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# Research article

# Acyclic edge coloring of planar graphs

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**Abstract:** An acyclic edge coloring of a graph *G* is a proper edge coloring such that no bichromatic cycles are produced. The acyclic chromatic index of *G*, denoted by  $\chi'_a(G)$ , is the smallest integer *k* such that *G* is acyclically edge *k*-colorable. In this paper, we consider the planar graphs without 3-cycles and intersecting 4-cycles, and prove that  $\chi'_a(G) \leq \Delta(G) + 1$  if  $\Delta(G) \geq 8$ .

**Keywords:** acyclic edge coloring; planar graph; cycle; girth; maximum degree **Mathematics Subject Classification:** 05C10, 05C15

## 1. Introduction

All graphs considered in this paper are finite simple graphs. For a graph *G*, we use V(G), E(G), F(G),  $\Delta(G)$  ( $\Delta$  for short and reserved), and g(G) to denote the vertex set, edge set, face set, maximum degree and girth, respectively. A graph *G* is 2-connected if there are two paths between any two distinct vertices.

Let G be a planar graph. The acyclic edge k-coloring of graph G is a mapping  $c : E(G) \rightarrow \{1, 2, ..., k\}$  such that any two adjacent edges receive different colors, and there are no bichromatic cycles in G. The acyclic chromatic index of G, denoted by  $\chi'_a(G)$ , is the smallest integer k such that G is acyclically edge k-colorable.

Fiamčik posed a famous conjecture for acyclic edge coloring of any graphs.

**Conjecture 1.1.** [3] For any graph G,  $\chi'_{a}(G) \leq \Delta(G) + 2$ .

The conjecture is still open.

For any graph G, Alon, McDiarmid and Reed [1] proved that  $\chi'_a(G) \leq 64\Delta$ . Molloy and Reed [8] improved this bound to 16 $\Delta$ . Later, Fialho et al. [4] showed that  $\chi'_a(G) \leq 3.569(\Delta - 1)$ , and most recently to  $2\Delta - 1$  by Kirousis and Livieratos [7].

There have been numerous investigations about acyclic edge coloring of planar graphs.

For any planar graph G, Basavaraju et al. [2] proved that  $\chi'_a(G) \leq \Delta + 12$ , Wang et al. [9] proved that  $\chi'_a(G) \leq \Delta + 7$ , Wang and Zhang [10] proved that  $\chi'_a(G) \leq \Delta + 6$ .

Let *G* be a planar graph with small grith. Shu, Wang and Wang [11] proved that  $\chi'_a(G) \leq \Delta(G) + 2$ if  $g(G) \geq 4$ . For planar graph *G* with  $g(G) \geq 5$ , Hou et al. [6] proved that  $\chi'_a(G) \leq \Delta(G) + 1$ ; they also proved that such graph has  $\chi'_a(G) = \Delta(G)$  if  $\Delta(G) \geq 9$ . For planar graph *G* without 4-cycles, Wang and Sheng [13] proved that  $\chi'_a(G) \leq \Delta(G) + 3$ . Then Wang, Shu and Wang [14] improved this bound to  $\Delta(G) + 2$  when  $\Delta(G) \geq 5$ . In 2012, Fiedorowicz [5] proved that the planar graph *G* without an *i*-cycle intersect to a *j*-cycle has  $\chi'_a(G) \leq \Delta(G) + 2$  for *i*,  $j \in \{3, 4\}$ . Most recently, Shu et al. [12] proved that the planar graph *G* without intersecting triangles has  $\chi'_a(G) \leq \Delta(G) + 2$ .

In this paper, we consider the planar graph without 3-cycles and intersecting 4-cycles, and prove the following theorem:

**Theorem 1.1.** Let G be a planar graph without 3-cycles and intersecting 4-cycles. If  $\Delta(G) \ge 8$ , then  $\chi'_a(G) \le \Delta(G) + 1$ .

#### 2. Notation

Let *G* be a simple planar graph. For a vertex  $v \in V(G)$ , N(v) denotes the set of vertices adjacent to v, and d(v) = |N(v)| denotes the degree of v. For  $f \in F(G)$ , we use b(f) to denote the boundary walk of f and write  $f = [u_1u_2...u_n]$  if  $u_1, u_2, ..., u_n$  are the vertices on b(f) enumerated in the clockwise direction. For  $f = [u_1u_2...u_n]$ , let  $\delta(f)$  denote the minimum degree of any vertex on b(f). That is,  $\delta(f) = \min\{d(u_i), i = 1, ..., n\}$ . The degree of a face f, denoted by d(f), is the number of edges in its boundary walk.

For  $f \in F(G)$ , f is called a k-(or  $k^+$ -, or  $k^-$ -) face if d(f) = k (or  $d(f) \ge k$ , or  $d(f) \le k$ ). For  $v \in V(G)$ , v is called a k-(or  $k^+$ -, or  $k^-$ -) vertex if d(v) = k (or  $d(v) \ge k$ , or  $d(v) \le k$ ). If  $u \in N(v)$  and d(u) = k, then u is called k-neighbor of v. Let  $N_k(v) = \{x \in N(v) | d(x) = k\}$ , and  $n_k(v) = |N_k(v)|$ .

Let *c* be an edge coloring of *G* and *v* be a vertex of *G*. Then,  $C(v) = \{c(uv) : u \in N(v)\}, F_v^c(uv) = C(v) \setminus \{c(uv)\}$ . Let  $\alpha, \beta$  be two colors. An  $(\alpha, \beta)$ -bichromatic path with respect to *c* is a path consisting of edges that are colored with  $\alpha$  and  $\beta$  alternately. An  $(\alpha, \beta)$ -bichromatic path which starts at the vertex *u* via an edge colored  $\alpha$  and ends at *v* via an edge colored  $\alpha$  is an  $(\alpha, \beta)_{(u,v)}$ -bichromatic path. We use "w.l.o.g." as a shorthand for "without loss of generality".

## 3. Proof of Theorem 1.1

We apply a discharging procedure to prove Theorem 1.1. Discharging is a tool in a two-pronged approach to inductive proofs. It can be viewed as an amortized counting argument used to prove that a global hypothesis guarantees the existence of some desirable local configurations. In an application of the resulting structure theorem, one shows that each such local configuration cannot occur in a minimal counterexample to the desired conclusion. Such local configurations are called reducible configurations. In this section, we give some reducible configurations.

Let G be a counterexample with minimum |V(G)| + |E(G)| of Theorem 1.1. In other words, G is a connected simple planar graph without 3-cycles and intersecting 4-cycles,  $\Delta = \Delta(G) \ge 8$ , but  $\chi'_a(G) \ge \Delta + 2$ . Let C be a color set of G,  $C = \{1, 2, ..., \Delta + 1\}$ . Now, we discuss the structures of G.

#### 3.1. The properties of minimal counterexample

## Lemma 3.1. The graph G is 2-connected.

*Proof.* By contradiction, suppose that *v* is a cut vertex of *G*. Let  $C_1, C_2, \ldots, C_t (t \ge 2)$  be the connected components of  $G \setminus v$ . For each  $1 \le i \le t$ , there is an acyclic  $(\Delta + 1)$ -edge coloring  $c_i$  of  $G_i = C_i \cup \{v\}$ . We can adjust the colors in each  $c_i$  such that the colors appearing on the edges incident with *v* are all distinct. Now the union of these colorings is an acyclic  $(\Delta + 1)$ -edge coloring of *G*, a contradiction.  $\Box$ 

**Lemma 3.2.** The graph G does not contain a 2-vertex adjacent to a 3<sup>-</sup>-vertex.

*Proof.* By contradiction, let d(v) = 2,  $N(v) = \{u, w\}$ ,  $d(u) \le 3$  (See Figure 1(1)). We prove the case d(u) = 3, and d(u) = 2 can be proved in a similar way. Let  $N(u) = \{v, u_1, u_2\}$ , G' = G - uv. By the minimality of G, G' admits an acyclic ( $\Delta + 1$ )-edge coloring c. Suppose that  $c(uu_i) = i$  for i = 1, 2. We consider the following two cases.

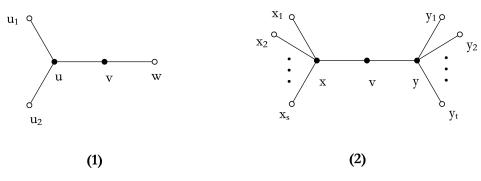


Figure 1. The configurations of Lemmas 3.2 and 3.4.

**Case 3.1.**  $|C(u) \cap C(v)| = 0.$ 

Since  $|C \setminus (C(u) \cup C(v))| = \Delta + 1 - 3 = \Delta - 2 > 0$ , we can color uv with  $\alpha$  for  $\alpha \in C \setminus (C(u) \cup C(v))$ . Therefore, *c* can be extended to be an acyclic  $(\Delta + 1)$ -edge coloring of *G*, a contradiction.

**Case 3.2.**  $|C(u) \cap C(v)| = 1$ . W.l.o.g., assume that  $c(vw) = c(uu_1) = 1$ . If there exists a color  $\gamma \in \{3, ..., \Delta + 1\}$  such that *G* contains no  $(1, \gamma)_{(u,v)}$ -bichromatic path via  $u_1$  and w, then we can color uv with  $\gamma$ . In this way *G* is acyclically edge  $(\Delta + 1)$ -colorable, a contradiction. Thus, there must exist a  $(1, \alpha)_{(u,v)}$ -bichromatic path via  $u_1$  and w for each  $\alpha \in \{3, 4, ..., \Delta + 1\}$ . So  $C(u_1) = C(w) = \{1, 3, 4, ..., \Delta + 1\}$ . Now we recolor vw with 2. Similarly, there must exist a  $(2, \alpha)_{(u,v)}$ -bichromatic path via  $u_2$  and w for each  $\alpha \in \{3, 4, ..., \Delta + 1\}$ . Now we exchange the colors between  $uu_1$  and  $uu_2$ , color uv with 3, color vw with 1. Therefore, c can be extended to be an acyclic  $(\Delta + 1)$ -edge coloring of *G*, a contradiction.

Next we discuss the degree sum of the neighbors of a particular vertex.

**Lemma 3.3.** Let  $d(v) = k \in \{2, 3\}$  and  $N(v) = \{v_i, 1 \le i \le k\}$ . Then  $\sum_{i=1}^k d(v_i) \ge \Delta + k + 1$ .

*Proof.* By contradiction, assume that  $\sum_{i=1}^{k} d(v_i) \le \Delta + k$ . Let  $G' = G - vv_1$ , by the minimality of G, G' admits an acyclic  $(\Delta + 1)$ -edge coloring c. Since the neighbors of each 2-vertex are both 4<sup>+</sup>-vertices, we have  $3 \le d(v_i) \le \Delta + k - 6$  when  $1 \le i \le 3$  and k = 3. Suppose that  $c(vv_i) = i - 1$  for i = 2, ..., k. We consider the following three cases.

**Case 3.3.**  $|C(v_1) \cap C(v)| = 0$ .

Since  $|C \setminus (C(v_1) \cup C(v))| = \Delta + 1 - (d(v_1) - 1) - (d(v) - 1) = \Delta + 3 - d(v_1) - d(v) \ge \Delta - d(v_1) > 0$ , we can color  $vv_1$  with  $\alpha$  for  $\alpha \in C \setminus (C(v_1) \cup C(v))$ . Therefore, c can be extended to be an acyclic  $(\Delta + 1)$ -edge coloring of G, a contradiction.

**Case 3.4.**  $|C(v_1) \cap C(v)| = 1$ . *W.l.o.g.*, assume that  $1 \in C(v_1)$ . (*i*) k = 2.

Since  $|C \setminus (C(v_1) \cup C(v_2))| \ge \Delta + 1 - (d(v_1) - 1) - (d(v_2) - 1) = \Delta + 3 - (d(v_1) + d(v_2)) \ge \Delta + 3 - (\Delta + 2) = 1$ , we can color  $vv_1$  with  $\beta$  for  $\beta \in C \setminus (C(v_1) \cup C(v_2))$ . Therefore, c can be extended to be an acyclic  $(\Delta + 1)$ -edge coloring of G, a contradiction.

(*ii*) k = 3.

Since  $|C \setminus (C(v_1) \cup C(v) \cup C(v_2))| \ge \Delta + 1 - (d(v_1) - 1) - 1 - (d(v_2) - 1) = \Delta - (d(v_1) + d(v_2)) + 2 \ge \Delta - \Delta + 2 = 2$ , we can color  $vv_1$  with  $\alpha$  for  $\alpha \in C \setminus (C(v_1) \cup C(v) \cup C(v_2))$ , c is an acyclic  $(\Delta + 1)$ -edge coloring of G, a contradiction.

**Case 3.5.**  $|C(v_1) \cap C(v)| = 2$ . Namely, d(v) = k = 3.

Since  $|C \setminus (C(v_1) \cup C(v_2) \cup C(v_3))| \ge \Delta + 1 - (d(v_1) - 1) - (d(v_2) - 1) - (d(v_3) - 1) = \Delta - (d(v_1) + d(v_2) + d(v_3)) + 4 \ge 1$ , we can color  $vv_1$  with  $\alpha$  for  $\alpha \in C \setminus (C(v_1) \cup C(v_2) \cup C(v_3))$ , c is an acyclic  $(\Delta + 1)$ -edge coloring of G, a contradiction.

For a 2-vertex, the number of  $3^-$ -vertex and  $\Delta$ -vertex of its neighbors is discussed below.

**Lemma 3.4.** For a 2-vertex v, let  $N(v) = \{x, y\}$ . Then (1)  $n_2(x) \le d(x) + d(y) - \Delta - 2$ ; (2)  $n_2(x) + n_3(x) \le d(x) + d(y) - \Delta - 1$ ; (3)  $n_2(x) + n_3(x) \le d(x) + d(y) - \Delta - 2$  if  $d(x) < \Delta$ .

*Proof.* Assume that d(x) = s + 1, d(y) = t + 1,  $N(x) = \{v, x_1, x_2, \dots, x_s\}$ ,  $N(y) = \{v, y_1, y_2, \dots, y_t\}$ (See Figure 1(2)). Let G' = G - vy, G' admits an acyclic  $(\Delta + 1)$ -edge coloring c. Let  $c(yy_i) = i$ for  $1 \le i \le t$ ,  $T = C \setminus F_x^c(vx)$ . It is clear that  $|T| = \Delta + 1 - s \ge 2$ .

If  $T \setminus F_y^c(vy) \neq \emptyset$ , then we can recolor vx with  $\alpha$  for  $\alpha \in T \setminus F_y^c(vy)$ , color vy with  $\beta$  for  $\beta \in \{t + 1, ..., \Delta + 1\}(\beta \neq \alpha)$ . Now *c* can be extended to be an acyclic  $(\Delta + 1)$ -edge coloring of *G*, a contradiction. So  $T \subseteq F_y^c(vy) = \{1, 2, ..., t\}$ .

If there are  $i_0 \in T$  and  $j_0 \in \{t + 1, ..., \Delta + 1\}$ , such that *G* contains no  $(i_0, j_0)_{(v,y)}$ -bichromatic path through *x*, then we can color *vx* with  $i_0$ , color *vy* with  $j_0$ , *G* is acyclically edge  $(\Delta + 1)$ -colorable, a contradiction. So *G* contains  $(\alpha_0, j)_{(v,y)}$ -bichromatic path for  $c(vx) = \alpha_0, \alpha_0 \in T$  and  $j \in \{t+1, ..., \Delta+1\}$ . This means that  $\{t + 1, ..., \Delta + 1\} \subseteq F_x^c(vx)$ . W.l.o.g., let  $c(xx_i) = t + i$  for  $1 \le i \le \Delta + 1 - t$ . Now recolor c(vx) = i for any  $i \in T$ . Similarly, *G* contains  $(i, j)_{(v,y)}$ -bichromatic path for any  $j \in \{t + 1, ..., \Delta + 1\}$ . So  $T \subseteq F_{x_i}^c(xx_i)$ , which means that  $d(x_i) \ge |T| + 1$  for  $1 \le i \le \Delta + 1 - t$ .

(1) Since  $d(x) \le \Delta$ ,  $|T| = \Delta + 1 - s = \Delta + 1 - (d(x) - 1) = \Delta - d(x) + 2 \ge 2$ . This means that  $d(x_i) \ge 3$  for  $1 \le i \le \Delta + 1 - t$ . Therefore,  $n_2(x) \le d(x) - (\Delta + 1 - t) = d(x) - \Delta - 1 + d(y) - 1 = d(x) + d(y) - \Delta - 2$ .

(2) If there are at least two 3-vertices in  $\{x_1, \ldots, x_{\Delta+1-t}\}$ , then assume that  $d(x_1) = d(x_2) = 3$ . Since  $T \subseteq F_{x_l}^c(xx_l)(1 \le l \le \Delta + 1 - t)$ , and  $d(x_1) = d(x_2) = 3$ , we have  $T = \{1, 2\}$ . So  $F_{x_1}^c(xx_1) = F_{x_2}^c(xx_2) = \{1, 2\}$ . Now we exchange the colors between  $xx_1$  and  $xx_2$ , color vx with 1, color vy with t + 1, *G* is acyclically edge  $(\Delta + 1)$ -colorable, a contradiction. Therefore,  $n_2(x) + n_3(x) \le d(x) - (\Delta + 1 - t) + 1 = d(x) + d(y) - \Delta - 1$ .

(3) If  $d(x) < \Delta$ , then  $|T| = \Delta + 1 - s = \Delta + 1 - (d(x) - 1) = \Delta - d(x) + 2 \ge 3$ . This implies that  $d(x_l) \ge |T| + 1 \ge 4$  for  $1 \le l \le \Delta + 1 - t$ . Therefore,  $n_2(x) + n_3(x) \le d(x) - (\Delta + 1 - t) = d(x) - \Delta - 1 + d(y) - 1 = d(x) + d(y) - \Delta - 2$ .

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**Lemma 3.5.** For 2-vertex v, let  $N(v) = \{x, y\}$ . If  $n_2(x) = d(x) - 2$ , then  $n_{\Delta}(x) = 2$ ,  $n_2(y) = 1$ ,  $n_3(y) \le 1$ ,  $d(y) = \Delta$ .

*Proof.* Let  $N(x) = \{v, x_1, x_2, ..., x_s\}$ ,  $N(y) = \{v, y_1, y_2, ..., y_t\}$ ,  $d(x_i) = 2$ ,  $N(x_i) = \{x, x'_i\}$  for  $3 \le i \le s$ (See Figure 2(3)). Observe that  $n_2(x) \le d(x) + d(y) - \Delta - 2$  by Lemma 3.4. If  $n_2(x) = d(x) - 2$ , then  $d(y) = \Delta$ , which means that  $t = \Delta - 1$ . Let G' = G - xv, G' admits an acyclic  $(\Delta + 1)$ -edge coloring c. Suppose that  $c(xx_i) = i$  for  $1 \le i \le s$ ,  $T = C \setminus F_v^c(vy)$ . It is clear that  $|T| = \Delta + 1 - (d(y) - 1) = 2$ .

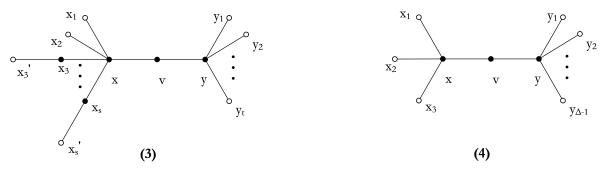


Figure 2. The configurations of Lemmas 3.5 and 3.6.

(1)  $n_{\Delta}(x) = 2, n_2(y) = 1.$ 

We consider the following two cases.

#### **Case 3.6.** $T \setminus F_x^c(vx) \neq \emptyset$ .

*We can recolor vy with*  $\alpha$  *for*  $\alpha \in T \setminus F_x^c(vx)$ *, color vx with*  $\beta$  *for*  $\beta \in \{s + 1, ..., \Delta + 1\}$ *(\beta \neq \alpha). Now c can be extended to be an acyclic* ( $\Delta + 1$ )*-edge coloring of G, a contradiction.* 

**Case 3.7.**  $T \setminus F_x^c(vx) = \emptyset$ .

*That is*,  $T \subseteq F_x^c(vx) = \{1, 2, ..., s\}.$ 

(*i*)  $T \cap \{3, \ldots, s\} \neq \emptyset$ .

Let  $\alpha \in T \cap \{3, ..., s\}$ . Since  $|C \setminus (C(x) \cup C(x_{\alpha}))| \ge \Delta + 1 - (d(x) - 1) - 1 = \Delta + 1 - d(x) \ge 1$ , we can color vy with  $\alpha$ , color vx with  $\beta$  for  $\beta \in C \setminus (C(x) \cup C(x_{\alpha}))$ , c can be extended to be an acyclic  $(\Delta + 1)$ -edge coloring of G, a contradiction.

 $(ii) T \cap \{3,\ldots,s\} = \emptyset.$ 

That is,  $T = \{1, 2\}$  and  $F_y^c(vy) = \{3, 4, ..., \Delta + 1\}$ . Note that  $c(vy) \in \{1, 2\}$ , w.l.o.g., suppose that c(vy)=1. If there is a color  $\gamma \in \{s + 1, ..., \Delta + 1\}$  such that G contains no  $(1, \gamma)_{(x,v)}$ -bichromatic path via  $x_1$  and y, then we can color vx with  $\gamma$ . In this way G is acyclically edge  $(\Delta + 1)$ -colorable, a contradiction. So there must exist a  $(1, j)_{(x,v)}$ -bichromatic path via  $x_1$  and y for each  $j(j \in \{s + 1, ..., \Delta + 1\})$ , which implies that  $\{s + 1, ..., \Delta + 1\} \subseteq C(x_1) \cap C(y)$ . Now we recolor vy with 2, and don't change the colors of the other edges, c is still an acyclic  $(\Delta + 1)$ -edge coloring of G - xv. As the same argument above, we have that  $\{s + 1, ..., \Delta + 1\} \subseteq C(x_2)$ . If there is  $i \in \{3, 4, ..., s\}$ , such that G contains no  $(1, i)_{(x,v)}$ bichromatic path via  $x_1$  and y, then we can color vx with i. Don't change the colors of the other edges, and remove the color of  $xx_i$ , c is still an acyclic  $(\Delta + 1)$ -edge coloring of  $G - xx_i$ , now we consider the color of  $xx_i$ . W.l.o.g., assume that i = 3.

If  $c(x_3x'_3) = j \ge s + 1$ , then  $|C(x) \cup C(x_3)| \le s + 1 = d(x) \le \Delta$ , we can color  $xx_3$  with  $\alpha$  for  $\alpha \notin (C(x) \cup C(x_3))$ , G is acyclically edge  $(\Delta + 1)$ -colorable, a contradiction.

If  $c(x_3x'_3) = j \in \{1, 2\}$ , then it can be seen from the above argument that for each  $\alpha \in \{s+1, ..., \Delta+1\}$ , there are  $(i, \alpha)_{(x,v)}$ -bichromatic paths (i = 1, 2). So  $G - xx_3$  contains no  $(i, \alpha)_{(x,x_3)}$ -bichromatic path (i = 1, 2) for each  $\alpha \in \{s + 1, ..., \Delta + 1\}$ , we can color  $xx_3$  with  $\alpha$ , G is acyclically edge  $(\Delta + 1)$ -colorable, a contradiction.

If  $c(x_3x'_3) = j = 3$ , then we can color  $xx_3$  with  $\alpha$  for  $\alpha \notin (C(x) \cup C(v))$  since  $|C(x) \cup C(v)| \le s + 1 = d(x) < \Delta + 1$ , G is acyclically edge  $(\Delta + 1)$ -colorable, a contradiction.

If  $c(x_3x'_3) = j \in \{4, ..., s\}$ , then we can recolor  $xx_3$  with  $\alpha$  for  $\alpha \notin (C(x) \cup C(x_j))$  since  $|C(x) \cup C(x_j)| \le s+1 = d(x) < \Delta+1$ , *G* is acyclically edge  $(\Delta+1)$ -colorable, a contradiction. Hence, there are  $(1, i)_{(x,v)}$ -bichromatic paths via  $x_1$  and y for each  $i \in \{3, 4, ..., s\}$ , which implies that  $\{1, 3, 4, ..., s\} \subseteq C(x_1)$ .

In conclusion,  $C(x_1) = C(y) = \{1, 3, 4, ..., \Delta + 1\}$ . Now recolor vy with 2, and don't change the colors of the other edges, c is still an acyclic  $(\Delta + 1)$ -edge coloring of G - xv. As the same argument above, we have that  $C(x_2) = \{2, 3, 4, ..., \Delta + 1\}$ . It follows that  $F_{x_1}^c(xx_1) = F_{x_2}^c(xx_2) = F_y^c(vy) = \{3, 4, ..., \Delta + 1\}$  and  $\{1, 2\} \subseteq F_{y_i}^c(yy_i)$  for  $1 \le i \le t$ . Then  $d(x_1) = d(x_2) = \Delta + 1 - 2 + 1 = \Delta$ ,  $n_2(y) = 1$ .

(2)  $n_3(y) \le 1$ .

By contradiction, assume that  $d(y_1) = d(y_2) = 3$ . Now exchange the colors between  $yy_1$  and  $yy_2$ , and don't change the colors of the other edges, G - xv has a new acyclic ( $\Delta + 1$ )-edge coloring  $\phi$ . Let  $\phi(yy_1) = \alpha$ .

If  $\alpha \in \{s + 1, ..., \Delta + 1\}$ , then let  $\phi(xv) = \alpha$ . By symmetry, suppose that  $\phi(vy) = 1$ , *G* is acyclically edge  $(\Delta + 1)$ -colorable, a contradiction.

If  $\alpha \in \{3, 4, \dots, s\}$ , assume that  $\alpha = 3$ , then let  $\phi(xv) = 3$ , remove the color of  $xx_3$ ,  $G - xx_3$  admits an acyclic  $(\Delta + 1)$ -edge coloring  $\phi'$ . Let  $\phi'(x_3x'_3) = \beta$ . It follows from the above argument that there is a  $(1, \Delta)_{(x,v)}$ -bichromatic path through  $x_1$ , so  $G - xx_3$  contains no  $(1, \Delta)_{(x,x_3)}$ -bichromatic path via  $x_1$ and  $x'_3$ . Similarly,  $G - xx_3$  contains no  $(2, \Delta)_{(x,x_3)}$ -bichromatic path via  $x_2$  and  $x'_3$ . If  $\beta \in \{1, 2\}$ , then let  $\phi'(xx_3) = \Delta$ , *G* is acyclically edge  $(\Delta + 1)$ -colorable, a contradiction. If  $\beta \in \{4, \dots, s\}$ , then let  $\phi'(xx_3) = \gamma$  for  $\gamma \notin (\phi'(x) \cup \phi'(x_\beta))$ , *G* is acyclically edge  $(\Delta + 1)$ -colorable, a contradiction. If  $\beta \ge s + 1$ , then let  $\phi'(xx_3) = \gamma$  for  $\gamma \notin (\phi'(x) \cup \phi'(x_3))$ , *G* is acyclically edge  $(\Delta + 1)$ -colorable, a contradiction. If  $\beta \ge s + 1$ , then let  $\phi'(xx_3) = \gamma$  for  $\gamma \notin (\phi'(x) \cup \phi'(x_3))$ , *G* is acyclically edge  $(\Delta + 1)$ -colorable, a contradiction. If  $\beta \ge s + 1$ , then let  $\pi_3(y) \le 1$ .

**Lemma 3.6.** For 2-vertex v, let  $N(v) = \{x, y\}$ . If d(x) = 4 and  $n_2(x) + n_3(x) = 2$ , then  $n_{\Delta}(x) = 2$ ,  $n_2(y) = 1, n_3(y) \le 1, d(y) = \Delta$ .

*Proof.* Note that  $n_2(x) + n_3(x) \le d(x) + d(y) - \Delta - 2 = d(y) - \Delta + 2$  by Lemma 3.4. It is clear that  $d(y) = \Delta \operatorname{since} n_2(x) + n_3(x) = 2$ . Let  $N(x) = \{v, x_1, x_2, x_3\}$ ,  $N(y) = \{v, y_1, y_2, \dots, y_{\Delta-1}\}$  (See Figure 2(4)). If  $n_2(x) = d(x) - 2 = 2$ , then  $n_{\Delta}(x) = 2$ ,  $n_2(y) = 1$  and  $n_3(y) \le 1$  by Lemma 3.5. We prove the case  $n_2(x) = 1$  and  $n_3(x) = 1$ . Suppose that  $d(x_3) = 3$ . Let G' = G - xv, by the minimality of G, G' admits an acyclic ( $\Delta + 1$ )-edge coloring c. Assume that  $c(xx_i) = i$  for  $1 \le i \le 3$ ,  $T = C \setminus F_y^c(vy)$ . Clearly,  $|T| = \Delta + 1 - (\Delta - 1) = 2$ .

(1)  $n_{\Delta}(x) = 2, n_2(y) = 1.$ 

We consider the following two cases.

#### **Case 3.8.** $T \setminus F_x^c(vx) \neq \emptyset$ .

We can recolor vy with  $\alpha$  for  $\alpha \in T \setminus F_x^c(vx)$ , color vx with  $\beta$  for  $\beta \in \{4, \ldots, \Delta + 1\}$  ( $\beta \neq \alpha$ ), c is an acyclic ( $\Delta + 1$ )-edge coloring of G, a contradiction.

**Case 3.9.**  $T \setminus F_x^c(vx) = \emptyset$ .

*That is*,  $T \subseteq F_x^c(vx) = \{1, 2, 3\}$ . *Since*  $|T| = \Delta + 1 - (\Delta - 1) = 2$ ,  $\{1, 2, 3\} \setminus T \neq \emptyset$ . *As the same argument of Case 2 in Lemma 3.5, we have*  $n_{\Delta}(x) = 2$ ,  $n_2(y) = 1$  *by setting s* = 3.

(2)  $n_3(y) \le 1$ .

By contradiction, suppose that  $d(y_1) = d(y_2) = 3$ . It follows from the above argument that  $F_{y_1}^c(yy_1) = F_{y_2}^c(yy_2) = \{1, 2\}$ . By the symmetry, assume that c(vy) = 1, exchange the colors between  $yy_1$  and  $yy_2$ , G - xv has a new acyclic ( $\Delta + 1$ )-edge coloring  $\phi$ . Let  $\phi(yy_1) = \alpha$ .

If  $\alpha \in \{4, \dots, \Delta + 1\}$ , then let  $\phi(vx) = \alpha$ , G is acyclically edge  $(\Delta + 1)$ -colorable, a contradiction.

If  $\alpha = 3$ , then let  $\phi(xv) = 3$ , and remove the color of  $xx_3$ ,  $G - xx_3$  has an acyclic  $(\Delta + 1)$ -edge coloring  $\phi'$ . It follows from the above argument that there are  $(i, \gamma)_{(x,v)}$ -bichromatic paths under c of G - xv for i = 1, 2 and  $\gamma \in \{4, 5, ..., \Delta + 1\}$ . So  $G - xx_3$  contains no  $(i, \gamma)_{(x,x_3)}$ -bichromatic path. If  $|\phi'(x) \cap \phi'(x_3)| = 0$ , then  $|\phi'(x) \cup \phi'(x_3)| \le 3 + 2 = 5 < \Delta + 1$ . Let  $\phi'(xx_3) = \beta$  for  $\beta \in C \setminus (\phi'(x) \cup \phi'(x_3))$ ,  $\phi'$  can be extended to G, a contradiction. If  $|\phi'(x) \cap \phi'(x_3)| = 1$ , then suppose  $1 \in \phi'(x_3)$ . Let  $\phi'(xx_3) = \beta$  for  $\beta \in \{4, ..., \Delta + 1\} \setminus \phi'(x_3)$ ,  $\phi'$  can be extended to G, a contradiction. If  $|\phi'(x) \cap \phi'(x_3)| = 1$ , then suppose  $1 \in \phi'(x_3)$ . Let  $\phi'(xx_3) = \beta$  for  $\beta \in \{4, ..., \Delta + 1\} \setminus \phi'(x_3)$ ,  $\phi'$  can be extended to G, a contradiction. If  $|\phi'(x) \cap \phi'(x_3)| = 2$ , then  $\phi'(x) \cap \phi'(x_3) = \{1, 2\}$ , we can let  $\phi'(xx_3) = \beta$  for  $\beta \in \{4, ..., \Delta + 1\}$ ,  $\phi'$  can be extended to G, a contradiction. This implies that  $n_3(y) \le 1$ .

Next we discuss the number of 3<sup>-</sup>-vertex in the neighbors of  $\Delta$ -vertex.

**Lemma 3.7.** For  $d(v) = \Delta$ , if  $n_2(v) \ge \Delta - 3$ , then  $n_2(v) + n_3(v) \le \Delta - 2$ .

*Proof.* Assume that  $v_4 \in N(v)$ ,  $N(v_4) = \{v, v_4'\}$ . By Lemma 3.4,  $n_2(v) \le d(v) + d(v_4') - \Delta - 2 = d(v_4') - 2 \le \Delta - 2$ ,  $n_2(v) + n_3(v) \le d(v) + d(v_4') - \Delta - 1 = d(v_4') - 1 \le \Delta - 1$ ; if  $n_2(v) = \Delta - 2$ , then  $d(v_4') = \Delta$ ; if  $n_2(v) + n_3(v) = \Delta - 1$ , then  $d(v_4') = \Delta$ . Note that  $n_{\Delta}(v) = 2$  when  $n_2(v) = \Delta - 2$  by Lemma 3.5. Namely  $n_3(v) = 0$ ,  $n_2(v) + n_3(v) = \Delta - 2 + 0 = \Delta - 2$ .

Otherwise  $n_2(v) = \Delta - 3$ . By contradiction, suppose that  $n_2(v) + n_3(v) \ge \Delta - 1$ . We have that  $n_2(v) + n_3(v) = \Delta - 1$  since  $n_2(v) + n_3(v) \le \Delta - 1$ . Let  $N(v) = \{v_1, v_2, \dots, v_{\Delta}\}$ ,  $N(v_i) = \{v, x_i\}$  for  $4 \le i \le \Delta$ . Assume that  $d(v_2) = d(v_3) = 3$  (See Figure 3(5)). Let  $G' = G - vv_{\Delta}$ , by the minimality of G, G' admits an acyclic ( $\Delta + 1$ )-edge coloring c. Let  $c(vv_i) = i$  for  $1 \le i \le \Delta - 1$ ,  $T = C \setminus F_{x_{\Delta}}^c(v_{\Delta}x_{\Delta})$ . Since  $n_2(v) + n_3(v) = \Delta - 1$ , it follows from the above argument that  $d(x_{\Delta}) = d(x_{\Delta-1}) = \ldots = d(x_4) = \Delta$ ,  $|T| = \Delta + 1 - (\Delta - 1) = 2$ .

We consider the following two cases.

**Case 3.10.**  $T \setminus C(v) \neq \emptyset$ .

We can recolor  $v_{\Delta}x_{\Delta}$  with  $\alpha$  for  $\alpha \in T \setminus C(v)$ , color  $vv_{\Delta}$  with  $\beta$  for  $\beta \in \{\Delta, \Delta + 1\}(\beta \neq \alpha)$ , c is an acyclic  $(\Delta + 1)$ -edge coloring of G, a contradiction.

**Case 3.11.**  $T \setminus C(v) = \emptyset$ . That is,  $T \subseteq C(v) = \{1, 2, ..., \Delta - 1\}$ .

(*i*)  $T \cap \{4, 5, \ldots, \Delta - 1\} \neq \emptyset$ .

We can color  $v_{\Delta}x_{\Delta}$  with i for  $i \in T \cap \{4, 5, ..., \Delta - 1\}$ , color  $vv_{\Delta}$  with j for  $j \in \{\Delta, \Delta + 1\} \setminus \{c(v_ix_i)\}$ , G is acyclically edge  $(\Delta + 1)$ -colorable, a contradiction.

 $(ii) T \cap \{4, 5, \ldots, \Delta - 1\} = \emptyset.$ 

That is,  $T \subseteq \{1, 2, 3\}$ . Note that  $c(v_{\Delta}x_{\Delta}) \in T \subseteq \{1, 2, 3\}$ . When  $c(v_{\Delta}x_{\Delta}) = 1$ , if there exists a color  $\gamma \in \{\Delta, \Delta + 1\}$ , such that G contains no  $(1, \gamma)_{(v,v_{\Delta})}$ -bichromatic path via  $x_{\Delta}$  and  $v_1$ , then let  $c(vv_{\Delta}) = \gamma$ , G is acyclically edge  $(\Delta + 1)$ -colorable, a contradiction. Hence, G contains  $(1, i)_{(v,v_{\Delta})}$ -bichromatic paths

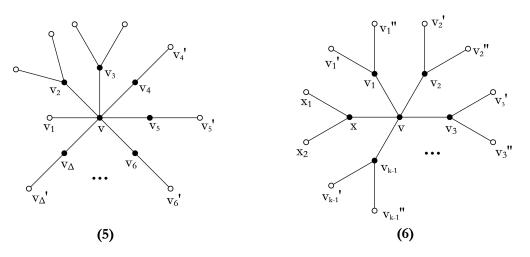


Figure 3. The configurations of Lemmas 3.7 and 3.8.

via  $x_{\Delta}$  and  $v_1$  for each  $i \in \{\Delta, \Delta + 1\}$ . This implies that  $\{\Delta, \Delta + 1\} \subseteq C(v_1) \cap C(x_{\Delta})$ . If  $2 \in T$ , then we can recolor  $v_{\Delta}x_{\Delta}$  with 2, c is still an acyclic  $(\Delta + 1)$ -edge coloring of  $G - vv_{\Delta}$ , the same argument shows that  $C(v_2) = \{2, \Delta, \Delta + 1\}$ . If  $3 \in T$ , then we can recolor  $v_{\Delta}x_{\Delta}$  with 3, c is still an acyclic  $(\Delta + 1)$ -edge coloring of  $G - vv_{\Delta}$ , the same argument shows that  $C(v_3) = \{3, \Delta, \Delta + 1\}$ . (a)  $1 \notin T$ . Namely,  $T = \{2, 3\}$ .

It follows from the above argument that  $F_{v_2}^c(vv_2) = F_{v_3}^c(vv_3) = \{\Delta, \Delta + 1\}$ . Now exchange the colors between  $vv_2$  and  $vv_3$ , we can color  $v_{\Delta}x_{\Delta}$  with  $\alpha$  for  $\alpha \in T$ , color  $vv_{\Delta}$  with  $\Delta$ , G is acyclically edge  $(\Delta + 1)$ -colorable, a contradiction.

(*b*)  $1 \in T$ . Suppose that  $T = \{1, 2\}$ .

It follows from the above argument that  $\{\Delta, \Delta + 1\} \subseteq C(v_1)$  and  $F_{v_2}^c(vv_2) = \{\Delta, \Delta + 1\}$ . Now let  $c(v_{\Delta}x_{\Delta}) = 2, c(vv_{\Delta}) = 7$ , remove the color of  $vv_7$ , c is still an acyclic  $(\Delta + 1)$ -edge coloring of  $G - vv_7$ . Let  $T' = C \setminus F_{x_7}^c(v_7x_7)$ , it is clear that  $|T'| = \Delta + 1 - (\Delta - 1) = 2$ . It follows from the above argument that  $T' \subseteq \{1, 2, 3\}$ , and  $1 \in T'$ . Assume that  $c(v_7x_7) = 1$ . Since there is a  $(1, \Delta)_{(v,v_{\Delta})}$ -bichromatic path through  $v_1$ ,  $G - vv_7$  contains no  $(1, \Delta)_{(v,v_7)}$ -bichromatic path via  $v_1$  and  $x_7$ , we can color  $vv_7$  with  $\Delta$ , G is acyclically edge  $(\Delta + 1)$ -colorable, a contradiction.

The following Lemma shows if a *k*-vertex( $k \in \{4, 5, 6\}$ ) has no 2-neighbor, then  $n_3(v) < k$ .

**Lemma 3.8.** Let v be a k-vertex, with  $k \in \{4, 5, 6\}$ . If  $n_2(v) = 0$ , then  $n_3(v) < k$ .

*Proof.* By contradiction, suppose that  $n_3(v) = k$ . Let  $N(v) = \{x, v_1, v_2, v_3, v_4, v_{k-1}\}$ ,  $N(x) = \{v, x_1, x_2\}$  and  $N(v_i) = \{v, v'_i, v''_i\}$   $(1 \le i \le k - 1)$  (See Figure 3(8)). Let G' = G - xv, by the minimality of G, G' admits an acyclic  $(\Delta + 1)$ -edge coloring c. Let  $c(vv_i) = i$  for  $1 \le i \le k - 1$ . We consider the following three cases.

**Case 3.12.**  $|C(x) \cap C(v)| = 0$ .

Note that  $|C \setminus (C(x) \cup C(v))| = \Delta + 1 - 2 - (k - 1) = \Delta - k > 0$ , we can color xv with  $\alpha$  for  $\alpha \in C \setminus (C(x) \cup C(v))$ , c is an acyclic  $(\Delta + 1)$ -edge coloring of G, a contradiction.

**Case 3.13.**  $|C(x) \cap C(v)| = 1$ .

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W.l.o.g., assume that  $c(xx_1) = c(vv_1) = 1$ ,  $c(xx_2) = k$ . If there exists a color  $\gamma \in \{k + 1, ..., \Delta + 1\}$ such that G contains no  $(1, \gamma)_{(x,v)}$ -bichromatic path, then we can color xv with  $\gamma$ . In this way G is acyclically edge  $(\Delta + 1)$ -colorable, a contradiction. So there must exist  $(1, \alpha)_{(x,v)}$ -bichromatic paths for each  $\alpha \in \{k + 1, ..., \Delta + 1\}$ , which implies that  $\{k + 1, ..., \Delta + 1\} \subseteq C(x_1) \cap C(v_1)$ . Thus,  $d(v_1) \ge$  $\Delta + 1 - k + 1 \ge \Delta - 4 \ge 4$ , a contradiction.

#### **Case 3.14.** $|C(x) \cap C(v)| = 2$ .

*W.l.o.g., assume that*  $c(xx_1) = c(vv_1) = 1$ ,  $c(xx_2) = c(vv_2) = 2$ .

#### (*i*) $\Delta \ge 9$ .

Since  $d(v_1) = d(v_2) = 3$  and  $\Delta \ge 9$ , we have that  $\{k, \ldots, \Delta + 1\} \setminus (C(v_1) \cup C(v_2)) \ne \emptyset$ . Let  $\beta \in \{k, \ldots, \Delta + 1\} \setminus (C(v_1) \cup C(v_2))$ . Note that G contains no  $(i, \beta)_{(x,v)}$ -bichromatic path for i = 1, 2, we can color xv with  $\beta$ , c is an acyclic  $(\Delta + 1)$ -edge coloring of G, a contradiction.

 $(ii) \Delta = 8.$ 

(*a*)  $k \in \{4, 5\}.$ 

Since  $d(v_1) = d(v_2) = 3$  and  $\Delta \ge 8$ , we have that  $\{k, \ldots, \Delta + 1\} \setminus (C(v_1) \cup C(v_2)) \ne \emptyset$ . Let  $\beta \in \{k, \ldots, \Delta + 1\} \setminus (C(v_1) \cup C(v_2))$ . Note that G contains no  $(i,\beta)_{(x,v)}$ -bichromatic path for i = 1, 2, we can color xv with  $\beta$ . In this way c is an acyclic  $(\Delta + 1)$ -edge coloring of G, a contradiction. (b) k = 6.

If there exists a color  $\gamma \in \{6, 7, 8, 9\}$  such that G contains no  $(i, \gamma)_{(x,v)}$ -bichromatic path for i = 1, 2, then we can color xv with  $\gamma$ . In this way G is acyclically edge  $(\Delta + 1)$ -colorable, a contradiction. So  $\{6, 7, 8, 9\} \subseteq (C(v_1) \cup C(v_2))$ . Since  $d(v_1) = d(v_2) = 3$ , we have that  $C(v_1) \cup C(v_2) = \{1, 2, 6, 7, 8, 9\}$  and  $c(v_1v'_1) \notin C(v_2), c(v_1v''_1) \notin C(v_2)$ . We can recolor  $vv_2$  with  $\alpha$  for  $\alpha \in \{c(v_1v'_1), c(v_1v''_1)\}$ , don't change the colors of the other edges, G' has a new acyclic  $(\Delta + 1)$ -edge coloring c', and  $|C'(x) \cap C'(v)| = 1$ . By the similar argument in case 2, we can get an acyclic  $(\Delta + 1)$ -edge coloring of G, a contradiction.

#### 3.2. Discharging

Note that *G* is a minimal counterexample to Theorem 1.1, and *G* is a connected planar graph. By Euler's formula |V| + |F| - |E| = 2 and the relation  $\sum_{v \in V(G)} d(v) = \sum_{f \in F(G)} d(f) = 2|E(G)|$ , we can derive the identity

$$\sum_{v \in V(G)} (d(v) - 4) + \sum_{f \in F(G)} (d(f) - 4) = -8.$$

We define the initial charge function by  $\omega(v) = d(v) - 4$  for  $v \in V(G)$  and  $\omega(f) = d(f) - 4$  for  $f \in F(G)$ . It follows from the identity that  $\sum_{x \in V(G) \cup F(G)} \omega(x) = -8$ . According to the structures of *G*, we design some discharging rules and redistribute charge such that the total amount of charge has not changed. Once the discharging is finished, a new charge function  $\omega'(x)$  is produced. Next, we prove  $\omega'(x) \ge 0$  for all  $x \in V(G) \cup F(G)$ . Therefore, we can get the following contradiction

$$0 \leq \sum_{x \in V(G) \cup F(G)} \omega'(x) = \sum_{x \in V(G) \cup F(G)} \omega(x) = -8.$$

Hence, we demonstrate that the counterexample can not exist and Theorem 1.1 is proved. Discharging rules:

(R1) Every 5<sup>+</sup>-face f sends  $\frac{d(f)-4}{d(f)}$  to each incident vertex.

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(R2) Every 4-vertex v sends  $\frac{1}{5}$  to each adjacent 3-vertex, and then distributes the remaining extra charge evenly among all adjacent 2-vertices.

(R3) Every 5-vertex v sends  $\frac{2}{5}$  to each adjacent 3-vertex, and then distributes the remaining extra charge evenly among all adjacent 2-vertices.

(R4) Every 6<sup>+</sup>-vertex v sends  $\frac{3}{5}$  to each adjacent 3-vertex, and then distributes the remaining extra charge evenly among all adjacent 2-vertices.

In the following, we will prove that  $\omega'(v) \ge 0$  for each  $v \in V(G)$ . Observe that  $\delta(G) \ge 2$  by Lemma 3.1.

(1) d(v) = 3,  $\omega(v) = -1$ .

Let  $N(v) = \{v_1, v_2, v_3\}$ . We have that  $d(v_1) + d(v_2) + d(v_3) \ge \Delta + 4 \ge 12$  by Lemma 3.3. Since the neighbors of 2-vertex are both 4<sup>+</sup>-vertices, then  $n_2(v) = 0$ , that is  $d(v_i) \ge 3$  for i = 1, 2, 3, and  $n_3(v) \le 2$ .

If  $n_3(v) = 2$ , suppose that  $d(v_1) = d(v_2) = 3$ , then  $d(v_3) \ge 6$ . This implies that  $\omega'(v) \ge -1 + 2 \times \frac{1}{5} + \frac{3}{5} = 0$ by R1, R4. If  $n_3(v) = 1$ , then either  $n_4(v) = 1$ ,  $n_{5^+}(v) = 1$  or  $n_4(v) = 0$ ,  $n_{5^+}(v) = 2$ . By R1, R2, R3, R4, we have that  $\omega'(v) \ge -1 + 2 \times \frac{1}{5} + \min\{\frac{1}{5} + \frac{2}{5}, 2 \times \frac{2}{5}, \frac{2}{5} + \frac{3}{5}, 2 \times \frac{3}{5}\} = 0$ . If  $n_3(v) = 0$ , then  $n_{4^+}(v) = 3$ . By *R*1, *R*4,  $\omega'(v) \ge -1 + 2 \times \frac{1}{5} + 3 \times \frac{1}{5} = 0$ .

(2) d(v) = 4,  $\omega(v) = 0$ .

If  $n_2(v) \neq 0$ , then  $n_2(v) + n_3(v) \le 2$  by Lemma 3.4.

This means that  $\omega'(v) \ge 0 + 3 \times \frac{1}{5} - \frac{1}{5}n_3(v) - \frac{0 + 3 \times \frac{1}{5} - \frac{1}{5}n_3(v)}{n_2(v)} \times n_2(v) = 0$  by R1, R2.

If  $n_2(v) = 0$ , then  $n_3(v) \le 3$  by Lemma 3.8, which implies that  $\omega'(v) \ge 0 + 3 \times \frac{1}{5} - 3 \times \frac{1}{5} = 0$  by *R*1, *R*4.

(3) d(v) = 5,  $\omega(v) = 1$ .

If  $n_2(v) \neq 0$ , then  $\omega'(v) \ge 1 + 4 \times \frac{1}{5} - \frac{2}{5}n_3(v) - \frac{1+4\times\frac{1}{5}-\frac{2}{5}n_3(v)}{n_2(v)} \times n_2(v) = 0$  by R1, R3. If  $n_2(v) = 0$ , then  $n_3(v) \le 4$  by Lemma 3.8. This implies that  $\omega'(v) \ge 1 + 4 \times \frac{1}{5} - 4 \times \frac{2}{5} = \frac{1}{5} > 0$  by *R*1, *R*3.

(4) d(v) = 6,  $\omega(v) = 2$ .

If  $n_2(v) \neq 0$ , then  $\omega'(v) \ge 2 + 5 \times \frac{1}{5} - \frac{3}{5}n_3(v) - \frac{2+5\times\frac{1}{5} - \frac{3}{5}n_3(v)}{n_2(v)} \times n_2(v) = 0$  by R1, R4. If  $n_2(v) = 0$ , then  $n_3(v) \le 5$  by Lemma 3.8. This implies that  $\omega'(v) \ge 2 + 5 \times \frac{1}{5} - 5 \times \frac{3}{5} = 0$  by R1, R4. (5)  $d(v) \ge 7$ ,  $\omega(v) = d(v) - 4$ .

If  $n_2(v) \neq 0$ , then  $\omega'(v) \ge d(v) - 4 + \frac{1}{5} \times (d(v) - 1) - \frac{3}{5}n_3(v) - \frac{d(v) - 4 + \frac{1}{5} \times (d(v) - 1) - \frac{3}{5}n_3(v)}{n_2(v)} \times n_2(v) = 0$  by *R*1, *R*4.

If  $n_2(v) = 0$ , then  $\omega'(v) \ge d(v) - 4 + \frac{1}{5} \times (d(v) - 1) - \frac{3}{5}n_3(v) \ge \frac{6}{5}d(v) - \frac{21}{5} - \frac{3}{5}d(v) = \frac{3}{5}d(v) - \frac{21}{5} \ge 0$ by *R*1, *R*4.

(6)  $d(v) = 2, \omega(v) = -2.$ 

For convenience, let  $\tau(u \rightarrow v)$  denote the charge transferred out of u into v according to the above rules,  $u, v \in V(G)$ . Let  $N(v) = \{x, y\}$ , then  $n_2(x) \le d(x) - 2$ ,  $n_2(y) \le d(y) - 2$  by Lemma 3.4.

(6.1) If one of vertices in  $\{x, y\}$ , such as x, has  $n_2(x)=d(x)-2$ , then  $n_{\Delta}(x)=2$ ,  $n_2(y)=1$ ,  $n_3(y) \leq 1$ and  $d(y)=\Delta$  by Lemma 3.5. By R1 and R4, we have that  $\tau(y \rightarrow v) \geq \frac{\omega(y)+\frac{1}{5}\times(d(y)-1)-\frac{3}{5}n_3(y)}{n_3(y)} \geq \frac{\omega(y)+\frac{1}{5}\times(d(y)-1)-\frac{3}{5}n_3(y)}{n_3(y)}$  $\frac{d(y)-4+\frac{1}{5}d(y)-\frac{1}{5}-1\times\frac{3}{5}}{1} = \frac{6}{5}d(y) - \frac{24}{5} = \frac{6}{5}\times(\Delta-4) \ge \frac{24}{5}.$  This implies that  $\omega'(v) \ge -2 + \frac{1}{5} + \frac{24}{5} = 3 > 0$  by R1. (6.2)  $n_2(x) \le d(x) - 3$ ,  $n_2(y) \le d(y) - 3$ . Suppose that  $d(x) \le d(y)$ .  $(6.2.1) d(x) \ge 9.$ 

Observe that  $n_2(x) + n_3(x) \le d(x) + d(y) - \Delta - 1$  by Lemma 3.4. Note that  $\tau(x \to y) \ge d(x) + d(y) + d(y) + \Delta - 1$ 

 $\frac{\omega(x) + \frac{1}{5} \times (d(x) - 1) - \frac{3}{5}n_3(x)}{n_2(x)} \ge \frac{d(x) - 4 + \frac{1}{5}d(x) - \frac{1}{5} - \frac{3}{5} \times (d(x) - 1 - n_2(x))}{n_2(x)} = \frac{\frac{3}{5}d(x) - \frac{18}{5} + \frac{3}{5}n_2(x)}{n_2(x)} = \frac{3}{5} \times (1 + \frac{d(x) - 6}{n_2(x)}) \ge \frac{3}{5} \times (1 + \frac{d(x) - 6}{d(x) - 3}) = \frac{3}{5} \times (1 + \frac{d(x) - 6}{n_2(x)}) \ge \frac{3}{5} \times (1 + \frac{d(x) - 6}{d(x) - 3}) = \frac{3}{5} \times (1 + \frac{d(x) - 6}{n_2(x)}) \ge \frac{3}{5} \times (1 + \frac{d(x) - 6}{d(x) - 3}) = \frac{3}{5} \times (1 + \frac{d(x) - 6}{n_2(x)}) \ge \frac{3}{5} \times (1 + \frac{d(x) - 6}{d(x) - 3}) = \frac{3}{5} \times (1 + \frac{d(x) - 6}{n_2(x)}) \ge \frac{3}{5} \times (1 + \frac{d(x) - 6}{d(x) - 3}) = \frac{3}{5} \times (1 + \frac{d(x) - 6}{n_2(x)}) \ge \frac{3}{5} \times (1 + \frac{d(x) - 6}{d(x) - 3}) = \frac{3}{5} \times (1 + \frac{d(x) - 6}{n_2(x)}) \ge \frac{3}{5} \times (1 + \frac{d(x) - 6}{d(x) - 3}) = \frac{3}{5} \times (1 + \frac{d(x) - 6}{n_2(x)}) \ge \frac{3}{5} \times (1 + \frac{d(x) - 6}{d(x) - 3}) = \frac{3}{5} \times (1 + \frac{d(x) - 6}{n_2(x)}) \ge \frac{3}{5} \times (1 + \frac{d(x) - 6}{d(x) - 3}) = \frac{3}{5} \times (1 + \frac{d(x) - 6}{n_2(x)}) \ge \frac{3}{5} \times (1 + \frac{d(x) - 6}{d(x) - 3}) = \frac{3}{5} \times (1 + \frac{d(x) - 6}{n_2(x)}) \ge \frac{3}{5} \times (1 + \frac{d(x) - 6}{n_2(x)}) = \frac{3}{5} \times (1$ 

Similarly,  $\tau(y \to v) \ge \frac{3}{5} \times (2 - \frac{3}{d(x)-3})$ . Since  $d(x) \ge 9$ ,  $d(x) \le d(y)$ , we have  $\tau(x \to v) \ge \frac{9}{10}$ ,  $\tau(y \to v) \ge \frac{9}{10}$ . This implies that  $\omega'(v) \ge -2 + \frac{1}{5} + 2 \times \frac{9}{10} = 0$  by *R*1. (6.2.2) d(x) = 8, then  $n_2(x) \le d(x) - 3 = 5$ .

(a) 
$$\Delta \ge 9$$
.

Observe that  $n_2(x) + n_3(x) \le d(x) + d(y) - \Delta - 2 \le d(x) - 2$  by Lemma 3.4. Note that  $\tau(x \to v) \ge \frac{\omega(x) + \frac{1}{5} \times (d(x) - 1) - \frac{3}{5}n_3(x)}{n_2(x)} \ge \frac{d(x) - 4 + \frac{1}{5} \times (d(x) - 1) - \frac{3}{5} \times (d(x) - 2 - n_2(x))}{n_2(x)} = \frac{3}{5} \times (1 + \frac{d(x) - 5}{n_2(x)}) \ge \frac{3}{5} \times (1 + \frac{d(x) - 5}{d(x) - 3}) = \frac{3}{5} \times (2 - \frac{2}{d(x) - 3}) = \frac{24}{25}$ by *R*1, *R*4.

If  $d(y) = d(x) < \Delta$ , then we have  $\tau(y \to v) \ge \frac{3}{5} \times (1 + \frac{3}{n_2(y)}) \ge \frac{3}{5} \times (1 + \frac{3}{5}) = \frac{24}{25}$  by the similar argument above. This implies that  $\omega'(v) \ge -2 + \frac{1}{5} + 2 \times \frac{24}{25} = \frac{3}{25} > 0$  by *R*4.

If  $d(y) \ge 9$ , then we have  $n_2(y) + n_3(y) \le d(x) + d(y) - \Delta - 1 \le d(y) - 2$ ,  $n_2(y) \le d(y) - 3$  by Lemma 3.4. This means that  $\tau(y \to v) \ge \frac{3}{5} \times (1 + \frac{d(y) - 5}{n_2(y)}) \ge \frac{3}{5} \times (2 - \frac{2}{d(y) - 3})$  by R1 and R4.  $\tau(y \to v) \ge 1$ since  $d(y) \ge 9$ . So  $\omega'(v) \ge -2 + \frac{1}{5} + \frac{24}{25} + 1 = \frac{2}{25} > 0$  by R4. (b)  $\Delta = 8$ .

Now we have  $d(x) = d(y) = \Delta = 8$ . Observe that  $n_2(x) + n_3(x) \le d(x) + d(y) - \Delta - 1 \le d(x) - 1 = 7$ by Lemma 3.4. If  $n_2(x) \le 4$ , then  $\tau(x \to v) \ge \frac{3}{5} \times (1 + \frac{2d(x) - 14}{n_2(x)}) \ge \frac{3}{5} \times (1 + \frac{2}{4}) = \frac{9}{10}$  by R1, R4. Similarly, if  $n_2(y) \le 4$ , then  $\tau(y \to v) \ge \frac{9}{10}$ . If  $n_2(x) \ge 5$ , then we have  $n_2(x) = 5$  due to  $n_2(x) \le d(x) - 3 = 5$ . Observe that  $n_2(x) + n_3(x) \le \Delta - 2 = 6$  by Lemma 3.7. This implies that  $\tau(x \to v) \ge \frac{3}{5} \times (1 + \frac{2d(x) - 13}{n_2(x)}) = \frac{3}{5} \times (1 + \frac{3}{5}) = \frac{24}{25}$  by R1, R4. Similarly, if  $n_2(y) \le 5$ , then  $\tau(y \to v) \ge \frac{24}{25}$ . Hence,  $\omega'(v) \ge -2 + \frac{1}{5} + \min\{2 \times \frac{9}{10}, 2 \times \frac{24}{25}, \frac{9}{10} + \frac{24}{25}\} = 0$  by R1. (6.2.3) d(x) = 7, then  $n_2(x) \le d(x) - 3 = 4$ .

Observe that  $n_2(x) + n_3(x) \le d(x) + d(y) - \Delta - 2 \le 5$  by Lemma 3.4. Note that  $\tau(x \to v) \ge \frac{3}{5} \times (1 + \frac{2d(x) - 12}{n_2(x)}) \ge \frac{9}{10}$  by R1, R4.

If d(y) = 7, then we have that  $n_2(y) + n_3(y) \le d(x) + d(y) - \Delta - 2 = 12 - \Delta \le 4$ ,  $n_2(y) \le d(y) - 3 = 4$  by Lemma 3.4. Note that  $\tau(y \to v) \ge \frac{\omega(y) + \frac{1}{5} \times (d(y) - 1) - \frac{3}{5}n_3(y)}{n_2(y)} \ge \frac{d(y) - 4 + \frac{1}{5} \times (d(y) - 1) - \frac{3}{5} \times (4 - n_2(y))}{n_2(y)} = \frac{3}{5} \times (1 + \frac{2d(y) - 11}{n_2(y)}) \ge \frac{3}{5} \times (1 + \frac{3}{4}) = \frac{21}{20}$  by R1, R4. We have that  $\omega'(v) \ge -2 + \frac{1}{5} + \frac{9}{10} + \frac{21}{20} = \frac{3}{20} > 0$  by R1.

If  $d(y) \ge 8$ , then we have that  $n_2(y) + n_3(y) \le d(x) + d(y) - \Delta - 1 \le d(y) - 2$ ,  $n_2(y) \le d(y) - 3$  by Lemma 3.4.

Note that  $\tau(y \to v) \ge \frac{\omega(y) + \frac{1}{5} \times (d(y) - 1) - \frac{3}{5}n_3(y)}{n_2(y)} \ge \frac{d(y) - 4 + \frac{1}{5} \times (d(y) - 1) - \frac{3}{5} \times (d(y) - 2 - n_2(y))}{n_2(y)} \ge \frac{3}{5} \times (1 + \frac{d(y) - 5}{n_2(y)}) \ge \frac{3}{5} \times (2 - \frac{2}{d(y) - 3})$  by R1, R4. Since  $d(y) \ge 8$ ,  $\tau(y \to v) \ge \frac{24}{25}$ , we have that  $\omega'(v) \ge -2 + \frac{1}{5} + \frac{9}{10} + \frac{24}{25} = \frac{3}{50} > 0$  by R1.

(6.2.4) d(x) = 6, then  $n_2(x) \le d(x) - 3 = 3$ .

By Lemma 3.4, we have that  $n_2(x) + n_3(x) \le d(x) + d(y) - \Delta - 2 \le 4$ . Note that  $\tau(x \to v) \ge \frac{3}{5} \times (1 + \frac{2d(x) - 11}{n_2(x)}) \ge \frac{4}{5}$  by *R*1, *R*4.

If d(y) = 6, then we have that  $n_2(y) + n_3(y) \le d(x) + d(y) - \Delta - 2 = 10 - \Delta \le 2$  by Lemma 3.4. So  $n_2(y) \le 2$ . Note that  $\tau(y \to v) \ge \frac{\omega(y) + \frac{1}{5} \times (d(y) - 1) - \frac{3}{5}n_3(y)}{n_2(y)} \ge \frac{d(y) - 4 + \frac{1}{5} \times (d(y) - 1) - \frac{3}{5} \times (2 - n_2(y))}{n_2(y)} = \frac{3}{5} \times (1 + \frac{2d(y) - 9}{n_2(y)}) \ge \frac{3}{5} \times (1 + \frac{3}{2}) = \frac{3}{2}$  by R1, R4. This means that  $\omega'(v) \ge -2 + \frac{1}{5} + \frac{4}{5} + \frac{3}{2} = \frac{1}{2} > 0$  by R1.

If d(y) = 7, then we have that  $n_2(y) + n_3(y) \le d(x) + d(y) - \Delta - 2 = 11 - \Delta \le 3$  by Lemma 3.4. So  $n_2(y) \le 3$ . Note that  $\tau(y \to v) \ge \frac{\omega(y) + \frac{1}{5} \times (d(y) - 1) - \frac{3}{5}n_3(y)}{n_2(y)} \ge \frac{d(y) - 4 + \frac{1}{5} \times (d(y) - 1) - \frac{3}{5} \times (3 - n_2(y))}{n_2(y)} = \frac{3}{5} \times (1 + \frac{2d(y) - 10}{n_2(y)}) \ge \frac{3}{5} \times (1 + \frac{4}{3}) = \frac{7}{5}$  by R1, R4. This means that  $\omega'(v) \ge -2 + \frac{1}{5} + \frac{4}{5} + \frac{7}{5} = \frac{2}{5} > 0$  by R1.

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If  $d(y) \ge 8$ , then we have that  $n_2(y) + n_3(y) \le d(x) + d(y) - \Delta - 1 \le d(y) - 3$ ,  $n_2(y) \le d(y) - 3$  by Lemma 3.4.

Note that  $\tau(y \to v) \ge \frac{\omega(y) + \frac{1}{5} \times (d(y) - 1) - \frac{3}{5}n_3(y)}{n_2(y)} \ge \frac{d(y) - 4 + \frac{1}{5} \times (d(y) - 1) - \frac{3}{5} \times (d(y) - 3 - n_2(y))}{n_2(y)} = \frac{3}{5} \times (1 + \frac{d(y) - 4}{n_2(y)}) \ge \frac{3}{5} \times (2 - \frac{1}{d(y) - 3})$  by R1, R4. Since  $d(y) \ge 8$ ,  $\tau(y \to v) \ge \frac{27}{25}$ , we have that  $\omega'(v) \ge -2 + \frac{1}{5} + \frac{4}{5} + \frac{27}{25} = \frac{2}{25} > 0$  by R1. (6.2.5) d(x) = 5, then  $n_2(x) \le d(x) - 3 = 2$ .

By Lemma 3.4, we have that  $n_2(x) + n_3(x) \le d(x) + d(y) - \Delta - 2 \le 3$ . Note that  $\tau(x \to y) \ge d(x) + d(y) + d(y) + d(y) \le d(x) + d(y) \le d(y)$  $\frac{\omega(x) + \frac{1}{5} \times (d(x) - 1) - \frac{2}{5}n_3(x)}{n_2(x)} \ge \frac{1 + 4 \times \frac{1}{5} - \frac{2}{5} \times (3 - n_2(x))}{n_2(x)} = \frac{2}{5} + \frac{3}{5} \times \frac{1}{n_2(x)} \ge \frac{2}{5} + \frac{3}{5} \times \frac{1}{2} = \frac{7}{10} \text{ by } R1, R3. \text{ Observe that } d(x) + d(y) \ge \Delta + 3 \text{ by Lemma 3.3, so } d(y) \ge \Delta + 3 - d(x) \ge 6.$ 

If d(y) = 6, then we have that  $n_2(y) + n_3(y) \le d(x) + d(y) - \Delta - 2 = 9 - \Delta \le 1$  by Lemma 3.4, which means that  $n_2(y) = 1$ ,  $n_3(y) = 0$ . Note that  $\tau(y \to v) \ge \frac{\omega(y) + \frac{1}{5} \times (d(y) - 1) - \frac{3}{5}n_3(y)}{n_2(y)} = \frac{2 + 5 \times \frac{1}{5} - 0 \times \frac{3}{5}}{1} = 3$  by R1, R4. So  $\omega'(v) \ge -2 + \frac{1}{5} + \frac{7}{10} + 3 = \frac{19}{10} > 0$  by *R*1.

If d(y) = 7, then we have that  $n_2(y) + n_3(y) \le d(x) + d(y) - \Delta - 2 = 10 - \Delta \le 2$  by Lemma 3.4. So  $n_2(y) \le 2$ . Note that  $\tau(y \to v) \ge \frac{\omega(y) + \frac{1}{5} \times (d(y) - 1) - \frac{3}{5}n_3(y)}{n_2(y)} \ge \frac{d(y) - 4 + \frac{1}{5} \times (d(y) - 1) - \frac{3}{5} \times (2 - n_2(y))}{n_2(y)} = \frac{3}{5} \times (1 + \frac{5}{n_2(y)}) \ge \frac{3}{5} \times (1 + \frac{5}{2}) = \frac{21}{10}$  by R1, R4. Hence,  $\omega'(v) \ge -2 + \frac{1}{5} + \frac{7}{10} + \frac{21}{10} = 1 > 0$  by R1.

If  $d(y) \ge 8$ , then we have that  $n_2(y) + n_3(y) \le d(x) + d(y) - \Delta - 1 \le d(y) - 4$  by Lemma 3.4. So  $n_2(y) \le d(y) - 4$ . Note that  $\tau(y \to v) \ge \frac{\omega(y) + \frac{1}{5} \times (d(y) - 1) - \frac{3}{5} n_3(y)}{n_2(y)} \ge \frac{d(y) - 4 + \frac{1}{5} \times (d(y) - 4 - n_2(y))}{n_2(y)} = \frac{3}{5} \times (1 + \frac{d(y) - 3}{n_2(y)}) \ge \frac{3}{5} \times (2 + \frac{1}{d(y) - 4})$  by R1, R4. Observe that  $\tau(y \to v) > \frac{6}{5}$  since  $d(y) \ge 8$ . Then  $\omega'(v) > -2 + \frac{1}{5} + \frac{7}{10} + \frac{6}{5} = \frac{1}{10} > 0$ by *R*1.

(6.2.6) d(x) = 4

By Lemma 3.4, we have that  $n_2(x) + n_3(x) \le d(x) + d(y) - \Delta - 2 \le 2$ . (a)  $n_2(x) + n_3(x) = 2$ .

By Lemma 3.6, we have that  $n_{\Delta}(x) = 2$ ,  $n_2(y) = 1$ ,  $n_3(y) \le 1$ , and  $d(y) = \Delta$ . Note that  $\tau(y \rightarrow z) = 0$ .  $v) \geq \frac{\omega(y) + \frac{1}{5} \times (d(y) - 1) - \frac{3}{5}n_3(y)}{1} \geq \frac{d(y) - 4 + \frac{1}{5}d(y) - \frac{1}{5} - 1 \times \frac{3}{5}}{1} = \frac{6}{5}d(y) - \frac{24}{5} = \frac{6}{5}(\Delta - 4) \geq \frac{24}{5}$  by R1, R4. So  $\omega'(v) \geq \frac{1}{5}d(y) = \frac{1}{5}d(y) + \frac{1}{5}d($  $-2 + \frac{1}{5} + \frac{24}{5} = 3 > 0$  by *R*1.

(b)  $n_2(x) + n_3(x) \le 1$ . That is  $n_2(x) = 1$ ,  $n_3(x) = 0$ . Note that  $\tau(x \to v) \ge \frac{\omega(x) + \frac{1}{5} \times (d(x) - 1) - \frac{1}{5}n_3(x)}{n_2(x)} \ge \frac{0 + 3 \times \frac{1}{5} - 0 \times \frac{1}{5}}{1} = \frac{3}{5}$  by R1, R2. Observe that  $d(x) + d(y) \ge \Delta + 3$  by Lemma 3.3, so  $d(y) \ge \Delta + 3 - d(x) \ge 7$ .

If d(y) = 7, then we have that  $n_2(y) + n_3(y) \le d(x) + d(y) - \Delta - 2 = 9 - \Delta \le 1$  by Lemma 3.4, which means that  $n_2(y) = 1$ ,  $n_3(y) = 0$ . Note that  $\tau(y \to v) \ge \frac{\omega(y) + \frac{1}{5} \times (d(y) - 1) - \frac{3}{5}n_3(y)}{n_2(y)} = \frac{3 + 6 \times \frac{1}{5} - 0 \times \frac{3}{5}}{1} = \frac{21}{5}$  by R1, R4. So  $\omega'(v) \ge -2 + \frac{1}{5} + \frac{3}{5} + \frac{21}{5} = 3 > 0$  by *R*1.

If  $d(y) \ge 8$ , then we have that  $n_2(y) + n_3(y) \le d(x) + d(y) - \Delta - 1 \le d(y) - 5$  by Lemma 3.4. Hence  $n_2(\mathbf{y}) \le d(\mathbf{y}) - 5.$ 

Note that  $\tau(y \to v) \ge \frac{\omega(y) + \frac{1}{5} \times (d(y) - 1) - \frac{3}{5}n_3(y)}{n_2(y)} \ge \frac{d(y) - 4 + \frac{1}{5} \times (d(y) - 1) - \frac{3}{5} \times (d(y) - 5 - n_2(y))}{n_2(y)} = \frac{3}{5} \times (1 + \frac{d(y) - 2}{n_2(y)}) \ge \frac{3}{5} \times (2 + \frac{3}{d(y) - 5})$  by *R*1, *R*4. We have that  $\tau(y \to v) > \frac{6}{5}$  since  $d(y) \ge 8$ . Hence,  $\omega'(v) > -2 + \frac{1}{5} + \frac{3}{5} + \frac{6}{5} = 0$  by *R*1. After R1 - R4, we get  $\omega'(v) \ge 0$ , for all  $v \in V(G)$ . For all  $f \in F(G)$ , if d(f) = 4, then  $\omega'(f) = \omega(f) = d(f) - 4 = 0$ . If  $d(f) \ge 5$ , then we have  $\omega'(f) = d(f) - 4 - \frac{d(f) - 4}{d(f)} \times d(f) = 0$  by R4.

In summary, we get the following contradictory formula:

$$-8 = \sum_{x \in V(G) \cup F(G)} \omega(x) = \sum_{x \in V(G) \cup F(G)} \omega'(x) \ge 0.$$

The above contradiction indicates that G does not exist, so Theorem 1.1 is true.

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## 4. Conclusions

In this paper, we consider the acyclic chromatic index of planar graphs without 3-cycles and intersecting 4-cycles and proved that such graphs have  $\chi'_{a}(G) \leq \Delta + 1$  if  $\Delta(G) \geq 8$ . A natural problem in context of our main result is the following:

What is the optimal constant *c* such that  $\chi'_{a}(G) \leq \Delta(G) + 1$  for every planar graph *G* with  $g(G) \geq c$ ?

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# **Conflict of interest**

The authors declare no conflicts of interest.

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