



Research article

A novel lung radiomics feature for characterizing resting heart rate and COPD stage evolution based on radiomics feature combination strategy

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Abstract: The resting HR is an upward trend with the development of chronic obstructive pulmonary disease (COPD) severity. Chest computed tomography (CT) has been regarded as the most effective modality for characterizing and quantifying COPD. Therefore, CT images should provide more information to analyze the lung and heart relationship. The relationship between HR variability and PFT or/and COPD has been fully revealed, but the relationship between resting HR variability and COPD radiomics features remains unclear. 231 sets of chest high-resolution CT (HRCT) images from “COPD patients” (at risk of COPD and stage I to IV) are segmented by the

trained lung region segmentation model (ResU-Net). Based on the chest HRCT images and lung segmentation images, 231 sets of the original lung parenchyma images are obtained. 1316 COPD radiomics features of each subject are calculated by the original lung parenchyma images and its derived lung parenchyma images. The 13 selected COPD radiomics features related to the resting HR are generated from the Lasso model. A COPD radiomics features combination strategy is proposed to satisfy the significant change of the lung radiomics feature among the different COPD stages. Results show no significance between COPD stage I and COPD stage II of the 13 selected COPD radiomics features, and the lung radiomics feature Y1–Y4 ($P > 0.05$). The lung radiomics feature F2 with the dominant selected COPD radiomics features based on the proposed COPD radiomics features combination significantly increases with the development of COPD stages ($P < 0.05$). It is concluded that the lung radiomics feature F2 with the dominant selected COPD radiomics features not only can characterize the resting HR but also can characterize the COPD stage evolution.

Keywords: lung radiomics feature; resting heart rate; COPD radiomics features; COPD stage (GOLD); chest HRCT images; medical image analysis

1. Introduction

The resting heart rate (HR, beats per minute) variability is an important marker of the heart's neurophysiologic condition [1]. The resting HR can be measured to reflect the level of cardiopulmonary. Lung disease is a complex and diverse disease. As a common and non-infectious lung disease, chronic obstructive pulmonary disease (COPD) presents a preventable, treatable and progressive chronic disease with debilitating lung conditions characterized by persistent airflow limitation [2,3]. Due to the persistent airflow limitation of COPD, autonomic regulation of resting HR can be influenced [4]. Compared with people without COPD, patients with COPD cannot get enough air, which may increase the resting HR to get enough oxygen supply. As a result, the resting HR is an upward trend with the development of COPD severity [5]. After using a bronchodilator, the pulmonary function test (PFT) can assess COPD severity. The assessment parameters in PFT are the forced expiratory volume in 1 second/forced vital capacity (FEV1/FVC), and FEV1 % predicted [6]. The HR variability in COPD patients has been deeply studied [1,5,7,8]. There is no correlation between resting HR variability and FEV1 in COPD patients [1]. However, the COPD stage is determined by FEV1/FVC, and FEV1% predicted, and the relationship between the resting HR variability and the COPD stage is not revealed. Because the resting HR is an upward trend with the development of COPD severity, the resting HR can improve the risk prediction in COPD patients beyond that of PFT alone [5]. The HR is analyzed in the process of the Chester step tests to determine reliability and correlation with PFT results in COPD patients, according to advanced stages of the Chester step test and the number of steps [7], respectively. The effect of pulmonary rehabilitation on HR variability at peak exercise is revealed in COPD patients [8]. Symbolic analysis and complexity index of the HR variability are analyzed to assess cardiac autonomic modulation in COPD patients [9]. Association between the predictors of functional capacity and HR off-kinetics is also studied in COPD patients [10]. The relationship of the HR variability and the severity of COPD in PiZ alpha1-antitrypsin deficiency has also been revealed [11]. As the gold standard, PFT can only diagnose and evaluate COPD [6], but it cannot get the anatomical

structure of the lung region. PFT may cause missed diagnosis of early-stage COPD or overdiagnosis in primary care [12]. Compared with PFT, chest computed tomography (CT) images can provide more information, such as the specific anatomical structure of the lung, the location, and the morphology of diseases. Chest CT images can provide the lung anatomical structure, including the trachea, the blood vessels, pulmonary lobes, and lung texture information. The lung anatomical structure and texture information can be quantitatively calculated from chest CT images to further analyze COPD [13–15]. Therefore, CT has been regarded as the most effective modality for characterizing and quantifying COPD [16].

Lung radiomics features calculated from chest CT images have been used in the spirometric assessment of emphysema presence and COPD severity [17]. The emerging role of radiomics in COPD has also been proposed [18]. The COPD radiomics features can provide feature classes about COPD extracted from original and derived images. Although the HR variability in COPD [1,5,7–10] has been fully studied, the relationship between the resting HR variability and COPD radiomics features remains unclear. Our contributions in this paper are briefly described as follows:

- The relationship between the COPD radiomics features and the resting HR is revealed.
- A new COPD radiomics feature combination algorithm is proposed to improve the significance among different COPD stages.
- A novel lung radiomics features with the dominant selected COPD radiomics features characterize both the resting heart rate and the COPD stage evolution.

2. Materials and methods

This Section describes the Materials in Section 2.1 and methods in Section 2.2 (Figures 1–4, Eqs (1)–(3) and Table 1).

2.1. Materials

Figure 1 shows the Chinese subjects selection flow diagram, the number of subjects at different COPD stages, and the changing trend of resting HR with COPD stage evolution. 231 Chinese subjects aged 40–79 are included in this study. The 231 subjects who rigorously followed the inclusion and exclusion criteria were enrolled in the national clinical research center of respiratory diseases [21]. The 231 subjects with full inspiration underwent chest high-resolution CT (HRCT) scans (manufacturer: TOSHIBA, kVp:120 kV, X-ray tube current:40 mA, slice thickness:1.0 mm, window center: –600, window width: 1250) from May 25, 2009, to January 11, 2011, are included in this study. In addition, the 231 subjects, after 15 minutes of rest, underwent 12 Leads of ECG on the same day for the resting HR measurement.

The resting HR of all subjects affected by COPD and the abnormal resting HR caused by other diseases were excluded in our study. Diagnosis of COPD classification was from stage I to IV according to Global Initiative for Chronic Obstructive Lung Disease (GOLD) 2008 criteria accepted by the American Thoracic Society and the European Respiratory Society. According to our definition, at risk of COPD (stage 0) was diagnosed. The definition for stage 0 is that chronic cough and sputum production are for at least three months in each of two consecutive years without any other condition explaining the cough and a post-bronchodilator $FEV_1/FVC \geq 0.7$ and $FEV_1 \geq 80\%$ predicted [21]. The resting HR of the 231 subjects need further screening to exclude the abnormal resting HR

outside the interval ([60,100], beats per minute) [5]. After excluding the abnormal resting HR, 196 subjects are used to determine a lung radiomics feature for characterizing resting heart rate and COPD stage evolution in this study. COPD stage 0–IV has 50, 46, 58, 32 and 10 subjects, respectively.

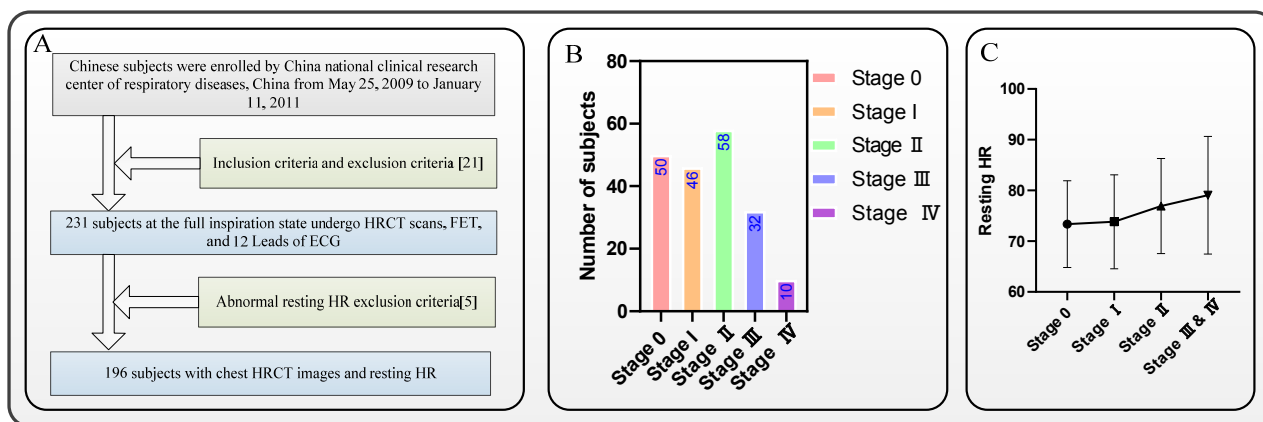


Figure 1. Subjects selection flow diagram and the number of subjects at different COPD stages. Figure 1(A): subjects selection flow diagram, Figure 1(B): number of subjects at different COPD stages, and Figure 1(C): the changing trend of resting HR with COPD stage evolution.

The ethics committee had approved this study of the national clinical research center of respiratory diseases in Guangzhou medical university, China. Each subject had been provided written informed consent by the first affiliated hospital of Guangzhou medical university before chest HRCT scans and 12 Leads of ECG.

2.2. Methods

This Section describes the methods including the lung region segmentation (Section 2.2.1), COPD radiomics features calculation (Section 2.2.2), COPD radiomics features selection (Section 2.2.3), and COPD radiomics features combination strategy (Section 2.2.4) in detail.

Figure 2 shows the overall block diagram of this study. Figure 2(A) shows that the trained segmentation model segments the lung parenchyma mask images ($512 \times 512 \times N$) from the original chest HRCT images ($512 \times 512 \times N$). Figure 2(B) shows the calculation of the radiomics features based on the lung parenchyma images. The original lung parenchyma images are obtained based on the original chest HRCT images and lung parenchyma mask images. Then, the original lung parenchyma images are filtered to get the derived lung parenchyma images. The original chest HRCT images and the derived lung parenchyma images are used to calculate the COPD radiomics features according to a predetermined class of radiomics features. Figure 2(C) shows the selection of lung radiomics features related to HR and the selected COPD radiomics features combination to obtain lung radiomics features that characterize HR and COPD stage evolution. The COPD radiomics features and the corresponding resting HR data of 196 subjects are normalized together before importing the Lasso model. 13 selected COPD radiomics features are generated by the Lasso model. Finally, a COPD radiomics features feature is constructed by the proposed radiomics

combination strategy for fully characterizing the resting HR and COPD stage evolution.

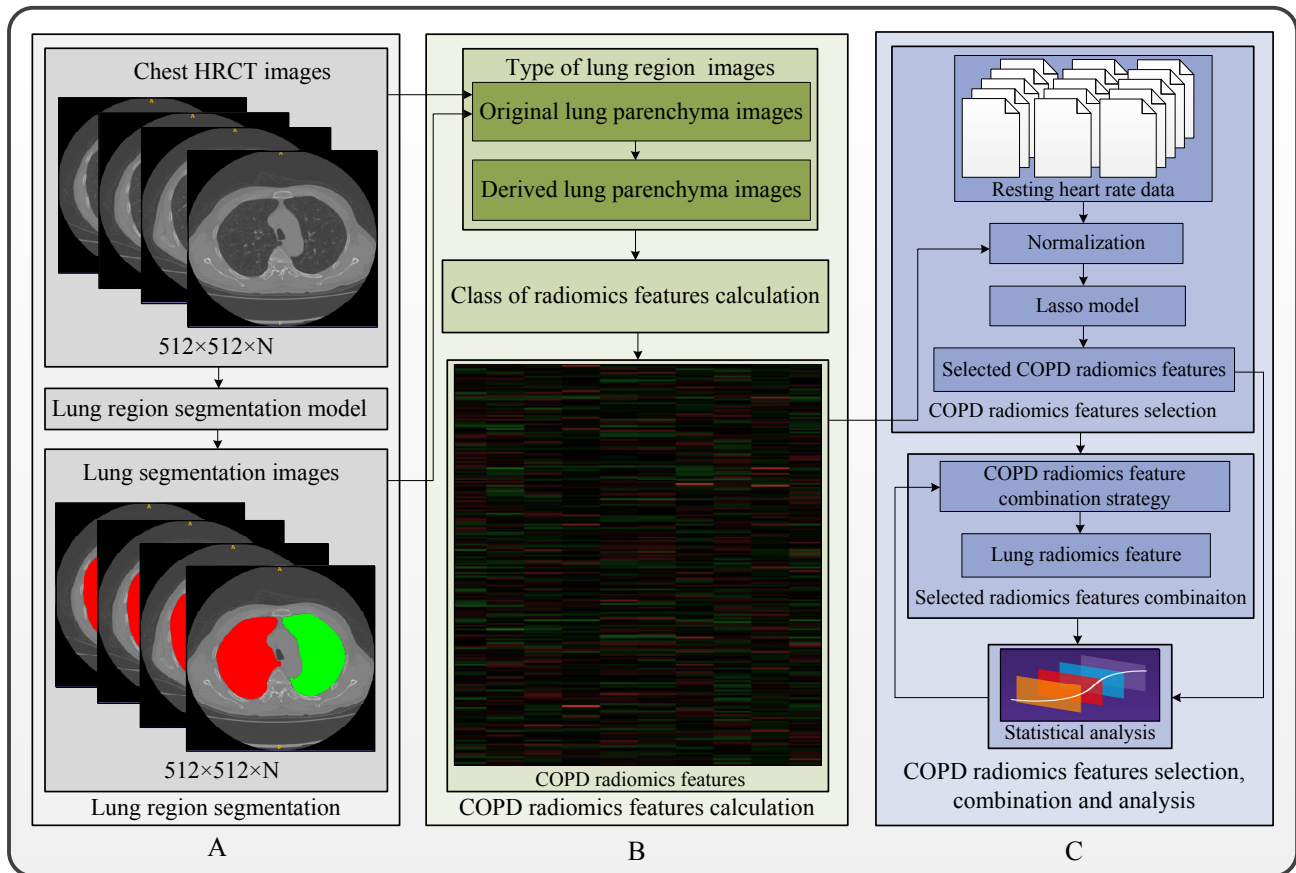


Figure 2. Overall block diagram of this study. Figure 2(A) shows the lung region segmentation, Figure 2(B) shows the calculation of the radiomics feature, and Figure 2(C) shows the selection, features combination, and statistical analysis of COPD radiomics features, respectively.

2.2.1. Lung region segmentation

The lung region needs to be accurately segmented from the chest HRCT images to calculate the COPD radiomics features. U-Net has been widely used to segment biomedical images [22–24]. Some new convolution networks also are proposed, such as a PedNet for image segmentation [25]. Similarly, some networks have made innovations in the application, such as residual networks for the image quality assessment [26]. Based on U-Net and residual networks, a fully automatic segmentation model [27] named U-net (R231) is used to segment the lung region from the chest HRCT images in Figure 2(A). The U-net (R231), which had been trained by human chest CT images, is a U network model with residual building block (ResU-Net) [28]. The architecture of the ResU-Net model is described in detail in our previous study [28]. The trained ResU-Net is available on the website <https://github.com/JoHof/lungmask>.

Figure 3 shows the typical lung region segmentation results from the original chest HRCT images in the coronal, transverse, and sagittal planes. The images without red and green color are the

original chest HRCT images, and the images with red and green colors are the corresponding lung region segmentation results. All slices of lung region segmentation results have been carefully checked and modified by three experienced radiologists using a tool named ITK-SNAP. The ITK-SNAP can be available on the website, <http://www.itksnap.org/pmwiki/pmwiki.php?n=Downloads.SNAP3>. The three experienced radiologists consider that all lung region segmentation results are acceptable for calculating COPD radiomics features.

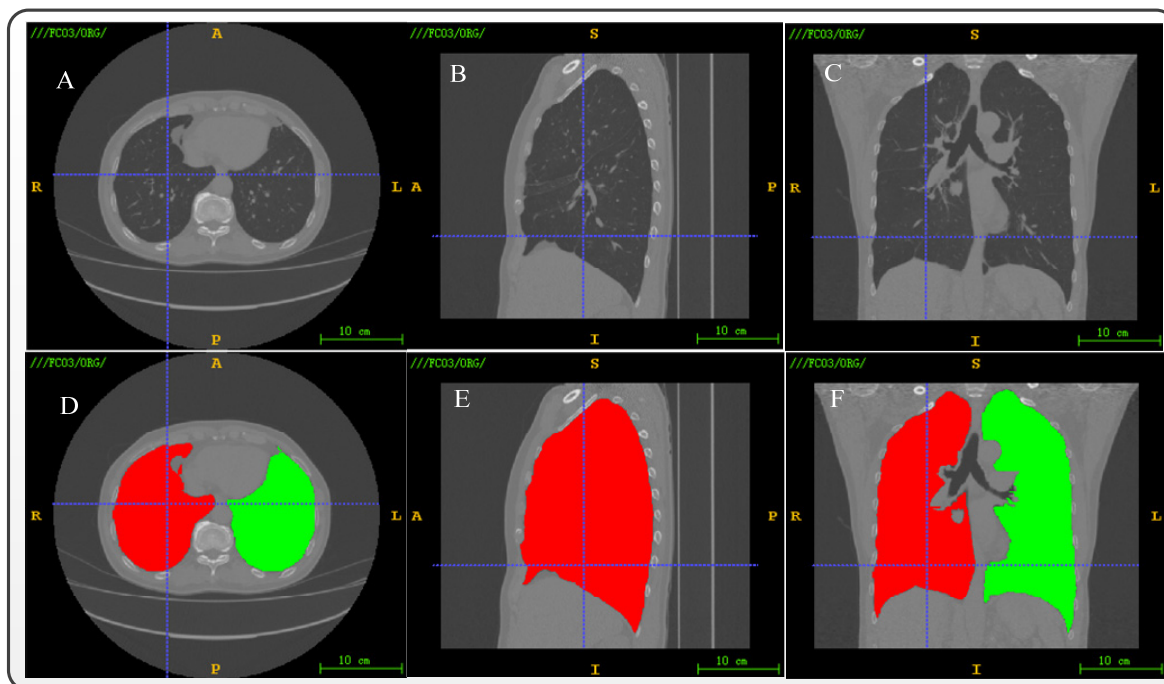


Figure 3. Typical lung region segmentation results from the original chest HRCT images in the coronal, transverse, and sagittal planes. Figure 3(A)–(C) shows the original chest HRCT images in the coronal, transverse, and sagittal planes. Figure 3(D)–(F) shows the lung region segmentation results in the corresponding plane. The red mask is the right lung parenchyma mask, and the green one is the left.

2.2.2. COPD radiomics features calculation

COPD radiomics features are calculated based on the original and derived lung parenchyma images. Therefore, the lung parenchyma images should be extracted from the chest HRCT images. The method of extracting original lung parenchyma images is based on the chest HRCT images and can refer to our previous study [28,29].

Wavelet provides the different scales of the chest images for the image analysis by using its multi-resolution decomposition [30,31]. Laplacian of Gaussian filter (LoG) [32,33] as an edge enhancement filter can emphasize areas of gray level change in images which is crucial for COPD chest images. Because of their advantages, the wavelet filter and LoG filter are considered for generating the derived lung parenchyma images in this study.

Figure 4 shows the detailed process of the COPD radiomics features calculation features. First, the wavelet and LoG filters are applied to the original lung parenchyma images. Specifically, the wavelet filter yields 8 (2^3) decompositions per level with all possible combinations of applying either

a high pass filter (H) or low pass filter (L) in each of the three dimensions. Therefore, the wavelet filter can derive eight types of derived lung parenchyma images. The LoG filter with sigma 1.0 to 5.0. can derive five types of derived lung parenchyma images. Finally, the COPD radiomics features are calculated based on the original and the 13 derived lung parenchyma images shown in Figure 3. Specifically, PyRadiomics (version 3.0., a radiomics calculation model) [34] is applied to calculate the COPD radiomics features. The PyRadiomics is available on the website <https://pyradiomics.readthedocs.io/en/latest/index.html>, and the website also has given detailed explanations of radiomics. Finally, 1316 COPD radiomics features of each subject are obtained.

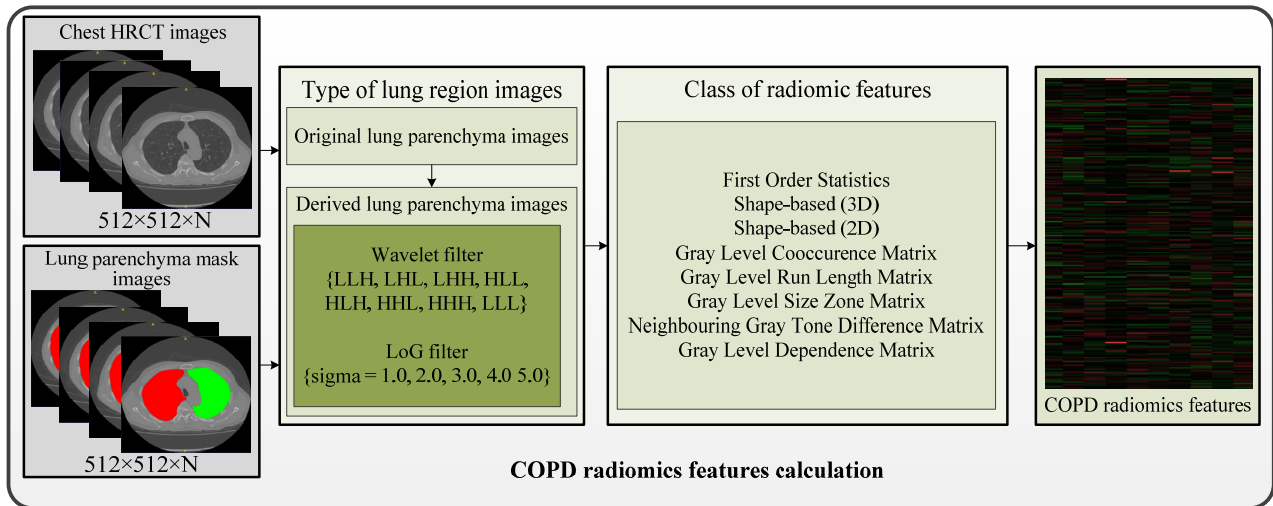


Figure 4. The detailed process of the COPD radiomics features calculation. The COPD radiomics features are calculated by the predetermined classes of radiomics features and the two types of lung region images.

2.2.3. COPD radiomics features selection

This paper uses the Lasso model [35,36] to select the COPD radiomics features related to HR. The mathematical form of the Lasso model is shown in expression (1):

$$\arg \min \left\{ \sum_{i=1}^n \left(y_i^* - \beta_0 - \sum_{j=1}^p \beta_j x_{ij}^* \right)^2 + \lambda \sum_{j=0}^p |\beta_j| \right\} \quad (1)$$

where x_{ij}^* is the value of the independent variable after normalization, y_i^* is the value of the dependent variable, λ is a penalty parameter ($\lambda \geq 0$), and β_j is the regression coefficient vector, $i \in [1, n]$, and $j \in [0, p]$.

A standard R package “lars 1.2” (parameter: type = “lasso”, and use.Gram = FALSE) is performed by an operating environment RStudio to select the independent variable. A tenfold cross-validation (a standard R package “cv.lars” with parameter: type = “lar”, K = 10, and use.Gram = FALSE) is used to select the fraction (the minimum cross-validated MSE). The dependent variable is the resting HR $\in [60, 100]$, and the independent variable is the COPD radiomics features. The size of COPD radiomics features is 196×1316 (196 subjects and 1316 COPD radiomics features of each subject). However, the

resting HR and the COPD radiomics features should be normalized before importing the Lasso model. The mathematical form of the normalization is shown in Eq (2):

$$\begin{cases} x_{ij}^* = (x_{ij} - \bar{x}_j) / (x_{jmax} - x_{jmin}) \\ y_i^* = (y_i - \bar{y}_i) / (y_{imax} - y_{imin}) \end{cases} \quad (2)$$

where x_{ij} is the independent variable (COPD radiomics features) before normalization, y_i is the dependent variable (the resting HR) before normalization, \bar{x}_j is the mean of the independent variable x_{ij} , x_{jmax} is the maximum of the independent variable x_{ij} , x_{jmin} is the minimum of the independent variable x_{ij} , \bar{y}_i is the mean of the dependent variable y_i , y_{imax} is the maximum of the dependent variable y_i , and y_{imin} is the minimum of the dependent variable y_i .

2.2.4. COPD radiomics features combination strategy

The COPD stages III and IV are taken as one stage (stage III & IV) in this paper to balance the data at different COPD stages and meet the statistical need. The lung radiomics feature Y_k is constructed by the following combination Eq (3) to observe the significant change among the different types of lung parenchyma images.

$$Y_k = \sum_{i=1}^N \beta_i x_i = \beta_1 x_1 + \beta_2 x_2 + \dots + \beta_N x_N \quad (3)$$

where k is the type of lung parenchyma images, N is the number of the selected COPD radiomics features belonging to one type of lung parenchyma images, and β_i is the coefficient of the selected radiomics x_i generated by the Lasso model. $K = 1$ denotes all types of lung parenchyma images. $K = 2$ denotes the original lung parenchyma images. $K = 3$ and 4 denote the derived lung parenchyma images generated from the LoG and wavelet filters.

A COPD radiomics features combination strategy is proposed in this paper to improve the significance among the COPD stages. Table 1 shows the specific algorithm of the COPD radiomics features combination strategy. The idea of the algorithm is to use the least COPD radiomics features to reflect the significances among different COPD stages and retain COPD radiomics features with the maximum coefficient array, which can reflect the resting HR.

Specifically, the coefficient array is the coefficients generated from the Lasso model. After initializing the preset significant condition, each coefficient in the coefficient array is changed to an absolute value. The coefficient array $[\beta_1, \beta_2, \dots, \beta_i]$ turns to $[|\beta_1|, |\beta_2|, \dots, |\beta_i|]$. The absolute values are sorted from largest to smallest, getting the pending coefficient array $[\max\{|\beta_1|, |\beta_2|, \dots, |\beta_i|\}, \dots, \min\{|\beta_1|, |\beta_2|, \dots, |\beta_i|\}]$. Next, the selected number N is initialized to 2, which means that the first two coefficients are chosen in the pending coefficient array. The N -selected coefficients from the pending coefficient array form a new coefficient array $\overline{\beta_N}$. Then a candidate lung radiomics feature Z_N is constructed by the new coefficient array $\overline{\beta_N}$ and its COPD radiomics features using the linear combination. The linear combination Eq (4) is shown in Table 1. In the linear combination Eq (4) x_i is the selected COPD radiomics features. Finally, the candidate lung radiomics feature's significances among different COPD stages are calculated. Dunn's multiple comparisons test in the statistical

software GraphPadPrism (8.0.1) calculates all significances among different COPD stages. If all significances among different COPD stages $<$ the preset significant condition ($P = 0.05$), the candidate lung radiomics feature Z_N is considered as the lung radiomics feature, which characters the resting HR and COPD stage evolution.

Table 1. The algorithm of the COPD radiomics features combination strategy.

| | Detailed implementation process |
|-------------|--|
| Input | Coefficient array $[\beta_1, \beta_2, \dots, \beta_i]$ Initialization: preset significant condition ($P = 0.005$) |
| Output | Lung radiomics feature $F_{lung\ radiomics} ; N$ |
| Computation | <p>Absolute value operation for the coefficient array $[\beta_1 , \beta_2 , \dots, \beta_i]$</p> <p>Sorting operation for the coefficient array $[max\{ \beta_1 , \beta_2 , \dots, \beta_i \}, \dots, min\{ \beta_1 , \beta_2 , \dots, \beta_i \}]$</p> <p>for $N = 2; N \leq 13; N \leftarrow N + 1$ do</p> <p style="padding-left: 20px;">{</p> <p style="padding-left: 40px;">Form a new coefficient array</p> $\vec{\beta}_N = \underbrace{[max\{ \beta_1 , \beta_2 , \dots, \beta_i \}, \dots, min\{ \beta_1 , \beta_2 , \dots, \beta_i \}]}_N$ <p style="padding-left: 40px;">Construct the candidate lung radiomics feature $Z_N = \sum_{i=1}^N \vec{\beta}_N \cdot x_i$ (4)</p> <p style="padding-left: 40px;">Calculate the significances of Z_N among different COPD stages</p> <p style="padding-left: 40px;">if all significances $<$ ($P = 0.05$)</p> <p style="padding-left: 60px;">$F_{lung\ radiomics} = Z_N$</p> <p style="padding-left: 60px;">break from for</p> <p style="padding-left: 40px;">end if</p> <p style="padding-left: 20px;">}</p> <p>end for</p> <p>return $\{F_{lung\ radiomics} ; N\}$</p> |

3. Results

All the significances of the 13 selected COPD radiomics features, the constructed lung radiomics features Y1–Y4, and the constructed lung radiomics features F1 and F2 among different COPD stages are analyzed in this Section.

3.1. The selected COPD radiomics features based on Lasso

Figure 5 shows the cross-validated mean square error (MES) with the fraction of final L1 norm by standard R package “cv. Lars” ($K = 10$, tenfold cross-validation). The optimal fraction (0.0909) is

determined when the cross-validated MSE takes the minimum value. After the tenfold cross-validation, 13 COPD radiomics features of each subject are selected from the 1316 COPD radiomics based on Lasso.

Table 2 shows the name, class, definition and coefficient of the 13 selected COPD radiomics features. We rename the 13 selected COPD radiomics features as Radiomics 1–13 for convenient description in this paper. The coefficients of the 13 selected COPD radiomics features are generated from the Lasso model. Radiomics 1–3 are the radiomics of the original lung parenchyma images, and radiomics 4–8 are the radiomics of the derived lung parenchyma images generated by the LoG filter with the sigma 1.0–5.0. Radiomics 9–13 are the radiomics of the derived lung parenchyma images generated by the wavelet filter.

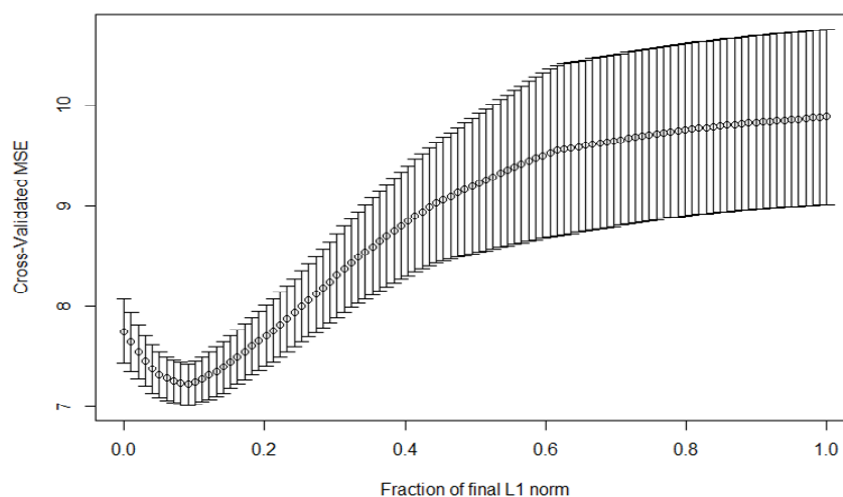


Figure 5. The cross-validated MES with the fraction of final L1 norm based on the Lasso.

Table 2. Name, class, coefficient and definition of the 13 selected COPD radiomics features.

| Name of the selected COPD radiomics features | Class | Coefficient | Definition |
|---|-----------------------------|-------------|-------------|
| original_shape_Elongation | Shape Features | 0.0502 | Radiomics1 |
| original_shape_MajorAxisLength | Shape Features | -0.0128 | Radiomics2 |
| original_shape_Maximum2DDiameterColumn | Shape Features | -0.0715 | Radiomics3 |
| log.sigma.2.0.mm.3D_firstorder_Kurtosis | First Order Features | -0.0024 | Radiomics4 |
| log.sigma.2.0.mm.3D_firstorder_Maximum | First Order Features | -0.0038 | Radiomics5 |
| log.sigma.2.0.mm.3D_glszm_GrayLevelVariance | GLSZM ¹ Features | -0.0231 | Radiomics6 |
| log.sigma.4.0.mm.3D_glszm_GrayLevelVariance | GLSZM ¹ Features | -0.0132 | Radiomics7 |
| log.sigma.5.0.mm.3D_glszm_SizeZoneNonUniformity | GLSZM ¹ Features | -0.0149 | Radiomics8 |
| wavelet.HLH_firstorder_Skewness | First Order Features | 0.0069 | Radiomics9 |
| wavelet.HLH_glcm_MCC | GLCM ² Features | 0.0094 | Radiomics10 |
| wavelet.HLH_glrmlm_LongRunLowGrayLevelEmphasis | GLRLM ³ Features | -0.0156 | Radiomics11 |
| wavelet.HLH_glszm_LargeAreaLowGrayLevelEmphasis | GLSZM ¹ Features | -0.0590 | Radiomics12 |
| wavelet.LLL_firstorder_10Percentile | First Order Features | -0.2494 | Radiomics13 |

*Note: ¹ Gray Level Size Zone Matrix. ² Gray Level Cooccurrence Matrix. ³ Gray Level Run Length Matrix.

Table 2 gives the relationship (coefficient) between the 13 selected COPD radiomics features and resting HR. The symbol “-” indicates a positive correlation of the selected COPD radiomics feature and resting HR, and the omitted symbol “+” indicates a positive correlation. Since all COPD radiomics features have been normalized before the selection, the coefficient can represent the importance of its corresponding COPD radiomics features. Figure 6 shows the coefficient of the 13 selected COPD radiomics features and the number of the selected COPD radiomics features in each class. Figure 6(A) shows the order of importance is Radiomics13, 3, 12, 1, 6, 11,8, 7, 2, 10, 9, 5, 4. Therefore, Radiomics13 is the dominant COPD radiomics feature to affect the resting HR. Figure 6(A) shows the number of the selected COPD radiomics features in each class. Although the numbers of the first order and GLSZM features are the same, the dominant COPD radiomics feature Radiomics13 belongs to the first order features. Therefore, the first order features have a greater impact on the resting HR than GLSZM features.

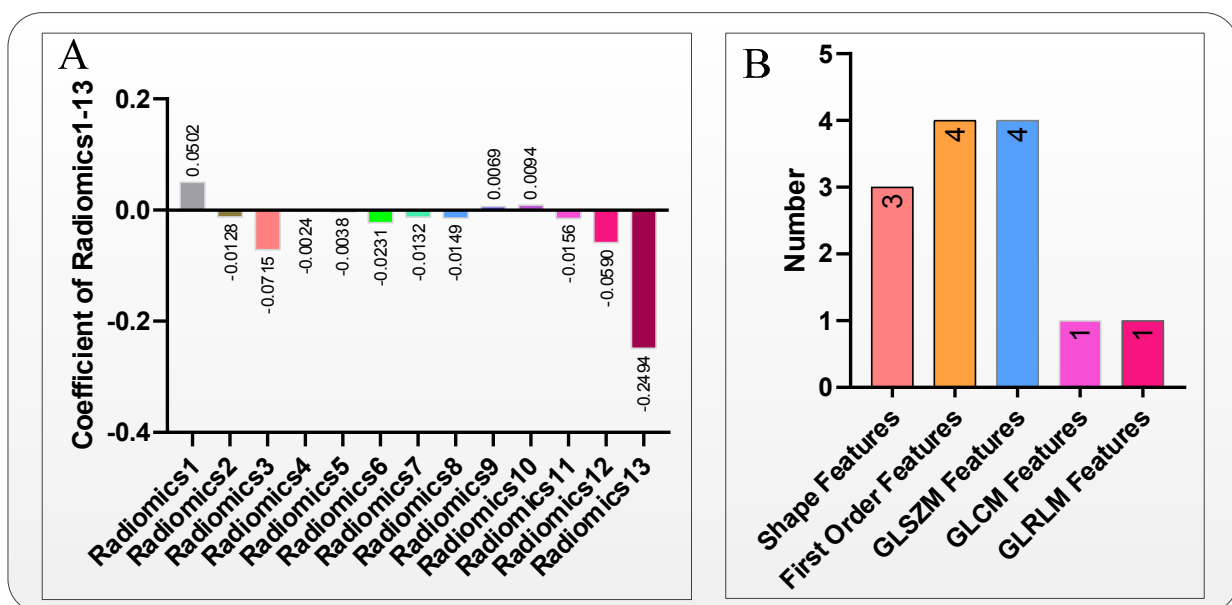


Figure 6. The picture of the coefficient of the 13 selected COPD radiomics features and the number of the selected COPD radiomics features in each class. Figure 6(A): the coefficient of the 13 selected COPD radiomics features, and Figure 6(B): the number of the selected COPD radiomics features in each class.

Figure 7(A)–(M) shows the changing trend with a boxplot of the 13 selected COPD radiomics features among different COPD stages. Table 3 shows significant differences in the selected COPD radiomics features among some different COPD stages, including Radiomics1, 3, 4, 6, 8, 9, 11, 12 and 13. However, there are no significant differences among all COPD stages in Radiomics2, 5, 7 and 10. Therefore, the results of Radiomics1, 3, 4, 6, 8, 9, 11, 12 and 13 are further analyzed.

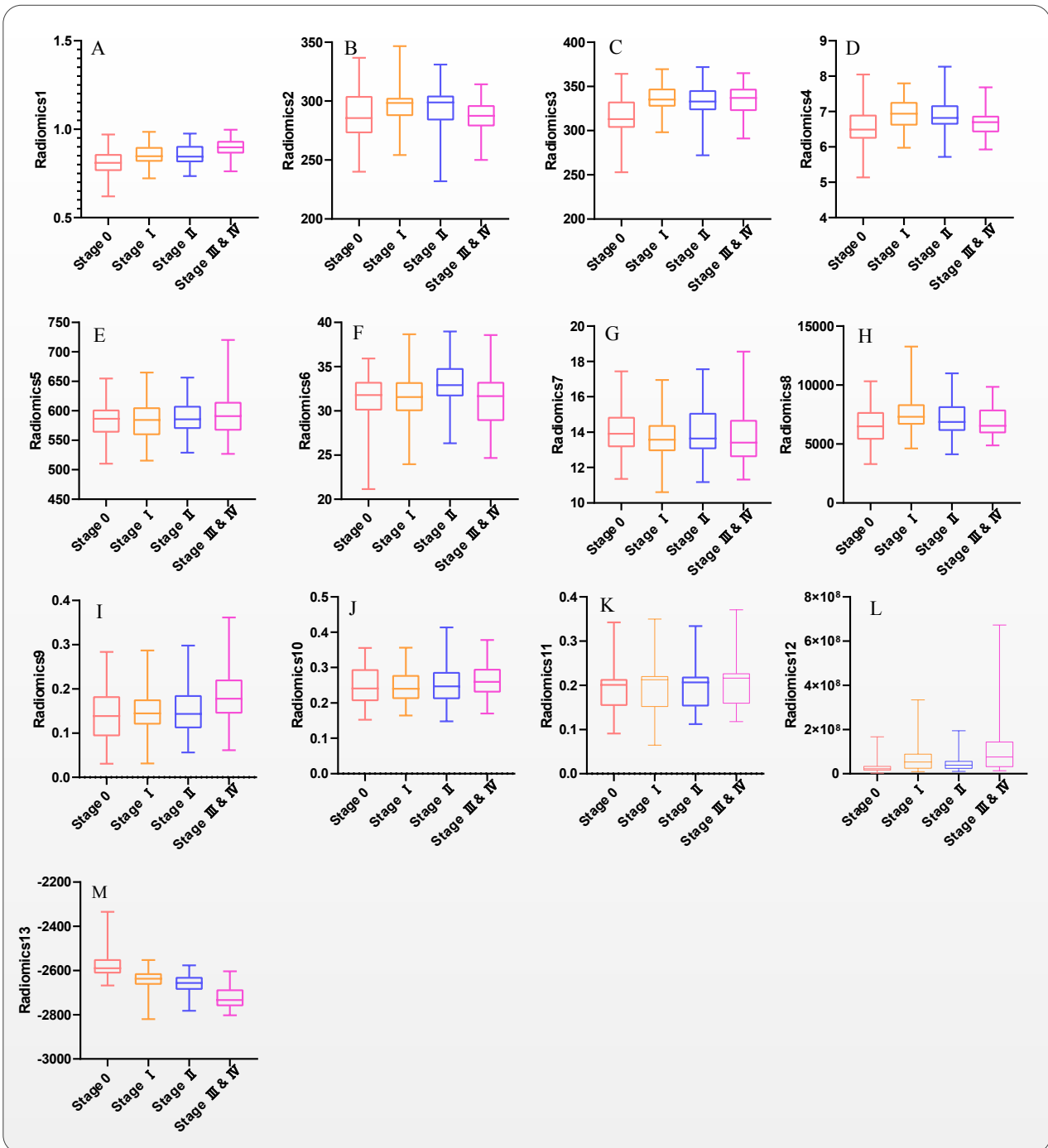


Figure 7. The changing trend of the 13 selected COPD radiomics features among different COPD stages.

Table 3. Using Dunn's multiple comparisons test, the adjusted P-value of the 13 selected COPD radiomics features among different COPD stages.

| COPD stage comparison | Radiomics1 | Radiomics2 | Radiomics3 | Radiomics4 | Radiomics5 |
|------------------------------|--------------------|--------------------|--------------------|-------------------|--------------------|
| Stage 0 vs. Stage I | 0.0067 | 0.0675(ns) | < 0.0001 | 0.0018 | > 0.9999 (ns) |
| Stage 0 vs. Stage II | 0.0034 | 0.0951(ns) | 0.0001 | 0.0062 | > 0.9999 (ns) |
| Stage 0 vs. Stage III & IV | < 0.0001 | > 0.9999 (ns) | 0.0003 | > 0.9999 (ns) | > 0.9999 (ns) |
| Stage I vs. Stage II | > 0.9999 (ns) | > 0.9999 (ns) | > 0.9999 (ns) | > 0.9999 (ns) | > 0.9999 (ns) |
| Stage I vs. Stage III & IV | 0.0495 | 0.0700(ns) | > 0.9999 (ns) | 0.0425 | > 0.9999 (ns) |
| Stage II vs. Stage III & IV | 0.03318 | 0.0987(ns) | > 0.9999 (ns) | 0.1213(ns) | > 0.9999 (ns) |
| COPD stage comparison | Radiomics6 | Radiomics7 | Radiomics8 | Radiomics9 | Radiomics10 |
| Stage 0 vs. Stage I | > 0.9999 (ns) | > 0.9999 (ns) | 0.0295 | > 0.9999 (ns) | > 0.9999 (ns) |
| Stage 0 vs. Stage II | 0.06115 (ns) | > 0.9999 (ns) | 0.3687 (ns) | > 0.9999 (ns) | > 0.9999 (ns) |
| Stage 0 vs. Stage III & IV | > 0.9999 (ns) | > 0.9999 (ns) | > 0.9999 (ns) | 0.0014 | 0.5143 (ns) |
| Stage I vs. Stage II | 0.0508 (ns) | > 0.9999(ns) | > 0.9999 (ns) | > 0.9999 (ns) | > 0.9999 (ns) |
| Stage I vs. Stage III & IV | > 0.9999 (ns) | > 0.9999 (ns) | 0.3202 (ns) | 0.0070 | 0.8122 (ns) |
| Stage II vs. Stage III & IV | 0.0480 | 0.8283 (ns) | > 0.9999 (ns) | 0.0125 | > 0.9999 (ns) |
| COPD stage comparison | Radiomics11 | Radiomics12 | Radiomics13 | | |
| Stage 0 vs. Stage I | > 0.9999 (ns) | 0.0002 | < 0.0001 | | |
| Stage 0 vs. Stage II | > 0.9999 (ns) | 0.0081 (ns) | < 0.0001 | | |
| Stage 0 vs. Stage III & IV | 0.0330 | < 0.0001 | < 0.0001 | | |
| Stage I vs. Stage II | > 0.9999(ns) | > 0.9999 (ns) | 0.4088 (ns) | | |
| Stage I vs. Stage III & IV | 0.4837(ns) | 0.3096 (ns) | < 0.0001 | | |
| Stage II vs. Stage III & IV | 0.1819(ns) | 0.0089 | 0.0030 | | |

*Note: ns: no significance.

Figure 7(A) shows that Radiomics 1 increases with the development of COPD stages. The mean \pm the standard error of mean (SEM) of Radiomics 1 from the COPD stage 0 to III & IV is 0.8068 ± 0.0105 , 0.8575 ± 0.0088 , 0.8585 ± 0.0081 , and 0.8950 ± 0.0084 , respectively. However, there is no significant change only between COPD stage I and COPD stage II ($P > 0.9999$). Figure 7(C) shows that Radiomics 3 increases with the COPD stages evolution. Compared to COPD stage 0, Radiomics 3 in COPD stage I, II, III & IV rises. The mean \pm SEM of Radiomics 3 from the COPD stage 0 to III & IV is 314.5 ± 3.289 , 336.1 ± 2.425 , 332.7 ± 2.580 and 333.5 ± 2.607 , respectively. The significant change exists only between COPD stage 0 and COPD stage I, II, III & IV ($P < 0.0001$, $P = 0.0001$, $P = 0.0003$). Figure 7(D) and Table 3 show that Radiomics 4 significantly increases from COPD stage 0 to COPD stage I, II ($P = 0.0018$ and 0.0062). The mean \pm SEM of Radiomics 4 from the COPD stage 0 to III & IV is 6.552 ± 0.0781 , 6.919 ± 0.0691 , 6.884 ± 0.0644 and 6.662 ± 0.0576 , respectively. Figure 7(F) shows that the mean of Radiomics 6 reaches the maximum at COPD stage II (32.67 ± 0.3414) among all the COPD stages. The mean \pm SEM of Radiomics 6 at the COPD stage 0, COPD stage I, and COPD stage III & IV is 31.41 ± 0.3988 , 31.55 ± 0.4403 , 31.42 ± 0.4855 , respectively. There are significant changes of Radiomics 6 between COPD stage II and III & IV ($P = 0.0480$) shown in Table 3. Figure 7(H) and Table 3 show that Radiomics 8 significantly arises only from COPD stage 0 to COPD stage I ($P = 0.0295$). The mean \pm SEM of Radiomics 8 from the COPD stage 0 to III & IV is 6559 ± 235.6 , 7466 ± 234.5 , 7139 ± 202.7 and 6851 ± 191.4 , respectively. Figure 5(J) and Table 3 show that Radiomics 9 significantly arises from COPD stage 0, I, II to COPD stage III & IV ($P = 0.0014$, P

= 0.0070, $P = 0.0125$). The mean \pm SEM of Radiomics 9 from the COPD stage 0 to III & IV is 0.1388 ± 0.0085 , 0.1458 ± 0.0074 , 0.1512 ± 0.0072 and 0.1875 ± 0.0096 , respectively. Figure 7(K) and Table 3 show that Radiomics 11 significantly arises from the COPD stage 0 to III & IV ($P = 0.0330$). The mean \pm SEM of Radiomics 11 at the COPD stage 0 and III & IV is 0.1868 ± 0.0064 and 0.2140 ± 0.0102 , respectively. Figure 7(L) and Table 3 show that Radiomics 12 significantly arises from the COPD stage 0 to COPD stage I ($P = 0.0002$) and from COPD stage 0, II to COPD stage III & IV ($P < 0.0001$, $P = 0.0089$). The mean \pm SEM of Radiomics 12 from the COPD stage 0 to III & IV is $2.956 \times 10^7 \pm 0.3952 \times 10^7$, $6.869 \times 10^7 \pm 1.006 \times 10^7$, $4.515 \times 10^7 \pm 0.3997 \times 10^7$ and $10.83 \times 10^7 \pm 1.797 \times 10^7$, respectively. Figure 5(N) shows that Radiomics 13 decreases with the development of COPD stages. The mean \pm SEM of Radiomics 13 from the COPD stage 0 to III & IV is 2575 ± 9.006 , 2624 ± 6.475 , 2662 ± 6.043 and 2718 ± 8.700 , respectively. However, there is no significant change between COPD stage I and COPD stage II ($P = 0.4088$).

3.2. The lung radiomics features based on the proposed combination strategy

Four lung radiomics features Y1–Y4 are constructed using the Eq (3). Specifically, the lung radiomics feature Y1 is constructed with all Radiomics 1–13 and their coefficients. Next, the lung radiomics feature Y2 is constructed with Radiomics 1–3 and their coefficients belonging to the original lung parenchyma images. Then, the lung radiomics feature Y3 is constructed with Radiomics 4–8 and their coefficients belonging to the derived lung parenchyma images generated from the Log filter. Finally, the lung radiomics feature Y4 is constructed with Radiomics 9–13 and their coefficients belonging to the derived lung parenchyma images generated from the wavelet filter. Figure 8 shows the significance of the lung radiomics features Y1–Y4 among different COPD stages. Table 4 shows the adjusted P-value of the lung radiomics features Y1–Y4 among different COPD stages.

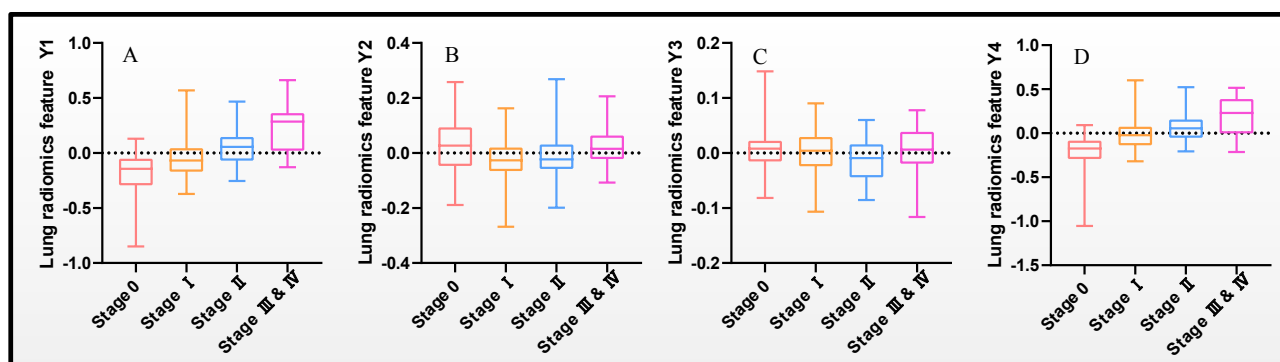


Figure 8. The changing trend of the lung radiomics features Y1–Y4 among different COPD stages using Dunn’s multiple comparisons test. Figure 8(A) shows the changing trend of the lung radiomics feature Y1 constructed by all the 13 selected COPD radiomics features. Figure 8(B) shows the changing trend of the lung radiomics feature Y2 constructed by Radiomics 1–3. Figure 8(C) shows the changing trend of the lung radiomics feature Y3 constructed by Radiomics 4–8. Finally, figure 8(D) shows the changing trend of the lung radiomics feature Y4 constructed by Radiomics 9–13.

Table 4. The adjusted P-value of the lung radiomics features Y1–Y4 among different COPD stages using Dunn’s multiple comparisons test.

| COPD stage comparison | Y1 | Y2 | Y3 | Y4 |
|-----------------------------|-------------|---------------|---------------|-------------|
| Stage 0 vs. Stage I | 0.0542 (ns) | 0.0641 (ns) | > 0.9999 (ns) | < 0.0013 |
| Stage 0 vs. Stage II | < 0.0001 | 0.1686 (ns) | 0.0686 (ns) | < 0.0001 |
| Stage 0 vs. Stage III & IV | < 0.0001 | > 0.9999 (ns) | > 0.9999 (ns) | < 0.0001 |
| Stage I vs. Stage II | 0.0718 (ns) | > 0.9999 (ns) | 0.3835 (ns) | 0.1287 (ns) |
| Stage I vs. Stage III & IV | < 0.0001 | 0.0729 (ns) | > 0.9999 (ns) | < 0.0001 |
| Stage II vs. Stage III & IV | < 0.0039 | 0.1851(ns) | 0.1143 (ns) | 0.0619 (ns) |

*Note: ns: no significance.

Table 4 shows no significant change in lung radiomics feature Y2 and Y3 among the COPD stages. Therefore, the lung radiomics feature Y1 and Y4 are further analyzed. Figure 8(A) shows that lung radiomics feature Y1 increases with the development of COPD stages. The mean \pm SEM of lung radiomics feature Y1 from the COPD stage 0 to III & IV is -0.1960 ± 0.0303 , -0.0507 ± 0.0268 , 0.04254 ± 0.0201 and 0.2302 ± 0.0311 , respectively. However, there is no significant change between COPD stage 0 and COPD stage I ($P = 0.0542$) and between COPD stage I and COPD stage II ($P = 0.0718$) shown in Table 4. Like lung radiomics feature Y1, Figure 8(D) shows that the lung radiomics feature Y4 also increases with the development of COPD stages. The mean \pm SEM of lung radiomics feature Y4 from the COPD stage 0 to III & IV is -0.2234 ± 0.0320 , -0.0272 ± 0.0235 , 0.0651 ± 0.0220 and 0.2058 ± 0.0327 , respectively. There is no significant change between COPD stage I and COPD stage II ($P = 0.1287$) and between COPD stage II and COPD stage III & IV ($P = 0.0619$) shown in Table 4.

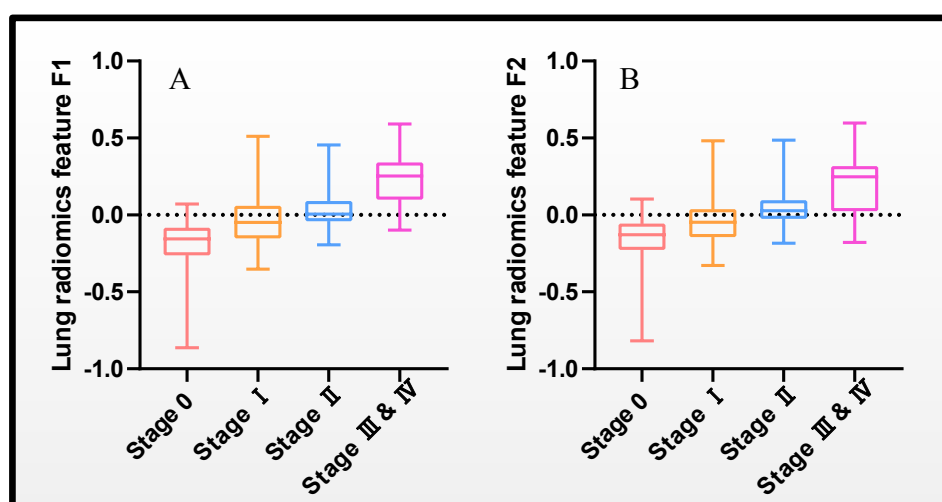


Figure 9. The changing trend of the lung radiomics features F1 and F2 among different COPD stages. Figure 9(A) shows the changing trend of the lung radiomics feature F1 constructed by Radiomics13 and Radiomics3. Figure 9(B) shows the changing trend of the lung radiomics feature F1 constructed by Radiomics 13, Radiomics 3, and Radiomics 12.

Table 5. The adjusted P-value of the lung radiomics features F1 and F2 among different COPD stages using Dunn's multiple comparisons test.

| COPD stage comparison | F1 | F2 |
|-----------------------------|-------------|----------|
| Stage 0 vs. Stage I | 0.0031 | 0.0479 |
| Stage 0 vs. Stage II | < 0.0001 | < 0.0001 |
| Stage 0 vs. Stage III & IV | < 0.0001 | < 0.0001 |
| Stage I vs. Stage II | 0.0646 (ns) | 0.0085 |
| Stage I vs. Stage III & IV | < 0.0001 | < 0.0001 |
| Stage II vs. Stage III & IV | < 0.0014 | 0.0342 |

*Note: ns: no significance.

Two lung radiomics features, F1 and F2, are constructed using the other COPD radiomics combination strategy seen in Table 5. Figure 9 shows the changing trend of the lung radiomics features F1 and F2 constructed by the COPD radiomics features combination strategy (Section 2.2.4) among different COPD stages. Figure 9(A) shows the changing trend of the lung radiomics feature F1 constructed by Radiomics 13 with the coefficient -0.2494 and Radiomics 3 with the coefficient -0.0715 . Figure 9(B) shows the changing trend of the lung radiomics feature F1 constructed by Radiomics 13, Radiomics 3, and Radiomics 12 with the coefficient -0.2494 , -0.0715 , -0.0590 , respectively. Figure 9(A) and Table 5 show that except for the significance between COPD stage I and II ($P = 0.0646$), the lung radiomics feature F1 significantly increases with the development of COPD stages. The mean \pm SEM of the lung radiomics feature F1 from the COPD stage 0 to III & IV is -0.1990 ± 0.0259 , -0.0433 ± 0.0218 , 0.0398 ± 0.0177 , and 0.2294 ± 0.0268 , respectively. Figure 9(A) and Table 5 also show that the lung radiomics feature F2 significantly increases with the development of COPD stages. The mean \pm SEM of the lung radiomics feature F2 from the COPD stage 0 to III & IV is -0.1739 ± 0.0255 , -0.0502 ± 0.0215 , 0.0521 ± 0.0183 , and 0.1901 ± 0.0280 , respectively.

4. Discussion

The selected COPD radiomics features, the lung radiomics features Y1–Y4, and the lung radiomics features F1–F2 related to the resting HR are discussed in Figures 6–9 and Tables 3–5.

From the above results, a single selected COPD radiomics features related to the resting HR cannot characterize the significant changes of COPD stage evolution among different COPD stages, especially from COPD stage I to COPD stage II. Although Radiomics 1 and Radiomics 13 can reflect significant changes in most COPD stages, they only fail to characterize the significant changes from COPD stage I to COPD stage II. Compared to Radiomics 1, the significance from COPD stage I to COPD stage II in Radiomics 13 ($P = 0.4088$) is better than that of Radiomics 1 ($P > 0.9999$). Radiomics 3 with significance ($P < 0.0001$) is the sensitive COPD radiomics feature related to resting HR from the risk of COPD (COPD stage 0) to suffering from COPD (COPD stage I–III & IV). At the same time, the significance of Radiomics 13 among other COPD stages is also better than that of Radiomics 1. Most importantly, Radiomics 13 is the dominant COPD radiomics feature affecting the resting HR. Therefore, the dominant COPD radiomics feature Radiomics 13 not only characterizes the resting HR but also characterizes COPD stages evolution (except for the significance between COPD stage I and COPD stage II).

The selected COPD radiomics features obtained by different types of lung parenchyma images are also further discussed in this paper. Unfortunately, the lung radiomics feature Y2, calculated by the

original lung parenchyma images, fails to characterize the evolution of COPD stages. Likewise, the lung radiomics feature Y3, calculated by the derived lung parenchyma images (LoG filters), only characterizes the COPD stage 0 to COPD stage II. However, the lung radiomics feature Y4, calculated by the derived lung parenchyma images (wavelet filter), only fails to characterize the COPD stage I to COPD stage II. Therefore, the selected COPD radiomics features calculated based on wavelet filter can better characterize COPD stage evolution than the LoG filter. At the same time, it can be seen from the lung radiomics feature Y1, which fully characterizes the resting HR, that it improves the overall significance among the different COPD stages. In particular, Radiomics 13 is also calculated from the derived lung parenchyma images based on the wavelet filter. The lung radiomics feature F1 and F2 are also discussed. The lung radiomics feature F2 constructed by Radiomics13, Radiomics 3, and Radiomics12 improves the significance from COPD stage I to COPD stage II ($P = 0.0085 < 0.05$). Although Radiomics13 is the dominant COPD radiomics feature, other selected COPD radiomics features Radiomics 3 and Radiomics 12 are also needed to characterize the COPD evolution. No matter what, finding a lung radiomics feature is a competitive process between different COPD stages.

The COPD radiomics features are calculated based on chest HRCT images with different COPD stages. The selected COPD radiomics features related to the resting HR are further determined. The selected COPD radiomics features can reflect the resting HR variability. Therefore, the relationship between the COPD radiomics features and the resting HR is revealed. The selected COPD radiomics features and/or the lung radiomics feature F2 may be a predictor of the resting HR variability of the subjects with COPD. The resting HR at different COPD stages may be predicted by the selected COPD radiomics features and/or the lung radiomics feature F2. Clinically, the resting HR variability has many causes. Although the abnormal resting HR caused by other diseases and outside the interval [5] was excluded in our study, it will also be more meaningful to analyze the patients with cardiopulmonary disease in future research.

The COPD radiomics features are calculated from the lung parenchyma images, reflecting the state of “COPD patients” at stages 0–IV. Compared with chest HRCT images, the COPD radiomics features can express the hidden information at different COPD stages. This hidden information is more helpful to characterize the differences of varying COPD stages and releases the relationship between resting HR and COPD evolution. There are also some limitations of this study. First, when chest HRCT images are collected, the patient's inspiratory state can be controlled, but the heart's movement cannot be controlled. Therefore, the blood state in pulmonary vessels must impact the calculation of the COPD radiomics features. Second, the number of subjects with COPD stage IV is only 10. Therefore, we take COPD stage III and IV as one COPD stage, affecting the analysis of the results.

5. Conclusions

Massive COPD radiomics features are calculated based on the lung region segmented by the trained ResU-Net. The 13 selected COPD radiomics features related to the resting HR are selected from the massive COPD radiomics features using the Lasso model. A COPD radiomics features combination strategy is proposed to provide a lung radiomics feature for characterizing the resting HR and the COPD stage evolution. Because the lung radiomics feature Y1 is constructed by all the selected COPD radiomics features, it is considered that it can fully characterize the resting HR. However, the lung radiomics feature Y1 fails to characterize the COPD stage evolution from COPD stage 0 to I, and from COPD stage I to II. Compared with the P-value of Y1, that of the lung radiomics feature F2 has been improved 0.63% between COPD stage 0 and stage I, and 6.33% between COPD stage I and

stage II, resulting in the P-value of F2 less than 0.05. Based on the COPD radiomics features combination strategy, the lung radiomics feature F2 with the dominant selected COPD radiomics features not only can characterize the resting HR but also can characterize the COPD stage evolution.

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Conflict of Interest

The authors declare no conflict of interest.

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