ and ρ small. Next, denoting by $\Omega' = \Omega \setminus \cup_{i=1}^m B_{\rho}(P_i)$ and C_n the set of critical points of \tilde{u}_n in Ω' we have that \tilde{u}_n admit at least $m + k - 1$ nondegenerate saddle points in Ω' and

$$\sum_{z_j \in C_n} \text{index}_{z_j}(\nabla \tilde{u}_n) = 1 - k - m. \tag{1.9}$$

2. Proofs

In this section, we collect the proofs of the previous results.

Proof of Theorem 1.1. We take a point $N \in \mathcal{S}^2$, such that $N \notin \bar{D}$, to be the north pole of the sphere. Then we introduce the standard coordinates on the sphere \mathcal{S}^2 . We use the stereographic projection

$\psi : \mathcal{S}^2 \setminus \{N\} \rightarrow \mathbb{R}^2$. We let $\Omega := \psi(D) \subset \mathbb{R}^2$ and $v_n(x) := u_n(\psi^{-1}(x))$ for $x \in \Omega$. In these coordinates the functions v_n satisfy

$$\begin{cases} -\Delta v_n = \rho_n \frac{h(x)e^{v_n}}{\int_{\Omega} h(x)e^{v_n} e^{\psi(x)} dx} e^{\psi(x)} & \text{in } \Omega \\ v_n = 0 & \text{on } \partial\Omega \end{cases} \tag{2.1}$$

where

$$e^{\psi(x)} := \frac{4}{(1 + |x|^2)^2}$$

is the conformal factor. Next we let

$$\lambda_n = \frac{\rho_n}{\int_{\Omega} h(x)e^{v_n} e^{\psi(x)} dx}$$

and $V(x) := h(x)e^{\psi(x)}$. Then v_n solves

$$\begin{cases} -\Delta v_n = \lambda_n V(x)e^{v_n} & \text{in } \Omega, \\ v_n = 0 & \text{on } \partial\Omega, \end{cases} \tag{2.2}$$

for some $V(x)$ (which is locally analytic in Ω) and for some $\lambda_n \in (0, \infty)$. Moreover, since $\rho_n \rightarrow 8\pi m$ as $n \rightarrow \infty$, then

$$\lim_{n \rightarrow \infty} \lambda_n \int_{\Omega} V(x)e^{v_n} dx = 8\pi m.$$

Finally, $\Omega = \psi(D)$ and $\chi(\Omega) = \chi(D)$. Since $\Omega \subset \mathbb{R}^2$ and it is smooth, then $\chi(D) = 1 - k$ where k is the number of the holes of Ω . Then, the claim follows from Theorem 1.5.

Proof of Corollaries 1.2 and 1.3. In [2], the authors construct a suitable domain $\Omega_1 \subset \mathbb{R}^2$ in which v_n (the solution to Eq (2.1), with $V(x) = 1$) has exactly $2m + k - 1$ nondegenerate critical points (m maxima and $m + k - 1$ saddle points). The very same construction can be done for the case of solutions to Eq (2.1) for a positive, smooth $V(x)$. Pulling back the domain Ω on the sphere \mathcal{S}^2 gives the desired example on \mathcal{S} .

In Theorem 1.4 in [2], an example of a domain $\Omega_2 \subset \mathbb{R}^2$ in which v_n has at least $2m + k + 1 = 2m - \chi(\Omega_2) + 2$ nondegenerate critical points is given. This provides the example in Corollary 1.3.

Proof of Theorem 1.4. We consider the local isothermal coordinates such that D is contained in a unique chart. In these coordinates, Eq (1.2) becomes Eq (2.1), where $e^{\psi(x)}$ is the conformal factor which is locally analytic since we are assuming \mathcal{M} is smooth. The proof follows as in the case of Theorem 1.1 and its corollaries.

Proof of Theorem 1.5. The proof is similar to the proof of Theorem 1.1 in [2]. First, we prove the following statement:

There exists $\rho > 0$ such that \tilde{u}_n has a unique nondegenerate critical point (the maximum) in $B_{\rho}(P_i)$ for $i = 1, \dots, m$ when n is large enough.

By contradiction, we assume that there exists $\xi_n \in B_{\rho_n}(x_{i,n}) \setminus \{x_{i,n}\}$ such that $\rho_n \rightarrow 0$ and $\nabla u_n(\xi_n) = 0$. We have to distinguish two cases: i) $\xi_n \in B_{R\delta_{i,n}}(x_{i,n})$ for some $i \in \{1, \dots, m\}$, for some $R > 0$; ii) $\xi_n \notin B_{R\delta_{i,n}}(x_{i,n})$ for any $R > 0$, where $\delta_{i,n}$ satisfies

$$\delta_{i,n}^2 \lambda_n V(x_{i,n}) e^{\tilde{u}_n(x_{i,n})} = 1.$$

For $i = 1, \dots, m$, we let

$$\widehat{u}_{i,n}(x) := \tilde{u}_n(\delta_{i,n}x + x_{i,n}) - \tilde{u}_n(x_{i,n}).$$

The function $\widehat{u}_{i,n}(x)$ satisfies

$$-\Delta \widehat{u}_{i,n} = \frac{V(\delta_{i,n}x + x_{i,n})}{V(x_{i,n})} e^{\widehat{u}_{i,n}} \quad \text{in } B_{\frac{R}{\delta_{i,n}}}(0).$$

It is standard that

$$\widehat{u}_{i,n} \rightarrow U(x) := \log \frac{1}{\left(1 + \frac{|x|^2}{8}\right)^2} \quad \text{in } C_{loc}^2(\mathbf{R}^2),$$

see, [18–22]. Denote by $\widehat{\xi}_n := \frac{\xi_n - x_{i,n}}{\delta_{i,n}}$. Then $\nabla \widehat{u}_{i,n}(\widehat{\xi}_n) = \nabla \tilde{u}_n(\xi_n) = 0$ and $|\widehat{\xi}_n| \leq R$. Up to a subsequence, $\widehat{\xi}_n \rightarrow \widehat{\xi}$ and by the previous convergence, $\nabla U(\widehat{\xi}) = 0$. Then the definition of $U(x)$ implies $\widehat{\xi} = 0$. This is not possible, since $x = 0$ is a nondegenerate maximum point for the function $U(x)$ and the functions $\widehat{u}_{i,n}$ have a maximum in $x = 0$ for every n . This also shows that the point $x_{i,n}$ is a nondegenerate maximum for $\tilde{u}_n(x)$ and that $\text{index}_{x_{i,n}}(\nabla \tilde{u}_n) = 1$.

Case ii). In this case, we have that $\xi_n \rightarrow P_i$. Denoting by $r_n := |\xi_n - x_{i,n}|$, we have that $\frac{\delta_{i,n}}{r_n} \rightarrow 0$ as $n \rightarrow \infty$. We define the function $\bar{u}_{i,n}(x) := \tilde{u}_n(r_n x + x_{i,n}) + 4 \log r_n$. Green’s representation formula gives

$$\begin{aligned} \bar{u}_{i,n}(x) &= \lambda_n \int_{\Omega} G(r_n x + x_{i,n}, y) V(y) e^{\bar{u}_{i,n}(y)} dy + 4 \log r_n \\ &= \lambda_n \underbrace{\int_{\Omega \setminus \cup_i B_R(x_{i,n})} G(r_n x + x_{i,n}, y) V(y) e^{\bar{u}_{i,n}(y)} dy}_{:= I_1} \\ &\quad + \sum_{j \neq i} \lambda_n \underbrace{\int_{B_R(x_{j,n})} G(r_n x + x_{i,n}, y) V(y) e^{\bar{u}_{i,n}(y)} dy}_{:= I_2} \\ &\quad + \lambda_n \underbrace{\int_{B_R(x_{i,n})} G(r_n x + x_{i,n}, y) V(y) e^{\bar{u}_{i,n}(y)} dy + 4 \log r_n}_{:= I_3}. \end{aligned}$$

First we observe that $I_1 = o(1)$ as $n \rightarrow \infty$ since $\tilde{u}_n(y)$ is bounded in $\Omega \setminus \cup_i B_R(x_{i,n})$ and $\lambda_n \rightarrow 0$. The second term can be estimated as:

$$\begin{aligned} I_2 &= \sum_{j \neq i} \lambda_n \int_{B_R(x_{j,n})} G(r_n x + x_{i,n}, y) V(y) e^{\bar{u}_{i,n}(y)} dy \\ &= \sum_{j \neq i} \int_{B_{\frac{R}{\delta_{j,n}}}(0)} G(r_n x + x_{i,n}, \delta_{j,n} y + x_{j,n}) \frac{V(\delta_{j,n} y + x_{j,n})}{V(x_{j,n})} e^{\bar{u}_{i,n}(y)} dy \\ &= \sum_{j \neq i} 8\pi G(P_i, P_j) + o(1). \end{aligned}$$

The last term is given by:

$$\begin{aligned}
I_3 &= \lambda_n \int_{B_R(x_{i,n})} G(r_n x + x_{i,n}, y) V(y) e^{\tilde{u}_n(y)} dy + 4 \log r_n \\
&= \int_{B_{\frac{R}{\delta_{i,n}}}(0)} G(r_n x + x_{i,n}, \delta_{i,n} y + x_{i,n}) \frac{V(\delta_{i,n} y + x_{i,n})}{V(x_{i,n})} e^{\widehat{u}_{i,n}(y)} dy + 4 \log r_n \\
&= 8\pi H(P_i, P_i) - \frac{1}{2\pi} \int_{B_{\frac{R}{\delta_{i,n}}}(0)} \log |r_n x + \delta_{i,n} y| \frac{V(\delta_{i,n} y + x_{i,n})}{V(x_{i,n})} e^{\widehat{u}_{i,n}(y)} dy \\
&\quad + 4 \log r_n + o(1) \\
&= 8\pi H(P_i, P_i) - \frac{1}{2\pi} \int_{B_{\frac{R}{\delta_{i,n}}}(0)} \log |x + \frac{\delta_{i,n}}{r_n} y| \frac{V(\delta_{i,n} y + x_{i,n})}{V(x_{i,n})} e^{\widehat{u}_{i,n}(y)} dy \\
&\quad - \frac{1}{2\pi} \log r_n \int_{B_{\frac{R}{\delta_{i,n}}}(0)} \frac{V(\delta_{i,n} y + x_{i,n})}{V(x_{i,n})} e^{\widehat{u}_{i,n}(y)} dy + 4 \log r_n + o(1) \\
&= 8\pi H(P_i, P_i) + 4 \log \frac{1}{|x|} + \log r_n \left(4 - \frac{1}{2\pi} \int_{B_{\frac{R}{\delta_{i,n}}}(0)} \frac{V(\delta_{i,n} y + x_{i,n})}{V(x_{i,n})} e^{\widehat{u}_{i,n}(y)} dy \right) + o(1) \\
&= 8\pi H(P_i, P_i) + 4 \log \frac{1}{|x|} + o(1).
\end{aligned}$$

In the last line we use that, by [5],

$$\lambda_n \int_{B_R(x_{i,n})} V(y) e^{\tilde{u}_n(y)} dy = 8\pi + o(\lambda_n).$$

Putting together the previous estimates, we have that

$$\bar{u}_{i,n}(x) \rightarrow V(x) := 4 \log \frac{1}{|x|} + 8\pi H(P_i, P_i) + \sum_{j \neq i} G(P_i, P_j) \text{ in } C_{loc}^1(\mathbb{R}^2).$$

We let $\bar{\xi}_n := \frac{\xi_n - x_{i,n}}{r_n}$. Then $\nabla \bar{u}_{i,n}(\bar{\xi}_n) = \nabla \tilde{u}_n(\xi_n) = 0$ and $|\bar{\xi}_n| = 1$. Up to a subsequence, $\bar{\xi}_n \rightarrow \bar{\xi}$. The previous convergence gives $\nabla V(\bar{\xi}) = 0$. This is a contradiction. Now we let $\Omega' = \Omega \setminus \cup_{i=1}^m B_\rho(P_i)$ and we give an estimate on the critical points of \tilde{u}_n in Ω' . To this end, we observe that a solution $\tilde{u}_n(x)$ to Eq (1.7) is real analytic in a neighborhood of x_0 , for every $x_0 \in \Omega$, (one can see [23]). Moreover it is known that $\tilde{u}_n(x) \rightarrow K(x) := \sum_{i=1}^m 8\pi G(x, P_i)$ in Ω' . The function $K(x)$ is harmonic and non-trivial in Ω' . Then it has only a finite number of critical points $\{z_1, \dots, z_l\}$, which are saddle points of finite multiplicity $m_j \geq 1$ and $\text{index}_{z_j}(\nabla K) \leq -1$. Whenever $\text{index}_{z_j}(\nabla K) = -1$, then z_j is a nondegenerate saddle point; see Proposition 5.1 in [2]. Moreover, we can adapt the proof of Proposition 5.2 in [2] getting that \tilde{u}_n , for n large enough, has only a finite number of isolated critical points that we denote by $\{z_{1,n}, \dots, z_{l,n}\}$. These points converge to the critical points $\{z_1, \dots, z_l\}$ of $K(x)$. Moreover $\text{index}_{z_{j,n}}(\nabla u_n) \in \{-1, 0, 1\}$ and, whenever the index is 1, then $z_{j,n}$ is a nondegenerate maximum, while whenever the index is -1 , $z_{j,n}$ is a nondegenerate saddle point.

Finally, as in Proposition 5.3 and 5.4 in [2], we use the Poincarè Hopf formula with $v = \nabla \tilde{u}_n$ in Ω (observe that by Hopf Lemma $\nabla \tilde{u}_n \cdot \nu < 0$) to have $\sum \text{index}_{z_{j,n}}(\nabla u_n) = \chi(\Omega)$, and by the first assertion,

$m + \sum_{C_n} \text{index}_{z_{j,n}}(\nabla u_n) = \chi(\Omega) = 1 - k$. The previous result on the critical points of \tilde{u}_n then implies that \tilde{u}_n has at least $m - k - 1$ nondegenerate saddle points (of index -1) in C_n and concludes the proof.

Use of AI tools declaration

The authors declare they have not used Artificial Intelligence (AI) tools in the creation of this article.

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Conflict of interest

The authors declare there is no conflict of interest.

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