



Research article

A mathematical model of the four cardinal acid-base disorders

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Abstract: Precise maintenance of acid-base homeostasis is fundamental for optimal functioning of physiological and cellular processes. The presence of an acid-base disturbance can affect clinical outcomes and is usually caused by an underlying disease. It is, therefore, important to assess the acid-base status of patients, and the extent to which various therapeutic treatments are effective in controlling these acid-base alterations. In this paper, we develop a dynamic model of the physiological regulation of an $\text{HCO}_3^-/\text{CO}_2$ buffering system, an abundant and powerful buffering system, using Henderson-Hasselbalch kinetics. We simulate the normal physiological state and four cardinal acid-base disorders: Metabolic acidosis and alkalosis and respiratory acidosis and alkalosis. We show that the model accurately predicts serum pH over a range of clinical conditions. In addition to qualitative validation, we compare the *in silico* results with clinical data on acid-base homeostasis and alterations, finding clear relationships between primary acid-base disturbances and the secondary adaptive compensatory responses. We also show that the predicted primary disturbances accurately resemble clinically observed compensatory responses. Furthermore, via sensitivity analysis, key parameters were identified which could be the most effective in regulating systemic pH in healthy individuals, and those with chronic kidney disease and distal and proximal renal tubular acidosis. The model presented here may provide pathophysiologic insights and can serve as a tool to assess the safety and efficacy of different therapeutic interventions to control or correct acid-base disorders.

Keywords: mathematical model; acid-base homeostasis; bicarbonate; acidosis; alkalosis

1. Introduction

Tight regulation of pH and acid-base homeostasis in the blood and in the extracellular fluid plays a pivotal role in many physiological aspects of cellular metabolism and function. The impact of acid-base alterations has far reaching implications. In addition to physiochemical buffering, acid-base homeostasis is regulated by respiratory and renal systems. Changes in pH affects numerous physiochemical reactions and buffering systems, transport/channel kinetics, muscle contraction, metabolic enzymatic reactivities, and protein/membrane structure and function [1–5]. Alterations in pH also impact cardiovascular, central nervous, renal and pulmonary systems, tissue metabolism and oxygenation, and bone remodeling. For example, chronic H^+ retention can lead to increased muscle protein degradation and muscle wasting. In addition, through different synergistic pathways, H^+ retention can increase bone dissolution, cell-mediated bone resorption, and decrease bone formation. Similarly, H^+ retention can also result in renal injury and nephrolithiasis, and may accelerate progression of chronic kidney disease.

Pulmonary ventilation is controlled by partial arterial pressure of CO_2 (pCO_2), partial pressure of oxygen, and pH. Central chemoreceptors (located near the ventral surface of medulla oblongata of the brain) and peripheral chemoreceptors (located in the carotid and aortic bodies in the aortic arch) respond to changes in pCO_2 by triggering respiratory response, which in turn affects bicarbonate concentration (HCO_3^-) and thereby changing the pH level [6–20]. Similarly, the kidney is responsible for the regulation of HCO_3^- through reabsorption, production, and, in some situations, excretion of HCO_3^- . Kidneys reabsorb almost all of the filtered HCO_3^- in the proximal and distal tubular segments of the nephron, and produce new HCO_3^- to replace the amount consumed by acids through excretion of titratable acids and ammonium (see references [6, 7, 9–13, 15, 16, 18–21] for more details).

Pure alterations in acid-base homeostasis are characterized by one of the four primary disorders, namely: (1) metabolic acidosis, (2) metabolic alkalosis, (3) respiratory acidosis, and (4) respiratory alkalosis. In addition to these pure acid-base alterations, combinations can occur (“mixed” acid-base disorders). The acid-base disorder is metabolic or respiratory depending whether the changes in HCO_3^- or in pCO_2 are due to dysregulation of renal or respiratory functions, respectively. In particular, the acid-base disorder is termed metabolic when the primary abnormality can be attributed to changes in HCO_3^- , either as a result of an inequality between net H^+ production and renal HCO_3^- reabsorption [18, 22–25], or due to HCO_3^- renal or gastrointestinal absorptive and secretion defects. Similarly, an acid-base disorder is termed respiratory if the primary abnormality is due to changes in pCO_2 , caused by imbalances between production and pulmonary excretion of CO_2 , or abnormality in respiratory function. The status of acid-base disorders is termed acidotic or alkalotic if the blood pH is below or above the normal physiological range ($pH = 7.40 \pm 0.02$), respectively. Hence, acidosis or alkalosis refers to the process in which H^+ concentration is increased or decreased, respectively.

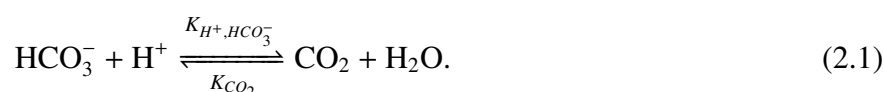
Several mathematical models describing acid-base homeostasis in different systems have been proposed. Many of these models have been formulated as systems of algebraic equations, linear or nonlinear ordinary and partial differential equations [22–27]. Starting with the algebraic expression of Henderson-Hasselbalch relating pH, CO_2 and HCO_3^- , there have been a series of context-specific applications of models describing acid-base homeostasis [26–35]. Lang and Zander [26], and Wolf and DeLand [27] developed a series of compartmentalized algebraic mathematical equations to describe acid-base status. In particular, Lang and Zander quantitatively examined the classical

concept of HCO_3^- dilution during dialysis [26]. Similarly, Wolf and DeLand described equilibrium distribution of water, electrolytes, metabolites and simple ionic species between plasma, erythrocyte and interstitial fluids [27]. The authors investigated the dilution effect of saline, acid or base addition on acid-base status. Thews and Hutten [34], and Dash and Bassingthwaighte [30] provided a comprehensive model of the transport exchange during hemodialysis. For example, Thews and Hutten [34], Ursino and colleagues [29, 35] described the exchange processes of sodium, potassium, chloride, HCO_3^- , CO_2 , H^+ , O_2 , uremic catabolites, and other solute kinetics during hemodialysis. Similarly, Dash and Bassingthwaighte provided a detailed axially distributed nonlinear model to describe the transport and exchange of O_2 , CO_2 and HCO_3^- in red blood cells, plasma, interstitial fluid and parenchymal cells [30]. More recently, a series of sophisticated and large compartmental models have also been proposed. Sargent and colleagues and Marano and Marano [31, 33] provided a simple analysis of bicarbonate-acetate kinetics to investigate bicarbonate disappearance during hemodialysis, and provide an analytical solution for the model. However, the model does not incorporate renal and respiratory mechanisms. Martin and colleagues [32] described an acid-base status model in the context of tumor-blood pH exchange kinetics, where renal and pulmonary regulatory mechanisms were included, but the authors ignored non-bicarbonate buffering of the $\text{HCO}_3^-/\text{CO}_2$ buffer system. In this paper, we include renal and pulmonary regulation of the acid-base kinetics, bicarbonate and bicarbonate buffering.

To examine quantitative alterations of systemic buffer kinetics, we present a mathematical model of serum pH regulation by the $\text{HCO}_3^-/\text{CO}_2$ system, including renal and respiratory regulatory mechanisms (see section 2). Using the model, we simulate the four cardinal acid-base disorders, namely metabolic acidosis and alkalosis, and respiratory acidosis and alkalosis (see section 3.1), and provide quantitative validation of the model by comparing the model predictions with clinical data (see section 3.2). In addition, we perform uncertainty quantification and sensitivity analysis to identify parameters that can easily be altered to correct the pathophysiological disorders of interest in section 3.3. We end with a summary and concluding remarks outlining the shortcomings of the model and further research directions in section 4.

2. Materials and model description

To model the effect of systemic acid-base homeostasis, we present a system of coupled nonlinear ordinary differential equations to describe the acid-base buffering kinetics through the $\text{HCO}_3^-/\text{CO}_2$ system. In addition, we incorporate the relevant physiological regulatory mechanisms. We focus mainly on $\text{HCO}_3^-/\text{CO}_2$ buffering kinetics, as it is the most effective buffer system that controls systemic pH. The pH of extracellular fluid is mainly regulated by three regulatory mechanisms, which act on different timescales, namely: (i) chemical acid-base buffering, (ii) respiratory control, and (iii) renal glomerular filtration and tubular function. We consider the following HCO_3^- buffering system:



where we assume that carbonic anhydrase (CA) accelerates the carbonic acid reactions. Chemical acid-base buffering prevents excessive changes in pH, where the timescale of this process is usually in seconds. The ability of the lung to increase or decrease ventilation allows it to regulate CO_2 removal

as a gas in the expired air from the extracellular fluid, thereby adjusting the pH. In particular, due to continuous production of CO_2 as a by-product of cellular metabolism, the ventilation rate must be able to accommodate alterations in CO_2 in order to equilibriate the pH of the extracellular fluid. Although the process is fast (occurs in minutes), it is less effective than chemical buffering. If the acid-base imbalance persists, then kidneys excrete either excess acid or base. An adaptation process that takes hours to days. The kidneys, representing a very powerful regulatory system, have the ability to secrete large amount of H^+ into the tubular lumen during metabolic acidosis. Also, excretion and reabsorption of HCO_3^- also take place in the proximal tubule and distal tubule. In particular, in the proximal tubule, H^+ is secreted through Na^+/H^+ countertransport-facilitated process, while HCO_3^- is reabsorbed by combining with H^+ to form carbonic acid, H_2CO_3 , which is converted into CO_2 and H_2O (via carbonic anhydrase enzymatic activity). Most of the secreted H^+ is used to reclaim the filtered HCO_3^- , and this rate of HCO_3^- reabsorption is related to the rate of acid excretion.

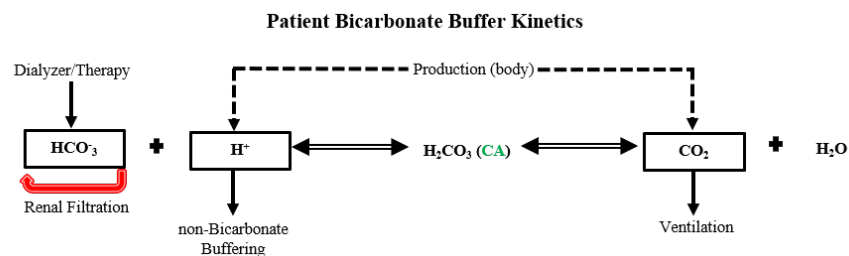


Figure 1. Model diagram. Schematic shows body production of CO_2 and H^+ , ventilatory response, renal filtration, acid removal, and HCO_3^- therapy or supplementation.

To model acid-base homeostatic process, we track the concentrations of bicarbonate ($Y_{\text{HCO}_3^-}(t)$), carbon dioxide ($Y_{\text{CO}_2}(t)$) and free hydrogen ions ($Y_{\text{H}^+}(t)$). Using the Henderson-Hasselbalch mass-action kinetics with renal and pulmonary regulatory mechanisms described above (see [36, 37]), the homeostatic dynamics of $\text{HCO}_3^-/\text{CO}_2$ acid-base system is given as follows:

$$\frac{dY_{\text{H}^+}(t)}{dt} = P_{\text{H}^+} - \gamma_{\text{H}^+} Y_{\text{H}^+}(t) - K_{\text{H}^+, \text{HCO}_3^-} Y_{\text{H}^+}(t) Y_{\text{HCO}_3^-}(t) + K_{\text{CO}_2} Y_{\text{CO}_2}(t), \quad (2.2)$$

$$\begin{aligned} \frac{dY_{\text{HCO}_3^-}(t)}{dt} &= P_{\text{HCO}_3^-} + \phi_{\text{CO}_2} Y_{\text{CO}_2}(t) - D_{\text{HCO}_3^-} Y_{\text{HCO}_3^-}(t) - K_{\text{H}^+, \text{HCO}_3^-} Y_{\text{H}^+}(t) Y_{\text{HCO}_3^-}(t) \\ &\quad + K_{\text{CO}_2} Y_{\text{CO}_2}(t), \end{aligned} \quad (2.3)$$

$$\frac{dY_{\text{CO}_2}(t)}{dt} = P_{\text{CO}_2} - D_{\text{CO}_2} \mathcal{V}_0 Y_{\text{CO}_2}(t) + K_{\text{H}^+, \text{HCO}_3^-} Y_{\text{H}^+}(t) Y_{\text{HCO}_3^-}(t) - K_{\text{CO}_2} Y_{\text{CO}_2}(t), \quad (2.4)$$

for $t \in \mathbb{R}_0 := [0, +\infty)$ with the following initial conditions $Y_{\text{H}^+}(0) = H_0$, $Y_{\text{HCO}_3^-}(0) = B_0$ and $Y_{\text{CO}_2}(0) = C_0$, where the values are set to normal physiological state. The parameter P_{H^+} represents the cellular production of H^+ , γ_{H^+} denotes H^+ loss either due to renal clearance and/or non-bicarbonate buffering (e.g., buffering with albumin, Ca^{2+} , PO_4^{3-}). The hydration and dehydration reaction rates are given by the parameters $K_{\text{H}^+, \text{HCO}_3^-}$ and K_{CO_2} , respectively, where the values have been adjusted to reflect the carbonic anhydrase activity [38]. In addition, $P_{\text{HCO}_3^-}$ denotes HCO_3^- therapy and/or supplementation, ϕ_{CO_2} represents acid secretion rate, P_{CO_2} is the body or cellular (mitochondrial) production of CO_2 , and $D_{\text{HCO}_3^-}$ the renal filtration rate of HCO_3^- . The effective ventilation rate ($D_{\text{CO}_2} \mathcal{V}_0$) captures the

pulmonary removal of CO_2 , where \mathcal{V}_0 is the minute volume ventilation, and D_{CO_2} is the ventilation rate. We note that the kinetics of H_2O is not included in the above system of equations, for H_2O is assumed to be abundant as a solvent. Figure 1 provides the model schematic.

Table 1. Parameter descriptions and values for bicarbonate buffer kinetic system. The calculated values were derived from the steady-state assumptions, and the values derived from these calculations are then rounded up.

Bicarbonate buffer kinetic system				
Description	Symbols	Values (range)	Units	Refs.
Parameters				
Hydration reaction rate	$K_{\text{H}^+, \text{HCO}_3^-}$	$3.437 (1.72, 6.87) \times 10^{10}$	$L/mol/s$	calculated
Dehydration reaction rate	K_{CO_2}	$2.736 (1.37, 5.46) \times 10^4$	s^{-1}	[23]
Production of hydrogen ion	P_{H^+}	$1.2 (0.6, 2.4) \times 10^{-6}$	$mol/L/s$	[23]
Bicarbonate Supplementation/Therapy	$P_{\text{HCO}_3^-}$	$0 (-1.2, 1.2 \times 10^{-6})$	$mol/L/s$	assumed, [22, 23]
Production of carbon dioxide	P_{CO_2}	$3 (1.5, 6) \times 10^{-6}$	$mol/L/s$	[22, 23]
Acid secretion rate	ϕ_{CO_2}	$7.09 (3.55, 14.2) \times 10^{-3}$	s^{-1}	calculated
Renal filtration rate	$D_{\text{HCO}_3^-}$	$3.5461 (1.77, 7.09) \times 10^{-4}$	s^{-1}	[22]
Ventilation rate	D_{CO_2}	$2.5 (1.25, 5) \times 10^{-2}$	L^{-1}	[22, 23]
Removal of H^+	γ_{H^+}	$30.151 (15.08, 60.30)$	s^{-1}	calculated
Initial conditions				
Hydrogen ion concentration (H^+)	$Y_{\text{H}^+}^0$	3.98×10^{-8}	mol/L	[22]
Bicarbonate ion concentration (HCO_3^-)	$Y_{\text{HCO}_3^-}^0$	2.4×10^{-2}	mol/L	[22]
Carbon dioxide concentration (CO_2)	$Y_{\text{CO}_2}^0$	1.2×10^{-3}	mol/L	[22]
Minute ventilation	\mathcal{V}_0	0.10	L/s	[24]

The first two terms in Eq (2.2) account for production P_{H^+} of H^+ from the body, either through consumption and/or through other processes (e.g., cellular metabolism), and $\gamma_{\text{H}^+} Y_{\text{H}^+}$ is the removal of H^+ as either a titratable acid and/or ammonium or non-carbonate buffering (e.g., buffering with phosphate, calcium). The third and last terms correspond to buffering reaction kinetics. For Eq (2.3), the first term, $P_{\text{HCO}_3^-}$, represents HCO_3^- therapy, the second and third terms, $\phi_{\text{CO}_2} Y_{\text{CO}_2}(t) - D_{\text{HCO}_3^-} Y_{\text{HCO}_3^-}(t)$, describe renal filtration processes, where we assume that the amount of HCO_3^- lost to kidney from the blood through filtration is related to filtered load, and the equivalence of HCO_3^- reabsorption and acid excretion is through the splitting of CO_2 by intracellular carbonic anhydrase enzymatic activity. That is, an increase in CO_2 concentration increases the conversion of CO_2 into H^+ and HCO_3^- in a normally functioning kidney, which, in turn, results in higher acid secretion into the urine and HCO_3^- absorption into bloodstream. Hence, the second term describes acid secretion, whereas the third term is the HCO_3^- load to be filtered. Similarly, Eq (2.4) constitutes the buffering kinetics, where the first term, P_{CO_2} , is production of CO_2 in the body (e.g., cellular metabolic or mitochondrial process), and the second term, $D_{\text{CO}_2} \mathcal{V}_0 Y_{\text{CO}_2}(t)$, is the removal of CO_2 through respiratory ventilation by the lung, which depends on blood volume, cardiac output, arteriovenous difference (concentration difference between arterial and venous blood) of CO_2 (see [25, 39]). In principle, the ventilation rate \mathcal{V}_0 depends on pCO_2 , oxygen partial pressure (pO_2), and pH [24, 25, 39]. But we assume that \mathcal{V}_0 is constant in the above model. In addition, P_{H^+} , $P_{\text{HCO}_3^-}$

and P_{CO_2} can also be time-dependent. For example, in the context of hemodialysis, P_{H^+} , $P_{HCO_3^-}$, pO_2 and \mathcal{V}_0 may vary during the treatment.

Throughout this paper, Eqs (2.2)–(2.4) were solved using stiff ode15s solver in MATLAB (The MathWorks, Inc., Natick, MA, USA), where the model is parametrized to normal physiological initial conditions of $pCO_2 = 40$ mm Hg, $HCO_3^- = 24$ mmol/L, and $pH = 7.4$ (other model parameters are obtained from literature data, see Table 1). Due to the nonlinear nature of the model, we do not provide an analytical solution of the systems in Eqs (2.2)–(2.4), but solve the system numerically. Perturbation methods and other similar analysis can be used to disentangle the multiple scale aspects of the model but are beyond the scope of this study.

3. Results and discussions

3.1. Qualitative validation of the model

In order to ensure the model can be useful in the context of prescribing therapeutic interventions and for precision and predictive medicine, the model must accurately and verifiably describe clinically observed pathophysiological conditions, both in terms of serum pH and secondary physiologic compensatory responses. To that effect, we simulate the normal physiological condition, and pathophysiological disorders, namely: (i) respiratory acidosis and (ii) alkalosis, and (iii) metabolic acidosis and (iv) alkalosis. It should be noted that each of these disorders can further be subdivided into subgroups, depending on the anion gap in the case of metabolic acidosis, Cl^- sensitivity in metabolic alkalosis, or chronic or acute status of the respiratory disorders. In the proceeding discussion, we will not distinguish between these subdivisions.

Under normal physiological state, the normal range of HCO_3^- is 24 ± 2 mmol/L, and the range for pCO_2 is 40 ± 2 mm Hg, resulting in a normal pH range of 7.40 ± 0.02 . Figure 2 shows that, under normal physiological condition, the blood pH is set to the steady state value of 7.40, with pCO_2 value of 40 mm Hg and HCO_3^- of 24 mmol/L.

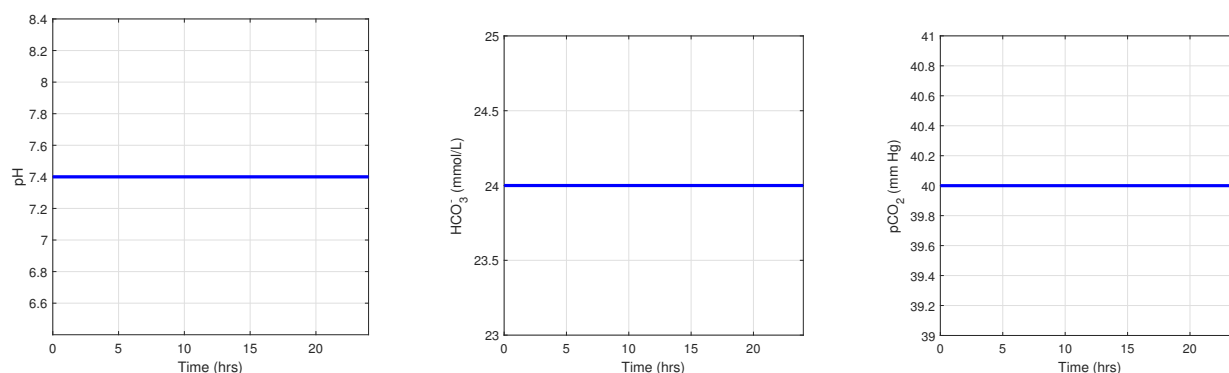


Figure 2. Normal acid-base homeostasis. Simulation of our model (Eqs (2.2)–(2.4)) with no metabolic and respiratory disorder, the values of the parameters are presented in Table 1.

To simulate the four disorders, we can alter various parameter values to disequilibrate pCO_2 and HCO_3^- either individually or jointly, thereby changing the value of pH. In particular, creating an imbalance between body (mitochondrial) CO_2 production and pulmonary CO_2 excretion will result in

changes in $p\text{CO}_2$, while disturbing H^+ production and renal HCO_3^- generation and HCO_3^- reclamation/ H^+ excretion will affect HCO_3^- levels.

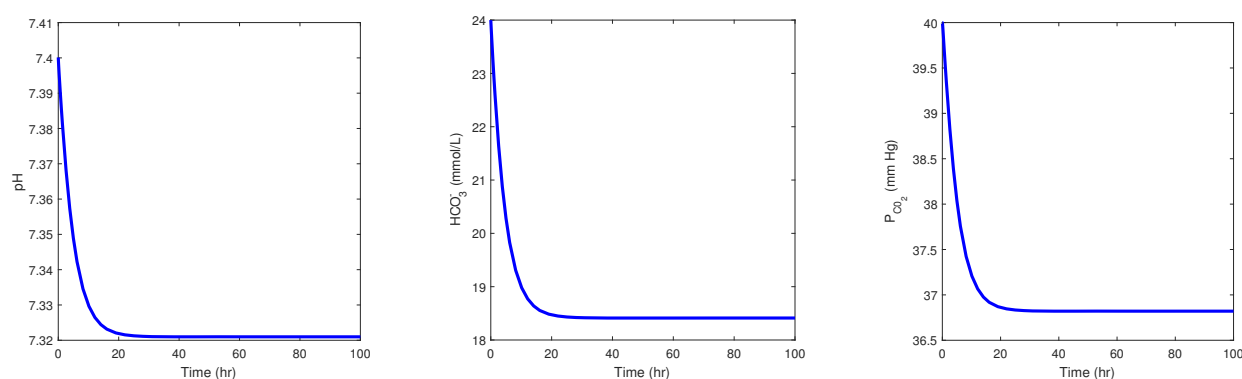


Figure 3. Metabolic acidosis. Simulation of our model (Eqs (2.2)–(2.4)) with metabolic acidosis, where $P_{\text{HCO}_3^-} = -7.63 \times 10^{-9} \text{ mol/L/s}$. The values of the other parameters are set in Table 1.

In metabolic acidosis, the rate of renal generation of HCO_3^- fails to equate the rate of the exogenous and/or endogenous H^+ production, often caused by an increased influx of H^+ (exogenous and/or endogenous means such as intoxication or ketoacidosis), a decreased renal HCO_3^- generation (e.g., renal failure) or excessive loss of renal (e.g., proximal tubular acidosis) or gastrointestinal (e.g., diarrhea) absorptive capabilities. Figure 3 shows that, as the level of bicarbonate concentration drops, $p\text{CO}_2$ level decreases and reaches a new equilibrium. This is due to the fact, as a secondary compensatory response, the respiratory center is stimulated to increase alveolar ventilation, creating a rate differential between mitochondrial production and pulmonary excretion of CO_2 . It should be noted that, although we have assumed that renal acid excretion rate is constant, changes in net renal filtration in response to chronic metabolic acidosis is mediated by increased generation and excretion of ammonia, which is not included in our model. However, the dynamics observed in Figure 3 are still valid, where the cause of metabolic acidosis is due to diseases such as chronic kidney disease and distal renal tubular acidosis (see Appendix A for additional illustration of the behavior of the model where metabolic acidosis is induced by administration of 7 mEq/l/kg/day of HCl in mongrel dogs). In metabolic alkalosis, the disorder is caused by an increased influx of HCO_3^- into the extracellular fluid due to the inability of the kidney to excrete excess HCO_3^- as a result of either (1) decreased GFR as in volume depleted patients, or (2) increased rate of renal absorption of HCO_3^- (e.g., enhanced renal tubular HCO_3^- reclamation). Figure 4 illustrates the temporal behavior of the model in metabolic alkalosis, where pH level increases as a consequence of increased serum HCO_3^- and $p\text{CO}_2$ as a secondary compensatory respiratory response induced by hypoventilation. Furthermore, we observe that each of these metabolic disorders equilibrate at different timescales. These observations are consistent with clinical observation (see [40]) where the compensatory responses take 12–24 hours for metabolic acidosis (Figure 3), and approximately 24–72 hours for metabolic alkalosis (Figure 4).

Simulating respiratory disorders by altering pulmonary excretion of CO_2 (e.g., hyper- or hypoventilation, where CO_2 production and removal are changed), we observe that changes in CO_2 induce clinically expected alterations in HCO_3^- and H^+ , before the effect of secondary renal compensatory responses affect the level of reabsorbed HCO_3^- and restore pH to normal range. In

particular, Figure 5 shows that during respiratory acidosis, pH level decreases initially before renal mechanism restores pH homeostasis to a value close to 7.4. Similarly, for respiratory alkalosis, the trajectory is reversed, where pH level increases before decreasing to a level above pH = 7.4 while both $p\text{CO}_2$ and HCO_3^- decreases (Figure 6). In addition, the timescale of renal compensation to equilibrate pH level is within 1–2 days in the case of alkalosis and 2–3 days in the case of respiratory acidosis [22–25]. Both of these timescales are observed clinically [41–44]. In addition, $p\text{CO}_2$ is inversely proportional to alveolar ventilation. Moreover, we also note that the steady-state values of the secondary compensatory responses predicted by the primary disturbances in both metabolic and respiratory disorders are in good agreement with clinical studies examining these disorders [22–25, 41–44].

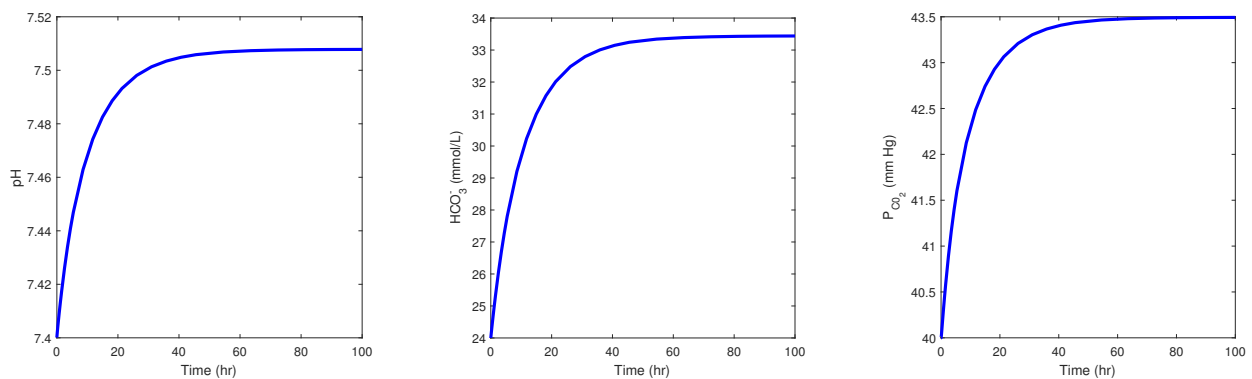


Figure 4. Metabolic alkalosis. Simulation of Eqs (2.2)–(2.4) with metabolic alkalosis, where $P_{\text{HCO}_3^-} = 6.11 \times 10^{-9} \text{ mol/L/s}$. The values of the other parameters are set in Table 1.

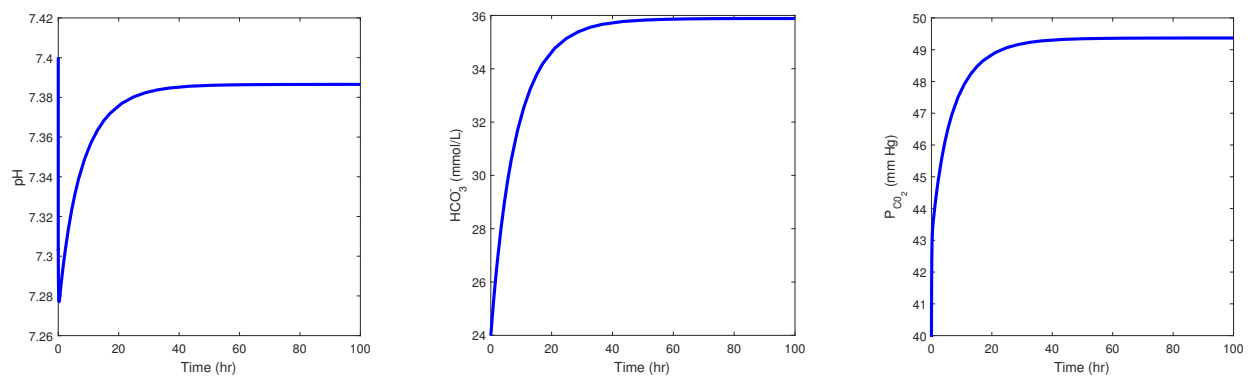


Figure 5. Respiratory acidosis. Simulation of our model (Eqs (2.2)–(2.4)) with respiratory acidosis, where $\mathcal{V}_0 = 0.0500 \text{ L/s}$. The values of the other parameters are set in Table 1.

3.2. Quantitative validation of the model

In the previous discussion, we illustrated that the model qualitatively predicts clinical observations in terms of pH, the directionality of primary disturbances and secondary compensatory responses, and timescales. To quantitatively compare the results of the model to that of clinical data on acid-base disorders, we generated multiple type of disturbances by altering relevant parameters to induce

metabolic and respiratory disorders, and track the steady-state values of the state variables in order to compare them with the clinically observed values.

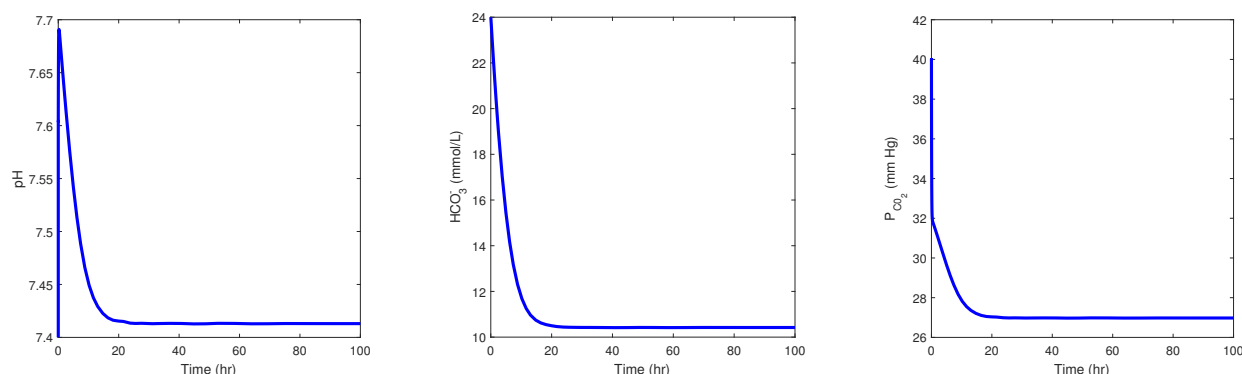


Figure 6. Respiratory alkalosis. Simulation of our model (Eqs (2.2)–(2.4)) with respiratory alkalosis, where $\mathcal{V}_0 = 0.1495 \text{ L/s}$. The values of the other parameters are set in Table 1.

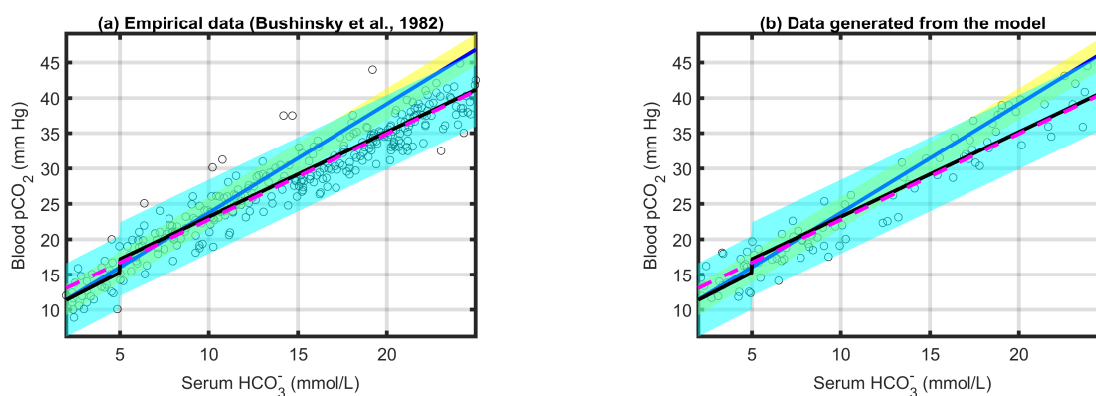


Figure 7. Validation of metabolic acidosis. Comparison of (a) clinical data results with (b) the in silico acid-base homeostasis. The simulated serum HCO_3^- with respect to pCO_2 (secondary respiratory compensation) is within the 95% confidence interval (cyan shaded region) of the clinical data, yellow shaded region is the 95% confidence interval on Winters' equation. The solid blue line represent plot of Winters Equation (see [41]), and solid black piecewise linear line denote Bushinsky Equation from [42], and dash-line present linear regression fit to the clinical data. The data was digitized from [42]. (See online version for coloring).

For metabolic acidosis, Figure 7 shows the model validation results where we compared the model steady state output with that of empirical data from Bushinsky and colleagues [42]. Figure 7a shows the empirical serum HCO_3^- value with respect to pCO_2 level as a result of secondary respiratory compensation, where each data point corresponds to a single patient with metabolic acidosis due to acetazolamide-induced (23 patients), NH_4Cl -induced (40 patients), renal tubular (48 patients), uremic (113 patients), and mixed (118 patients) acidosis. Figure 7b shows similar results of model steady-state values for those involved exhibiting metabolic acidosis, where H^+ production and buffering, and renal filtration terms and HCO_3^- depletion are uniformly randomly generated. The 95%

confidence interval region and regression line are those of the Figure 7a. We can see that the model generated results are within the confidence interval of the empirical data observed by Bushinsky et al. [42]. For example, for metabolic acidosis, we observe a simulated steady state value around 18.6 mM for HCO_3^- and a respiratory compensation value of 36.8 mmHg for pCO_2 (Figure 3b,c). If we use the equation in Table 2 (i.e., a linear equation regressed against the data provided in [42], instead of the piece-wise linear equation of Bushinsky and colleague), we obtain a predicted value of 33.3 mmHg for pCO_2 . This value is 3.5 mmHg below the simulated pCO_2 . However, both values are within the confidence interval of Figure 7. Similarly, if we instead use Winters Formula (see [41]) for the same simulated HCO_3^- value, we obtain a predicted pCO_2 value of 35.8 ± 2 mmHg. Then, the observed pCO_2 is within the value predicted by Winters formula. In addition, comparing the given secondary respiratory compensation simulated by the model with that of the predicted clinical values (as computed from the empirical equation in Table 2), we obtained a linear relationship, where the regression line, with a slope of 0.898 and intercept of 2.22, has a $R^2 = 0.929$ as compared to identity line with $R^2 = 0.916$ (Figure 8a). For all intended purposes, the dynamic model performs very well with respect to metabolic acidosis.

Table 2. Equations for secondary compensatory responses for different primary disorders, where the linear equation is in the form of $y = b + mx$. *Linear regression equation from [42] is used, and **Linear regression from [44].

Secondary Compensatory Responses					
Types	y	Intercept (b)	Slope (m)	x	Refs.
Metabolic Disorders					
Acidosis	pCO_2	10.6494	1.2152	HCO_3^-	[41,42]*
Alkalosis	pCO_2	20	0.7	HCO_3^-	[45,46]
Respiratory Disorders					
Acidosis (chronic)	HCO_3^-	4.7364	0.4760	pCO_2	[43,44]**
Alkalosis (chronic)	HCO_3^-	4.0	0.5	pCO_2	[47]

Similarly for metabolic alkalosis, there is a predictable relationship between the primary metabolic disturbance of excess HCO_3^- and the corresponding secondary respiratory compensation. Taking the HCO_3^- steady-state values simulated by the model and calculating the clinically predicted observed pCO_2 values from Table 2, we compare the results with the observed pCO_2 values from the model. We observe that the predicted and the observed secondary compensations are in good agreement (Figure 8b). Performing similar computation as in the case of metabolic acidosis, we observe that, with a steady-state HCO_3^- value of 33.5, the predicted compensation value for pCO_2 is 43.45 mmHg, which is close to the model-simulated value of 43.5 mmHg (Figure 4). As in Figure 8a, most of the points in Figure 8b are on the identity line with a $R^2 = 0.805$, while the regression line has a slope of 0.779 and intercept of 9.32, with $R^2 = 0.893$, which implies that the predicted and the observed secondary respiratory compensation to metabolic alkalosis are very similar. Similar observations are made in the case of respiratory disorders, where we have only considered the chronic cases (Figure 8c,d). There is clearly a linear correlation between the model and expected observations (for respiratory acidosis, the identity line has $R^2 = 0.865$ whereas the regression line has $R^2 = 0.870$ with the slope of 0.926 and intercept of 2.46 (Figure 8c), and for respiratory alkalosis, the identity line has

$R^2 = 0.903$ whereas the regression line has $R^2 = 0.926$ with the slope of 0.872 and intercept of 2.20 (Figure 8d). Clearly, in most of these quantitative validations, the model predicted the secondary responses to the primary disturbances of both metabolic and respiratory disorders. For metabolic disorders, the comparisons between simulated and clinically predicted values are close. For respiratory disorders, we observe that the steady state values in Figures 5 and 6 are over- and under-corrected with that clinically expected values, respectively, for respiratory acidosis and alkalosis. This could be due to the fact that we assume linear respiratory compensation term with a constant ventilation rate, particularly in \mathcal{V}_0 . In theory, \mathcal{V}_0 depends on pH, pO_2 , and pCO_2 , which could be one possible reason for the divergence between some of the model simulated and clinically expected compensatory responses for respiratory disorders.

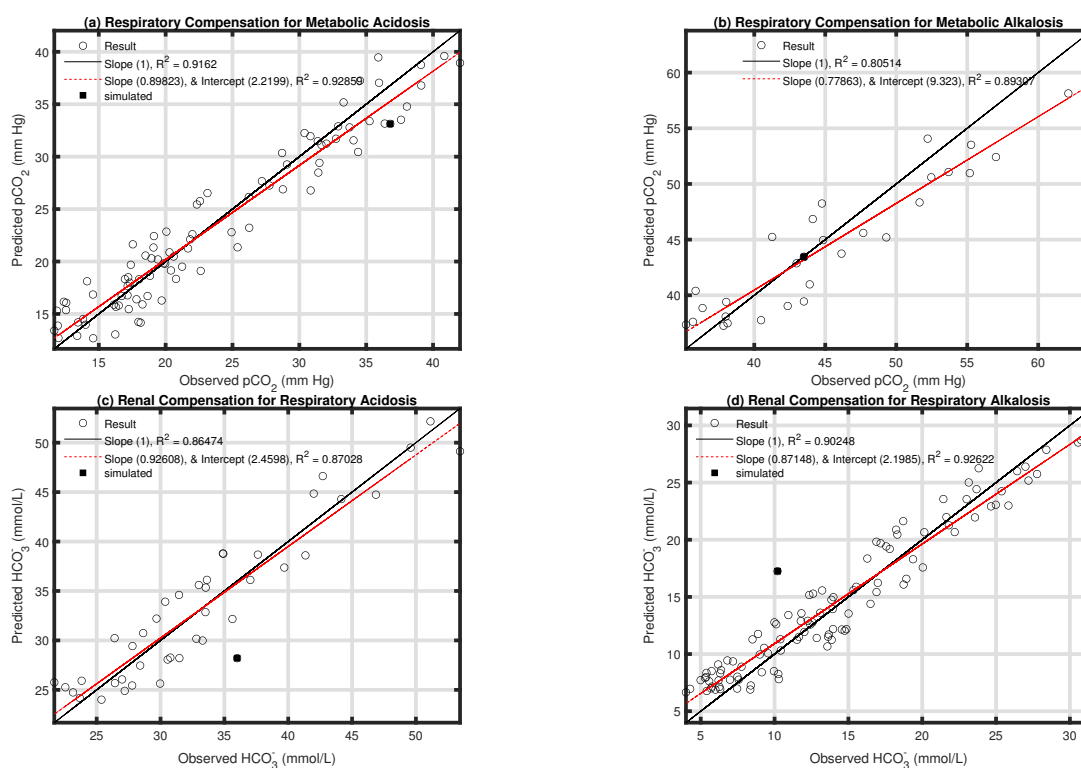


Figure 8. Model validation. Comparison of *in silico* secondary compensatory responses to that of clinically predicted secondary compensatory responses in (a) metabolic acidosis and (b) metabolic alkalosis, and metabolic compensatory responses in (c) respiratory acidosis and (d) respiratory alkalosis, respectively. Here, R^2 is the coefficient of determination, the solid black line corresponds to the identity line, and the solid red line is a regression line fitting the predicted versus observed compensatory responses for all the four cardinal acid-base disorders. Observed values are the simulated results from the model, and predicted values correspond to the expected compensation from clinical observation as summarized in Table 2. The square data points show the corresponding simulated steady-states in Figures 3–6.

3.3. Potential therapy: Sensitivity and uncertainty analyses

To quantify the levels of uncertainty and sensitivity of model output of Eqs (2.2)–(2.4), we characterize the level of uncertainty each of the model parameters exhibits. Following Blower and Dowlabatadi [48], and Marino and colleagues [49], latin hypercube sampling (LHS) (with 10,000 uniformly distributed samples) is used to quantify the uncertainties associated with the parameter values and their effects on the in silico results. In conjunction with the uncertainty quantification, we employed partial rank correlation coefficient (PRCC) to quantitate the impact of sensitivity of all the state variables to each of the model parameters (the parameter values and ranges used in these analyses are listed in Table S1 in Appendix B, for normal physiological condition and different types of acidemia). Table S1 summarizes the full results of the sensitivity analysis with sensitivity coefficients (PRCC values). The sensitivity analyses with respect to steady-state values of pH are for normal physiological condition, and for metabolic acidosis as a result of renal insufficiency, proximal and distal tubular acidosis (Figure 9).

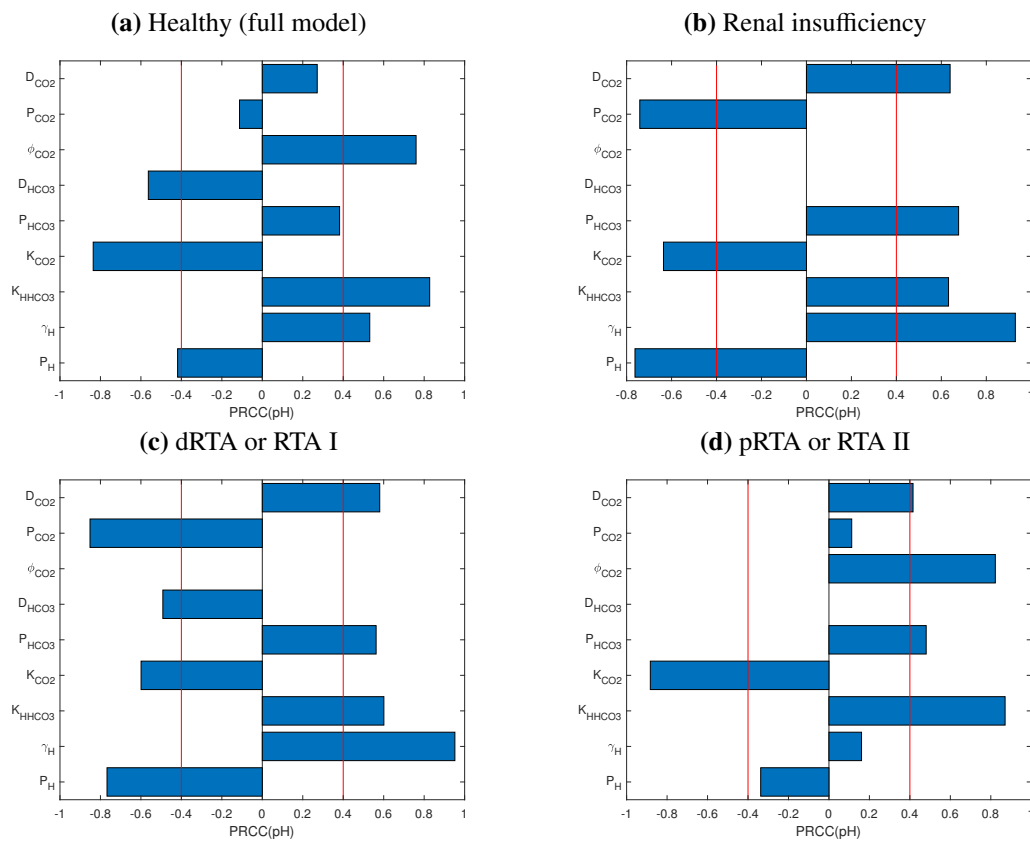


Figure 9. Effect of uncertainty on LHS/PRCC. LHS sampling of 10000 uniformly distributed samples were used to quantify the effect of uncertainty on LHS/PRCC. All the parameters have PRCC values significantly different from zero ($p \leq 0.005$, where majority of the parameters have $p \leq 0.001$, see Table S1).

For healthy individuals, Figure 9a shows that the predominant parameters affecting pH are those involving renal function (acid secretion rate (ϕ_{CO_2}) and HCO_3^- reabsorption rate ($D_{HCO_3^-}$)), HCO_3^- therapy ($P_{HCO_3^-}$), reaction rates or pK_a of the buffer system, production (P_H) and removal or

non-bicarbonate buffering of protons (γ_H). Therapies targeting these parameters may have a strong effect on correcting pH disturbances, where the sign of the correlation indicates the directionality of therapeutic targets. That is, since acid secretion rate, ϕ_{CO_2} , bicarbonate therapy, $P_{HCO_3^-}$, forward reaction (hydration) rate, K_{H^+,HCO_3^-} and removal or non-bicarbonate buffering of protons γ_H are positively correlated with respect to pH levels, therapeutic interventions increasing these parameters will increase pH level. For example, HCO_3^- supplementation or usage of acid-binders will increase serum pH. Similarly, due to negative correlation, therapeutic intervention decreasing HCO_3^- reabsorption rate ($D_{HCO_3^-}$), backward (dehydration) reaction rate and hydrogen body production, P_H , will also increase pH.

For individuals with metabolic acidosis, correcting acidosis requires correcting the pH level to near normal. Sensitivity analysis, as shown in Figure 9b suggests that, for metabolic acidosis due to renal insufficiency or failure (RF), increasing respiratory CO_2 removal (D_{CO_2}), HCO_3^- supplementation or therapy ($P_{HCO_3^-}$, e.g., $NaHCO_3$ or Hemodialysis), hydration reaction rate (K_{H,HCO_3^-}), and/or removal of excess proton (e.g., through acid-binder supplementation) will be effective. Alternatively, similar correction of pH can be achieved by decreasing body production of CO_2 (P_{CO_2}), dehydration reaction rate (K_{CO_2}), and/or body production of acid (e.g., through dietary restriction of protein-rich diets). For distal or Type I renal tubular acidosis (dRTA or RTA-I), the directionality of most of the sensitive parameters shown in the case of RF (Figure 9c) are also observed in the case of RTA type II or proximal tubular (pRTA or RTA-II, Figure 9d). In addition, decreasing HCO_3^- renal reabsorption ($D_{HCO_3^-}$) can help increase the level of pH to normal. Unlike RTA-I, the set of sensitive parameters are different in the case of RTA-II. In particular, Figure 9d shows that, increasing ventilation rate (D_{CO_2}), acid secretion rate, ϕ_{CO_2} , HCO_3^- supplementation or therapy ($P_{HCO_3^-}$), and/or hydration reaction rate ($K_{HHCO_3^-}$), or decreasing dehydration reaction rate (K_{CO_2}) can increase the level pH and thereby correct metabolic disorder. We note that, in the case of RTA-II, body production of CO_2 is no longer effective in correcting pH level as observed in the case of RTA-I. In all of the analysis, it should be noted that the reaction rates are important and can strongly change the effect of the buffer since they determine the pK_a value of the system, which is not surprising. Thus, sensitivity analysis suggests that, (1) altering the sensitive parameters outlined above under different induced acidemia can help increase the level of pH, and (2) therapeutic strategies for some of the disorders may be different depending on the pathophysiology. It should be noted that some model parameters might be difficult to physiologically manipulate while other parameters are easier to control in order to achieve certain desired effects. For instance, controlling hydration and dehydration reaction rates may, in practice, require physiological modification of enzymatic activities of carbonic anhydrase, whereas controlling body production and/or removal of acid can be achievable by dietary modification and/or by using acid-binders such as veverimer (or TRC101).

4. Conclusions

We developed a system of three coupled multiscale nonlinear ordinary differential equations to describe HCO_3^-/CO_2 buffering system with Henderson-Hasselbalch mass-action kinetics. We incorporated relevant physiological processes, including renal and respiratory regulatory mechanisms, body production of both CO_2 and H^+ , and lumped together the effects of non-bicarbonate buffering of H^+ . We accurately predicted normal physiological conditions, as well as metabolic and respiratory

disorders (e.g., acidosis and alkalosis), where the *in silico* results are in good agreement with qualitative and quantitative clinical observations of metabolic and respiratory acid-base disorders. In particular, we showed that, under respiratory disorders, disturbance in CO_2 induces changes in HCO_3^- and H^+ concentrations, before secondary renal compensatory response alters the amount of reabsorbed HCO_3^- and restores pH. Furthermore, when inducing metabolic acid-base disorders, we do not observe such effective respiratory compensation, and the pH never returns to the normal range. These predictions were quantitatively verified by comparing the predicted responses with clinically observed secondary compensations. Results were found to be consistent. There are several clinical studies showing that there is a predictable linear relationship between primary acid-base disturbances and elicited secondary compensatory responses [41–44]. Furthermore, in order to identify potential effective therapeutic interventions, we performed uncertainty quantification and sensitive analysis under normal and pathological conditions due to complete renal failure, and proximal and distal renal tubular disorders by disturbing the acid secretion and/or bicarbonate reabsorption terms or both. We found that systemic pH is sensitive to renal acid secretion and reabsorption, acid production and excretion/removal, reaction kinetics rates, and bicarbonate therapeutic interventions. In addition, we found that different pathologies might require different therapeutic interventions. For example, bicarbonate supplementation or HD therapy, acid-binder based therapy and acid-reducing dietary strategies might be effective in increasing systemic pH under pathologies caused by renal failure and dRTA, but not necessarily in the case of pRTA where acid-binder based therapy and acid-reducing dietary strategies are not sensitive. Ongoing studies by our research group are currently exploring these possibilities, including, but not limited to, HCO_3^- , alkali and TRC101 (a novel sodium-free non-absorbed hydrochloric acid binding agent to treat chronic kidney disease-associated metabolic acidosis, see Bushinsky and colleagues [50]) therapies in the context of chronic kidney and end-stage renal diseases.

The model presented herein is based on some simplistic assumptions of the renal and respiratory regulatory mechanisms that might be more restrictive. In the model, we assume that body production rates of H^+ and CO_2 are constant, which depend on consumption and/or other processes (e.g., cellular/mitochondrial metabolism) and may not be realistic. We also use a very simplified description of renal and respiratory regulation of the acid-base system, and restrictive assumptions on other intrinsic non-bicarbonate buffers. For instance, we did not include a detailed mechanistic description of renal filtration of HCO_3^- , and ventilation. For example, clinical observations suggest that ventilation rate is a function of CO_2 , and depends on pH and, to some extent, oxygen O_2 [24, 25]. The effects of peripheral chemoreceptors on inducing intrinsic delay in respiratory response are ignored. Incorporation of these refinements could further strengthen the quantitative predictive power of the model. We also do not include the dynamics of increased ammonia genesis to correct metabolic acidosis and detailed bone buffering of acid and base [21]. Despite all these shortcomings and simplifications, the model was able to qualitatively and quantitatively predict systemic acid-base homeostasis under normal physiological condition, and primary metabolic and respiratory acid-base disturbances and secondary compensatory responses. The model can be extended to incorporate different therapeutic modules in order to provide pathophysiologic insights and to assess the safety and efficacy of different therapeutic strategies to control or correct these disorders.

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Conflict of interest

P.K. holds performance stock in Fresenius Medical Care (FMC). The Renal Research Institute is a wholly owned subsidiary of FMC. P.K. receives author royalties from UpToDate.

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Appendix A. Supplementary figures: Mongrel dog data example

To further illustrate the applicability of the model described in the text, we digitally extracted the mongrel dog data in [51]. The authors administered a daily dose of 7 mEq/kg of HCl to dogs (Figure 1 of [51]). We assumed body weight of 17 kg, a GFR of 2.9 ± 0.3 mL/min/kg, and 86 mL/kg of blood volume for average mongrel dog; we kept K_{CO_2} and K_{HCO_3} values the same. Assuming that the dog is in steady state during the period before the administration of HCl, $D_{HCO_3^-} = \frac{GFR}{\text{Blood volume}}$ and $\phi_{CO_2} = \frac{D_{HCO_3^-} Y_{HCO_3^-}(0)}{Y_{CO_2}(0)}$ for the renal filtration components of the model are calculated. From the model, remaining 3 parameters (i.e., P_{CO_2} , $\tilde{D}_{CO_2} = D_{CO_2} \mathcal{V}_0$, γ_{H^+}) are estimated using the Nelder-Mead algorithm. Figure S1 shows that the model was able to capture the dynamics of academia in mongrel dogs.

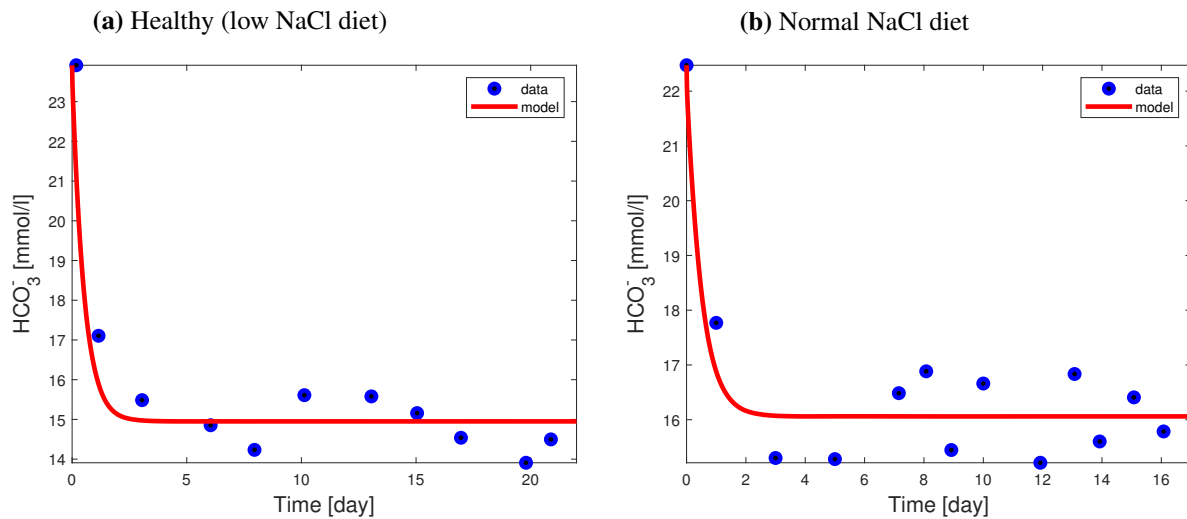


Figure S1. Model reproduction of the data in Figure 1 of [51]. Both plots show the mean response of plasma bicarbonate in dog chronically fed 7 mEq/kg/day of HCl while either ingesting low (a) and normal NaCl diet (b). The red line is the model adaptation to the data (blue dot) reported in the publication.

Appendix B. Sensitivity analysis of the model

In this section, we summarize the parameter uncertainty and sensitivity analyses. Parameter uncertainty and sensitivity analyses are performed on the steady state values of the model, namely HCO_3^- , H^+ , pH and CO_2 . These are used to determine the sensitivity of the acid-base dynamics and homeostatic control. Uniform distribution is assigned for each of the model parameters, where baseline value of each of the parameter is multiplied and divided by a factor of 2, as maximum and minimum for the distribution. For $J_{HCO_3^-}$, we assume $J_{HCO_3^-} \in [-1.2, 1.2] \times 10^{-6}$ mol/L/s. In addition, for each of the parameters, sample sizes of 10000 values are randomly generated over 10 realizations, where Latin Hypercube Sampling technique is used (see [48, 49]). Table S1 shows the summary, and

all the values are statistically significant with $p < 0.001$, except few parameters (indicated by * or **). Table S1 shows the detailed results of PRCCs for normal healthy conditions (full model), and under renal insufficiency, dRTA I and pRTA II scenarios.

Table S1. Parameter descriptions and values for bicarbonate buffer kinetic system. The results are all significant with $p < 0.001$, except: * ($p = 0.004246$), ** ($p = 0.004299$). -- denotes the parameters used to induce different metabolic disorders.

Parameters	PRCC							
	HCO ₃ ⁻	CO ₂	H ⁺	pH	HCO ₃ ⁻	CO ₂	H ⁺	pH
	Healthy				Complete Renal Insufficiency			
P_H	0.3199	0.5679	0.4186	-0.4186	-0.4326	0.3910	0.7628	-0.7628
γ_H	-0.4630	-0.6930	-0.5311	0.5311	0.6998	-0.6543	-0.9292	0.9292
K_{HHC03}	0.5190	0.7245	-0.8273	0.8273	-0.6825	0.6990	-0.6320	0.6320
K_{CO2}	-0.4762	-0.7002	0.8358	-0.8358	0.6945	-0.6702	0.6360	-0.6360
P_{HCO3}	0.5935	0.3460	-0.3820	0.3820	0.8562	0.6607	-0.6768	0.6768
D_{HCO3}	-0.7407	-0.3999	0.5633	-0.5633	--	--	--	--
ϕ_{CO2}	0.8909	0.6333	-0.7601	0.7601	--	--	--	--
P_{CO2}	0.9157	0.9161	0.1127**	-0.1127**	0.9030	0.9533	0.7415	-0.7415
D_{CO2}	-0.7728	-0.8297	-0.2714	0.2715	-0.6515	-0.8345	-0.6390	0.6390
	dRTA or RTA I				pRTA or RTA II			
P_H	-0.2916	0.3864	0.7675	-0.7675	0.3086	0.5811	0.3373	-0.3373
γ_H	0.6376	-0.7442	-0.9518	0.9518	-0.4972	-0.6316	-0.1611	0.1611
K_{HHC03}	-0.6260	0.7546	-0.6009	0.6009	0.4013	0.5949	-0.8707	0.8707
K_{CO2}	0.6572	-0.7288	0.5992	-0.5992	-0.4566	-0.6256	0.8828	-0.8828
P_{HCO3}	0.8546	0.7110	-0.5625	0.5625	0.5889	0.2830	-0.4806	0.4806
D_{HCO3}	-0.7470	-0.5817	0.4915	-0.4915	--	--	--	--
ϕ_{CO2}	--	--	--	--	0.8886	0.4728	-0.8224	0.8224
P_{CO2}	0.9130	0.9528	0.8516	-0.8516	0.9143	0.9196	-0.1126*	0.1126*
D_{CO2}	-0.5897	-0.7536	-0.5804	0.5804	-0.7729	-0.8902	-0.4156	0.4156

In the text, we emphasize pH as response quantity with respect to the model parameters. For healthy person, in order to correct HCO₃⁻ level, say increase, either γ_H , K_{CO2} , D_{HCO3} and D_{CO2} must be decreased or K_{HHC03} , P_{HCO3} , ϕ_{CO2} and P_{CO2} be increased, as these parameters are the most influential in affecting the dynamics HCO₃⁻. While from CO₂ perspective, decreasing γ_H , K_{CO2} and D_{CO2} or increasing P_H , K_{HHC03} , ϕ_{CO2} and P_{CO2} will increase CO₂ level. These relationship are different in the case of physiopathologies inducing deficiency in some of these parameters to change HCO₃⁻ or CO₂ level. For example, for complete renal failure, decreasing P_H , D_{CO2} and K_{HHC03} or increasing γ_H , K_{CO2} , P_{CO2} and P_{HCO3} will have the most significant impact on increasing HCO₃⁻ level, whereas only decreasing γ_H , K_{CO2} and D_{CO2} or increasing K_{HHC03} , P_{HCO3} and P_{CO2} will increase CO₂ level. Similarly observations can be seen in the cases of RTA I and II. In addition, the directionality of the sensitivity analysis suggests therapeutic approaches. For instance, in renal failure, to increase HCO₃⁻ level usually HCO₃⁻ supplementation or minimal consumption of protein-rich diets are suggested. These two approaches usually affect P_{HCO3} and P_H , respectively. Increasing γ_H is

equivalent to removing H^+ , which could increase HCO_3^- . This approach is related to the suggested use of TRC101, an acid-binder that bind to H^+ and Cl , to increase HCO_3^- .



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