

*Research article*

## **Cathodoluminescence of N-doped SnO<sub>2</sub> nanowires and microcrystals**

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**Abstract:** We present a cathodoluminescence (CL) study of the point defects in N-doped SnO<sub>2</sub> nanowires and microcrystals synthesized by thermal evaporation at different growth temperatures and N concentrations. SnO<sub>2</sub>:N nanowires were grown at temperatures higher than 1150 °C with N concentrations below of about 3 at.%, while irregular microcrystals were obtained at lower temperatures increasing their N concentration gradually with the growth temperature. EELS and XPS measurements confirmed that N atoms were incorporated into the SnO<sub>2</sub> lattice as substitutional impurities (N<sub>O</sub>). TEM and EDS measurements revealed that the nanowires grew along the [001] direction by a self-catalyzed growth mechanism. CL measurements showed that the nanowires and microcrystals generated a broad emission composed by three components centered at about 2.05, 2.47 and 2.75 eV. CL spectra obtained at 300 and 100 K showed that the component of 2.05 eV decreased in intensity proportionally to the nitrogen content of samples. We attribute this effect to a decrease of oxygen vacancies in the SnO<sub>2</sub> nanowires and microcrystals, generated by the incorporation of nitrogen in their lattice.

**Keywords:** SnO<sub>2</sub>; point defects; cathodoluminescence

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### **1. Introduction**

The SnO<sub>2</sub> is a semiconductor with a direct broadband gap of about 3.6 eV that receive important attention due to their use to fabricate gas sensors, solar cells, optoelectronic devices, and catalysts [1–4]. In the spintronic field this semiconductor also has importance due to exhibits ferromagnetism at room temperature, induced by doping with magnetic and non-magnetic impurities [5–8]. Spintronic devices as spin-transistors and spin-valves transistors require the use of

ferromagnetic electrodes to permit the injection of spin-polarized electrons through of such devices [9,10]. However, the origin of ferromagnetism in SnO<sub>2</sub> doped with non-magnetic impurities is still an issue under discussion. Ferromagnetism at room temperature in SnO<sub>2</sub> doped with nitrogen has been reported previously by other authors [11], attributing a possible origin to the exchange coupling between the magnetic moments of nitrogen-substitutional (N<sub>O</sub>) and tin-vacancy (V<sub>Sn</sub>) point defects [12]. Moreover, reports of first principle calculations recently have predicted that V<sub>Sn</sub> defects generate ferromagnetic ordering in undoped SnO<sub>2</sub> and that oxygen vacancy (V<sub>O</sub>) point defects do not contribute to it [13,14].

Therefore, a study that characterizes the type of point defects generated by the incorporation of N in the SnO<sub>2</sub> lattice can help to the understanding of the origin of the ferromagnetism in this semiconductor. In this work, we present a cathodoluminescence (CL) study of N-doped SnO<sub>2</sub> nanowires and microcrystals to identify the point defects generated during their synthesis at different growth temperatures. We have found that N incorporation at concentrations higher than 2.0 at.% modifies the defect structure of the undoped SnO<sub>2</sub>. CL results also revealed that a gradual decrease in the relative density of oxygen vacancies (V<sub>O</sub>) occurs by the increase of the N concentration in the SnO<sub>2</sub> lattice. XPS measurements demonstrated that N impurities were incorporated substitutionally (N<sub>O</sub>).

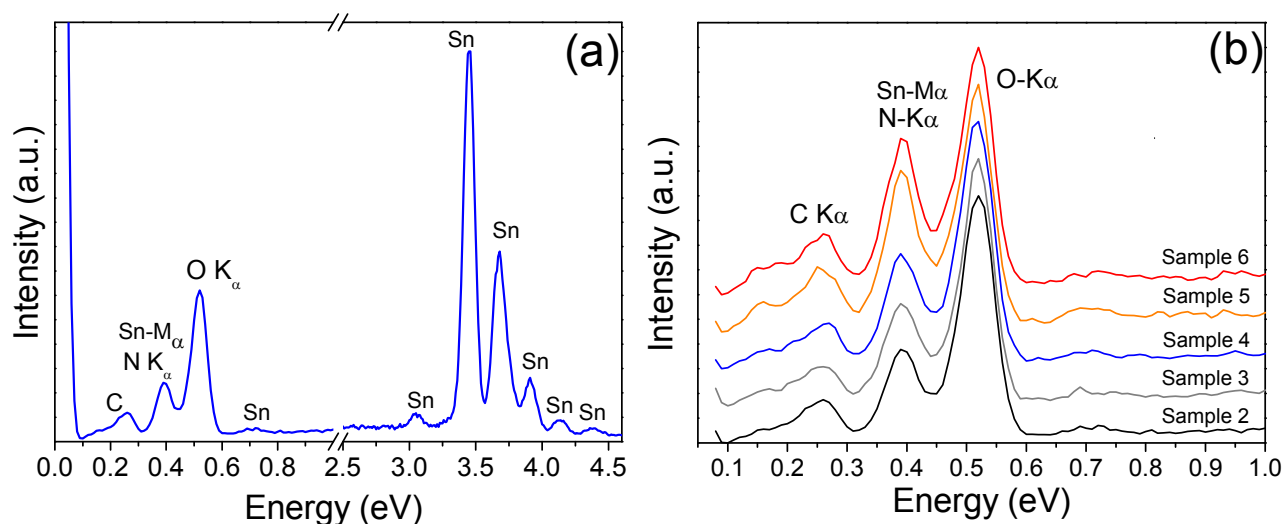
## 2. Materials and Method

Undoped SnO<sub>2</sub> and SnO<sub>2</sub>:N samples were synthesized by thermal evaporation of SnO<sub>2</sub> powder (AlfaAesar, 99.999%) onto Si (111) substrates in a homemade horizontal furnace operated at 5.6 Torr. A mechanical pump maintained low pressure in the furnace. Undoped SnO<sub>2</sub> nanowires (sample 1) were synthesized at 1180 °C using Ar (Infra, 99.999%) as a gas carrier, while N-doped SnO<sub>2</sub> samples were synthesized at different temperatures using N<sub>2</sub> (Infra, 99.999%) as the source of impurities and gas carrier (samples 2 – 6). The Ar and N<sub>2</sub> flow was regulated by a needle valve and measured with a mass flow meter (Omega type FMA-A2302), maintaining a value of 3.5 sccm. SnO<sub>2</sub> powder was placed in an alumina boat at the center of the furnace and maintained at about 1900 °C to be evaporated for four hrs. The SnO<sub>2</sub> vapor diffused and condensed onto the Si (111) substrates, which were placed at the downstream of the quartz tube furnace, at temperatures of 1200 °C (sample 2), 1150 °C (sample 3), 1110 °C (sample 4), 1070 °C (sample 5) and 1040 °C (sample 6). The relative elemental composition of samples was measured by Energy Disperse Spectroscopy (EDS) using a Bruker analytical system, with an electron beam energy of 10 keV. The elemental quantification was calculated by Inca Software (Oxford Instrument) using a standard-base sequence that use a symmetric, zero area “top-hat” function to filter unknown spectrum and standard peak shapes, which are subsequently used in the peak deconvolution. The filter suppresses any background component which is linear over the width of the top-hat, and is approximately equivalent to taking the negative second derivative of the smoothed spectrum. To extract individual peak areas of possible overlapping signals, the peaks are fitted to the spectrum using the method of least square. The crystallinity was characterized with a X’pert X-ray diffractometer using a CuK $\alpha$  ( $\lambda = 0.154$  nm) line excitation source. The morphology was studied using a Jeol FIB-4500 SEM. X-ray photoelectron spectroscopy (XPS) measurements were obtained in a SPECS system equipped with a PHIBOS WAL analyzer using an Al anode. High-resolution spectra of the N (1s) signal was obtained using 300 scans during the measurements. XPS measurements were calibrated by using the C (1s)

signal of 284.8 eV as a reference. For Transmission Electron Microscopy (TEM) and Electron Energy Loss Spectroscopy (EELS) measurements a Jeol JEM 2100F (STEM) operated at 200 keV, and equipped with a Gatan spectrometer, was used. CL measurements in SEM were performed using a Gatan mono-CL4 system at 100 and 300 K equipped with a photomultiplier sensible to the spectral range 200–900 nm.

### 3. Results and Discussion

Figure 1 (a) shows a typical EDS spectrum obtained from sample 2 with the N and O  $K_{\alpha}$  signals centered at 0.39 and 0.52 eV, respectively and several Sn  $L_{\alpha}$  signals between 3 and 4.5 eV. This Figure also shows the overlap between the N  $K_{\alpha}$  (0.39 eV) and Sn  $M_{\alpha}$  (0.40 eV) signals, which unavoidably reduced the EDS accuracy for quantitative characterization of N impurity. However, changes in the N concentration between samples were qualitatively evaluated by comparing the intensity (and areas) of this X-ray signal. Figure 1 (b) shows the EDS spectra for the energy range 0.1 and 1.0 eV with the N, Sn- $M_{\alpha}$  and O signals obtained from samples 2–6, exhibiting an increase in the relative intensity of the signal of 0.39 eV for the samples grown at lower temperatures. Table I shows the atomic quantification calculated by the Inca-Oxford software, revealing a gradual decrease of the Sn concentration with the reduction of the growth temperature. This tendency indicates that the increase in the relative intensity of the signal centered at 0.39 eV, shown in Figure 1 (b), corresponds to an increase of the N signal and not to an increase of the Sn  $M_{\alpha}$  signal. Table I also shows decreasing of oxygen concentration with the reduction of Sn concentration in samples 2–6, revealing a constant value for the O/Sn atomic ratio of about 0.5. In contrast, the N/O atomic ratio calculated from these quantification results showed a gradual increase in the relative concentration of N, which is qualitatively displayed in Figure 1 (b).

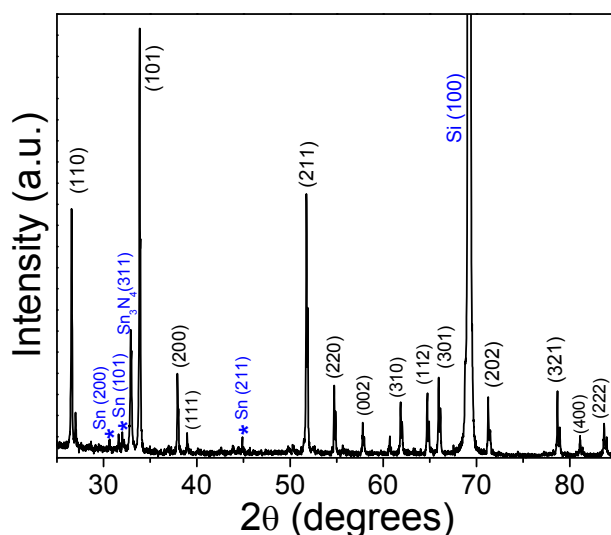


**Figure 1.** (a) EDS spectrum from Sample 2 with peaks corresponding to the N ( $K_{\alpha}$ ), O ( $K_{\alpha}$ ), Sn ( $M_{\alpha}$ ) and Sn ( $L_{\alpha}$ ) signals. (b) EDS spectra of the samples 2–6 for the N ( $K_{\alpha}$ ), O ( $K_{\alpha}$ ), Sn ( $M_{\alpha}$ ) signals showing different relative intensities.

**Table 1.** Elemental composition of SnO<sub>2</sub> samples measured by EDS.

Sample No.	Growth Temperature (°C)	Sn (at. %) uncertainty: ± 0.3 %	O (at. %) uncertainty: ± 1 %	N (at. %) uncertainty: ± 1 %	Sn/O atomic ratio	N/O atomic ratio
1	1180	33.3	66.6	0	0.500	-
2	1200	32.6	65.4	2.0	0.490	0.030
3	1150	32.4	64.8	2.8	0.500	0.043
4	1110	32.1	64.1	3.8	0.500	0.059
5	1070	32.0	64.0	3.9	0.500	0.060
6	1040	31.3	62.6	6.1	0.500	0.097

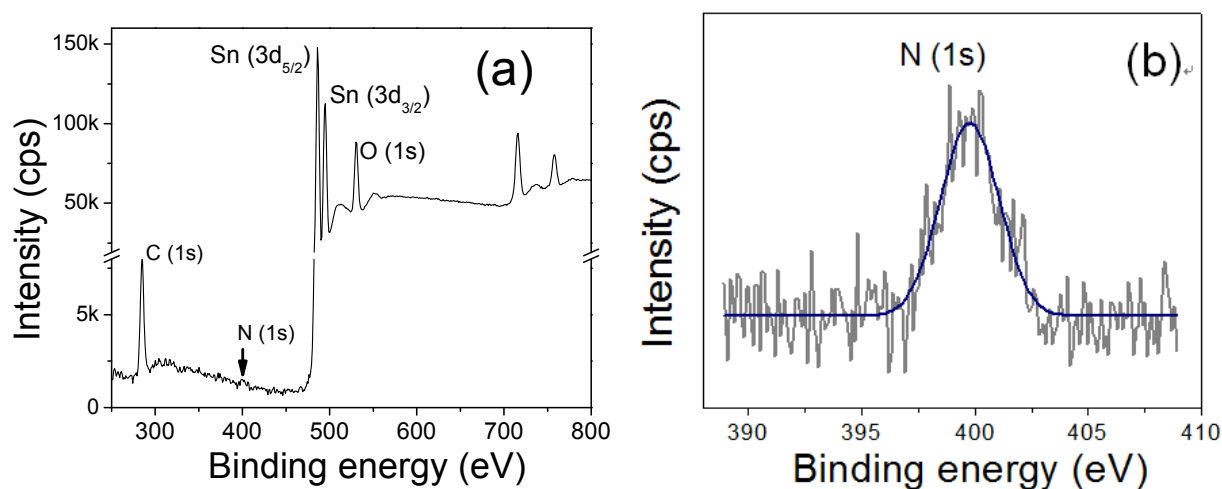
XRD measurements from undoped and N-doped samples revealed a *rutile*-type structure for all them (Figure 2), with some diffractive peaks corresponding with metallic tin and one unidentified peak that could correspond to the (311) plane of the *spinel* phase of tin nitride Sn<sub>3</sub>N<sub>4</sub> [15]. However, this residual compound exhibits a band gap of about 1.5 eV [15,16], which was not detected in the CL measurements of this study.



**Figure 2.** Typical XRD pattern obtained from Sample 2 with a rutile-type structure. The asterisk shows several peaks corresponding with metallic Sn.

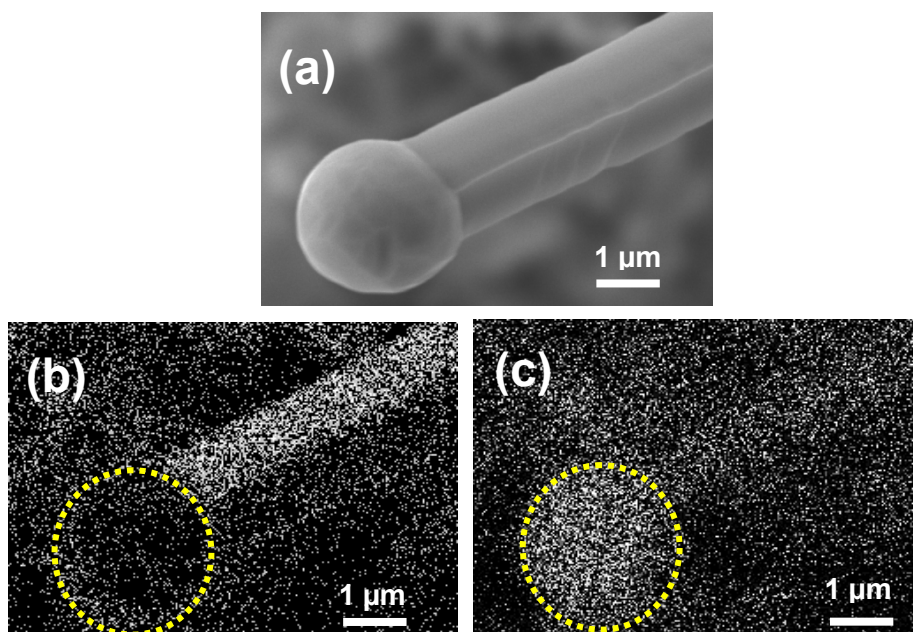
XPS measurements of sample 2 confirmed that nitrogen impurities were incorporated in the SnO<sub>2</sub> lattice by substitution of oxygen atoms. The Figure 3 (a) shows an XPS survey spectrum obtained from sample 2 with the Sn (3d<sub>5/2</sub>), Sn (3d<sub>3/2</sub>) and O (1s) signals clearly resolved at the energy bindings of 486.3, 494.6 and 530.7 eV, respectively. The Figure 3 (b) shows the N (1s) signal centered at 400 eV, which was obtained by applying 300 scans during the measurement. This signal corresponds to the formation of a nitride compound as is reported in the NIST database and specifically to the formation of Sn-N bonds [17], revealing that N is incorporated as a substitutional impurity (N<sub>O</sub>). We attribute that the presence of N atoms in the SnO<sub>2</sub> nanowires of sample 2

generates this signal and not the possible presence of residual spinel phase of  $\text{Sn}_3\text{N}_4$ , which was confirmed by EELS measurements (see Figure 7).

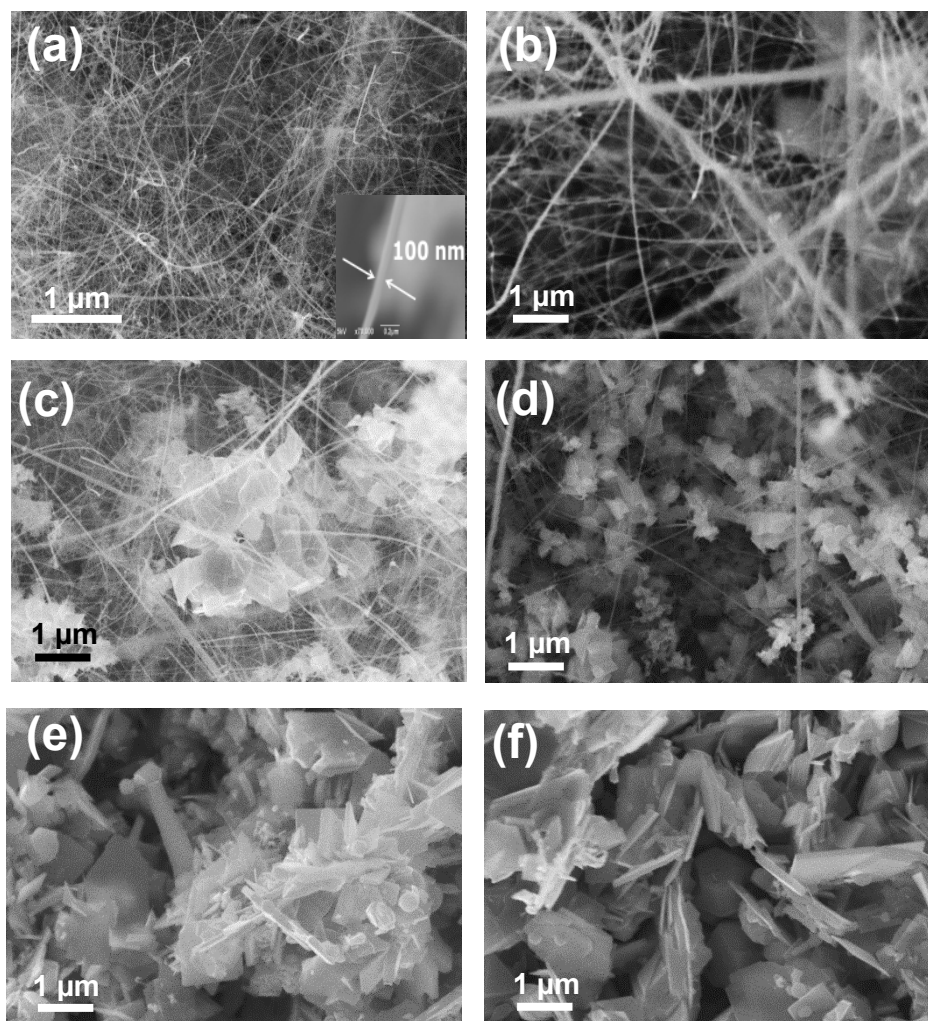


**Figure 3.** (a) XPS spectrum from sample 2 with Sn (3d) and O (1s) signals. (b) XPS spectrum obtained after 300 scans with the N (1s) signal.

EDS maps obtained from some  $\text{SnO}_2\text{:N}$  microrods of sample 3 showed that metallic tin is present at their end (Figure 4), which is generated by a self-catalyzed growth mechanism previously reported to this semiconductor [18].

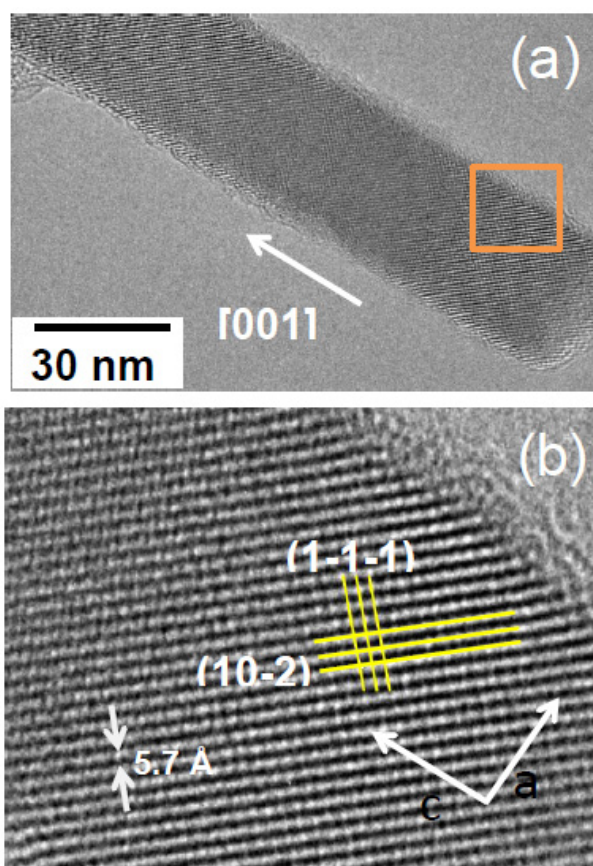


**Figure 4.** (a) SEM image with their corresponding EDS maps of (b) O and (c) Sn elements obtained from a  $\text{SnO}_2$  microrod of sample 3 with a spherical tip composed of metallic tin.



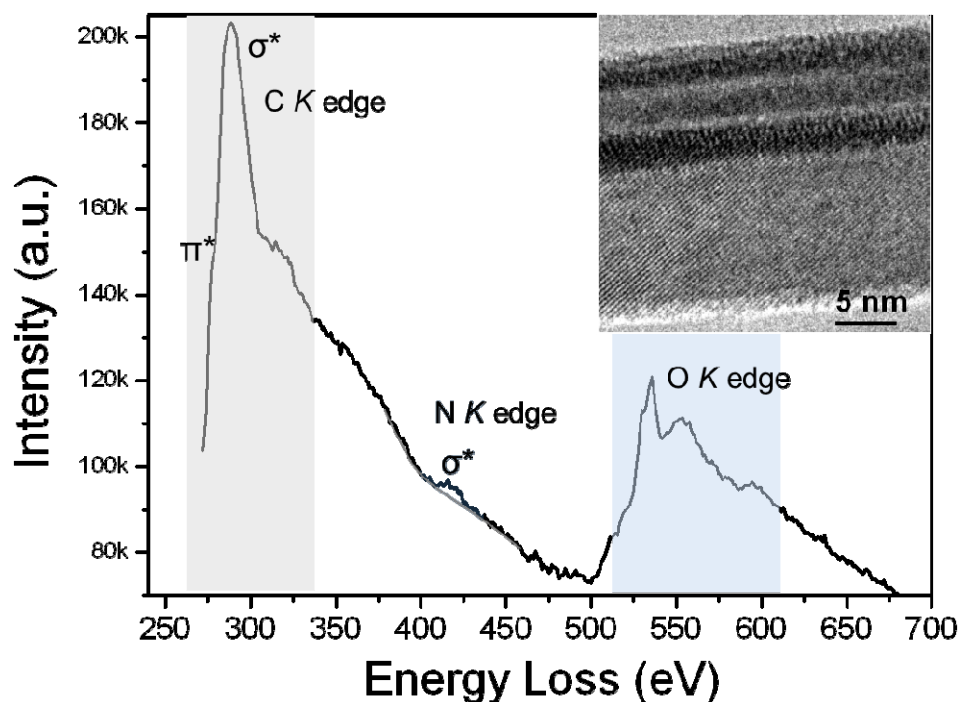
**Figure 5.** SEM images of the (a) undoped SnO<sub>2</sub> sample 1 (1180 °C) and N-doped (b) sample 2 (1200 °C), (c) sample 3 (1150 °C), (d) sample 4 (1110 °C), (e) sample 5 (1070 °C) and (f) sample 6 (1040 °C).

Figure 5 (a) shows a typical SEM image of the undoped sample 1 revealing the formation of large nanowires with an average diameter of about 100 nm. SEM images obtained from N-doped samples are shown in Figures 5 (b)–(f), exhibiting changes in their morphology as a consequence of the decrease of its growth temperature. Sample 2 shows the formation of nanowires with an average diameter of about 100 nm and micrometric lengths [Fig. 5(b)]. Sample 3 also shows the presence of numerous nanowires of about 100 nm in diameter, besides of agglomerates of microcrystals [Fig. 5(c)]. Sample 4 shows the formation of similar SnO<sub>2</sub> microcrystals although at a higher amount than the observed for sample 3, with few SnO<sub>2</sub>:N nanowires as shown the Figure 5 (d). The samples 5 and 6, synthesized at lower temperatures than previous samples, show a morphology composed by irregular microcrystals and microplates [Figs. 5 (e) and (f)].



**Figure 6.** TEM images of (a) a single nanowire of sample 2 grown along the [001] direction. (b) Amplified image of the region marked with a square in (a) revealing the (1-1-1) and (10-2) planes.

TEM measurements revealed that  $\text{SnO}_2\text{:N}$  nanowires grew along the [001] direction, as shown in Figure 6 for a nanowire of sample 2. This direction was calculated by identifying the (1-1-1) and (10-2) planes in the amplified image of Figure 6 (b), which was obtained from the region marked with a square in the nanowire shown in Figure 6 (a). Since the growth of  $\text{SnO}_2$  nanowires along the [001] direction has been reported previously by several authors [19], it is clear that the incorporation of nitrogen at concentrations lower than about 2.0 atomic percent does not modify the growth kinetics of  $\text{SnO}_2$  nanostructures. EELS measurements obtained in the TEM for several single nanowires of sample 2 confirmed the presence of nitrogen impurities in them, correlating with the XPS results shown in Figure 3 (b). Figure 7 shows a typical EELS spectrum obtained from the  $\text{SnO}_2\text{:N}$  nanowire, exhibited in the inset, revealing clearly a weak  $\sigma^*$  signal for N centered at 415 eV. We discard the possible presence of the *spinel* phase of  $\text{Sn}_3\text{N}_4$  as responsible for this signal because the  $\text{SnO}_2$  nanowires of sample 2 exhibit monocrystalline characteristics, as shown in Figure 6 and the inset of Figure 7.



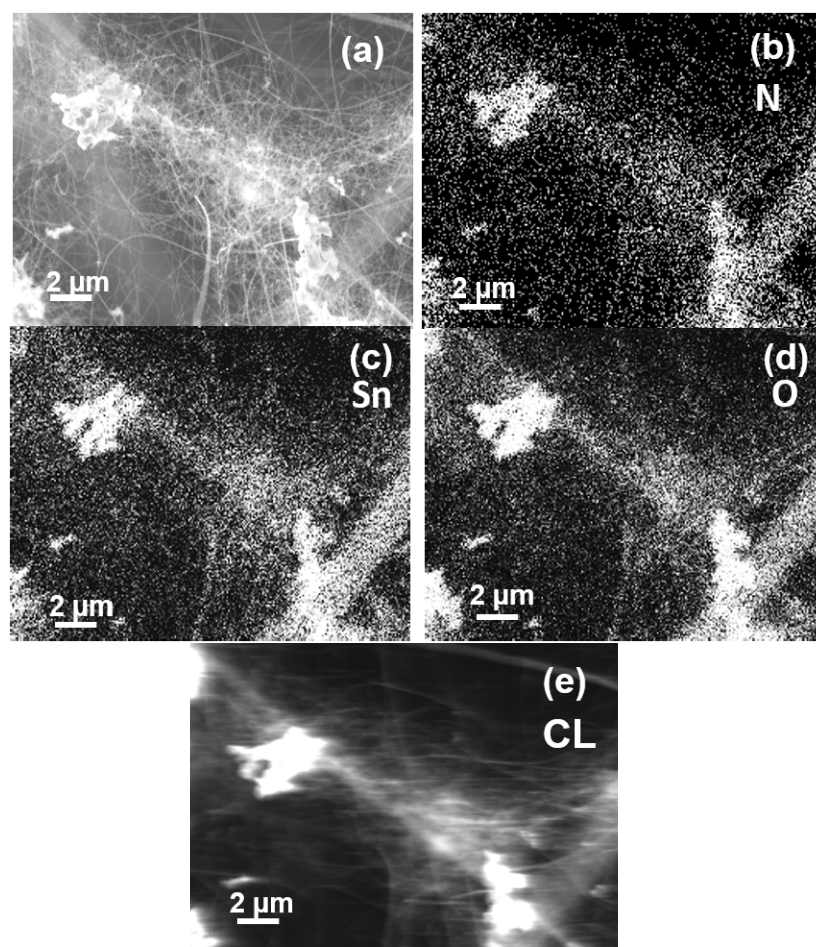
**Figure 7.** Typical EELS spectrum of a single  $\text{SnO}_2\text{:N}$  nanowire (inset) of sample 2, showing the *K*-edge signals for carbon, nitrogen and oxygen.

Figure 8 shows an SEM image of sample 3 with their corresponding EDS maps for N (or  $\text{Sn-M}\alpha$ ), Sn ( $\text{L}\alpha$ ) and O signals [Figs. 8 (b)–(d)], revealing the presence of all elements in the microcrystals as in the nanowires. Figure 8 (e) shows a CL image obtained from the same region of the sample, revealing strong intensity from the microcrystals and homogeneous luminescence along the nanowires. This characteristic is more clearly observed in Figure 9 that shows a CL image from a single nanowire of sample 3.

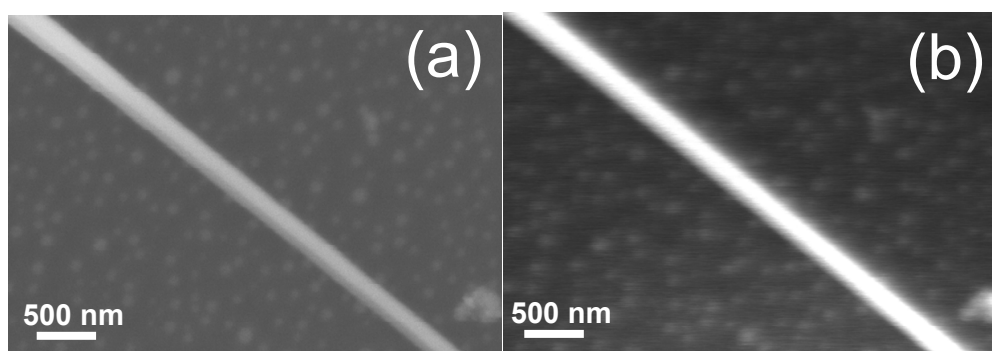
Figure 10 (a) shows the CL spectrum of the undoped  $\text{SnO}_2$  sample, revealing a broad emission centered at 2.16 eV with a shoulder at about 2.8 eV. This Figure also shows a deconvolution of the spectrum with three Gaussian curves centered at about 2.0, 2.4 and 2.8 eV, which were calculated using the same FWHM value of 0.4 eV. We assign the first band to the well-known orange emission of the  $\text{SnO}_2$ , previously reported for nanowires and microplates assigning an origin to oxygen vacancies in the *rutile* lattice [20,21]. The component centered at about 2.4 eV corresponds to the  $\text{SnO}_2$  green emission, which has been associated by other authors to the presence of surface point defects [22,23]. The component of 2.84 eV is assigned to the scarcely reported  $\text{SnO}_2$  blue emission that although has been attributed to oxygen vacancies [24,25], its origin remains unclear. Zhou et al. previously reported a study of this band using the X-ray excited optical luminescence technique (XEOL), proposing an origin to electronic transitions between the conduction band and acceptor states generated by surface defects [26]. Figure 10 (b) shows the CL spectrum from sample 2 with a deconvolution also calculated by using three Gaussian curves with the same FWHM that the used for the undoped sample spectrum [Fig. 10 (b)], centered at 2.1, 2.4 and 2.7 eV. The relative intensity between these three components showed similar values that the observed for the undoped sample, revealing that CL measurements at room temperature do not detect changes in the defect structure of



SnO<sub>2</sub> by N-doping at low concentrations. Furthermore, the CL spectrum of sample 3 shows the same three components proposed for samples 1 and 2 with similar intensities [Fig. 10(c)]. Nevertheless,

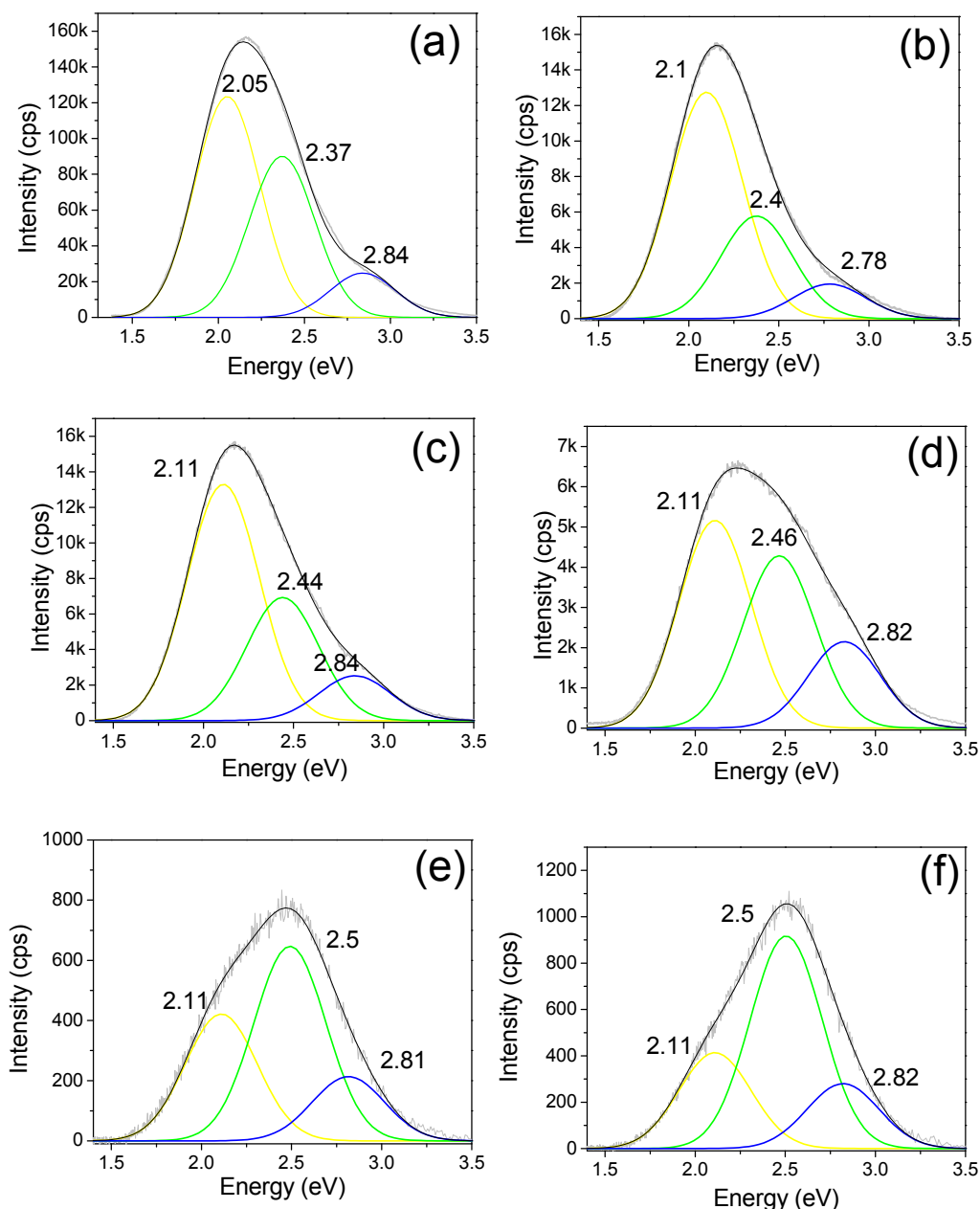


**Figure 8.** (a) SEM image and their corresponding EDS maps of (b) N, (c) Sn and (d) O elements obtained from sample 3. (e) CL image of the same region of the sample.



**Figure 9.** Images of one nanowire for the sample 2 SnO<sub>2</sub>:N (a) the SEM image and (b) the CL image showing the great distribution of luminescence.

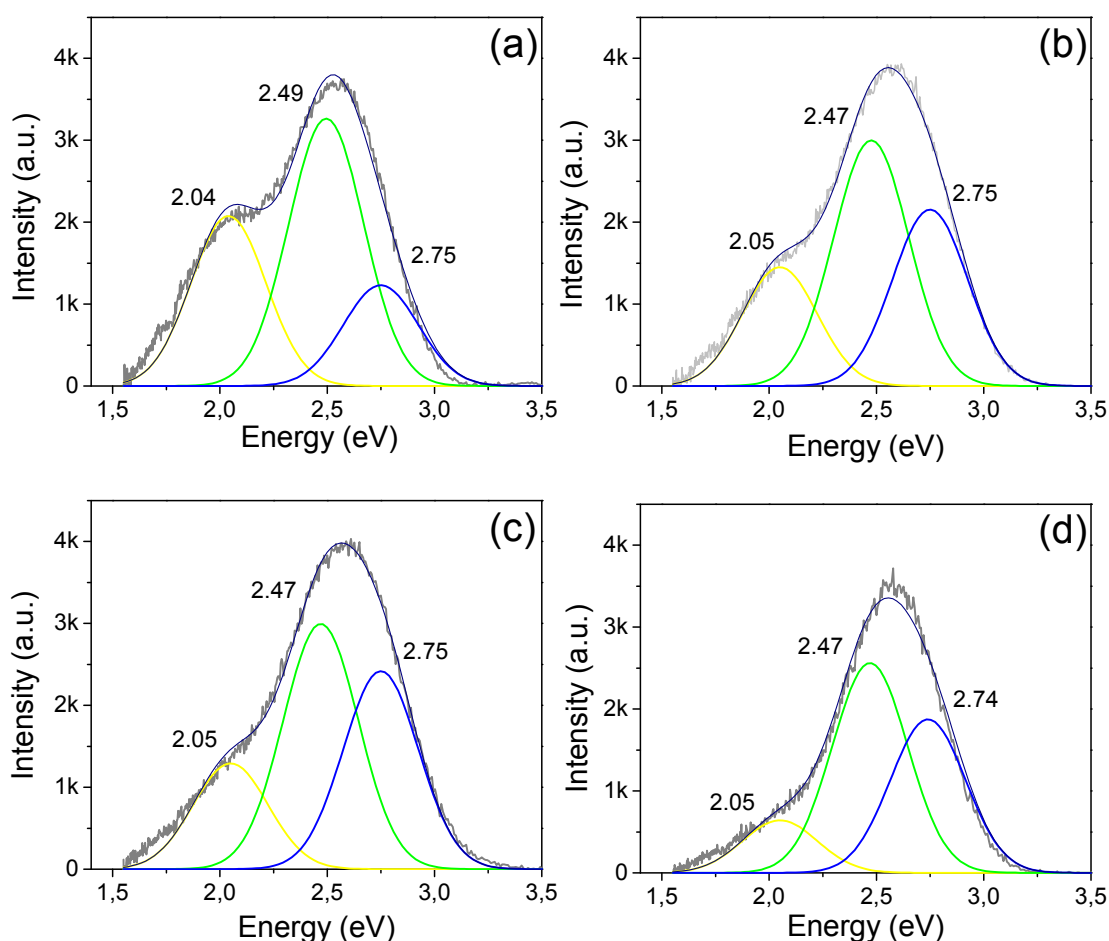
CL spectra obtained from samples 4, 5 and 6 revealed variations in the relative CL intensity ratio between the SnO<sub>2</sub> orange, green and blue components as shown the Figures 10 (d)–(f). These spectra show clearly a gradual diminution in the relative intensity of the orange component (2.1 eV) with the increase of N concentration of samples 4–6 (Table I), corresponding to a reduction of their oxygen vacancies. Moreover, these three samples revealed a decrease in their integral CL emission that we have assigned to a loss in its crystallinity quality.



**Figure 10.** CL spectra obtained at 300 K of the (a) sample 1 (1180 °C), (b) sample 2 (1200 °C), (c) sample 3 (1150 °C), (d) sample 4 (1110 °C), (e) sample 5 (1070 °C) and (f) sample 6 (1040 °C).

Figure 11 shows the CL spectra from samples 1–4 obtained at 100 K with components centered at about 2.05, 2.47 and 2.75 eV, calculated using Gaussian curves with an FWHM of 0.35 eV. These

components correspond to the orange, green and blue bands identified in the CL spectra obtained at room temperature. Figure 11 (a) shows the CL spectrum of sample 1, revealing that the green emission (2.49 eV) exhibits the highest relative intensity. This increase generated by reducing the temperature at 100 K [see Fig. 10 (a)] represents an enhancement of the radiative electron-hole recombination rate at the point defects responsible for the green emission. We propose that this rise is a consequence of the high capture cross-section that exhibits the surface point defects in SnO<sub>2</sub>, which is reduced by scattering processes that occur at high temperatures. Figures 11 (b)–(d) show the CL spectra of the N-doped samples 2–4, which reveal that the green component also shows the highest relative intensity, besides of a continuous decrease in intensity of the orange band (2.05 eV) proportional to their nitrogen concentration. This behavior correlates with the observed in the CL spectra obtained at 300 K for the samples 4–6 [Figs. 10 (d)–(f)], confirming that the N incorporation in SnO<sub>2</sub> micro- and nanostructures generate a reduction of the oxygen vacancy concentration.



**Figure 11.** CL spectra obtained at 100 K of the (a) sample 1 (1180 °C), (b) sample 2 (1200 °C), (c) sample 3 (1150 °C), (d) sample 4 (1110 °C), (e) sample 5 (1070 °C) and (f) sample 6 (1040 °C).

In summary, we report a cathodoluminescence study revealing that N incorporation in SnO<sub>2</sub> micro- and nanostructures reduce the formation of V<sub>O</sub> point defects. EELS and XPS measurements demonstrated that N incorporated into the SnO<sub>2</sub> lattice as a substitutional impurity (N<sub>O</sub>).

#### 4. Conclusion

Undoped and N-doped SnO<sub>2</sub> nanowires and microcrystals were synthesized by thermal evaporation method at different growth temperatures and nitrogen concentrations. EDS measurements revealed that the N concentration increased with the decrease of the growth temperature of samples. EELS and XPS results demonstrated that N atoms were incorporated into the SnO<sub>2</sub> lattice as substitutional impurities (N<sub>O</sub>). SEM images revealed that SnO<sub>2</sub>:N nanowires were synthesized at temperatures higher than 1150 °C while irregular microcrystals were obtained at lower temperatures. HRTEM images showed that SnO<sub>2</sub>:N nanowires grew along the [001] direction. CL spectra from undoped and N-doped SnO<sub>2</sub> nanowires revealed a broad emission composed by three components centered at about 2.05, 2.47 and 2.75 eV. CL spectra obtained at 300 and 100 K from N-doped samples revealed a gradual decrease in intensity of the emission of 2.05 eV proportionally to their nitrogen content. We attribute this effect to a decrease of oxygen vacancies in the SnO<sub>2</sub> nanowires and microcrystals by the incorporation of nitrogen in their lattice.

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#### Conflict of Interest

The authors declare no conflicts of interest regarding this paper.

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