



Research article

A multiplicity result for double phase problem in the whole space

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Abstract: In the present paper, we discuss the solutions of the following double phase problem

$$-\operatorname{div}(|\nabla u|^{p-2} \nabla u + \mu(x)|\nabla u|^{q-2} \nabla u) + |u|^{p-2} u + \mu(x)|u|^{q-2} u = f(x, u), \quad x \in \mathbb{R}^N,$$

where  $N \geq 2$ ,  $1 < p < q < N$  and  $0 \leq \mu \in C^{0,\alpha}(\mathbb{R}^N)$ ,  $\alpha \in (0, 1]$ . Based on the theory of the double phase Sobolev spaces  $W^{1,H}(\mathbb{R}^N)$ , we prove the existence of at least two non-trivial weak solutions.

Keywords: double phase operator; Musielak-Orlicz-Sobolev space; critical point

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1. Introduction

In recent years, the differential equations and variational problems driven by the so-called double phase operator have been greatly studied. The existence of solutions for double phase problems on bounded domains have been greatly discussed, see for example [1–9]. For unbounded domains, Liu and Dai [10], Liu and Winkert [11], Robert [12], Ge and Pucci [13] and Shen, Wang, Chi and Ge [14] investigated the existence and multiplicity of solutions for double phase problem.

In this paper we study the following double phase problem:

$$-\operatorname{div}(|\nabla u|^{p-2} \nabla u + \mu(x)|\nabla u|^{q-2} \nabla u) + |u|^{p-2} u + \mu(x)|u|^{q-2} u = f(x, u), \quad x \in \mathbb{R}^N, \tag{P}$$

where  $1 < p < q < N$  and

$$\frac{q}{p} \leq 1 + \frac{\alpha}{N}, \quad 0 \leq \mu \in C^{0,\alpha}(\mathbb{R}^N), \quad \alpha \in (0, 1]. \tag{1.1}$$

The first work concerning the ground state solution for problem (P), was that of Liu and Dai [10]. More specifically, they studied the existence of at least three nontrivial solutions of (P) under the following assumption on  $f$ :

( $h_1$ )  $f \in C(\mathbb{R}^N \times \mathbb{R}, \mathbb{R})$  and there exists  $\gamma \in (q, p^*)$  such that

$$|f(x, t)| \leq k(x)|t|^{\gamma-1}, \quad \forall (x, t) \in \mathbb{R}^N \times \mathbb{R},$$

where  $p^* = \frac{Np}{N-p}$ ,  $k(x) \geq 0$ ,  $k \in L^\theta(\mathbb{R}^N) \cap L^\infty(\mathbb{R}^N)$  with  $\frac{1}{\theta} + \frac{\gamma}{\tau} = 1$ , here  $\theta > 1$  and  $\tau \in (\gamma, p^*]$ .

( $h_2$ )  $\lim_{t \rightarrow 0} \frac{f(x, t)}{|t|^{p-1}} = 0$  uniformly in  $x$ .

( $h_3$ )  $\lim_{t \rightarrow +\infty} \frac{F(x, t)}{|t|^q} = +\infty$  uniformly in  $x$ .

( $h_4$ )  $\frac{f(x, t)}{|t|^{q-1}}$  is strictly increasing on  $(-\infty, 0)$  and  $(0, +\infty)$ .

It must be point out that ( $h_1$ ) is subcritical growth condition, ( $h_3$ ) means that  $f(x, u)$  is superlinear at infinity; ( $h_4$ ) is a well-known Nehari-type condition. In the present paper, we will further study the existence of two non-trivial weak solutions of (P) under the following sublinear growth condition:

( $h_1$ )'  $f \in C(\mathbb{R}^N \times \mathbb{R}, \mathbb{R})$  and there exists  $\gamma \in (1, p)$  such that

$$|f(x, t)| \leq k(x)|t|^{\gamma-1}, \quad \forall (x, t) \in \mathbb{R}^N \times \mathbb{R},$$

where  $k(x) \geq 0$ ,  $k \in L^\theta(\mathbb{R}^N) \cap L^\infty(\mathbb{R}^N)$  with  $\frac{1}{\theta} + \frac{\gamma}{p^*} = 1$ .

( $h_5$ ) There exists a  $C > 1$  large enough,  $c_0 > 0$ ,  $x_0 \in \mathbb{R}^N$ ,  $0 < r < 1$  such that  $f(x, t) = 0$ , for any  $x \in \mathbb{R}^N$ ,  $0 < |t| \leq \delta$  and

$$f(x, t) \geq c_0|t - \delta|^{\gamma-1}, \quad \forall x \in B_r(x_0), t \in (\delta, 1],$$

where  $0 < \delta < \min \left\{ \frac{1}{2} \left( \frac{c_0 p r^q}{\gamma 2^{\gamma+1} (C^q + r^q) m_\mu} \right)^{\frac{1}{p-\gamma}}, \frac{1}{2} \right\}$  and  $m_\mu = \max \{1, \sup_{x \in B_{2r}(x_0)} \mu(x)\}$ .

*Remark 1.1.* There are many functions  $f(x, t)$  satisfying ( $h_1$ )' and ( $h_5$ ). For example,

$$f(x, t) = \begin{cases} 0, & \text{if } 0 \leq |t| < \delta, \\ k_1(x)(t - \delta)^{\gamma-1}, & \text{if } t \geq \delta, \\ k_1(x)(-t - \delta)^{\gamma-1}, & \text{if } t \leq -\delta, \end{cases}$$

where  $k_1(x) \geq 0$ ,  $k \in C(\mathbb{R}^N) \cap L^\theta(\mathbb{R}^N) \cap L^\infty(\mathbb{R}^N)$  with  $\frac{1}{\theta} + \frac{\gamma}{p^*} = 1$  and  $\inf_{x \in B_r(x_0)} k(x) \geq c_0 > 0$ . Indeed,

$$|f(x, t)| \begin{cases} \leq k_1(x)|t|^{\gamma-1}, & \text{if } 0 \leq |t| \leq \delta, \\ = k_1(x)(t - \delta)^{\gamma-1} < k_1(x) < \frac{k_1(x)}{\delta^{\gamma-1}}|t|^{\gamma-1}, & \text{if } \delta < t < 1 + \delta, \\ = k_1(x)(-t - \delta)^{\gamma-1} < k_1(x) < \frac{k_1(x)}{\delta^{\gamma-1}}|t|^{\gamma-1}, & \text{if } -1 - \delta < t < -\delta, \\ = k_1(x) < k_1(x)|t|^{\gamma-1}, & \text{if } |t| = 1 + \delta, \\ < k_1(x)|t|^{\gamma-1}, & \text{if } |t| > 1 + \delta. \end{cases}$$

Hence, we have  $|f(x, t)| \leq k(x)|t|^{\gamma-1}$  with  $k(x) = k_1(x)(1 + \frac{1}{\delta^{\gamma-1}})$  and  $f(x, t) = k_1(x)(t - \delta)^{\gamma-1} \geq (t - \delta)^{\gamma-1} \inf_{x \in B_r(x_0)} k_1(x) = c_0(t - \delta)^{\gamma-1}$  for all  $x \in B_r(x_0)$  and  $\delta < t \leq 1$ .

The main result of this paper establishes the following Theorem 1.2.

**Theorem 1.2.** *Assume that hypotheses (1.1), ( $h_1$ )' and ( $h_5$ ) hold. Then the problem (P) has at least two distinct nontrivial weak solutions  $u_0, \tilde{u}_0$  in  $W^{1,H}(\mathbb{R}^N)$  and  $\tilde{u}_0(x) \leq u_0(x)$  for a.e.  $x \in \mathbb{R}^N$ .*

**Sketch of the proof.** We introduce the following functions

$$H(x, t) = t^p + \mu(x)t^q$$

for all  $(x, t) \in \mathbb{R}^N \times [0, +\infty)$ . Now, let us consider the Musielak-Orlicz space

$$L^H(\mathbb{R}^N) = \{u : \mathbb{R}^N \rightarrow \mathbb{R} \text{ is measurable and } \int_{\mathbb{R}^N} H(x, |u|)dx < +\infty\}$$

endowed with the norm

$$\|u\|_H = \inf \left\{ \tau > 0 : \int_{\mathbb{R}^N} H(x, \frac{|u|}{\tau})dx \leq 1 \right\}$$

and the usual Musielak-Orlicz Sobolev space

$$W^{1,H}(\mathbb{R}^N) = \{u \in L^H(\mathbb{R}^N) : |\nabla u| \in L^H(\mathbb{R}^N)\}$$

equipped with the Luxemburg norm given by

$$\|u\| = \inf \left\{ \tau > 0 : \int_{\mathbb{R}^N} \left( H(x, \frac{|\nabla u|}{\tau}) + H(x, \frac{|u|}{\tau}) \right) dx \leq 1 \right\}.$$

Under Assumption 1.1, we have the following facts:

$$W^{1,H}(\mathbb{R}^N) \text{ is separable reflexive Banach space} \tag{1.2}$$

(see [10, Theorem 2.7 (ii)]) and the following continuous embedding hold

$$W^{1,H}(\mathbb{R}^N) \hookrightarrow L^\vartheta(\mathbb{R}^N) \text{ for all } \vartheta \in [p, p^*] \tag{1.3}$$

(see [10, Theorem 2.7 (iii)]); and from [10, Proposition 2.6] we directly obtain that

$$\min\{\|u\|^p, \|u\|^q\} \leq \rho(u) \leq \max\{\|u\|^p, \|u\|^q\}, \quad \forall u \in W^{1,H}(\mathbb{R}^N), \tag{1.4}$$

where  $\rho(u) := \int_{\mathbb{R}^N} [H(x, |\nabla u|) + H(x, |u|)]dx$ .

We introduce the following two functionals in  $W^{1,H}(\mathbb{R}^N)$  :

$$J(u) = \int_{\mathbb{R}^N} \left( \frac{1}{p} |\nabla u|^p + \frac{\mu(x)}{q} |\nabla u|^q + \frac{1}{p} |u|^p + \frac{\mu(x)}{q} |u|^q \right) dx,$$

$$K(u) = \int_{\mathbb{R}^N} F(x, u) dx,$$

where  $F(x, t) = \int_0^t f(x, s) ds$ . Consider the  $C^1$ -functional  $\varphi : W^{1,H}(\mathbb{R}^N) \rightarrow \mathbb{R}$  defined by

$$\varphi(u) = J(u) - K(u).$$

We split the proof into several steps.

*Step 1.* The functional  $\varphi$  is weakly lower semi-continuous in  $W^{1,H}(\mathbb{R}^N)$ .

First, by Proposition 3.1 (ii) in [10], we know that  $K$  is weakly continuous in  $W^{1,H}(\mathbb{R}^N)$ . Thus, it is enough to show that functional  $J$  is weakly lower semi-continuous in  $W^{1,H}(\mathbb{R}^N)$ . Let  $u_n \rightharpoonup u$  weakly in  $W^{1,H}(\mathbb{R}^N)$ . Since  $J$  is convex, we deduced that the following inequality holds:

$$\langle J'(u), u_n - u \rangle \leq J(u_n) - J(u).$$

Then we get that

$$\begin{aligned} 0 &= \liminf_{n \rightarrow +\infty} \langle J'(u), u_n - u \rangle \\ &\leq \liminf_{n \rightarrow +\infty} [J(u_n) - J(u)] \\ &= \liminf_{n \rightarrow +\infty} J(u_n) - J(u), \end{aligned}$$

which implies that

$$J(u) \leq \liminf_{n \rightarrow +\infty} J(u_n).$$

*Step 2.* The functional  $\varphi$  is coercive.

Set  $M = \max \left\{ 1, \left( \frac{2p|k|_\infty}{\gamma} \right)^{\frac{1}{p-\gamma}} \right\}$ . Then for any  $u \in W^{1,H}(\mathbb{R}^N)$ , we have

$$\begin{aligned} \varphi(u) &= \int_{\mathbb{R}^N} \left( \frac{1}{p} |\nabla u|^p + \frac{\mu(x)}{q} |\nabla u|^q + \frac{1}{p} |u|^p + \frac{\mu(x)}{q} |u|^q \right) dx - \int_{\mathbb{R}^N} F(x, u) dx \\ &= \int_{\mathbb{R}^N} \left( \frac{1}{p} |\nabla u|^p + \frac{\mu(x)}{q} |\nabla u|^q + \frac{1}{2p} |u|^p + \frac{\mu(x)}{q} |u|^q \right) dx \\ &\quad + \int_{\Omega_1} \left( \frac{1}{2p} |u|^p - F(x, u) \right) dx + \int_{\Omega_2} \left( \frac{1}{2p} |u|^p - F(x, u) \right) dx, \end{aligned} \quad (1.5)$$

where  $\Omega_1 = \{x \in \mathbb{R}^N : |u(x)| \geq M\}$  and  $\Omega_2 = \mathbb{R}^N \setminus \Omega_1$ .

On the one hand, it is easy to compute directly that

$$\int_{\Omega_1} \left( \frac{1}{2p} |u|^p - F(x, u) \right) dx \geq \int_{\Omega_1} |u|^p \left( \frac{1}{2p} - \frac{|k|_\infty}{\gamma} |u|^{\gamma-p} \right) dx \geq 0. \quad (1.6)$$

On the other hand, by using Young's inequality, for  $\varepsilon \in (0, 1)$  we estimate

$$\frac{k(x)|u(x)|^\gamma}{\gamma} \leq \frac{1}{\theta\gamma} \left( \frac{k(x)}{\varepsilon} \right)^\theta + \frac{1}{p^*} (\varepsilon |u(x)|^p)^{\frac{p^*}{\gamma}}.$$

Then we deduce that

$$\begin{aligned} &\int_{\Omega_2} \left( \frac{1}{2p} |u|^p - F(x, u) \right) dx \geq \int_{\Omega_2} \left( \frac{|u|^p}{2p} - \frac{k(x)|u|^\gamma}{\gamma} \right) dx \\ &\geq \int_{\Omega_2} \left( \frac{|u|^p}{2p} - \frac{1}{\theta\gamma} \left( \frac{k(x)}{\varepsilon} \right)^\theta - \frac{1}{p^*} (\varepsilon |u(x)|^p)^{\frac{p^*}{\gamma}} \right) dx \\ &= \int_{\Omega_2} \left( \frac{|u|^{p^*} |u|^{p-p^*}}{2p} - \frac{k(x)^\theta}{\theta\gamma\varepsilon^\theta} - \frac{1}{p^*} \varepsilon^{\frac{p^*}{\gamma}} |u(x)|^{p^*} \right) dx \\ &\geq \int_{\Omega_2} \left( \frac{|u|^{p^*} M^{p-p^*}}{2p} - \frac{k(x)^\theta}{\theta\gamma\varepsilon^\theta} - \frac{1}{p^*} \varepsilon^{\frac{p^*}{\gamma}} |u(x)|^{p^*} \right) dx. \end{aligned}$$

Let  $0 < \varepsilon < \min \left\{ 1, \left( \frac{p^* M^{p-p^*}}{2p} \right)^{\frac{\gamma}{p^*}} \right\}$ . Then

$$\int_{\Omega_2} \left( \frac{1}{2p} |u|^p - F(x, u) \right) dx \geq - \int_{\Omega_2} \frac{k(x)^\theta}{\theta \gamma \varepsilon^\theta} dx \geq -C_0. \quad (1.7)$$

Consequently, using (1.6) and (1.7) in (1.5) finally yields we obtain that

$$\varphi(u) \geq \frac{1}{2q} \int_{\mathbb{R}^N} \left( |\nabla u|^p + \mu(x) |\nabla u|^q + |u|^p + \mu(x) |u|^q \right) dx - C_0,$$

so that by (1.4) it follows that  $\varphi(u) \rightarrow +\infty$  as  $\|u\| \rightarrow +\infty$ .

Therefore, using Steps 1 and 2, and applying the Weierstrass Theorem, we deduce that there exists a global minimizer  $u_0 \in W^{1,H}(\mathbb{R}^N)$  of  $\varphi$ . The following Step 3 to show that  $u_0 \neq 0$ .

*Step 3.* We have  $\varphi(u_0) = \inf_{u \in W^{1,H}(\mathbb{R}^N)} \varphi(u) < 0$ .

Let  $\xi \in C_0^\infty(B_{2r}(x_0))$  such that  $\xi(x) \equiv 1$ ,  $x \in B_r(x_0)$ ;  $0 \leq \xi(x) \leq 1$ ,  $|\nabla \xi(x)| \leq \frac{C}{r}$ ,  $x \in \mathbb{R}^N$ . Denote  $t = 2\delta$ , then by assumption  $(h_5)$ , we obtain

$$\begin{aligned} \int_{\mathbb{R}^N} F(x, t\xi) dx &= \int_{B_{2r}(x_0)} F(x, t\xi) dx = \int_{B_{2r}(x_0)} \int_0^{t\xi} f(x, s) ds dx \\ &\geq c_0 \int_{B_{2r}(x_0)} \int_\delta^{2\delta} (s - \delta)^{\gamma-1} ds dx \\ &= c_0 \int_{B_{2r}(x_0)} \frac{1}{\gamma} \left( \frac{t}{2} \right)^\gamma dx = \frac{c_0}{\gamma 2^\gamma} t^\gamma |B_{2r}(x_0)|, \end{aligned}$$

and so

$$\begin{aligned} \varphi(t\xi) &= \int_{B_{2r}(x_0)} \left( \frac{1}{p} |\nabla t\xi|^p + \frac{\mu(x)}{q} |\nabla t\xi|^q + \frac{1}{p} |t\xi|^p + \frac{\mu(x)}{q} |t\xi|^q \right) dx \\ &\quad - \int_{B_{2r}(x_0)} F(x, t\xi) dx \\ &\leq \frac{t^p}{p} m_\mu \int_{B_{2r}(x_0)} (|\nabla \xi|^p + |\nabla \xi|^q + |\xi|^p + |\xi|^q) dx - \frac{c_0}{\gamma 2^\gamma} t^\gamma |B_{2r}(x_0)| \\ &\leq \frac{2t^p}{p} \left( 1 + \frac{C^q}{r^q} \right) m_\mu |B_{2r}(x_0)| - \frac{c_0}{\gamma 2^\gamma} t^\gamma |B_{2r}(x_0)| < 0. \end{aligned}$$

It follows from Step 3 that  $u_0 \in W^{1,H}(\mathbb{R}^N)$  is a non-trivial weak solution of problem  $(P)$ . It remains to show that there exists another non-trivial weak solution of problem  $(P)$ .

*Step 4.* There exists a critical point  $\tilde{u}_0 \in W^{1,H}(\mathbb{R}^N)$  of  $\varphi$ .

Let

$$\tilde{f}(x, t) = \begin{cases} f(x, t), & \text{if } |t| \leq |u_0(x)|, \\ f(x, u_0(x)), & \text{if } |t| > |u_0(x)|, \end{cases}$$

and  $\tilde{F}(x, t) = \int_0^t \tilde{f}(x, s) ds$ . Then it follows from  $f \in C(\mathbb{R}^N \times \mathbb{R}, \mathbb{R})$  that  $\tilde{f}(x, t) : \mathbb{R}^N \times \mathbb{R} \rightarrow \mathbb{R}$  is a Carathéodory function and

$$|\tilde{f}(x, t)| \leq k(x) |t|^{\gamma-1}.$$

Similarly to Proposition 3.1 (i) in [10], we get that the functional

$$\tilde{K}(u) = \int_{\mathbb{R}^N} \tilde{F}(x, u) dx$$

is of class  $C^1(W^{1,H}(\mathbb{R}^N), \mathbb{R})$ , and

$$\langle \tilde{K}'(u), v \rangle = \int_{\mathbb{R}^N} \tilde{f}(x, u) v dx$$

for all  $u, v \in W^{1,H}(\mathbb{R}^N)$ . Next, we define the functional  $\tilde{\varphi} : W^{1,H}(\mathbb{R}^N) \rightarrow \mathbb{R}$  by

$$\tilde{\varphi}(u) = J(u) - \tilde{K}(u).$$

The same arguments as those used for functional  $\varphi$  imply that  $\tilde{\varphi} \in C^1(W^{1,H}(\mathbb{R}^N), \mathbb{R})$  and  $\tilde{\varphi}$  is coercive. And by the definition of  $\tilde{\varphi}$ , we get

$$\tilde{\varphi}(u_0) = \varphi(u_0) < 0.$$

In the following, we determine a critical point  $\tilde{u}_0 \in W^{1,H}(\mathbb{R}^N)$  of  $\tilde{\varphi}$ , such that  $\tilde{\varphi}(\tilde{u}_0) > 0$  via the Mountain Pass Theorem.

First, we will show that there exists  $0 < r_0 < \min\{1, \|u_0\|\}$  such that

$$\inf_{v \in W^{1,H}(\mathbb{R}^N); \|v\|=r_0} \tilde{\varphi}(v) > 0 = \tilde{\varphi}(0). \quad (1.8)$$

Using  $(h_1)'$  and  $(h_5)$ , for any  $u \in W^{1,H}(\mathbb{R}^N)$  with  $0 < \|u\| < \min\{1, \|u_0\|\}$  we have

$$\begin{aligned} \tilde{\varphi}(u) &= \int_{\mathbb{R}^N} \left( \frac{1}{p} |\nabla u|^p + \frac{\mu(x)}{q} |\nabla u|^q + \frac{1}{p} |u|^p + \frac{\mu(x)}{q} |u|^q \right) dx - \int_{\mathbb{R}^N} \tilde{F}(x, u) dx \\ &\geq \frac{1}{q} \|u\|^q - \int_{\{x \in \mathbb{R}^N; |u(x)| > \delta\}} \tilde{F}(x, u) dx \\ &\geq \frac{1}{q} \|u\|^q - \int_{\Omega_3} \tilde{F}(x, u(x)) dx - \int_{\Omega_4} \tilde{F}(x, u(x)) dx \\ &\geq \frac{1}{q} \|u\|^q - \int_{\Omega_3} \frac{k(x)}{\gamma} |u(x)|^\gamma dx - \int_{\Omega_4} \frac{k(x)}{\gamma} |u_0(x)|^\gamma dx \\ &\geq \frac{1}{q} \|u\|^q - \frac{2\delta^{\gamma-q}}{\gamma} \int_{\{x \in \mathbb{R}^N; |u(x)| > \delta\}} k(x) |u(x)|^q dx, \end{aligned} \quad (1.9)$$

where  $\Omega_3 = \{x \in \mathbb{R}^N : |u(x)| \leq |u_0(x)|\} \cap \{x \in \mathbb{R}^N : |u(x)| > \delta\}$ ,  $\Omega_4 = \{x \in \mathbb{R}^N : |u(x)| > |u_0(x)|\} \cap \{x \in \mathbb{R}^N : |u(x)| > \delta\}$ . Since  $q < p^*$ , then there exists  $q < \tau < p^*$  such that  $W^{1,H}(\mathbb{R}^N)$  is continuously embedded in  $L^\tau(\mathbb{R}^N)$ . Thus, there exists a positive constant  $C_\tau$  such that

$$|u|_\tau \leq C_\tau \|u\|, \quad \forall u \in W^{1,H}(\mathbb{R}^N).$$

Using Hölder's inequality and the above estimate, we obtain

$$\begin{aligned} &\int_{\{x \in \mathbb{R}^N; |u(x)| > \delta\}} k(x) |u(x)|^q dx \\ &\leq \left( \int_{\{x \in \mathbb{R}^N; |u(x)| > \delta\}} |k(x)|^{\tau'} dx \right)^{\frac{1}{\tau'}} \left( \int_{\{x \in \mathbb{R}^N; |u(x)| > \delta\}} |u(x)|^\tau dx \right)^{\frac{q}{\tau}} \\ &\leq \left( \int_{\{x \in \mathbb{R}^N; |u(x)| > \delta\}} |k(x)|^{\tau'} dx \right)^{\frac{1}{\tau'}} C_\tau^q \|u\|^q, \end{aligned} \quad (1.10)$$

where  $\frac{1}{\tau'} + \frac{q}{\tau} = 1$ .

By inequalities (1.9) and (1.10), we infer that it is enough to show that

$$\int_{\{x \in \mathbb{R}^N : |u(x)| > \delta\}} |k(x)|^{\tau'} dx \rightarrow 0, \text{ as } \|u\| \rightarrow 0$$

in order to prove (1.8). Indeed, taking into account the fact that  $k \in L^\infty(\mathbb{R}^N)$ , yields

$$\begin{aligned} \delta^q \int_{\{x \in \mathbb{R}^N : |u(x)| > \delta\}} (k(x))^{\tau'} dx &\leq \int_{\{x \in \mathbb{R}^N : |u(x)| > \delta\}} (k(x))^{\tau'} |u(x)|^q dx \\ &\leq |k|_\infty^{\tau'} \int_{\{x \in \mathbb{R}^N : |u(x)| > \delta\}} |u(x)|^q dx \\ &\leq |k|_\infty^{\tau'} \int_{\mathbb{R}^N} |u(x)|^q dx \leq |k|_\infty^{\tau'} C_q^q \|u\|^q, \end{aligned}$$

which implies that

$$\int_{\{x \in \mathbb{R}^N : |u(x)| > \delta\}} |k(x)|^{\tau'} dx \rightarrow 0, \text{ as } \|u\| \rightarrow 0.$$

In view of Mountain Pass Theorem (see Ambrosetti-Rabinowitz [15] with the variant given by Theorem 1.15 in Willem [16]), there exists a sequence  $\{u_n\} \subset W^{1,H}(\mathbb{R}^N)$ , such that

$$\widetilde{\varphi}(u_n) \rightarrow c > 0 \text{ and } \widetilde{\varphi}'(u_n) \rightarrow 0,$$

where  $c = \inf_{\lambda \in \Gamma} \max_{t \in [0,1]} \widetilde{\varphi}(\lambda(t))$ , and

$$\Gamma = \{\lambda \in C([0, 1], W^{1,H}(\mathbb{R}^N)) : \lambda(0) = 0, \lambda(1) = u_0\}.$$

Since the functional  $\widetilde{\varphi}$  is coercive, we obtain that  $\{u_n\}$  is bounded in  $W^{1,H}(\mathbb{R}^N)$ , and passing to a subsequence, still denoted by  $\{u_n\}$ , we may assume that there exists a  $\widetilde{u}_0 \in W^{1,H}(\mathbb{R}^N)$ , such that  $u_n \rightharpoonup \widetilde{u}_0$  weakly in  $W^{1,H}(\mathbb{R}^N)$ . By (1.3), we deduce that

$$W^{1,H}(\mathbb{R}^N) \hookrightarrow L^{p^*}(\mathbb{R}^N).$$

Thus, there is a positive constant  $M > 0$  such that

$$\max\{|u_n|_\gamma, |u_n|_{p^*}, |\widetilde{u}_0|_\gamma, |\widetilde{u}_0|_{p^*}\} \leq M.$$

We first will prove that the  $u_n \rightarrow \widetilde{u}_0$  in  $W^{1,H}(\mathbb{R}^N)$ . Recall that

$$\begin{aligned} \langle J'(u_n) - J'(\widetilde{u}_0), u_n - \widetilde{u}_0 \rangle &= \langle \widetilde{\varphi}'(u_n) - \widetilde{\varphi}'(\widetilde{u}_0), u_n - \widetilde{u}_0 \rangle \\ &\quad + \langle \widetilde{K}'(u_n) - \widetilde{K}'(\widetilde{u}_0), u_n - \widetilde{u}_0 \rangle. \end{aligned}$$

Then it is enough to show that

$$\lim_{n \rightarrow +\infty} \langle \widetilde{K}'(u_n) - \widetilde{K}'(\widetilde{u}_0), u_n - \widetilde{u}_0 \rangle = 0.$$

Denote  $\Omega_j = \{x \in \mathbb{R}^N : |x| \leq j\}$  and  $\Omega_j^c = \mathbb{R}^N \setminus \Omega_j$ ,  $j \in \mathbb{N}$ . Then by the fact that  $k \in L^\theta(\mathbb{R}^N)$ , we deduce that

$$|k|_{L^\theta(\Omega_j^c)} \rightarrow 0 \text{ as } j \rightarrow +\infty,$$

and so for given  $\varepsilon \in (0, 1)$ , there exists  $j_0 > 0$  big enough such that

$$|k|_{L^\theta(\Omega_{j_0}^c)} < \frac{\varepsilon}{8M^\gamma}.$$

We also know that  $u_n \rightarrow \tilde{u}_0$  in  $L^\gamma(\Omega_{j_0})$  because the embedding  $W^{1,H}(\Omega_{j_0}) \hookrightarrow L^\gamma(\Omega_{j_0})$  is compact. It follows that there exists  $n_0 > 0$ , such that

$$|u_n - \tilde{u}_0|_{L^\gamma(\Omega_{j_0})} < \frac{\varepsilon}{4|k|_\infty M^{\gamma-1}}, \forall n > n_0.$$

By a straightforward computation we deduce that

$$\begin{aligned} & |\langle \tilde{K}'(u_n) - \tilde{K}'(\tilde{u}_0), u_n - \tilde{u}_0 \rangle| \\ &= \left| \int_{\mathbb{R}^N} (\tilde{f}(x, u_n) - \tilde{f}(x, \tilde{u}_0))(u_n - \tilde{u}_0) dx \right| \\ &\leq \int_{\Omega_{j_0}} k(x)(|u_n|^{\gamma-1} + |\tilde{u}_0|^{\gamma-1})|u_n - \tilde{u}_0| dx \\ &+ \int_{\Omega_{j_0}^c} k(x)(|u_n|^{\gamma-1} + |\tilde{u}_0|^{\gamma-1})|u_n - \tilde{u}_0| dx \\ &=: I_1 + I_2. \end{aligned}$$

Applying Hölder's inequality and condition  $(h_1)'$ , we have

$$\begin{aligned} I_1 &\leq |k|_\infty \int_{\Omega_{j_0}} (|u_n|^{\gamma-1} + |\tilde{u}_0|^{\gamma-1})|u_n - \tilde{u}_0| dx \\ &\leq |k|_\infty \left[ \| |u_n|^{\gamma-1} \|_{L^{\frac{\gamma}{\gamma-1}}(\Omega_{j_0})} + \| |\tilde{u}_0|^{\gamma-1} \|_{L^{\frac{\gamma}{\gamma-1}}(\Omega_{j_0})} \right] \|u_n - \tilde{u}_0\|_{L^\gamma(\Omega_{j_0})} \\ &\leq |k|_\infty \left[ \|u_n\|_{L^\gamma(\mathbb{R}^N)}^{\gamma-1} + \|\tilde{u}_0\|_{L^\gamma(\mathbb{R}^N)}^{\gamma-1} \right] \|u_n - \tilde{u}_0\|_{L^\gamma(\Omega_{j_0})} \\ &\leq 2|k|_\infty M^{\gamma-1} \|u_n - \tilde{u}_0\|_{L^\gamma(\Omega_{j_0})} < \frac{\varepsilon}{2} \end{aligned}$$

and

$$\begin{aligned} I_2 &\leq \int_{\Omega_{j_0}^c} k(x)(|u_n|^{\gamma-1} + |\tilde{u}_0|^{\gamma-1})|u_n - \tilde{u}_0| dx \\ &\leq |k|_{L^\theta(\Omega_{j_0}^c)} \left[ \| |u_n|^{\gamma-1} \|_{L^{\frac{p^*}{\gamma-1}}(\mathbb{R}^N)} + \| |\tilde{u}_0|^{\gamma-1} \|_{L^{\frac{p^*}{\gamma-1}}(\mathbb{R}^N)} \right] \|u_n - \tilde{u}_0\|_{L^{p^*}(\mathbb{R}^N)} \\ &\leq |k|_{L^\theta(\Omega_{j_0}^c)} \left[ \|u_n\|_{L^{p^*}(\mathbb{R}^N)}^{\gamma-1} + \|\tilde{u}_0\|_{L^{p^*}(\mathbb{R}^N)}^{\gamma-1} \right] (\|u_n\|_{L^{p^*}(\mathbb{R}^N)} + \|\tilde{u}_0\|_{L^{p^*}(\mathbb{R}^N)}) \\ &\leq 4|k|_{L^\theta(\Omega_{j_0}^c)} M^\gamma \\ &< \frac{\varepsilon}{2}. \end{aligned}$$



Consequently, we obtain that

$$|\langle \widetilde{K}'(u_n) - \widetilde{K}'(\widetilde{u}_0), u_n - \widetilde{u}_0 \rangle| < \varepsilon,$$

when  $n \geq n_0$ . By the arbitrariness of  $\varepsilon$ , we get

$$\lim_{n \rightarrow +\infty} \langle \widetilde{K}'(u_n) - \widetilde{K}'(\widetilde{u}_0), u_n - \widetilde{u}_0 \rangle = 0.$$

Noting that

$$\lim_{n \rightarrow +\infty} \langle \widetilde{\varphi}'(u_n) - \widetilde{\varphi}'(\widetilde{u}_0), u_n - \widetilde{u}_0 \rangle = 0.$$

Then we obtain

$$\lim_{n \rightarrow +\infty} \langle J'(u_n) - J'(\widetilde{u}_0), u_n - \widetilde{u}_0 \rangle = 0.$$

Due to Proposition 1.2 (ii) in [10], we have that  $u_n \rightarrow \widetilde{u}_0$  in  $W^{1,H}(\mathbb{R}^N)$ . Since  $\widetilde{\varphi} \in C^1(W^{1,H}(\mathbb{R}^N), \mathbb{R}^N)$ , we observe that  $\widetilde{u}_0$  is a non-trivial critical point of  $\widetilde{\varphi}$  because  $\widetilde{\varphi}(\widetilde{u}_0) = c > 0$  and  $\widetilde{\varphi}'(\widetilde{u}_0) = 0$ .

Finally, we will show that  $\widetilde{u}_0(x) \leq u_0(x)$  for a.e.  $x \in \mathbb{R}^N$ . Indeed, it is easy to check that

$$\begin{aligned} 0 &= \langle \widetilde{\varphi}'(\widetilde{u}_0) - \varphi'(u_0), (\widetilde{u}_0 - u_0)^+ \rangle \\ &= \int_{\mathbb{R}^N} (|\nabla \widetilde{u}_0|^{p-2} \nabla \widetilde{u}_0 - |\nabla u_0|^{p-2} \nabla u_0) \nabla (\widetilde{u}_0 - u_0)^+ \\ &\quad + \mu (|\nabla \widetilde{u}_0|^{q-2} \nabla \widetilde{u}_0 - |\nabla u_0|^{q-2} \nabla u_0) \nabla (\widetilde{u}_0 - u_0)^+ \\ &\quad + [|\widetilde{u}_0|^{p-2} \widetilde{u}_0 - |u_0|^{p-2} u_0] (\widetilde{u}_0 - u_0)^+ \\ &\quad + \mu [|\widetilde{u}_0|^{q-2} \widetilde{u}_0 - |u_0|^{q-2} u_0] (\widetilde{u}_0 - u_0)^+ dx \\ &\quad - \int_{\mathbb{R}^N} (\widetilde{f}(x, \widetilde{u}_0) - f(x, u_0)) (\widetilde{u}_0 - u_0)^+ dx \\ &= \int_{[\widetilde{u}_0 \geq u_0]} (|\nabla \widetilde{u}_0|^{p-2} \nabla \widetilde{u}_0 - |\nabla u_0|^{p-2} \nabla u_0) \nabla (\widetilde{u}_0 - u_0)^+ \\ &\quad + \mu (|\nabla \widetilde{u}_0|^{q-2} \nabla \widetilde{u}_0 - |\nabla u_0|^{q-2} \nabla u_0) \nabla (\widetilde{u}_0 - u_0)^+ \\ &\quad + (|\widetilde{u}_0|^{p-2} \widetilde{u}_0 - |u_0|^{p-2} u_0) (\widetilde{u}_0 - u_0)^+ \\ &\quad + \mu (|\widetilde{u}_0|^{q-2} \widetilde{u}_0 - |u_0|^{q-2} u_0) (\widetilde{u}_0 - u_0)^+ dx, \end{aligned}$$

where  $(\widetilde{u}_0 - u_0)^+ = \max\{0, \widetilde{u}_0 - u_0\}$  and  $[\widetilde{u}_0 \geq u_0] = \{x \in \mathbb{R}^N : \widetilde{u}_0(x) \geq u_0(x)\}$ . Obviously, the each term on the right hand side of above equality is non-negative, then we conclude that

$$\int_{[\widetilde{u}_0 \geq u_0]} (|\widetilde{u}_0|^{p-2} \widetilde{u}_0 - |u_0|^{p-2} u_0) (\widetilde{u}_0 - u_0) dx = 0,$$

which implies that  $\widetilde{u}_0(x) = u_0(x)$  for a.e.  $x \in \{x \in \mathbb{R}^N : \widetilde{u}_0(x) \geq u_0(x)\}$ . Consequently,  $\widetilde{u}_0(x) \leq u_0(x)$ , for a.e.  $x \in \mathbb{R}^N$ . This immediately yields

$$\widetilde{f}(x, \widetilde{u}_0) = f(x, \widetilde{u}_0) \text{ and } \widetilde{K}(\widetilde{u}_0) = K(\widetilde{u}_0).$$

Then we obtain

$$\varphi(\widetilde{u}_0) = \widetilde{\varphi}(\widetilde{u}_0) \text{ and } \varphi'(\widetilde{u}_0) = \widetilde{\varphi}'(\widetilde{u}_0),$$

which yields that  $\widetilde{u}_0$  is a critical point of  $\varphi$ , and so a weak solution of problem (P). Recall that  $\varphi(\widetilde{u}_0) = c > 0 > \varphi(u_0)$ . Thus we see that  $\widetilde{u}_0$  is non-trivial. Therefore,  $\widetilde{u}_0 \neq u_0$  and this completes the proof of Theorem 1.2.  $\square$

## 2. Conclusions

In this paper, we have discussed a class of sublinear double phase problem in  $\mathbb{R}^N$ . Some new criteria to guarantee that the existence of two non-trivial weak solutions for the considered problem (P) is established by using the Weierstrass Theorem and Mountain Pass Theorem. Our results are obtained to improve and supplement some corresponding results.

### Conflict of interest

All authors declare no conflicts of interest in this paper.

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