



Research article

Catastrophe control of aphid populations model

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Abstract: Considering the effect of the natural enemy on aphid populations, the corresponding model with delay is built. The model is analyzed using the qualitative theory of differential equations and catastrophe theory etc. For the outbreak phenomenon of aphid populations, the corresponding management model is proposed and the catastrophe controller is designed to keep the system in a virtuous cycle by means of the qualitative theory of impulsive differential equations. In the mean time, some simulations are carried to prove the results. The paper not only provides a new method for catastrophe control but also expands the application fields of catastrophe control.

Keywords: catastrophe theory; aphid populations; catastrophe control; delay effect; the qualitative theory of impulsive differential equations

1. Introduction

Though the application of catastrophe theory experiences a period of ups and downs [1–3], catastrophe phenomena such as pest outbreak, draw some ecologists and mathematicians' attention to catastrophe theory [4–7].

In the 50-acre experiment plot near Northwest A and F University, Zhao Huiyan et al. investigated the open system of the wheat aphid populations for 50 days in 1987–1988. The following phenomena are shown [8]: (1) The wheat aphid populations shows distinct difference in every growth stages of wheat, for example, the amount starts to increase in wheat joining stage and goes up faster in the filling stage. (2) The amount of the wheat aphid populations shows hysteresis after spraying pesticides; that is, the wheat aphid populations suddenly decrease, and then increase and exceed the amount before. (3) When the density of the wheat aphid populations is low, the individuals don't disturb each

other; that is, there is no correlation between the individual growth rate and the corresponding density. However, a relationship appears gradually with the increase of the wheat aphid populations, and there exists a threshold below which the corresponding individual growth rate increases and above which it decreases. So far, Zhao Huiyan et al. have been devoting to the research above. According to data in the investigations, some catastrophe models are established to successfully predict the outbreak of the wheat aphid populations in Guanzhong and Weihe areas of Shanxi Province [9]. On the other hand, they employ some catastrophe models to study the ecological mechanism behind them, such as the fold catastrophe model [8], the cusp catastrophe model [9, 10], the swallowtail catastrophe model [11, 12], the butterfly catastrophe model [13] and the elliptic catastrophe model [14]. On the basis of the above, an APHIDSim Software is developed to simulate the dynamics of the wheat aphid populations [15]. The research above not only realizes the first application of catastrophe theory in ecosystem but also promotes the real combination of ecology and mathematics.

In 1990s, Ma Zhanshan et al. conducted the laboratory experiments of Russian wheat aphid populations in 1994–1995 to investigate the survival, development, reproduction of 800 Russian wheat aphid populations. The following results are got [16–19]: (1) Cohort life tables, reproductive heterogeneity tables and reproductive schedule tables are constructed. (2) Some demographic models are proposed to simulate the growth of the populations. (3) The corresponding cusp catastrophe model is obtained by choosing intrinsic growth rate as the state variable of the system, temperature and host plant-growth stage as control variables. The study offers the theoretical support for pest management.

On the basis of Zhao Huiyan and Ma Zhanshan' study, Zhao Lichun et al. apply singular system theory and control theory to wheat field ecosystems, the main results are as followings [20–24]: (1) For the chemical management of the wheat aphid populations, the cusp catastrophe model with impulsive effects is built and the corresponding controllers are designed not only to minimize the management cost but also to keep the wheat aphid populations at the refuge level. (2) For the swallowtail catastrophe model and the butterfly catastrophe model, the qualitative analysis is studied to explain the outbreak phenomenon of the wheat aphid populations. (3) Based on cusp catastrophe model, the corresponding singular model is established to reveal the catastrophe mechanism of the wheat aphid populations. In a word, the study above not only expands the application field of singular system theory but also offers a new application background for catastrophe control.

It is well known that aphid populations seriously damage wheat by feeding on the different parts of the crop and by transporting some disease causative agents. Hence it is very crucial to manage them in a sustainable way, which belongs to the scope of catastrophe control. In the early 2000s, considering the similarity between the transfer function in automatic control principle and the potential function in catastrophe theory, Sun Rao et al. introduced the catastrophe control into the quantitative research and description of control systems. The catastrophe control is applied in the artificial heart and the integrated navigation system for the first time [25]. With development of catastrophe control theory, it has been extensively used in some engineering systems [26–31] and network systems [32, 33]. But the applications in ecology just starts, many issues need further studying, which are exactly what the paper is going to do.

The organization of the paper is as follows, firstly, a catastrophe model of the wheat aphid populations with delay is proposed and the catastrophe behaviors are analyzed using the qualitative theory of differential equations. Secondly, for biological management such as releasing natural enemy populations, the control model with impulse effects is proposed and the corresponding catastrophe controller

is designed to make the wheat field ecosystem in a virtuous cycle. In the mean time, some simulations are carried to prove the results.

2. Modelling

Considering the following model [8]

$$\frac{1}{x} \frac{dx}{dt} = a + bx - cx^2, \quad (2.1)$$

where x is the density of aphid populations, a is the corresponding intrinsic growth rate, a, b, c are positive constants.

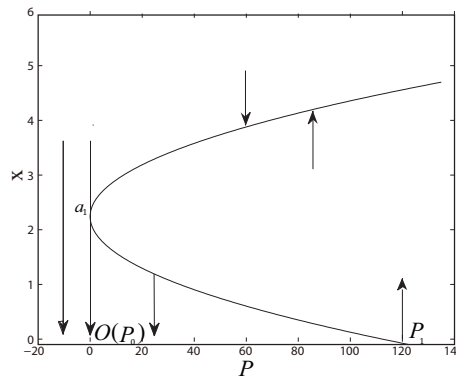


Figure 1. The bifurcation diagram of the model (2.2).

Zhao Huiyan et al. transform the model (2.1) into the following,

$$\frac{dx}{dt} = -cx[(x - a_1)^2 - P], \quad (2.2)$$

where $a_1 = \frac{b}{2c}$, $P = \frac{a}{c} + (\frac{b}{2c})^2$, $a = r - d$ and r, d are the birth rate and the death rate of the wheat aphid populations respectively.

Remark 2.1. In the the model (2.2), $\frac{b}{2c}$ is the threshold below which the individual growth rate of the wheat aphid population increases and above which it decreases.

Remark 2.2. Obviously, the model (2.2) is a fold catastrophe model. The parameter P is not only related to the birth rate and the death rate but also to the threshold. From Figure 1, it is seen that the wheat aphid populations suddenly drops when $P = P_0 = 0$ and breaks out when $P = P_1$, which express the ecological mechanism of the pest sudden drop or outbreak from a view of dynamics. So it is reasonable to take the parameter P as an important index of pest management.

Remark 2.3. Using the bimodality (two stable manifolds $x = 0$ and $x - a = \sqrt{P}$) in the model (2.2), Zhao Huiyan explains the outbreak phenomenon of the wheat aphid populations.

For the predator population, Volterra introduces the delay to describe the effect of feeding predator,

the following model is given [34]

$$\begin{cases} \frac{dN_1}{dt} = \epsilon_1 N_1 - \alpha N_1 N_2, \\ \frac{dN_2}{dt} = -\epsilon_2 N_2 + \beta N_2 \int_{-\infty}^t F(t-\tau) N_1(\tau) d\tau, \end{cases} \quad (2.3)$$

For the term $\int_{-\infty}^t F(t-\tau) N_1(\tau) d\tau$ in the model (2.3), N.Macdonald specifies $F(t)$ as the following form

$$F(z) = a \exp(-az). \quad (2.4)$$

Remark 2.4. For the term $\int_{-\infty}^t F(t-\tau) N_1(\tau) d\tau$ in the model (2.3), we get the following result

$$\int_{-\infty}^t F(t-\tau) N_1(\tau) d\tau = \int_0^\infty F(t) N_1(t-s) ds.$$

On the other hand, the literature [35] shows that the dynamics of the bupleurum aphid populations is closely related to the amount of natural enemies and there exists a delay in the seedling stage.

Considering the above, the delay is introduced into the model (2.1) and the following is obtained

$$\frac{dx}{dt} = x[a + bx - cx^2 - \omega \int_{-\infty}^t f(t-s)x(s) ds], \quad (2.5)$$

where $\omega > 0$ is a parameter; the meaning of x, a, b, c are the same as the model (2.1).

Remark 2.5. ω in this model (2.5) is interpreted as the foraging efficiency of the natural enemy populations for the wheat aphid populations. For the model (2.5), let $f(t-s) = e^{-a_0(t-s)}$ and $y = a_0 \int_{-\infty}^t e^{-a_0(t-s)} x(s) ds$, a_0 is the saturation coefficient of the natural enemy populations, thus the following is got

$$\frac{dy}{dt} = a_0[x(t) - y(t)]. \quad (2.6)$$

Combining the model (2.5) with the model (2.6), the following is obtained

$$\begin{cases} \frac{dx}{a_0 dt} = \frac{1}{a_0} x[a + bx - cx^2 - \frac{\omega}{a_0} y(t)], \\ \frac{dy}{a_0 dt} = x(t) - y(t). \end{cases} \quad (2.7)$$

For the model (2.7), let $dt^* = a_0 dt$, $\gamma_1 = \frac{1}{a_0} > 0$, $\gamma_2 = \frac{\omega}{a_0} > 0$. For convenience, dt^* is replaced with dt , the model is got,

$$\begin{cases} \dot{x} = \gamma_1 x(a + bx - cx^2 - \gamma_2 y), \\ \dot{y} = x - y. \end{cases} \quad (2.8)$$

Remark 2.6. For the model (2.8), the coefficient γ_2 includes the parameter ω related to the foraging efficiency of natural enemy populations, thus it is seen as the main parameter of the model.

In the following sections, the model is analyzed using the qualitative theory of differential equations.

3. Model analysis

As the parameter γ_2 in the model (2.8) is the main parameter related to the natural enemy populations, it should be focused on in the model analysis.

Let

$$\begin{cases} \gamma_1 x(a + bx - cx^2 - \gamma_2 y) = 0, \\ x - y = 0. \end{cases}$$

Obviously, $(x_0^*, y_0^*) = (0, 0)$ is an equilibrium of the model (2.8), the others are determined by the following

$$cx^2 + (\gamma_2 - b)x - a = 0.$$

Let $\Delta = (\gamma_2 - b)^2 + 4ac$, $\Delta > 0$ implies that the model (2.8) has two nonzero equilibriums, that is

$$\begin{cases} x_1^* = \frac{b - \gamma_2 - \sqrt{(\gamma_2 - b)^2 + 4ac}}{2c}, \\ y_1^* = \frac{b - \gamma_2 - \sqrt{(\gamma_2 - b)^2 + 4ac}}{2c}, \end{cases} \quad \begin{cases} x_2^* = \frac{b - \gamma_2 + \sqrt{(\gamma_2 - b)^2 + 4ac}}{2c}, \\ y_2^* = \frac{b - \gamma_2 + \sqrt{(\gamma_2 - b)^2 + 4ac}}{2c}. \end{cases}$$

Remark 3.1. Let $A_0 = (0, 0)$, $A_1 = (x_1^*, y_1^*)$, $A_2 = (x_2^*, y_2^*)$. Because $x_1^* < 0$, $y_1^* < 0$, thus equilibrium A_1 is meaningless ecologically, the qualitative analysis near the point A_1 is omitted.

In the following, the qualitative analysis near the point A_0 and A_2 are studied, let

$$\begin{cases} \dot{x} = f(x, y), \\ \dot{y} = g(x, y), \end{cases} \quad (3.1)$$

where $f(x, y) = \gamma_1 x(a + bx - cx^2 - \gamma_2 y)$, $g(x, y) = x - y$.

According to the literature [36], the following theorems are obtained.

Theorem 3.1. For the model (2.8),

- (1) The equilibrium A_0 is a saddle;
- (2) If $x_2^* > \frac{b}{2c}$ and $2\sqrt{D_2} < 1$, then the equilibrium A_2 is a stable node, where $D_2 = \gamma_1 c(x_2^*)^2 + a\gamma_1$ (see Figures 2 and 3.).

Proof. (1) For the equilibrium A_0 , the corresponding Jacobian determinant is

$$\frac{\partial(f, g)}{\partial(x, y)} \Big|_{A_0} = \begin{vmatrix} a\gamma_1 & 0 \\ 1 & -1 \end{vmatrix}, \quad (3.2)$$

the characteristic equation is

$$\lambda^2 - T_0\lambda + D_0 = 0,$$

where $T_0 = a\gamma_1 - 1$, $D_0 = -a\gamma_1$.

Obviously, $D_0 < 0$ means that the equilibrium A_0 is a saddle

- (2) Using the same method as the above, the characteristic equation at the equilibrium A_2 is got

$$\lambda^2 - T_2\lambda + D_2 = 0, \quad (3.3)$$

where $T_2 = -2\gamma_1 c(x_2^*)^2 + b\gamma_1 x_2^* - 1$, $D_2 = \gamma_1 c(x_2^*)^2 + a\gamma_1$.

$D_2 > 0$ means that the equilibrium A_2 is a focus or a node, which can be determined by the sign of $Y = T_2^2 - 4D_2$. According to the condition (2), $T_2 < -1$ and $Y > 0$, thus the equilibrium A_2 is a node; $T_2 < 0$, thus the equilibrium A_2 is stable (see Figure 2). Thus the equilibrium A_2 is a stable node (see Figure 3).

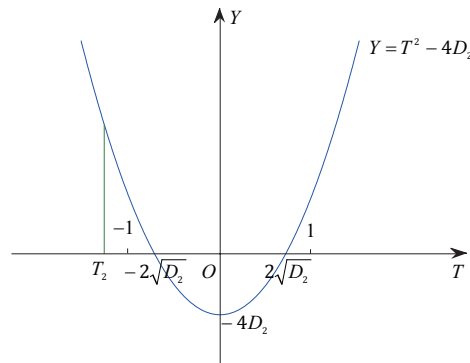


Figure 2. Parameter analysis of Theorem 3.1.

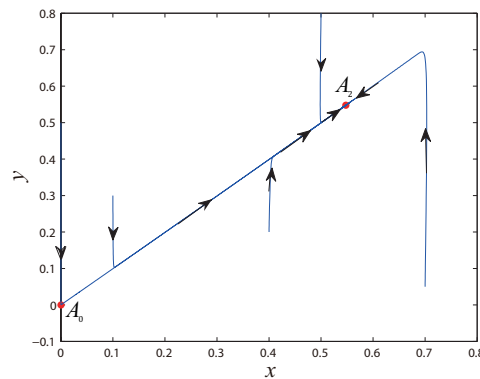


Figure 3. Phase portrait of Theorem 3.1.

Theorem 3.2. For the equilibrium A_2 in the model (2.8), the following results are obtained

- (1) $x_2^* > \frac{b}{2c}$ and $2\sqrt{D_2} > 1$,
 - (i) if $-2\sqrt{D_2} < T_2 < -1$, then the equilibrium A_2 is a stable focus (see Figures 4(a) and 5(a));
 - (ii) if $T_2 < -2\sqrt{D_2}$, then the equilibrium A_2 is a stable node (see Figures 4(b) and 5(b)).
- (2) $x_2^* < \frac{b}{2c}$ and $2\sqrt{D_2} < 1$,
 - (i) if $-1 < T_2 < -2\sqrt{D_2}$, then the equilibrium A_2 is a stable node (see Figures 6(a) and 7);
 - (ii) if $-2\sqrt{D_2} < T_2 < 0$, then the equilibrium A_2 is a stable focus (see Figures 6(b) and 8);
 - (iii) if $0 < T_2 < 2\sqrt{D_2}$, then the equilibrium A_2 is an unstable focus (see Figures 6(c) and 9);
 - (iv) if $T_2 > 1$, then the equilibrium A_2 is an unstable node (see Figure 6(d) and Figure 10).
- (3) $x_2^* < \frac{b}{2c}$ and $2\sqrt{D_2} > 1$,
 - (i) if $-1 < T_2 < 0$, then the equilibrium A_2 is a stable focus (see Figures 11(a) and 12(a));
 - (ii) if $0 < T_2 < 2\sqrt{D_2}$, then the equilibrium A_2 is an unstable focus (see Figures 11(b) and 12(b));
 - (iii) if $2\sqrt{D_2} < T_2$, then the equilibrium A_2 is an unstable node (see Figures 11(c) and 12(c)).

Remark 3.2. For the result (1) in Theorem 3.2, the condition $x_2^* > \frac{b}{2c}$ shows that the wheat aphid populations corresponding to the equilibrium A_2 is greater than its threshold density and stabilizes at the point A_2 . If the condition $x_2^* > \frac{b}{2c}$ is taken as $x_2^* < \frac{b}{2c}$, the results are seen in (3) of the Theorem 3.2 (Figure 6).

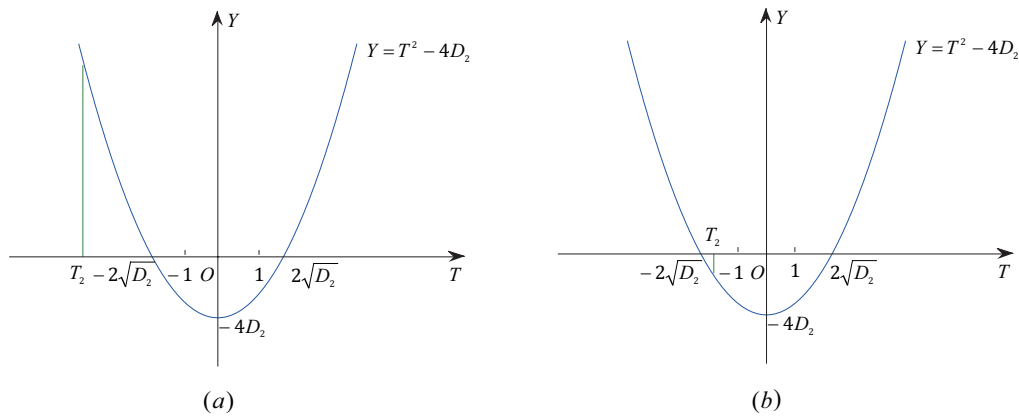


Figure 4. Parameter analysis portrait in (1) of Theorem 3.2.

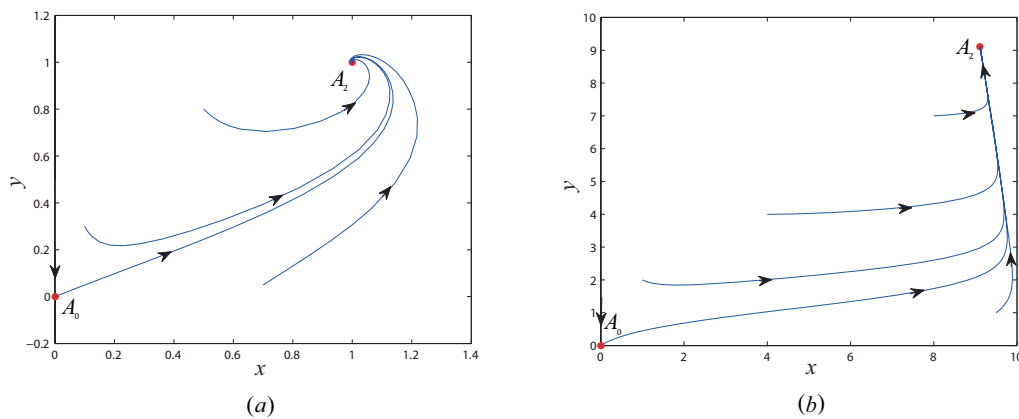


Figure 5. Phase portraits in (1) of Theorem 3.2.

Remark 3.3. For the result (2) in Theorem 3.2, the followings are found: when T_2 changes from -1 to $+\infty$, it undergoes two special values: one is $T_2 = 0$, the other is $T_2 = 2\sqrt{D_2}$. For the former, the equilibrium A_2 changes from a stable focus to an unstable one and is surrounded by a stable period orbit, thus $T_2 = 0$ is referred to as a catastrophe point at which the wheat aphid populations jump suddenly to the outbreak level (see from Figures 8 and 9). For the latter, the equilibrium A_2 changes from the focus to the node, and the stability remains unchanged.

Remark 3.4. For the result (3) in Theorem 3.2, when T_2 changes from -1 to $+\infty$, there exists two special values: one is $T_2 = 0$, the other is $T_2 = 2\sqrt{D_2}$. For the former, the structure change of the model (2.8) appears; that is, the equilibrium A_2 changes from a stable focus to an unstable one and is surrounded by a stable limit cycle (see Figure 10). For the latter, the stability of equilibrium A_2 remains unchanged, while the type changes.

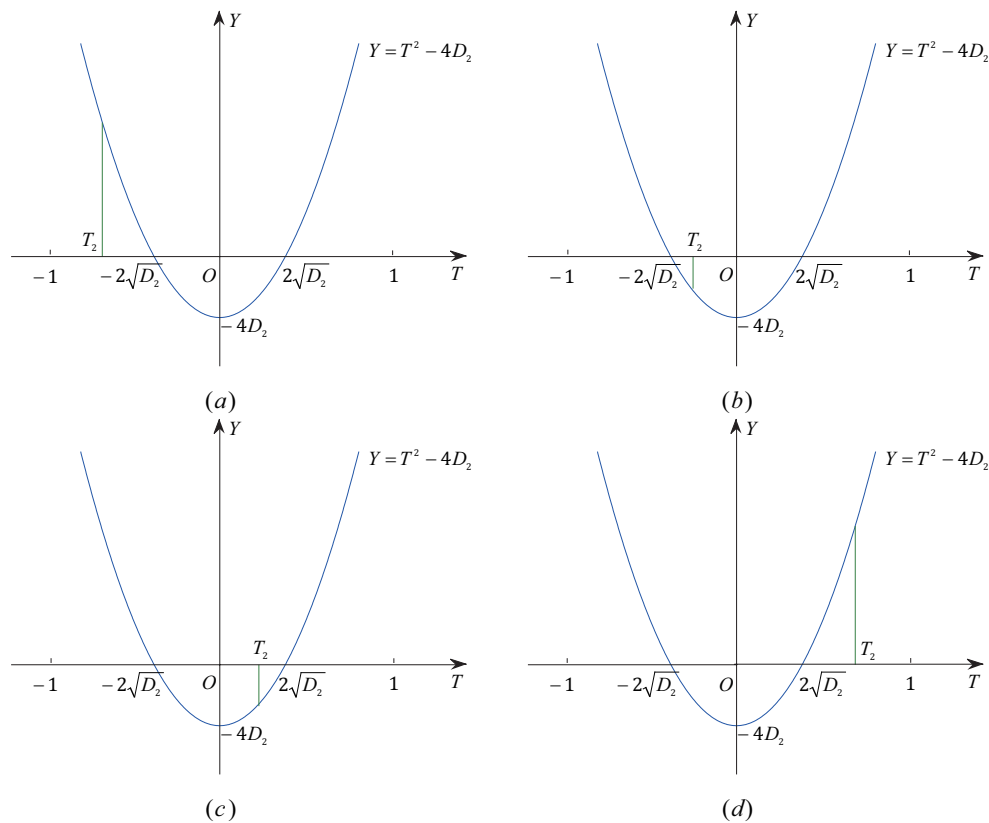


Figure 6. Parameter analysis in (2) of Theorem 3.2.

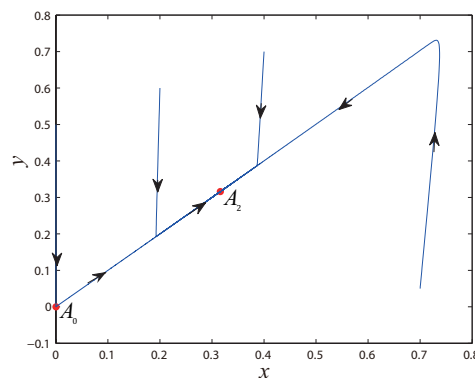


Figure 7. Global phase portrait near the equilibrium A_2 for the first case in (2) of Theorem 3.2.

For the results (2) and (3) in Theorem 3.2, when T_2 goes through $T_2 = 0$, the point A_2 of the model (2.8) changes from a stable equilibrium to an unstable one and a stable limit circle appears. The limit circle in the result (3) is located in the domain $\{(x, y) | 0 \leq x \leq 4, 0 \leq y \leq 1.2\}$, which indicates that the system is in a virtuous cycle (see Figure 12(b)); while the limit circle in the result (2) undergoes three jumps (see segment A_0B , CD and DA_0 in Figure 8): The first one means that the amount of the wheat aphid populations changes from $x \approx 0.03$ to $x \approx 9000$ in a short time, which shows that the wheat aphid populations break out when the density of the enemy populations is low. The second one

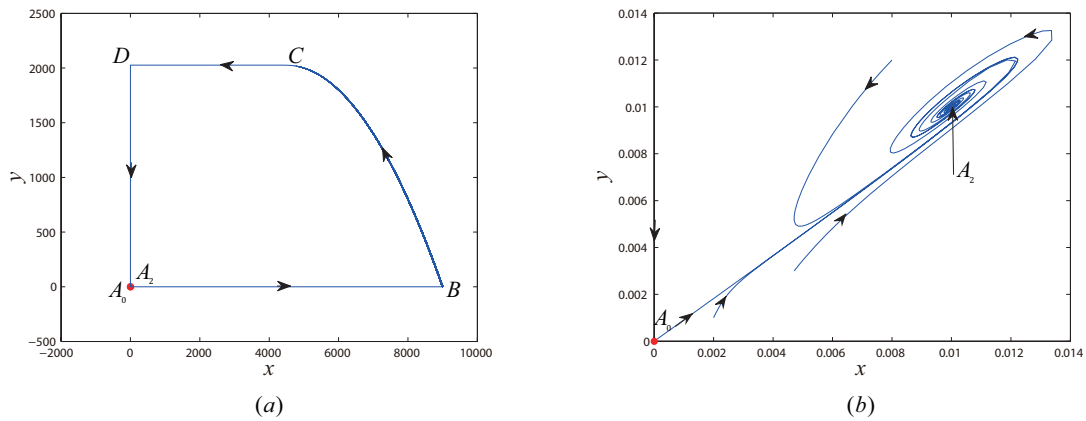


Figure 8. Global and the corresponding local phase portraits near the equilibrium A_2 for the second case in (2) of Theorem 3.2.

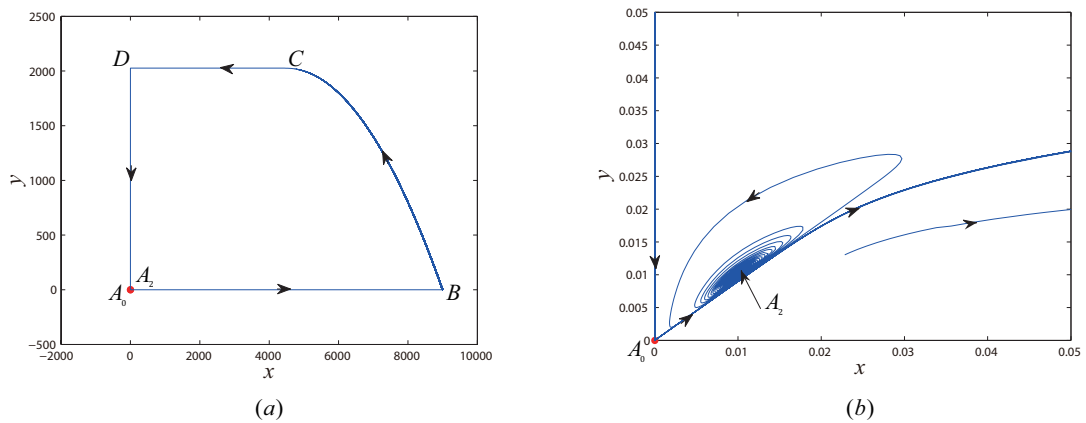


Figure 9. Global and the corresponding local phase portrait near the equilibrium A_2 for the third case in (2) of Theorem 3.2.

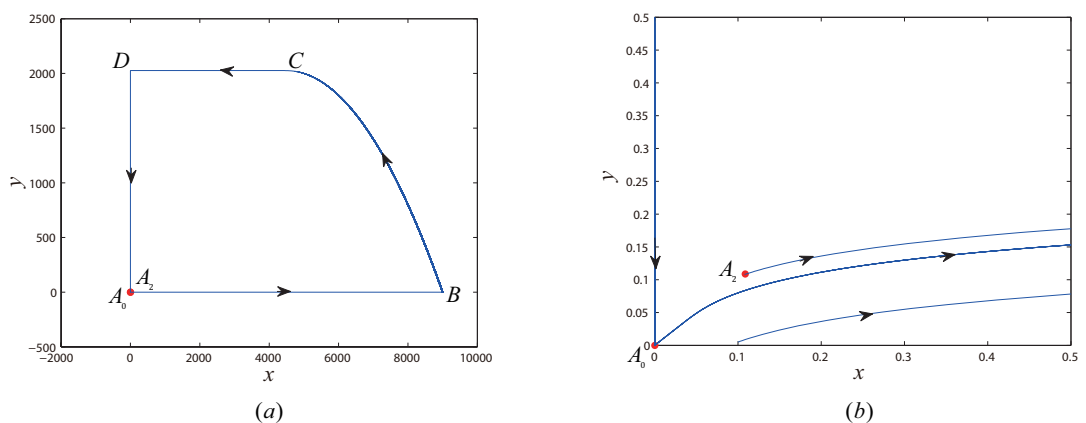


Figure 10. Global and the corresponding local phase portraits near the equilibrium A_2 for the fourth case in (2) of Theorem 3.2.

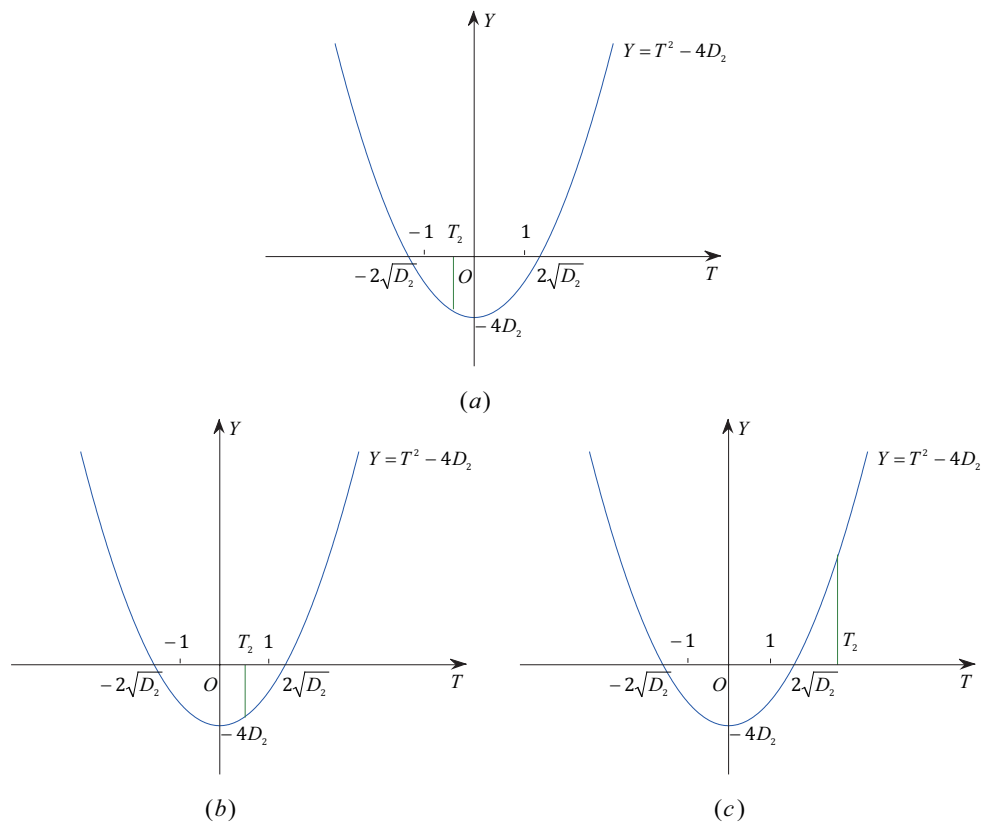


Figure 11. Parameter analysis in (3) of Theorem 3.2.

means that the amount of the wheat aphid populations decreases suddenly. The last one means that the migration of the enemy populations results from the lack of food. For the first one, it is very necessary to release additional enemy populations in a short time, the corresponding control is designed in the following section.

4. Controller design

The aphid populations are typical R-strategy of small body size, short period, rapid multiplication, etc. the breakout phenomena are common. Figure 8(a) shows that $A_0 \approx (0.03, 0.02)$ and $B \approx (9000, 0.02)$, which means that the amount of the wheat aphid populations changes dramatically from $x \approx 0.03$ to $x \approx 9000$, that is, the outbreak of the aphid populations. It also indicates that the natural enemy populations in ecological system can't to prevent the outbreak of the aphid populations by self-regulating mechanism, it is necessary to release additional enemy populations in a short time. Impulsive state feedback control may be used to describe the processes, the corresponding model is given

$$\begin{cases} \dot{x} = \gamma_1 x(a + bx - cx^2 - \gamma_2 y), & x \neq h, \\ \dot{y} = x - y, & x \neq h, \\ \Delta x = -k\alpha, & x = h, \\ \Delta y = \alpha, & x = h, \end{cases} \quad (4.1)$$

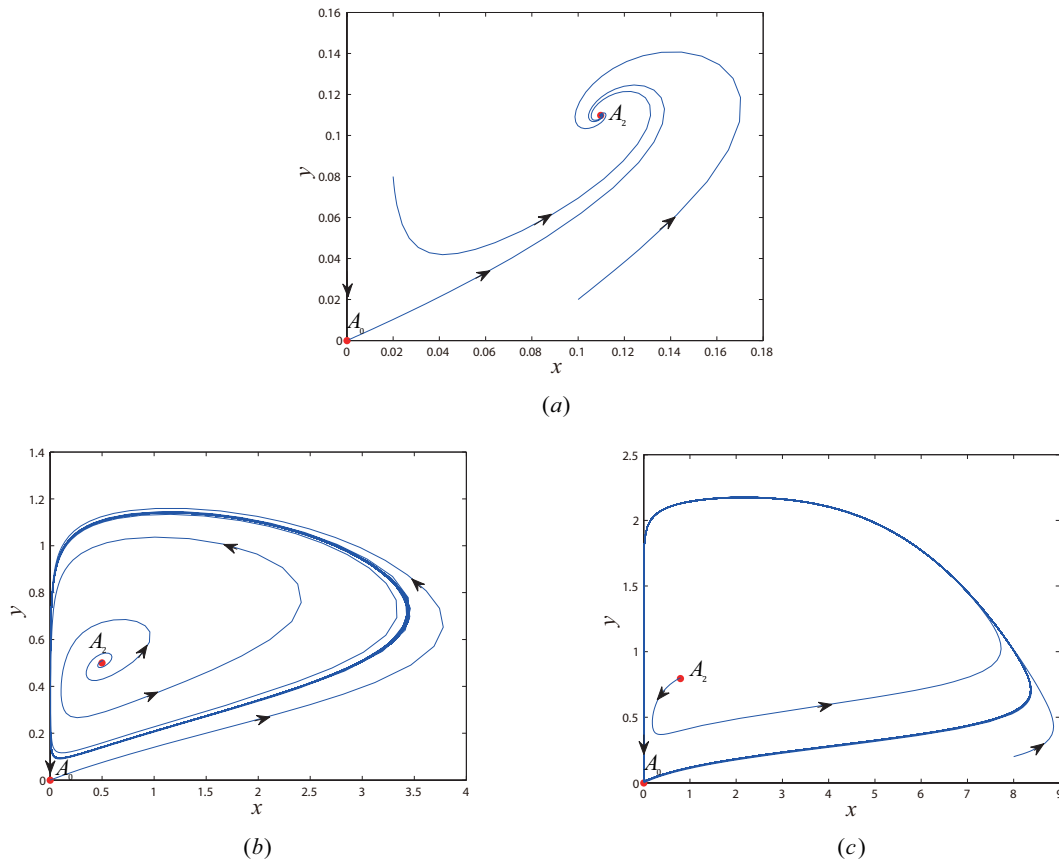


Figure 12. Global phase portraits in (3) of Theorem 3.4.

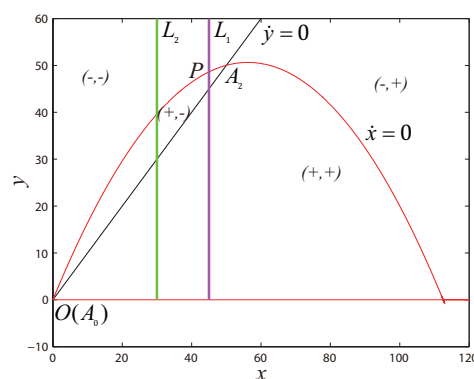


Figure 13. Structure of impulse control.

where α is the amount of the natural enemy populations $y(t)$ released, k is the corresponding absorption rate for the wheat aphid populations, $x = h$ is an economic threshold which does damage to wheat.

For the model (4.1), the following remarks are presented:

hits the point R_0 on the impulsive set L_1 , jumps onto the point R_0^+ on the image set L_2 , and then goes into the region and remains in it.

Case 2 The initial point R is located on the right of the region, thus the orbit starting from the point goes through the line L_1 from the right to the left, and then shows the same dynamics as Case 1.

Step IV If the initial point S is on the boundary of the region, for example $S = P$, the corresponding orbit shows the same dynamics as Step I.

Remark 4.4. *The steps above describes the whole implementing process of controller design, the results show that the orbits starting from the points around the equilibrium A_2 eventually enters the region M encircled by the curve PP^+P_1P and remains in it, which indicates that the region is the attractive region of the model (4.1).*

Further, the existence of periodical solutions of the model (4.1) may be investigated. In general, the two methods are used to finish the task, one is theory method, for example, the literature [37] generalizes some theories of periodical solutions from the differential equations to impulsive differential equations, and these theories are applied in a predator-prey model; The other is geometrical analysis, for example, the literature [38] generalizes successor functions and Poincare-Bendixson theorem from the differential equations to impulsive differential equations, and gives the geometrical analysis of a predator-prey model with two state impulses. In the following, the latter is used to study the existence of periodical solutions of the model (4.1).

In Figure 14, Let $P = (x_p, y_p)$, $P_1 = (x_{p_1}, y_{p_1})$, thus the successor function of the point P is

$$f(P) = y_{p_1} - y_p < 0. \quad (4.2)$$

Similarly, let $R = (x_r, y_r)$, the corresponding image point is $R_1 = (x_{r_1}, y_{r_1})$. According to Remark 3.4, we have

$$f(R) = y_{r_1} - y_r > 0. \quad (4.3)$$

Combine (4.2) and (4.3), the existence of periodical solutions of the model (4.1) is proven.

5. Discussion

In fact, the outbreak of aphid populations is a catastrophe phenomenon, the ecologists usually give qualitative explanation from the ecological views; In the paper, the delay is introduced the catastrophe model to reveal the mechanism behind the outbreak, which provides the mathematical details for the application of catastrophe theory in ecosystems. Due to the rapid multiplication of aphid populations, releasing enemy and spraying become the main measures of aphids management in agricultural production. The paper uses impulsive control to express the process, in which a new method for the theory study of aphid populations is provides. In a sense, the above realizes the combination of ecology, mathematics and catastrophe control theory. On the other hand, the essence of catastrophe control is the criticality control of systems. Literature [39] gives the method of identifying the type of critical point and literature [40] illustrate the main achievements in the study of critical dynamics in biological systems. Whether they are applied to regulate the catastrophe behavior of the wheat aphid populations is the future work.

Acknowledgments

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Conflict of interest

The authors declare no conflicts of interest.

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