



*Research article*

## DNA kinks behavior in the potential pit-trap

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**Abstract:** For better understanding the role of dynamic factors in the DNA functioning, it is important to study the internal mobility of DNA and, in particular, the movement of nonlinear conformational distortions -kinks along the DNA chains. In this work, we study the behavior of the kinks in the pPF1 plasmid containing two genes of fluorescent proteins (EGFP and mCherry). To simulate the movement, two coupled nonlinear sine-Gordon equations that describe the angular oscillations of nitrogenous bases in the main and complementary chains and take into account the effects of dissipation and the action of a constant torsion field. To solve the equations, approximate methods such as the quasi-homogeneous approximation, the mean field method, and the block method, were used. The obtained solutions indicate that two types of kinks moving along the double strand can be formed in any part of the plasmid. The profiles of the potential fields in which these kinks are moving are calculated. The results of the calculations show that the lowest energy required for the kink formation, corresponds to the region located between the genes of green and red proteins (EGFP and mCherry). It is shown that it is in this region a pit trap is located for both kinks. Trajectories of the kinks in the pit-trap and nearby are constructed. It is shown that there are threshold values of the torsion field, upon reaching which the kinks behavior changes dramatically: there is a transition from cyclic motion inside the pit-trap to translational motion and exit from the potential pit-trap.

**Keywords:** plasmid pPF1; EGFP and mCherry genes; potential energy profile; kink dynamics; 2D and 3D trajectories

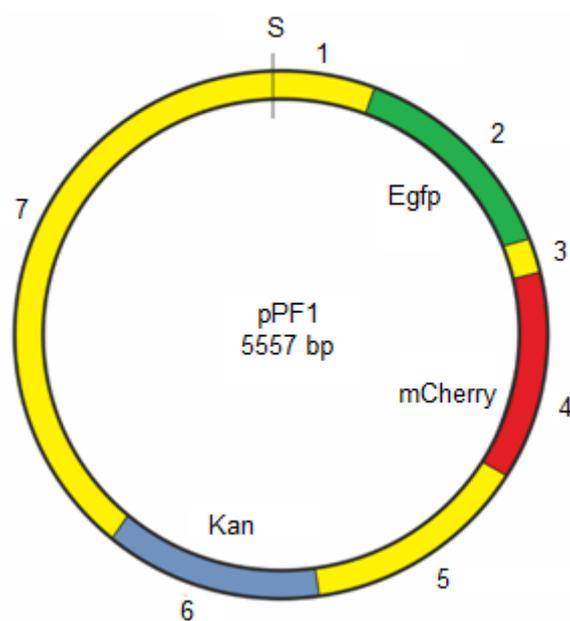
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## 1. Introduction

Dynamics is known to play an important role in the functioning of DNA. Despite this, many questions regarding the features of the internal DNA mobility as well as their connection with the functioning are still unclear. In this work, we investigate the dynamic behavior of the nonlinear conformational distortions—DNA kinks [1], which are small locally unwound regions of the double helix, also named open states [2] or, in later works, bubbles [3–5] or transcription bubbles [6–9]. We study in detail the DNA kinks movement in the potential field containing a pit-trap. These traps are often found in the potential fields of small circular plasmids.

Plasmids are widely used in genetic engineering to study the functional properties of DNA molecules and its fragments. In recent years, plasmids containing genes for fluorescent proteins have been especially actively used. By inserting the studied DNA fragments into the intermediate region between two genes of fluorescent proteins, for example, between the genes of green and red proteins (EGFP [10] and mCherry [11]), researchers judge the intensity and direction of the transcription process in these DNA fragments according to the fluorescence spectra.

To carry out model experiments, we chose the recently created pPF1 plasmid containing a pit trap. This plasmid (Figure 1) was constructed by Masulis et al. in 2015 [12]. It was obtained from the pET-28b plasmid [13] and supplemented with two genes: EGFP and mCherry, encoding green and red fluorescent proteins, respectively. The complete nucleotide sequence of pPF1 was published in 2021 in the work of Masulis et al. [14] (see the sequence in Appendix where the region between the two genes is underlined).



**Figure 1.** Schematic representation of the plasmid pPF1. The gene EGFP, the gene mCherry and the kanamycin resistance gene are shown in green, red and blue, respectively. Intermediate regions are shown in yellow. S indicates the beginning of the numbering of the nucleotide sequence. The numbers 1, 2, 3, 4, 5, 6 and 7 number the regions.

For mathematical modeling of the plasmid structure, it is convenient to renumber sequentially the

sections of the sequence corresponding to these three genes and intermediate regions between them, starting from point S (Figure 1). As a result, we get 7 regions. However, when performing mathematical calculations, it is necessary to take into account the circular nature of the plasmid structure. To do this, it is convenient to combine the regions to the right and left of the point S (the 1-st and 7-th regions) into a single region and call it the (7 + 1)-th region. Data on the coordinates of the regions, the number of adenines  $N_A$ , thymines  $N_T$ , guanines  $N_G$  and cytosines  $N_C$  and the total number of bases in each region are presented in Table 1.

**Table 1.** Details of the plasmid pPF1 structure.

| Region number | Region coordinates    | $N_A$ | $N_T$ | $N_G$ | $N_C$ | $N$  |
|---------------|-----------------------|-------|-------|-------|-------|------|
| 1+7           | (1-332) + (3382-5557) | 549   | 582   | 709   | 668   | 2508 |
| 2 (EGFP)      | 333..1049             | 102   | 172   | 240   | 203   | 717  |
| 3             | 1050-1133             | 28    | 28    | 14    | 14    | 84   |
| 4(mCherry)    | 1134-1841             | 163   | 100   | 220   | 225   | 708  |
| 5             | 1842-2568             | 168   | 208   | 165   | 186   | 727  |
| 6 (Kan)       | 2569-3381             | 247   | 210   | 162   | 194   | 813  |

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330   CTTGTACA GCTCGTCCAT GCCGAGAGTG ATCCCGGCGG CGGTCACGAA CTCCAGCAGG
390   ACCATGTGAT CGCGCTTCTC GTTGGGGTCT TTGCTCAGGG CGGACTGGGT GCTCAGGTAG
450   TGGTTGTCGG GCAGCAGCAC GGGGCCGTCG CCGATGGGGG TGTTCTGCTG GTAGTGGTGG
510   GCGAGCTGCA CGCTGCCGTC CTCGATGTTG TGGCGGATCT TGAAGTTCAC CTTGATGCCG
570   TTCTTCTGCT TGTCGGCCAT GATATAGACG TTGTGGCTGT TGTAGTTGTA CTCCAGCTTG
630   TGCCCCAGGA TGTTGCCGTC CTCCTTGAAG TCGATGCCCT TCAGCTCGAT GCGGTTCAAC
690   AGGGTGTGCG CCTCGAATT CACCTCGGCG CGGGTCTTGT AGTTGCCGTC GTCCTTGAAG
750   AAGATGGTGC GTCCTGGAC GTAGCCTTCG GGCAITGGCG ACTTGAAGAA GTCGTGCTGC
810   TTCATGTGGT CGGGGTAGCG GCTGAAGCAC TGCACGCCGT AGGTCAGGGT GGTCACGAGG
870   GTGGGCCAGG GCACGGGCAG CTTGCCGGTG GTGCAGATGA ACTTCAGGGT CAGCTTGCCG
930   TAGGTGGCAT CGCCCTCGCC CTCGCCGGAC ACGCTGAACT TGTGGCCGTT TACGTCGCCG
990   TCCAGCTCGA CCAGGATGGG CACCACCCCG GTGAACAGCT CCTCGCCCTT GCTCACCATA
1050  GCTACCCTTT GATAGAACTC TAGCTACTTA ATTAGTTAAG ATCTTAACTA ATTAAGTAGC
1110  TAGAGTTCTA TCAAGAGGTA GCTATGGTGA GCAAGGGCGA GGAGGATAAC ATGGCCATCA
1170  TCAAGGAGTT CATGCGCTTC AAGGTGCACA TGGAGGGCTC CGTGAACGGC CACGAGTTCG
1230  AGATCGAGGG CGAGGGCGAG GGCCGCCCTT ACGAGGGCAC CCAGACCGCC AAGCTGAAGG
1290  TGACCAAGGG TGGCCCCCTG CCCTTCGCCT GGGACATCCT GTCCCCTCAG TTCAITGACG
1350  GCTCCAAGGC CTACGTGAAG CACCCCGCCG ACATCCCCGA CTACTIONAAG CTGTCCCTCC
1410  CCGAGGGCTT CAAGTGGGAG CGCGTGATGA ACTTCGAGGA CGGCGGCGTG GTGACCGTGA
1470  CCCAGGACTC CTCCCTGCAG GACGGCGAGT TCATCTACAA GGTGAAGCTG CGCGGCACCA
1530  ACTTCCCCTC CGACGGCCCC GTAATGCAGA AGAAGACCAT GGGCTGGGAG GCCTCCTCCG
1590  AGCGGATGTA CCCCAGGAGC GGCGCCCTGA AGGGCGAGAT CAAGCAGAGG CTGAAGCTGA
1650  AGGACGGCGG CCACTACGAC GCTGAGGTCA AGACCACCTA CAAGGCCAAG AAGCCCGTGC
1710  AGCTGCCCCG CGCCTACAA GTCAACATCA AGTTGGACAT CACCTCCCAC AACGAGGACT
1770  ACACCATCGT GGAACAGTAC GAACGCGCCG AGGGCCGCCA CTCCACCGGC GGCATGGACG
1830  AGCTGTACAA G

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**Figure 2.** Fragment of the pPF1 plasmid nucleotide sequence containing the 3-rd region. Red and green markers highlight the genes of red and green proteins, respectively. The yellow color shows the intermediate region between the genes.

The structure of the 3-rd (intermediate) region is of special attention. It consists of two parts equal in length, and the sequence of the second part is complementary and inverted with respect to the sequence of the first part. A fragment of the plasmid sequence containing the genes of green and red proteins, as well as the intermediate region between them, is shown in Figure 2. Protein genes are highlighted with green and red markers and the intermediate region with yellow marker.

To study the behavior of kinks in the 3-rd region, we chose the McLaughlin-Scott method [15,16] with an improved and adapted for DNA algorithm for calculating the dynamic characteristics of kinks [17]. The results of the study will be presented in the form of calculated 2D and 3D trajectories of DNA kinks.

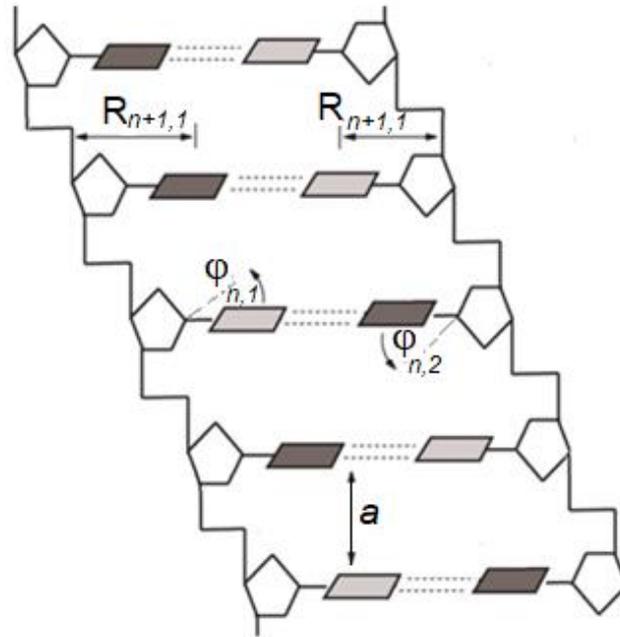
## 2. Model and methods

There are many mathematical models that are applied to imitate DNA internal mobility and elucidate the role of the internal dynamics in the DNA functioning, in the DNA-environment and DNA-DNA interactions [18–20]. In this work, we use the model based on the assumption that the main contribution to the opening of pairs of nitrogenous bases and the formation of open states is made by the angular deviations of nitrogenous bases from equilibrium positions (Figure 3). To describe the deviations, the Englander model [2] or its modifications which allow solutions in the form of local conformational distortions (solitary waves or solitons) moving along the DNA double strand, are often used. Here we use modification that takes into account the deviations of bases, both in the main and complementary DNA strands, and the effects of dissipation and the action of a constant torsion moment  $M_0$ :

$$\begin{aligned} I_{n,1} \frac{d^2 \varphi_{n,1}(t)}{dt^2} - K'_{n,1} [\varphi_{n+1,1}(t) - 2\varphi_{n,1}(t) + \varphi_{n-1,1}(t)] + \\ + k_{n,1-2} R_{n,1} (R_{n,1} + R_{n,2}) \sin \varphi_{n,1}(t) - k_{n,1-2} R_{n,1} R_{n,2} \sin(\varphi_{n,1}(t) - \varphi_{n,2}(t)) = \\ = -\beta_{n,1} \frac{d\varphi_{n,1}(t)}{dt} + M_0, \end{aligned} \quad (1)$$

$$\begin{aligned} I_{n,2} \frac{d^2 \varphi_{n,2}(t)}{dt^2} - K'_{n,2} [\varphi_{n+1,2}(t) - 2\varphi_{n,2}(t) + \varphi_{n-1,2}(t)] + \\ + k_{n,1-2} R_{n,2} (R_{n,1} + R_{n,2}) \sin \varphi_{n,2}(t) - k_{n,1-2} R_{n,1} R_{n,2} \sin(\varphi_{n,2}(t) - \varphi_{n,1}(t)) = \\ = -\beta_{n,2} \frac{d\varphi_{n,2}(t)}{dt} + M_0. \end{aligned} \quad (2)$$

Here  $\varphi_{n,i}(t)$  is the angular deviation of the  $n$ -th nitrogenous base in the  $i$ -th chain of one of the six regions of the plasmid;  $I_{n,i}$  is the moment of inertia of the  $n$ -th nitrogenous base of the  $i$ -th chain;  $R_{n,i}$  is the distance from the center of mass of the  $n$ -th nitrogenous base of the  $i$ -th chain to the sugar-phosphate backbone;  $K'_{n,i} = KR_{n,i}^2$ ;  $K$  is the rigidity of the sugar-phosphate backbone;  $\beta_{n,i} = \alpha R_{n,i}^2$ ;  $\alpha$  is the dissipation coefficient;  $k_{n,i}$  is a constant characterizing the interaction between bases within pairs;  $i = 1, 2$ ;  $n = 1, 2, \dots, N$ ,  $N$  is the total number of bases in the region under consideration;  $M_0$  is a constant external moment. An example of this type of moment is the torsion moment resulting from the interaction of RNA polymerase with the promoter region of DNA at the initial stage of transcription. In contrast to the equations used in our previous work [21], modification (1)–(2) takes into account the influence of the torsion moment  $M_0$  on the movement of local conformational distortions along the DNA double strand.



**Figure 3.** Schematic representation of a DNA double strand fragment.  $\varphi_{n,1}$  is the angular deviation of the  $n$ -th nitrogenous base in the 1-st chain,  $\varphi_{n,2}$  is the angular deviation of the  $n$ -th nitrogenous base in the 2-nd chain.  $R_{n+1,1}$  is the distance from the center of mass of the  $(n+1)$ -st nitrogenous base to the 1-st sugar-phosphate chain and  $R_{n+1,2}$  is the distance from the center of mass of the  $(n+1)$ -st nitrogenous base to the 2-nd sugar-phosphate chain;  $a$  is the distance between the nearest base pairs.

To simplify the solution of problem (1)–(2), we average the coefficients of the equations within each of the 6 regions of the pPF1 plasmid according to formulas:

$$\begin{aligned}
 I_{n,i} &\rightarrow \bar{I}_i = I_A C_{A,i} + I_T C_{T,i} + I_G C_{G,i} + I_C C_{C,i}, \\
 R_{n,i} &\rightarrow \bar{R}_i = R_A C_{A,i} + R_T C_{T,i} + R_G C_{G,i} + R_C C_{C,i}, \\
 K'_{n,i} &\rightarrow \bar{K}'_i = K'_A C_{A,i} + K'_T C_{T,i} + K'_G C_{G,i} + K'_C C_{C,i}, \\
 k_{n,1-2} &\rightarrow \bar{k}_{1-2} = k_{A-T}(C_{A,1} + C_{T,2}) + k_{G-C}(C_{G,1} + C_{C,2}), \\
 \beta_{n,i} &\rightarrow \bar{\beta}_i = \beta_A C_{A,i} + \beta_T C_{T,i} + \beta_G C_{G,i} + \beta_C C_{C,i},
 \end{aligned} \tag{3}$$

where  $C_{j,i} = N_{j,i}/N$ ;  $N_{j,i}$  is the number of bases of the  $j$ -th type ( $j = A, T, G, C$ ) of the  $i$ -th chain ( $i = 1, 2$ ) in the region considered. Formulas (3) contain the dynamic parameters that are pertinent to real DNA molecules. The values of the parameters are presented in the Table 2.

**Table 2.** DNA dynamic parameters [17].

| Type of the base in the sequence | $I$<br>( $10^{-44}$ kg·m <sup>2</sup> ) | $K'$<br>( $10^{-18}$ J) | $R$<br>( $10^{-10}$ m) | $k_{1-2}$<br>( $10^{-2}$ N/m) | $\beta$<br>(J·s) |
|----------------------------------|---|-------------------------|------------------------|-------------------------------|------------------|
| A                                | 7.61                                    | 2.35                    | 5.80                   | 6.20                          | 4.25             |
| T                                | 4.86                                    | 1.61                    | 4.80                   | 6.20                          | 2.91             |
| G                                | 8.22                                    | 2.27                    | 5.70                   | 9.60                          | 4.10             |
| C                                | 4.11                                    | 1.54                    | 4.70                   | 9.60                          | 2.79             |

Then we apply the continuum approximation, which is valid if the solutions we are looking for are smooth. The resulting equations take the form:

$$\begin{aligned} \bar{I}_1 \varphi_{1,tt} - \bar{K}'_1 a^2 \varphi_{1,zz} + \bar{k}_{1-2} \bar{R}_1 (\bar{R}_1 + \bar{R}_2) \sin \varphi_1 - \\ - \bar{k}_{1-2} \bar{R}_1 \bar{R}_2 \sin(\varphi_1 - \varphi_2) = -\bar{\beta}_1 \varphi_{1,t} + M_0, \end{aligned} \quad (4)$$

$$\begin{aligned} \bar{I}_2 \varphi_{2,tt} - \bar{K}'_2 a^2 \varphi_{2,zz} + \bar{k}_{1-2} \bar{R}_1 (\bar{R}_1 + \bar{R}_2) \sin \varphi_2 - \\ - \bar{k}_{1-2} \bar{R}_1 \bar{R}_2 \sin(\varphi_2 - \varphi_1) = -\bar{\beta}_2 \varphi_{2,t} + M_0. \end{aligned} \quad (5)$$

Taking into account the distribution of interactions within the DNA molecule, namely "weak" hydrogen bonds between nitrogenous bases within complementary pairs and "strong" valence interactions along sugar-phosphate chains, we apply the mean field approximation. As a result, instead of two coupled equations (4)–(5), we obtain two independent equations:

$$\bar{I}_1 \varphi_{1,tt} - \bar{K}'_1 a^2 \varphi_{1,zz} + \bar{k}_{1-2} \bar{R}_1^2 \sin \varphi_1 = -\bar{\beta}_1 \varphi_{1,t} + M_0, \quad (6)$$

$$\bar{I}_2 \varphi_{2,tt} - \bar{K}'_2 a^2 \varphi_{2,zz} + \bar{k}_{1-2} \bar{R}_2^2 \sin \varphi_2 = -\bar{\beta}_2 \varphi_{2,t} + M_0. \quad (7)$$

The first equation imitates the angular deviations of the bases in the main chain. The second describes the angular deviations of the bases in the complementary chain.

In the particular case  $\bar{\beta}_1 = 0$  and  $M_0 = 0$ , the first equation reduces to a simple sine-Gordon equation:

$$\bar{I}_1 \varphi_{1,tt} - \bar{K}'_1 a^2 \varphi_{1,zz} + \bar{V}_1 \sin \varphi_1 = 0, \quad (8)$$

whose one-soliton solution - kink, has the form:

$$\varphi_{k,1}(z, t) = 4 \arctg\{\exp[(\bar{\gamma}_1/\bar{d}_1)(z - \bar{v}_{k,1}(t) \cdot t - z_{0,1})]\} \quad (9)$$

Here  $\bar{v}_{k,1}(t)$  is the kink velocity in the main chain;  $\bar{\gamma}_1 = (1 - \bar{v}_{k,1}^2/\bar{C}_1^2)^{-1/2}$  is the Lorentz factor;  $\bar{C}_1 = (\bar{K}'_1 a^2/\bar{I}_1)^{1/2}$  is the sound velocity;  $\bar{V}_1 = \bar{k}_{1-2} \bar{R}_1^2$ ;  $\bar{d}_1 = (\bar{K}'_1 a^2/\bar{V}_1)^{1/2}$  is the kink size;  $z_{0,1}$  is the kink coordinate at the initial moment of time. The total energy and rest energy of the kink (9) are determined by the following formulas:

$$\bar{E}_1 = \bar{E}_{0,1} \cdot \bar{\gamma}_1, \quad (10)$$

$$\bar{E}_{0,1} = 8\sqrt{\bar{K}'_1 \bar{V}_1}. \quad (11)$$

In the general case ( $\bar{\beta}_1 \neq 0$ ,  $M_0 \neq 0$ ), an exact analytical solution of equation (6) has not yet been found. The approximate solution found by the McLaughlin-Scott method has the form [17]:

$$\bar{v}_{k,1}(t) = \frac{\left[ \left( \bar{v}_{0,1} \bar{\gamma}_{0,1} - \frac{\bar{C}_1 M_0 \pi}{4\beta_1} \sqrt{\frac{\bar{I}_1}{\bar{V}_1}} \right) \exp\left( -\frac{\bar{\beta}_1}{\bar{I}_1} (t-t_0) \right) + \frac{\bar{C}_1 M_0 \pi}{4\beta_1} \sqrt{\frac{\bar{I}_1}{\bar{V}_1}} \right]}{\sqrt{1 + \left[ \left( \frac{\bar{v}_{0,1}}{\bar{C}_1} \bar{\gamma}_{0,1} - \frac{M_0 \pi}{4\beta_1} \sqrt{\frac{\bar{I}_1}{\bar{V}_1}} \right) \exp\left( -\frac{\bar{\beta}_1}{\bar{I}_1} (t-t_0) \right) + \frac{M_0 \pi}{4\beta_1} \sqrt{\frac{\bar{I}_1}{\bar{V}_1}} \right]^2}}, \quad (12)$$

where  $t_0$  is the start time of the first kink;  $\bar{v}_{0,1}$  is the initial kink velocity;  $\bar{\gamma}_{0,1} = (1 - \bar{v}_{0,1}^2/\bar{C}_1^2)^{-1/2}$ . The approximate solution of the second equation (namely, equation (7)), found in a similar way, has the form:

$$\varphi_{k,2}(z, t) = 4\text{arctg}\{\exp[(\bar{\gamma}_2/\bar{d}_2)(z - \bar{v}_{k,2}(t) \cdot t - z_{0,2})]\}, \quad (13)$$

where  $\bar{v}_{k,2}(t)$  is the kink velocity in the complementary chain;  $\bar{\gamma}_2 = (1 - \bar{v}_{k,2}^2/\bar{C}_2^2)^{-1/2}$  is the Lorentz factor;  $\bar{C}_2 = (\bar{K}'_2 a^2/\bar{I}_2)^{1/2}$  is the sound velocity;  $\bar{V}_2 = \bar{k}_{1-2} \bar{R}_2^2$ ;  $\bar{d}_2 = (\bar{K}'_2 a^2/\bar{V}_2)^{1/2}$  is the kink size;  $z_{0,2}$  is the kink coordinate at the initial moment of time. The total energy and rest energy of the kink (13) are determined by the following formulas:

$$\bar{E}_2 = \bar{E}_{0,2} \cdot \bar{\gamma}_2, \quad (14)$$

$$\bar{E}_{0,2} = 8\sqrt{\bar{K}'_2 \bar{V}_2}. \quad (15)$$

The kink velocity is equal to:

$$\bar{v}_{k,2}(t) = \frac{\left[ \left( \bar{v}_{0,2} \bar{\gamma}_{0,2} - \frac{\bar{C}_2 M_0 \pi}{4\beta_2} \sqrt{\frac{\bar{I}_2}{\bar{V}_2}} \right) \exp\left( -\frac{\bar{\beta}_2}{\bar{I}_2} (t-t_0) \right) + \frac{\bar{C}_2 M_0 \pi}{4\beta_2} \sqrt{\frac{\bar{I}_2}{\bar{V}_2}} \right]}{\sqrt{1 + \left[ \left( \frac{\bar{v}_{0,2}}{\bar{C}_2} \bar{\gamma}_{0,2} - \frac{M_0 \pi}{4\beta_2} \sqrt{\frac{\bar{I}_2}{\bar{V}_2}} \right) \exp\left( -\frac{\bar{\beta}_2}{\bar{I}_2} (t-t_0) \right) + \frac{M_0 \pi}{4\beta_2} \sqrt{\frac{\bar{I}_2}{\bar{V}_2}} \right]^2}}, \quad (16)$$

where  $\bar{v}_{0,2}$  is the initial velocity;  $\bar{\gamma}_{0,2} = (1 - \bar{v}_{0,2}^2/\bar{C}_2^2)^{-1/2}$ .

Thus, within the framework of the approximation described above, in any DNA regions, two types of kinks:  $\varphi_{k,1}(z, t)$  and  $\varphi_{k,2}(z, t)$ , can be formed. Moreover, they can be interpreted as quasiparticles moving along the DNA double strand.

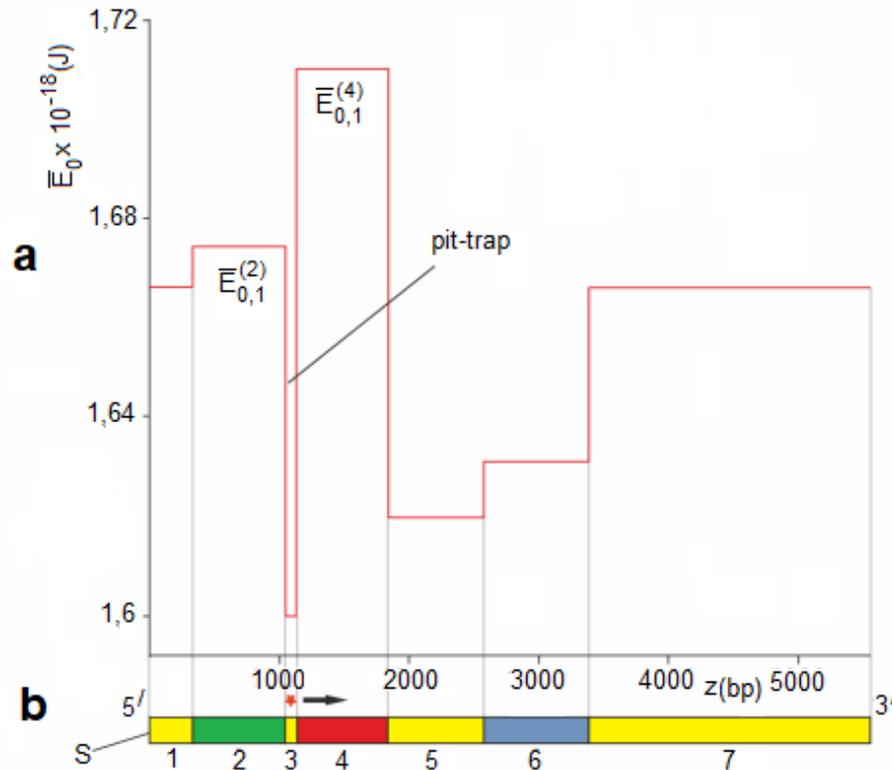
### 3 Results and discussion

#### 3.1. Profile of the potential field where the first kink moves

To construct the profile of the potential field in which the kink defined by formula (9), moves, the averaged values of the coefficients  $\bar{I}_1$ ,  $\bar{K}'_1$ ,  $\bar{V}_1$  and the rest energy  $\bar{E}_{0,1}$  were calculated for each region of the main sequence. The calculation results are presented in Table 3, and the corresponding energy profile is shown in Figure 4a.

**Table 3.** Averaged values of the coefficients  $\bar{I}_1$ ,  $\bar{K}'_1$ ,  $\bar{V}_1$  and the rest energy  $\bar{E}_{0,1}$  calculated for each region of the main plasmid pPF1 sequence.

| Region number | $\bar{I}_1 \times 10^{-44}$<br>(kg·m <sup>2</sup> ) | $\bar{K}'_1 \times 10^{-18}$<br>(N·m) | $\bar{V}_1 \times 10^{-20}$<br>(J) | $\bar{E}_{0,1} \times 10^{-18}$<br>(J) |
|---------------|---|---------------------------------------|------------------------------------|--|
| 1 + 7         | 6.21  | 1.94                                  | 2.23                               | 1.67                                   |
| 2 (EGFP)      | 6.16  | 1.92                                  | 2.28                               | 1.67                                   |
| 3             | 6.21  | 1.96                                  | 2.04                               | 1.60                                   |
| 4 (mCherry)   | 6.30  | 1.96                                  | 2.32                               | 1.71                                   |
| 5             | 6.06  | 1.91                                  | 2.14                               | 1.62                                   |
| 6 (Kan)       | 6.18  | 1.95                                  | 2.13                               | 1.63                                   |



**Figure 4.** Profile of the potential field where the first kink moves (a) and schematic presentation of the plasmid pPF1 sequence cut at point  $S$  and expanded into a line (b). The red small star symbolizes the kink. The arrow indicates the direction of kink movement. Vertical gray lines indicate the boundaries of the regions.  $\bar{E}_{0,1}^{(2)}$  and  $\bar{E}_{0,1}^{(4)}$  are the heights of the energy barriers that are located in the 2-nd and 4-th sections of the main plasmid sequence.

From Figure 4a it can be seen that the potential field where the kink moves really contains a pit-trap located between the genes of the green and red proteins (Figure 4b). The formation of the kink in this region requires the least amount of energy. Therefore, when simulating the motion of this kink, we assume that at the initial moment the kink is activated in the pit-trap. For definiteness, we suggest that the starting point is located in the center of the pit-trap ( $z_{0,1} = 1092$  bp), the starting velocity  $\bar{v}_{0,1}$  is equal to zero and the torsion moment  $M_0$  is directed from 5' to 3' end of the main sequence.

From Figure 4 it can be seen also that the movement of the kink will be hindered by an energy barrier located to the right of the pit-trap. To overcome this barrier and continue moving, the following condition must

$$\bar{E}_{0,1}^{(3)} \cdot \gamma_{0,1}^{(3)} \geq \bar{E}_{0,1}^{(4)} \quad (17)$$

where additional superscripts that indicate the region number, are introduced.

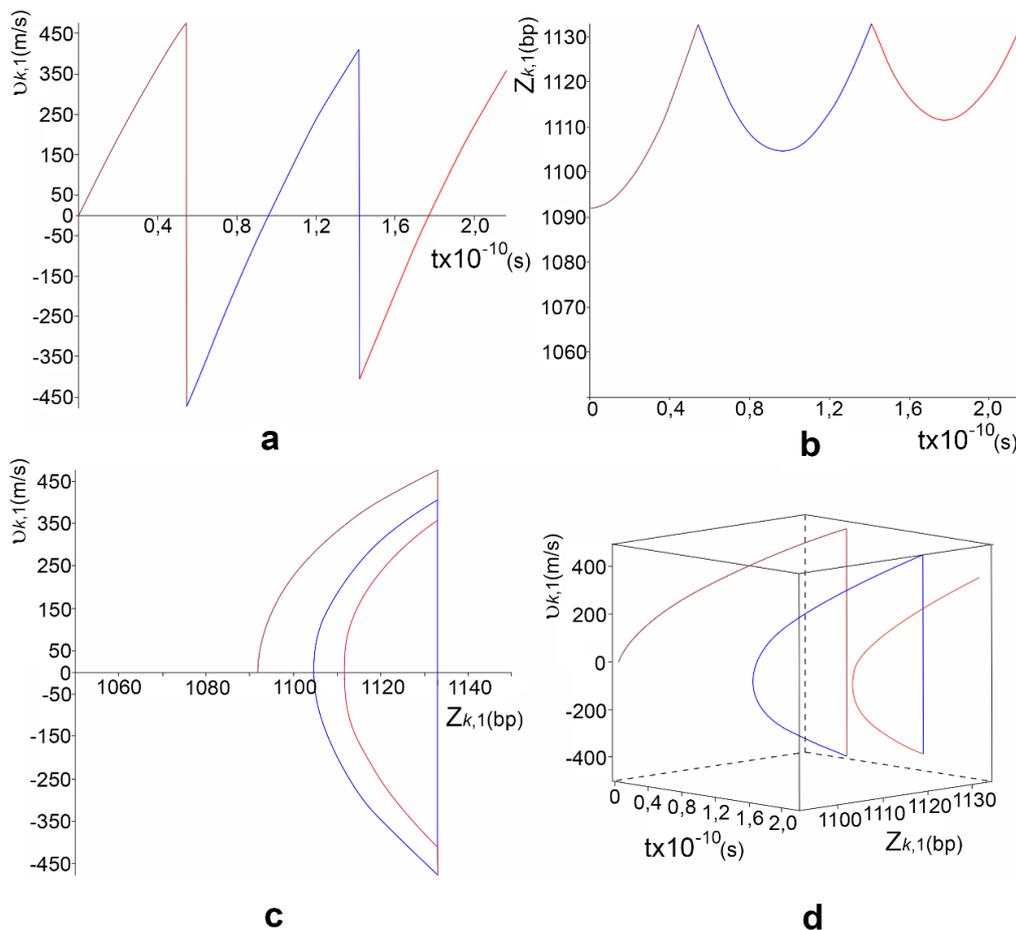
From condition (17), we obtain formula for calculating the threshold value of the kink velocity:

$$v_{crit,right} = \bar{C}_1^{(3)} \sqrt{1 - \left( \frac{\bar{E}_{0,1}^{(3)}}{\bar{E}_{0,1}^{(4)}} \right)^2} \quad (18)$$

Inserting the values of the parameters gathered in Table 3 into formula (12), we find the threshold velocity  $v_{crit,right} = 673.25$  m/s. This velocity value corresponds to the threshold value of the moment  $M_{0,crit,right} = 4.95 \times 10^{-22}$  J.

### 3.2. First kink behavior in the case $M_0 < M_{0,crit,right}$

Figure 5 illustrates the behavior of the first kink in the case  $M_0 = 2.50 \times 10^{-22}$  J which is less than  $M_{0,crit,right}$ . The time dependence of the kink velocity is presented in Figure 5a. For convenience, we divided the timeline into several intervals in accordance with the character of the kink behavior and used different colors for different intervals.



**Figure 5.** Time dependence of the velocity (a) and coordinate (b) of the first kink. The kink trajectory on the phase plane  $\{v, z\}$  (c) and the kink 3D trajectory (d).  $M_0 = 2.50 \times 10^{-22}$  J,  $\bar{v}_{0,1} = 0$ ,  $z_{0,1} = 1092$  bp. The segments of the curves corresponding to the time interval  $(0; 5.45 \times 10^{-11}$  s) are highlighted in brown, those corresponding to the time interval  $(5.45 \times 10^{-11}$  s;  $1.42 \times 10^{-10}$  s) are highlighted in blue, those corresponding to the time interval  $(1.42 \times 10^{-10}$  s;  $2.16 \times 10^{-10}$  s) are highlighted in red.

Having determined the kink coordinate by the formula:

$$v_{k,1} = \frac{dz_{k,1}}{dt}, \quad (19)$$

we obtained the time dependence of the kink coordinate (Figure 5b), as well as the kink trajectory on the phase plane  $\{v_{k,1}, z_{k,1}\}$  (Figure 5c) and in the three-dimensional space  $\{v_{k,1}, z_{k,1}, t\}$  (Figure 5d).

From Figure 5b, it can be seen that the kink, having started moving from the center of the 3-rd section, reaches the right boundary ( $z_{rb}=1133$  bp) at the time  $t_{rb} = 5.45 \times 10^{-11}$  s. Inserting this value of time into formula (11), we found the kink velocity at the right boundary:  $v_{rb}=476.73$  m/s. It turned out that this value was less than the threshold velocity  $v_{crit,right} = 673.25$  m/s. Therefore, the kink could not overcome the right boundary and was reflected from it. This is clearly seen in graphs 5a, b, d (brown segments of curves). It is also clearly seen that the motion of the kink in the time interval  $(0; 5.45 \times 10^{-11}$  s) is divided into two stages: a smooth movement to the right boundary and a sharp reflection from it, which corresponds to a sharp vertical drop in the velocity down on graphs 5a and 5c. The velocity at this moment changes from a value of 476.73 m/s to a value of -476.73 m/s.

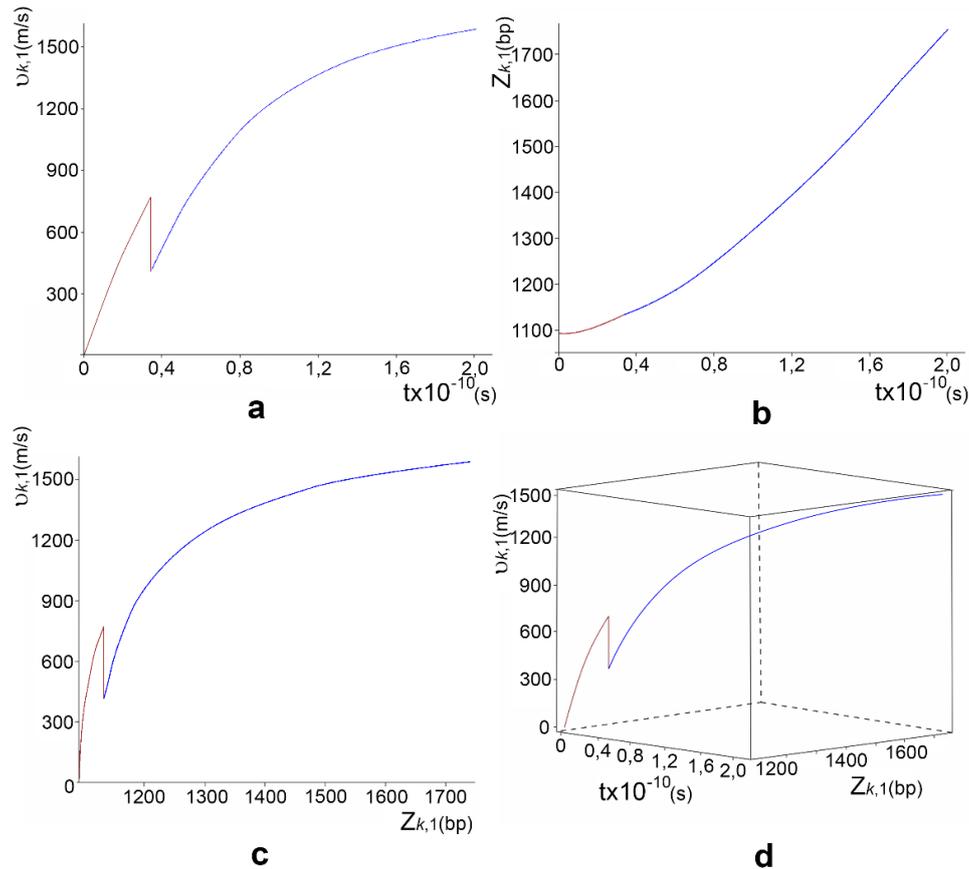
Then the kink again begins to move smoothly (blue segments of the curves), but in the opposite direction. It can be seen from Figures 5a,b,d that, having passed part of the way in the direction of the left boundary, the kink smoothly turns back to the right boundary. By the time moment of  $1.42 \times 10^{-10}$  s, the kink reaches the right boundary and is reflected from it. At the same time moment, the kink velocity sharply drops down from the value of 411.50 m/s to the value of -411.50 m/s.

The next cycle of the kink movement (red segments of the curves) also includes (1) a smooth movement from the right boundary direction the left boundary, (2) a smooth turn by  $180^\circ$  before reaching the left boundary, (3) a smooth movement in the direction of the right boundary, and (4) a sharp reflection from the right boundary. Obviously, such cycles will continue. At the same time, the kink velocity will decrease upon reaching the right boundary and tend to zero in the limit.

### 3.3. First kink behavior in the case $M_0 > M_{0,crit,right}$

Figures 6a and 6b show the time dependences of the first kink velocity and coordinate in the case of  $M_0 = 2.50 \times 10^{-22}$  J  $> M_{0,crit,right}$ . In Figures 6c and 6d the kink trajectories on the phase plane  $\{v_{k,1}, z_{k,1}\}$  and in the three-dimensional space  $\{v_{k,1}, z_{k,1}, t\}$  are presented.

From the graph of the time dependence of the coordinate presented in Figure 6b, it can be seen that the kink, having started moving from the center of the 3-rd region, reaches the right boundary by the time  $3.42 \times 10^{-11}$  s. In this case, the kink velocity at this boundary becomes equal to  $v_{crit,right} = 775.68$  m/s. Since this value is greater than the threshold value of the velocity  $v_{crit,right} = 673.25$  m/s, the kink overcomes the boundary and enter the 4-th region corresponding to the red protein gene. It can be seen that the behavior of the kink includes three stages: a smooth movement to the right boundary, a sharp vertical drop down to a value of 409.83 m/s at this boundary and a smooth movement in the 4-th region.

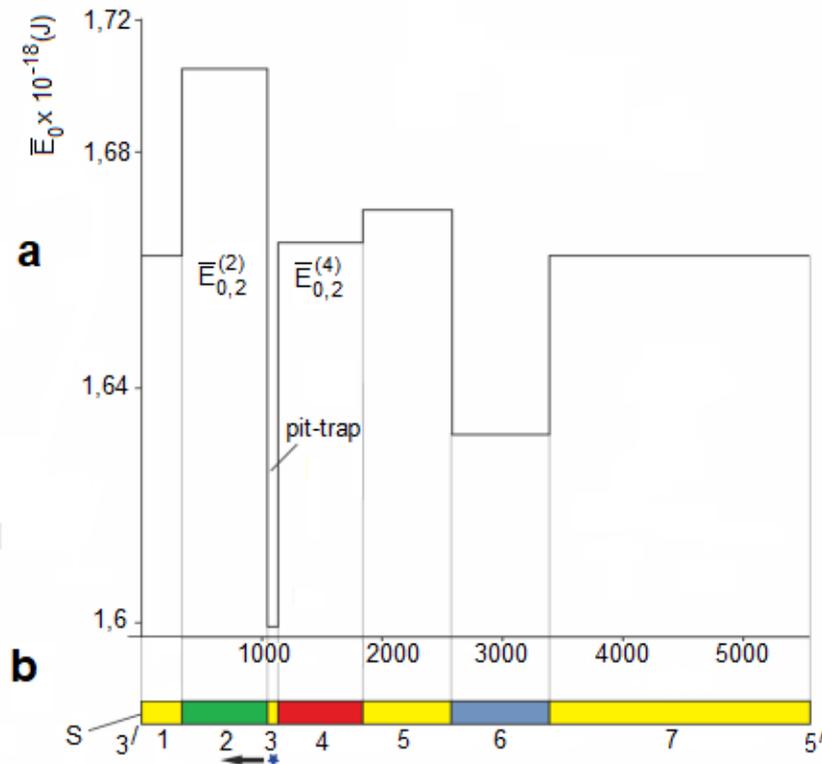


**Figure 6.** Time dependence of the velocity (a) and coordinate (b) of the first kink. The kink trajectory on the phase plane  $\{v, z\}$  (c) and the kink 3D trajectory (d).  $M_0 = 6.50 \times 10^{-22}$  J,  $\bar{v}_{0,1} = 0$ ,  $z_{0,1} = 1092$  bp. The segments of curves corresponding to the movement of the kink in the time interval  $(0; 3.42 \times 10^{-11}$  s) are highlighted in brown, the segments corresponding to the movement in the time interval  $(3.42 \times 10^{-11}$  s;  $2.16 \times 10^{-10}$  s) are highlighted in blue.

### 3.4. Profile of the potential field where the second kink moves

**Table 4.** Averaged values of the coefficients  $\bar{I}_2$ ,  $\bar{K}'_2$ ,  $\bar{V}_2$  and the rest energy  $\bar{E}_{0,2}$  calculated for each region of the complementary plasmid pPF1 sequence.

| Region number | $\bar{I}_2 \times 10^{-44}$<br>( $\text{kg} \cdot \text{m}^2$ ) | $\bar{K}'_2 \times 10^{-18}$<br>( $\text{N} \cdot \text{m}$ ) | $\bar{V}_2 \times 10^{-20}$<br>(J) | $\bar{E}_{0,2} \times 10^{-18}$<br>(J) |
|---------------|---|---|------------------------------------|--|
| 1+7           | 6.18  | 1.94  | 2.23                               | 1.66                                   |
| 2 (EGFP)      | 6.22  | 1.95  | 2.28                               | 1.69                                   |
| 3             | 6.21  | 1.96  | 2.04                               | 1.60                                   |
| 4 (mCherry)   | 6.08  | 1.90  | 2.27                               | 1.66                                   |
| 5             | 6.33  | 1.98  | 2.21                               | 1.67                                   |
| 6 (Kan)       | 6.22  | 1.95  | 2.14                               | 1.64                                   |



**Figure 7.** Profile of the potential field where the second kink moves (a), and schematic presentation of the plasmid pPF1 sequence cut at point  $S$  and expanded into a line (b). The blue small star symbolizes the second kink. The arrow indicates the direction of the kink movement. Vertical gray lines indicate the boundaries of the regions.  $\bar{E}_{0,2}^{(2)}$  and  $\bar{E}_{0,2}^{(4)}$  are the heights of the energy barriers that are located in the 2-nd and 4-th regions of the complementary plasmid sequence.

To construct the profile of the potential field in which the second kink defined by formula (13), moves, we calculated the averaged values of the coefficients  $\bar{I}_2, \bar{K}'_2, \bar{V}_2$  and the rest energy  $\bar{E}_{0,2}$  for each region of the complementary sequence. The calculation results are presented in Table 4, and the corresponding energy profile is shown in Figure 7a.

From Figure 7a it can be seen that the potential field where the second kink moves also contains a pit-trap located between the genes of the green and red proteins (Figure 7b). The formation of the kink in this region requires the least amount of energy. When modeling the movement of this second kink, we assume that at the initial moment of time the kink is activated in the center of the pit-trap well ( $z_{0,2} = 1092 \text{ bp}$ ) and its initial velocity  $\bar{v}_{0,2}$  is equal to zero.

From Figure 7 it can be seen also that the movement of the second kink will be hindered by an energy barrier located to the left of the pit-trap. To overcome the barrier and continue moving, the following condition must be fulfilled:

$$\bar{E}_{0,2}^{(3)} \cdot \bar{v}_{0,2}^{(3)} \geq \bar{E}_{0,2}^{(2)}. \quad (20)$$

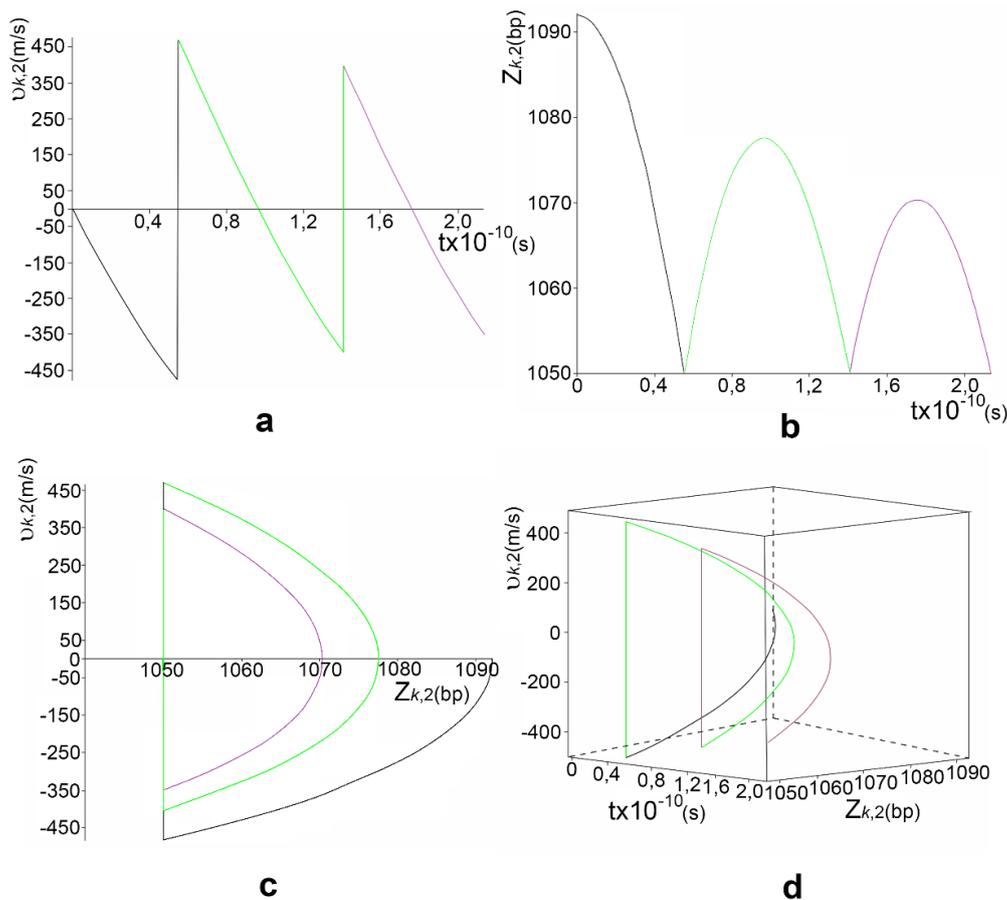
Here superscripts indicate the region number.

From condition (20), we obtain formula for calculating the threshold value of the kink velocity:

$$v_{crit,left} = \bar{C}_2^{(3)} \sqrt{1 - \left( \frac{\bar{E}_{0,2}^{(3)}}{\bar{E}_{0,2}^{(2)}} \right)^2}. \quad (21)$$

Inserting the values of the parameters gathered in Table 3, into formula (21), we find the threshold velocity  $v_{crit,left} = 627.21$  m/s. This velocity value corresponds to the threshold value of the moment  $M_{0,crit,left} = 4.20 \times 10^{-22}$  J.

### 3.5. Second kink behavior



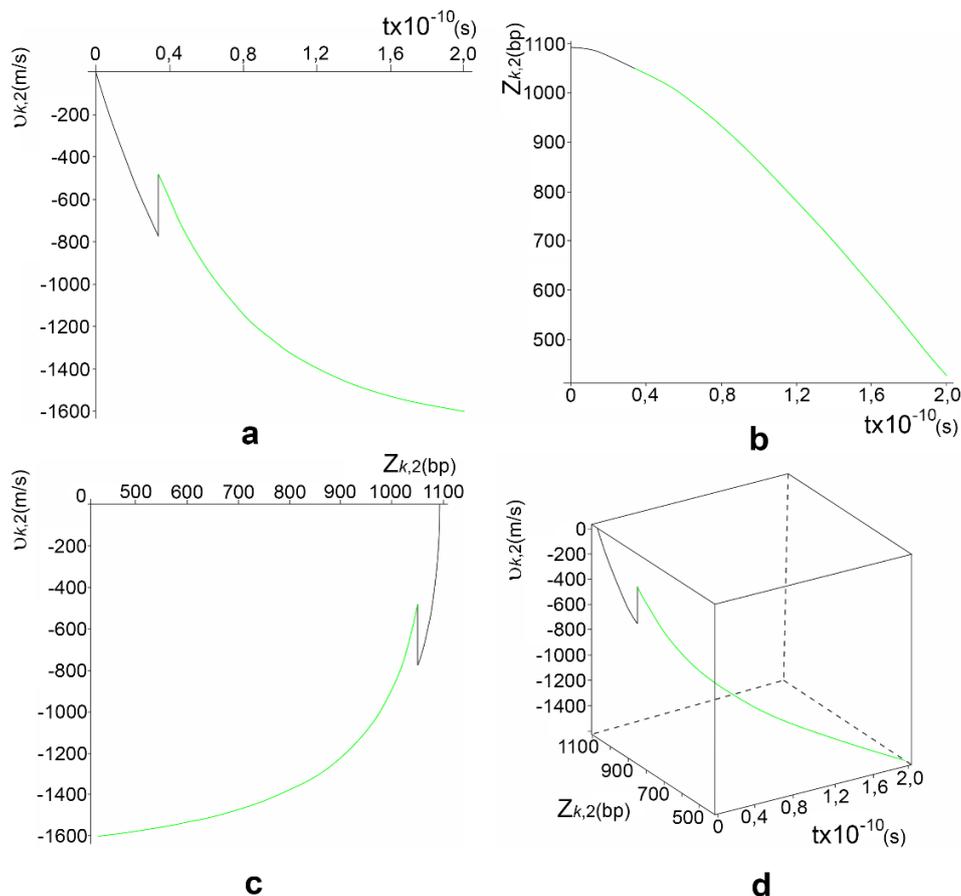
**Figure 8.** Time dependence of the velocity (a) and coordinate (b) of the second kink. The kink trajectory on the phase plane  $\{v, z\}$  (c) and the kink 3D trajectory (d).  $M_0 = -2.50 \times 10^{-22}$  J,  $\bar{v}_{0,1} = 0$ ,  $z_{0,1} = 1092$  bp. The segments of the curves corresponding to the time interval  $(0; 5.55 \times 10^{-11}$  s) are highlighted in black, those corresponding to the time interval  $(5.55 \times 10^{-11}$  s;  $1.41 \times 10^{-10}$  s) are highlighted in green, those corresponding to the time interval  $(1.41 \times 10^{-10}$  s;  $2.15 \times 10^{-10}$  s) are highlighted in purple.

The estimates made above give the absolute values of the threshold kink velocity and the threshold torsion moment. When calculating, however, the trajectories of the second kink, we took into account the direction of the kink and the direction of the torsion moment. Figures 8 and 9 show the

calculation results for the cases  $M_0 = -2.50 \times 10^{-22}$  J and  $M_0 = -6.50 \times 10^{-22}$  J, respectively.

Figure 8b shows that the kink, having started a smooth movement from the center of the 3-rd section to the left boundary ( $z_{lb} = 1050$  bp) (brown curve segments), reaches it at the time  $t_{lb} = 5.55 \times 10^{-11}$  s. Its velocity on the left boundary is  $v_{lb} = -483.69$  m/s. Then the kink is reflected from the left boundary and its velocity changes sharply from  $-483.70$  m/s to  $+483.70$  m/s.

Then the kink again begins to move smoothly (blue segments of the curves), but in the opposite direction. Figures 8a, b, d show that, having passed part of the way in the direction of the right boundary, the kink smoothly turns back to the left boundary. By the time  $1.41 \times 10^{-10}$  s the kink reaches the left boundary and is reflected from it. In this case, the velocity of the kink rises sharply from  $-400.80$  m/s to  $+400.80$  m/s.



**Figure 9.** Time dependence of the velocity (a) and coordinate (b) of the second kink. The kink trajectory on the phase plane  $\{v, z\}$  (c) and the kink 3D trajectory (d).  $M_0 = -6.50 \times 10^{-22}$  J,  $\bar{v}_{0,1} = 0$ ,  $z_{0,1} = 1092$  bp. The segments of the curves corresponding to the time interval  $(0; 3.42 \times 10^{-11}$  s) are highlighted in black, those corresponding to the time interval  $(3.42 \times 10^{-11}$  s;  $2.10 \times 10^{-10}$  s) are highlighted in green.

The next cycle of the kink movement (red segments of the curves) also includes a smooth movement from the left boundary in the direction the right boundary, before reaching the right boundary, a smooth  $180^\circ$  turn, a smooth movement in the direction of the left boundary and a sharp

reflection from this boundary. Obviously, such cycles will continue. At the same time, the kink velocity will decrease upon reaching the left boundary and tend to zero in the limit.

From the graph of the time dependence of the coordinate shown in Figure 9b, it can be seen that the second kink, starting from the center of the 3-rd region, reaches the left boundary by the time of  $3.42 \times 10^{-11}$  s. The kink velocity at this boundary becomes equal to  $v_{lb} = -772.25$  m/s. It can be seen that the behavior of the second kink includes two stages: a smooth movement to the left boundary and a sharp vertical rise to the value of  $-476.33$  m/s at this boundary. Then the kink continues to move smoothly in the 2-nd area.

#### 4. Discussion and conclusions

In the present work, we have modeled the movement of kinks in the pPF1 plasmid which has been recently constructed to study the functional properties of DNA molecules and its fragments. To describe the movement of the plasmid kinks mathematically, we used a system of two coupled modified sine-Gordon equations that simulate the angular vibrations deviations of nitrogenous bases in the main and complementary chains and take into account the effects of dissipation and the action of a constant torsion field.

The inhomogeneity of the plasmid was taken into account approximately, within the framework of the so-called quasi-homogeneous approximation. In this case, the plasmid sequence was divided into several sections, including EGFP, mCherry, and Kan, as well as intermediate regions between them, and the coefficients of the model equations were averaged over each of these regions. We also took into account the features of the distribution of interactions within the DNA molecule: the presence of “weak” hydrogen bonds between nitrogenous bases inside complementary pairs and “strong” valence interactions along the sugar-phosphate chains. This made it possible to approximately transform the system of two coupled equations into two independent equations, the solutions of which -kinks, were then found by the McLaughlin-Scott method.

(1) It was shown that in any of the considered regions of the plasmid, the formation of two types of kinks was possible, which could be considered as two types of quasi particles having their own energy, mass, velocity, and moving along the DNA double strand.

(2) The profiles of the potential fields in which the kinks moved were calculated. It was found that the lowest energy necessary for the formation of the kinks corresponded to the region located between the genes of the red and green proteins. It was shown that a pit-trap was located in this region, both for one and for the second kink.

(3) We showed the existing of the threshold values of the torsion field, upon reaching which the kinks behavior changed dramatically: there was a transition from cyclic motion inside the pit-trap to translational motion and to exit from the potential pit-trap. We calculated the threshold values. For the first kink this value was  $M_{0,crit,right} = 4.95 \times 10^{-22}$  J, and for the second kink  $M_{0,crit,left} = 4.20 \times 10^{-22}$  J.

(4) We constructed the resulting 2D and 3D kink trajectories that demonstrate the behavior of the DNA kinks in the pit-trap and nearby.

It should be noted, however, that all these results were obtained under a number of limitations. We used a simplified model that takes into account only one type of internal motions: the angular vibrations of nitrogenous bases. To find analytical solutions, several approximations including the quasi-homogeneous approximation, the continuum approximation, the mean field approximation and the McLaughlin-Scott approximation, were used. One of the directions of future research may be just

related to the search for new methods and approaches to remove these limitations.

Another direction of future research may be related to computer simulation of experiments carried out in genetic engineering. For example, within the framework of the model under consideration, it is possible to insert a sequence of interest to us into the region between the genes of fluorescent proteins and predict its dynamic and functional properties without resorting to complex and expensive genetic engineering experiments.

Moreover, the simplicity and convenience of the approach described above are very attractive. It can be successfully applied in studies of the kink behavior not only in plasmids but in any other DNA molecules whose energy profile contains pit traps. It can be also assumed that the mathematical apparatus used here can be used more widely, for example, in the physics of inhomogeneous crystals, physics of the earth, nonlinear optics and others where the sine-Gordon equation and its modifications are used.

### Conflict of interest

All authors declare no conflicts of interest in this paper.

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