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## Research article

# **Putnam-Fuglede type theorem for class** $\mathcal{A}_k$ **operators**

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**Abstract:** We will call  $U \in B(X)$  as an operator of class  $\mathcal{A}_k$  if for some integer k, the following inequality is satisfied:

$$|U^{k+1}|^{\frac{2}{k+1}} \ge |U|^2.$$

In the present article, some basic spectral properties of this class are given, also the asymmetric Putnam-Fuglede theorem and the range kernel orthogonality for class  $\mathcal{A}_k$  operators are proved.

**Keywords:** Putnam-Fuglede theorem; hyponormal operator; class  $\mathcal{A}_k$  operator **Mathematics Subject Classification:** 47B47, 47A30, 47B20

## 1. Introduction

Spectral theory has a key important role in the modern functional analysis and its applications in various fields [4, 15]. Basically, it is incorporated with specific inverse operators, their common properties and their dealings with the original operators. Such inverse operators play a major role in solving systems of linear algebraic equations, differential and Sylvester equations.

Everywhere in this paper, a complex Hilbert space of infinite dimension with the inner product  $\langle \cdot, \cdot \rangle$  will be denoted by *X* and *B*(*X*) indicates the algebra of all linear bounded operators which act on *X*. Spectrum, approximate spectrum, residual spectrum, and point spectrum of an operator *U* will be denoted by  $\sigma(U)$ ,  $\sigma_a(U)$ ,  $\sigma_r(U)$ , and  $\sigma_p(U)$ , respectively. The kernel of an operator *U* will be denoted by ker(*U*) and the range by *ran*(*U*).

For each operator  $U \in B(X)$ , we set, as usual  $|U| = (U^*U)^{1/2}$ , and review the following standard (familiar) definitions:

U is normal if  $U^*U = UU^*$ , and

U is hyponormal if  $|U^*|^2 \le |U|^2$ ,

(i.e. equivalently, if  $||U^*x|| \le ||Ux||$  for every  $x \in X$ ).

An operator  $U \in B(X)$  is said to be of class  $\mathcal{A}$  if and only if  $|U^2| \ge |U|^2$ .

The class of hyponormal operator has been studied by many authors. In recent years this class has been generalized, in some sense, to the larger sets of so called class p-hyponormal, log -hyponormal [21], w-hyponormal [2] and class  $\mathcal{A}$  operators [19].

**Definition 1.** An operator  $U \in B(X)$  is said to be class  $\mathcal{A}_k$  operator if

$$|U^{k+1}|^{\frac{2}{k+1}} \ge |U|^2,$$

holds for some integer k.

The class  $\mathcal{A}$  coincides with class  $\mathcal{A}_k$  when k = 1.

**Example 2.** If  $U \in B(X)$  is a bilateral shift operator with weights  $\{\alpha_n\}, \alpha_n \neq 0$ , then U is class  $\mathcal{A}_k$  if and only if

$$\alpha_{n+1}|\cdots|\alpha_{n+k}| \ge |\alpha_n|^k$$

Our first goal is to prove that the class  $\mathcal{A}$  shares many properties with that of hyponormal operators. The following inclusions give the relationships between these operators

 $\begin{array}{ll} \text{hyponormal} & \subset & p\text{-hyponormal} \\ & \subset & \log \text{-hyponormal} \\ & \subset & w\text{-hyponormal} \\ & \subset & \text{class } \mathcal{A} \\ & \subset & \text{class } \mathcal{A}_k. \end{array}$ 

The generalized derivation  $\delta_{U,T} : B(X) \to B(X)$  for  $U, T \in B(X)$  is defined by  $\delta_{U,T}(H) = UH - HT$  for  $H \in B(X)$ , and we note  $\delta_{U,U} = \delta_U$ . If the following inequality

$$||T - (UH - HU)|| \ge ||T||,$$

holds for all  $T \in \ker \delta_U$  and for all  $H \in B(X)$ , then we remark that the range of  $\delta_U$  is orthogonal to the kernel of  $\delta_U$ .

The familiar Putnam-Fuglede's theorem affirms that if both  $U \in B(X)$  and  $T \in B(X)$  are normal operators and UH = HT for some  $H \in B(X)$ , then  $U^*H = HT^*$  (see [17]). This theorem attracted attention of many researchers and they extended it for several nonnormal classes of operators (see [2–4, 10, 12–15, 18, 19, 21–23]).

In this artcle, our second goal is extend this theorem to class  $\mathcal{A}_k$  operators and prove the range kernel orthogonality for class  $\mathcal{A}_k$  operators.

Let  $U \in B(X)$  and let  $\{e_n\}$  be an orthonormal basis of a Hilbert space X. The Hilbert-Schmidt norm is given by

$$||U||_2 = \left(\sum_{n=1}^{\infty} ||Ue_n||^2\right)^{\frac{1}{2}}.$$

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An operator *U* is called to be a Hilbert-Schmidt operator if  $||U||_2 < \infty$  (see [8] for details).  $C_2(X)$  denotes a set of all Hilbert-Schmidt operators. For  $T, U \in B(X)$ , the operator  $\Gamma_{T,U}$  defined as  $\Gamma_{T,U}$ :  $C_2(X) \ni H \to THU \in C_2(X)$  has been studied in [6]. It is known that  $||\Gamma|| \le ||T||||U||$  and  $(\Gamma_{T,U})^*H = T^*HU^* = \Gamma_{T^*,U^*}H$ . If  $U \ge 0$  and  $T \ge 0$ , then  $\Gamma_{U,T} \ge 0$ . For more information see [6].

We organise our paper as follows: Section 2 deals with some properties for class  $\mathcal{A}_k$  operators which will be needed to prove our main results. We present our main theorems, like the asymmetric Putnam-Fuglede's theorem for some  $\mathcal{A}_k$  class operators and also some orthogonality results in section 3.

#### 2. Materials and method

Properties of class  $\mathcal{A}_k$  operators

**Theorem 3.** [11] If  $U \in B(X)$  is a p-hyponormal or a log-hyponormal operator, then U is class  $\mathcal{A}_k$  operator, for each positive integer k.

**Corollary 4.** Every hyponormal operator is a class  $\mathcal{A}_k$  operator.

**Theorem 5.** [11] If  $U \in B(X)$  is an invertible class  $\mathcal{A}$ , then U is class  $\mathcal{A}_k$  operator for every k.

A number  $\lambda \in \mathbb{C}$  is said to be in the joint spectrum of operator U if there exist a joint eigenvector v corresponding to U and  $U^*$  such that  $Uv = \lambda v$  and  $U^*v = \overline{\lambda}v$ , where  $\overline{\lambda}$  is the complex conjugate of  $\lambda$ . We will denote the joint point spectrum and the point spectrum of operator U by  $\sigma_{jp}(U)$  and  $\sigma_p(U)$ , respectively.

**Theorem 6.** Let  $U \in B(X)$  be a class  $\mathcal{A}_k$  operator. Then the following hold

(i) If  $Uv = \lambda v$ ,  $\lambda \neq 0$ , then  $U^*v = \overline{\lambda}v$ , (ii)  $\sigma_{jp}(U) - \{0\} = \sigma_p(U) - \{0\}$ , (iii) Let  $Uv = \lambda v$  and  $Uw = \mu w$  with  $\lambda \neq \mu$ . Then  $v \perp w$ .

*Proof.* (i) We have that the following

$$\begin{aligned} |\lambda|^{2} ||v||^{2} &= ||Uv||^{2} \\ &= \langle |U|^{2}v, v \rangle \\ &\leq \langle |U^{k+1}|^{\frac{2}{k+1}}v, v \rangle \\ &\leq \langle |U^{k+1}|v, v \rangle^{\frac{2}{k+1}} ||v||^{\frac{2}{k+1}} \\ &\leq |||U^{k+1}x||^{\frac{2}{k+1}} ||v||^{\frac{2}{k+1}} \\ &= \left( |\lambda|^{2(k+1)} ||v||^{2} \right)^{\frac{1}{k+1}} ||v||^{\frac{2}{k+1}} \\ &= |\lambda|^{2} ||v||^{2} \end{aligned}$$

follow from using Holder-McCarthy and Schwarz's inequalities.

Hence

$$|\lambda|^2 \langle v, v \rangle = \langle U^* U v, v \rangle = \langle |U^{k+1}|^{\frac{2}{k+1}} v, v \rangle.$$

Since  $|U^{k+1}|^{\frac{2}{k+1}}v$  and v are linearly independent [16], we get

$$|U^{k+1}|^{\frac{2}{k+1}}v = |\lambda|^2 v.$$

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Also,

$$\|(|U^{k+1}|^{\frac{2}{k+1}} - U^*U)^{\frac{1}{2}}v\|^2 = \langle (|U^{k+1}|^{\frac{2}{k+1}} - U^*U)v, v \rangle = 0.$$

Therefore

$$U^*Uv = |U^{k+1}|^{\frac{2}{k+1}}v = |\lambda|^2 v,$$

and so

 $(U - \lambda)^* v = 0.$ 

(ii) We can easily see that (ii) follows from the definition of the joint point spectrum and (i).

(iii) Let  $Uv = \lambda v$  and  $Uw = \mu w$ , then

Since  $\lambda \neq \mu$ , then  $\langle v, w \rangle = 0$ , i.e.,  $v \perp w$ .

**Definition 7.** We say that  $U \in B(\mathcal{H})$  is finite if the distance  $dist(I, ran(\delta_U)) \ge 1$  from the identity to the range of  $\delta_U$ .

**Definition 8.** If  $U \in B(\mathcal{H})$ , we denote by  $\sigma_{ar}(U)$  the reduisant approximate spectrum, the set of scalars  $\lambda$  for which there is a normalized sequence  $\{x_n\} \subset \mathcal{H}$  verifying

 $(U - \lambda)x_n \longrightarrow 0 \text{ and } (U - \lambda)^* x_n \longrightarrow 0$ 

**Proposition 9.** [1] Let  $U \in B(\mathcal{H})$ , if  $\sigma_{ra}$  is not empty, then U is finite.

#### **Proposition 10.** (Berberian Technique) [5]

Let  $\mathcal{H}$  be a complex Hilbert space, then there is a Hilbert space  $\mathcal{K} \supset \mathcal{H}$  and  $\varphi : B(\mathcal{H}) \rightarrow B(\mathcal{K})$  $(U \mapsto \tilde{U})$  satisfying:  $\varphi$  is an \*-isomorphism preserving the order such that:

 $\begin{array}{ll} (i) \ \varphi(U^*) = \varphi(U)^*, & \varphi(I) = \tilde{I}; \\ (ii) \ \varphi(\alpha U + \beta V) = \alpha \varphi(U) + \beta \varphi(V), & \varphi(UV) = \varphi(U)\varphi(V); \\ (iii) \ \|\varphi(U)\| = \|U\| \\ (iv) \ \varphi(U) \leq \varphi(V) \ if \ U \leq V, \ for \ all \ U, V \in B(\mathcal{H}), \quad \alpha, \beta \in \mathbb{C}; \\ (v) \ \sigma(U) = \sigma(\tilde{U}), \quad \sigma_a(U) = \sigma_a(\tilde{U}) = \sigma_p(\tilde{U}). \end{array}$ 

**Proposition 11.** If  $U \in B(\mathcal{H})$  is a class  $\mathcal{A}_k$ , then  $\varphi(U)$  is a class  $\mathcal{A}_k$ .

Proof. By using Berberian technique, we prove easily that

$$\begin{aligned} |\varphi(U)^{k+1}|^{\frac{2}{k+1}} &= |\varphi(U^{k+1})|^{\frac{2}{k+1}} \\ &= \varphi(|U^{k+1}|^{\frac{2}{k+1}}) \\ &\ge \varphi(|U|^2) \end{aligned}$$

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 $= |\varphi(U)|^2$ ,

this means that  $\varphi(U)$  is a class  $\mathcal{A}_k$ .

**Proposition 12.** If  $U \in B(\mathcal{H})$  is a class  $\mathcal{A}_k$ , then U is finite.

*Proof.* From Proposition 11  $\varphi(U)$  is a class  $\mathcal{A}_k$ , with  $\sigma_a(U) = \sigma_a(\tilde{U}) = \sigma_p(\tilde{U})$  using Berberian technique, since  $\sigma_a(U)$  is never empty and  $\sigma_{jp}(U) - \{0\} = \sigma_p(U) - \{0\}$ , so by Theorem 6, it follows that  $\sigma_{ra}(U) \neq \emptyset$  implying U is finite.

**Proposition 13.** If  $U \in \mathcal{A}_k$ , then  $U^* \notin \operatorname{ran}(\delta_U)$ .

*Proof.* Let  $\lambda \in \sigma_{ra} - \{0\} \neq \emptyset$ , then there is a normalized sequence  $\{x_n\}$  such that

 $(U - \lambda)x_n \longrightarrow 0$  and  $(U - \lambda)^* x_n \longrightarrow 0$ 

and let  $X \in B(\mathcal{H})$ , then

$$||UX - XU - U^*|| = ||(U - \lambda)X - X(U - \lambda) - (U^* - \lambda) - \lambda|$$
  

$$\geq ||(\langle U - \lambda)Xx_n, x_n \rangle - \langle X(U - \lambda)x_n, x_n \rangle - \langle (U^* - \overline{\lambda}) - \overline{\lambda}||$$

letting  $n \to \infty$ , we get  $||UX - XU - U^*|| \ge |\lambda||$  implying  $U^* \notin \operatorname{ran}(\delta_U)$ .

**Proposition 14.** If U is a class  $\mathcal{A}_k$  and N is a normal opeartor such that UN = NU, then for every  $\lambda \in \sigma_p(N)$ 

$$|\lambda| \leq \operatorname{dist}(N, \operatorname{ran}(\delta_U))$$

*Proof.* Let  $\lambda \in \sigma_p(N)$  and  $\mathcal{M}_{\lambda}$  be the eigenspace associated to  $\lambda$ . Since NU = UN, then  $U^*N = NU^*$  by Putnam-Fuglede Theorem. Hence  $\mathcal{M}_{\lambda}$  reduces orthogonaly U and N. Let  $T \in B(\mathcal{H})$ , we can write U, N and T according to the decomposition of  $\mathcal{H} = \mathcal{M}_{\lambda} \oplus \mathcal{M}_{\lambda}^{\perp}$  as follows:

$$U = \begin{bmatrix} U_1 & 0 \\ 0 & U_2 \end{bmatrix}, \quad U = \begin{bmatrix} N_1 & 0 \\ 0 & N_2 \end{bmatrix}, \text{ and } U = \begin{bmatrix} T_1 & T_2 \\ T_3 & T_4 \end{bmatrix}.$$

We have

$$\|N + UT - TU\| = \left\| \begin{bmatrix} \lambda + U_1 T_1 - T_1 U_1 & * \\ & * & * \end{bmatrix} \right\|$$
  
$$\geq \|\lambda + U_1 T_1 - T_1 U_1\|$$
  
$$\geq |\lambda| \| \|I + U_1 \left(\frac{T_1}{\lambda}\right) - \left(\frac{T_1}{\lambda}\right) \|$$
  
$$\leq |\lambda|.$$

**Proposition 15.** If U is a class  $\mathcal{A}_k$ , then for every normal operator N such that UN = NU, we have  $||N|| \leq \operatorname{dist}(N, \operatorname{ran}(\delta_U))$ .

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*Proof.* Let  $\lambda \in \sigma(N) = \sigma_a(N)$  [1], from proposition 10,  $\tilde{N}$  is normal and  $\tilde{U}$  is a class  $\mathcal{A}_k$ ,  $\tilde{NU} = \tilde{N}\tilde{U} = \tilde{U}\tilde{N}$ , also  $\lambda \in \sigma_p(\tilde{N})$ . Applying proposition (14), we get for every  $T \in B(\mathcal{H})$ 

 $|\lambda| \le \|\tilde{N} + \tilde{U}\tilde{T} - \tilde{T}\tilde{U}|vert = \|N + UT - TU\|$ 

Therefore

$$\sup_{\lambda \in \sigma(\tilde{N})} |\lambda| = \|\tilde{N}\| = \|N\| \le \|N + UT - TU\|.$$

We will denote by  $U \otimes T$ , the tensor product of some non-zero operators  $U, T \in B(X)$ , on the product space  $X \oplus X$ . We can see the importance the tensor product operation  $U \oplus T$  as it preserves many properties of  $U, T \in B(X)$ . It can be checked that the tensor product of operators U and T i.e.  $U \oplus T$  is hyponormal if and only if U and T are hyponormal [9].

We will obtain an analogous result for class  $\mathcal{A}_k$  operators in this section. Before stating our main theorems, we need some preliminary results.

**Lemma 16.** [20] Let  $U_1, U_2 \in B(X), T_1, T_2 \in B(X)$  be non-negative operators. If  $U_1$  and  $T_1$  are non-zero, then the following assertions are equivalent

1.  $U_1 \oplus T_1 \leq U_2 \oplus T_2$ 2. There exists c > 0 for which  $U_1 \leq U_2$  and  $T_1 \leq c^{-1}T_2$ .

**Lemma 17.** If  $U, T \in B(X)$  are class  $\mathcal{A}_k$  operators, then  $U \oplus T$  is class  $\mathcal{A}_k$  operator.

*Proof.* Since U and T are class  $\mathcal{A}_k$  operators, then

$$|(U \oplus T)^{k+1}|_{k+1}^2 = |U^{k+1}|_{k+1}^2 \oplus |T^{k+1}|_{k+1}^2$$
  

$$\geq |U|^2 \oplus |T|^2$$
  

$$= |U \oplus T|.$$

Hence  $U \oplus T$  is a class  $\mathcal{A}_k$  operator.

**Theorem 18.** [11] If U is a class  $\mathcal{A}_k$  operator and  $\mathcal{M}$  is an invariant subspace of U, the restriction  $U|_{\mathcal{M}}$  is also a class  $\mathcal{A}_k$ .

#### 3. Main results

In the following, we prove that if *H* is a Hilbert-Schmidt operator, *U* is a class  $\mathcal{A}_k$  operator and  $T^*$  is an invertible class  $\mathcal{A}$  following the relation UH = HT, then  $U^*H = HT^*$ .

**Theorem 19.** Let U and  $T \in B(X)$ . Then  $\Gamma_{U,T}$  is a class  $\mathcal{A}_k$  operator on  $C_2(X)$  if and only if U and  $T^*$  belong to  $\mathcal{A}_k$  operators.

Proof. The unitary operator

$$\mathcal{U}: C_2(X) \to X \oplus X$$

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defined by

$$(v \oplus w)^* = v \oplus w$$

induces the \*-isomorphism

$$\psi: B(C_2(X)) \to B(X \oplus X)$$

by a map

 $H \mapsto \mathcal{U}H\mathcal{U}^*.$ 

Then we can obtain

 $\psi(\Gamma_{U,T}) = U \oplus T^*,$ 

see [7] for details. This completes the proof by Lemma 17.

**Theorem 20.** Let U be a class  $\mathcal{A}_k$  operator and  $T^*$  an invertible class  $\mathcal{A}$  operator. If UH = HT for some  $H \in C_2(X)$ , then  $U^*H = HT^*$ .

*Proof.* Let  $\Gamma$  be defined on  $C_2(X)$  by

$$\Gamma(V) = UVT^{-1}.$$

The operator T is an invertible class  $\mathcal{A}$ , then T is a class  $\mathcal{A}_k$  by Theorem 5.

Since U and  $(T^{-1})^* = (T^*)^{-1}$  are  $\mathcal{A}_k$  operators, we have by Theorem 19, we can say that  $\Gamma$  is also an  $\mathcal{A}_k$  operator. Moreover,

$$\Gamma(H) = UHT^{-1} = H$$

because of UH = HT. Hence, H is an eigenvector of  $\Gamma$ . By Theorem 6, we have

$$\Gamma^*(H) = U^* H (T^{-1})^* = H,$$

that is.

$$U^*H = HT^*$$

as desired.

**Corollary 21.** Let  $U \in B(X)$  be a class  $\mathcal{A}$  and  $T^*$  be an invertible class  $\mathcal{A}$  such that UH = HT for some  $H \in C_2(X)$ . Then,  $U^*H = HT^*$ .

**Corollary 22.** Let  $U \in B(X)$  be hyponormal and  $T^*$  be an invertible class  $\mathcal{A}$  such that UH = HT for some  $H \in C_2(X)$ . Then,  $U^*H = HT^*$ .

**Corollary 23.** Let  $U \in B(X)$  be a class  $\mathcal{A}_k$  and  $T^*$  be an invertible hyponormal such that UH = HTfor some  $H \in C_2(X)$ . Then,  $U^*H = HT^*$ .

**Corollary 24.** Let  $U \in B(X)$  be a class  $\mathcal{A}$  and  $T^*$  be an invertible hyponormal such that UH = HTfor some  $H \in C_2(X)$ . Then,  $U^*H = HT^*$ .

Now, we are ready to extend the orthogonality results to some class  $\mathcal{A}_k$  operators.

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**Theorem 25.** Let  $U, T \in B(X)$  and  $V \in C_2(X)$ . Then

$$\|\delta_{U,T}(H) + V\|_2^2 = \|\delta_{U,T}(H)\|_2^2 + \|V\|_2^2,$$
(3.1)

and

$$\|\delta_{U,T}^*(H) + V\|_2^2 = \|\delta_{U,T}^*(H)\|_2^2 + \|V\|_2^2,$$
(3.2)

if and only if  $\delta_{U,T}(V) = 0 = \delta_{U^*,T^*}(V)$  for all  $V \in C_2(X)$ .

*Proof.* It is known that the Hilbert-Schmidt class  $C_2(X)$  is a Hilbert space. Note that

$$\begin{aligned} \|\delta_{U,T}(H) + V\|_2^2 &= \|\delta_{U,T}\|_2^2 + \|V\|_2^2 + Re\langle \delta_{U,T}(H), V \rangle \\ &= \|\delta_{U,T}\|_2^2 + \|V\|_2^2 + Re\langle H, \delta_{U,T}^*(V) \rangle, \end{aligned}$$

and

$$\|\delta_{U,T}^*(H) + V\|_2^2 = \|\delta_{U,T}^*\|_2^2 + \|V\|_2^2 + Re\langle H, \delta_{U,T}^*(V)\rangle.$$
(3.3)

Hence by the equality  $\delta_{U,T}(V) = 0 = \delta_{U^*,T^*}(V)$ , we obtain (3.1) and (3.2). So, this completes the proof as our claim is verified.

**Corollary 26.** Let U, T be operators in B(X) and  $V \in C_2(X)$ . Then

$$\|\delta_{U,T}(H) + V\|_2^2 = \|\delta_{U,T}(H)\|_2^2 + \|V\|_2^2$$

and

$$\|\delta_{U,T}^*(H) + V\|_2^2 = \|\delta_{U,T}^*(H)\|_2^2 + \|V\|_2^2$$

if either of the following hold

(i) U is a class  $\mathcal{A}_k$  and  $(T^*)^{-1}$  is a class  $\mathcal{A}_k$ ;

(ii) U is a class  $\mathcal{A}$  and  $(T^*)^{-1}$  is a class  $\mathcal{A}$ ;

(iii) U is hyponormal and  $(T^*)^{-1}$  is a class  $\mathcal{A}$ ;

(iv) U is a class  $\mathcal{A}_k$  and  $(T^*)^{-1}$  is hyponormal.

### 4. Discussions

The basic properties of class  $\mathcal{A}_k$  are studied and discussed. The Putnam-Fuglede Theorem plays an important role in operator theory. We proved that the Putnam-Fuglede Theorem for class  $\mathcal{A}_k$  operators holds in the Hilbert-Schmidt case. Also, range-kernel results for the generalized derivations induced by certain  $\mathcal{A}_k$  classes are obtained.

#### 5. Conclusions

The questions which logically arise after this study are as follows:

- 1. Is the Putnam-Fuglede Theorem remains true for  $\mathcal{A}_k$  class in any Hilbert space *H*?
- 2. Is the Putnam-Fuglede Theorem remains true for  $\mathcal{R}_k$  class in any bilateral ideal in B(H)?

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# **Conflict of interest**

The author declares no conflict of interest.

# Authors' contributions

All authors drafted the manuscript, and they read and approved the final manuscript.

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